United States Patent [19] [11] Patent Number: 4,954,151

[54] METHOD AND MEANS FOR OPTIMIZING Primary Examiner-Ronald C. Capossela BATCH CRYSTALLIZATION FOR PURIFYING WATER

- [75] Inventors: Chung-Nan Chang, Los Altos; $\begin{bmatrix} 5 \end{bmatrix}$ ABSTRACI
- [73] Assignee:
- [21] Appl. No.: 295,651
- [22] Filed:
- [51] 16g clcis ' ' ' ' ' ' ' ' ' ' ' optimum production of puri?ed water through recur , - - - - - _
- [52]
- [58]

[56]

U.S. PATENT DOCUMENTS capacity.

Chang et al. [45] Date of Patent: Sep. 4, 1990

Attorney, Agent, or Firm—A. C. Smith

William M. Conlon, Palo Alto; An improved water purification apparatus and method Donald M. Hendricks, Moraga, all of includes the freeze plate of a refrigeration system dis-**Donald M. Hendricks, Moraga, all of** includes the freeze plate of a refrigeration system dis-
Calif. one of water to be purified posed in contact with the surface of water to be purified **Polar Spring Corporation, Menlo** for transferring heat from the water substantially only through the surface and for forming a layer of ice only park Calif Park, Calif. The surface and for forming a layer of ice only near the surface that is nearly as thick as the volume of water is deep. Electrostatic field is produced to improve Jan. 10, 1989 the rejection of impurities at the ice-water interface. System and operating parameters are described for the Field of Search 62/532, 124 ."ng °y°1°s °f friezmg' d'ammg' '.nehmg'. and 'mm mg melt water in batch processing of impure water References Cited using a refrigeration system of selected heat-removing

51 Claims, 7 Drawing Sheets

Figure 8

U.S. Patent Sep. 4, 1990

METHOD AND MEANS FOR OPTIMIZING BATCH CRYSTALLIZATION FOR PURIFYING WATER

RELATED APPLICATION

This application relates to the subject matter of pend ing applications Ser. No. 114,232, entitled "DUAL FREEZING CHAMBER SYSTEM AND METHOD 10 FOR WATER PURIFICATION", filed on Oct. 27, 1987 by C. N. Chang, now US. Pat. No. 4,799,945, which subject matter is incorporated herein by reference.

BACKGROUND OF THE INVENTION

This invention relates to batch purification of water by freezing the water into ice and by melting the ice, and more particularly to the method and means for optimizing the operating parameters, including the sur- 20 face area of the evaporator, the freezing time, the thick ness of ice, the depth of the batch volume of water to be purified, the volumetric capacity, the cost per gallon, and the like.

Certain known water purification systems use freeze 25 tion. chambers and dynamic conditions to continuously form ice crystals in or from chilled water, and then to segre gate the ice crystals from the chilled water for separate processing. The relationships between the evaporation temperature of the refrigerant, the temperature and 30 effective area of the freeze plate, the compressor capac ity, thermal conduction coefficient of ice, and the like, were not explored for optimum conditions in such systems.

In these conventional systems, the quantity of water 35 purified by the process (i.e., volumetric capacity) was considered to be related to the compressor capacity, or to the refrigerant evaporation temperature, or to the thermal conductivity of ice, without appropriate con sideration given to optimizing the operating efficiency 40 of the system.

SUMMARY OF THE INVENTION

In accordance with the present invention, optimum 45 configurations are set forth for a single- or multiplechamber batch purification system operating on the freeze crystallization of water and the melting of the resulting ice. The optimum operating conditions estab lished according to the present invention consider the $_{50}$ surface area and temperature of the freeze plate, the freeze and melt times, the thickness of ice layer, the depth of the volume of chilled water in which an ice layer is formed, and the like. The locus of optimum operating conditions is established for such variables as 55 compressor capacity, and allowable freeze and melt times to define the operating conditions for a small, efficient batch-processing water purifier.

DESCRIPTION OF THE DRAWINGS

FIG. 1 is a graph illustrating the heat-removing ca pacity of a given compressor as a function of the refrig erant evaporation temperature;

FIG. 2 is a graph illustrating the heat flux through a layer of ice as a function of the refrigerant evaporation 65 temperature;

FIG. 3 is a graph illustrating the rate of heat flux through layers of ice of various thickness as a function of refrigerant evaporation temperature, and including a plot of compressor capacity in the family of curves;

FIG. 4 is a graph illustrating water production as a function of freeze time for various evaporation tempera tures;

FIG. Sis a graph illustrating throughput capacity for freeze plates of various sizes; and

FIG. 6 is a graph illustrating optimum cost consider ations for throughput from freezer plates of various sizes;

FIG. 7 is a block schematic diagram of one embodi ment of the present invention;

FIG. 8 is a graph illustrating impurity rejection en hancement as a function of applied voltage;

FIG. 9 is a block schematic diagram showing embodi ments similar to the embodiment of FIG. 7 modified to include temperature-sensing controllers;

FIG. 10 is a sectional view of a freeze plate including heater apparatus according to the present invention;

FIG. 11 is a perspective sectional view of a cylindri cal vessel including an electrostatic-field structure according to the present invention; and

FIG. 12 is a perspective view of another embodiment of the freeze chamber according to the present inven

DESCRIPTION OF THE PREFERRED EMBODIMENT

In a typical batch-type crystallization purifier of water, for example, of the types described in the aforecited patent application, a volume of impure water is con fined in a chamber wherein heat is extracted from the water, preferably at a boundary surface of the volume of water, in order to form a layer of ice in situ. The unfrozen portion of the volume of water with increased impurity concentration is then drained away and the layer of ice is then melted to yield a volume of purified water Successive operations through these cycles yield a daily volume of Purified water that is dependent upon several of the factors referred to above.

There is a significant relationship between the evaporation temperature of the refrigerant and the capacity of the compressor in a typical small reciprocating com pressor of the type commonly employed in domestic refrigerators. Referring to the graph of FIG. 1, there is shown a chart of the heat-removing capacity (in BTU'S per hour) as a function of the evaporation temperature of the refrigerant. As the chart illustrates, the heat removing capacity of a given-size compressor decreases as the refrigerant temperature decreases. It is therefore desirable to operate at a refrigerant temperature for which the heat-removing capacity is greater, consistent with optimum ice-volume formation in allowable freez ing time.

Referring therefore to the graph of FIG. 2, there is shown a chart including a family of curves illustrating the rate of heat flux through layers of ice of various thicknesses for various refrigerant evaporation tempera tures. The heat flux through a layer of ice is generally proportional to the temperature difference across the layer of ice, and is inversely proportional to the thickness of the layer of ice, substantially in accordance with the following equation:

$$
q = k(T \text{ cold} - T \text{ water}) + \Delta x \tag{Eq. 1}
$$

where:

60

 $q = heat flux (in BTU'S per hour per square foot)$

 k =thermal conductivity coefficient of ice (approximately 1.28 BTU/sq. ft. $-\text{hr.}-\text{F}^{\circ}$)

T cold=Temperature of coldest surface (approxi mately refrigerant evaporation temperature)

T water=temperature at ice/water interface (32° F.) 5 $\Delta x = ice$ layer thickness

Thus, considering that the refrigerant circulates through a freeze plate (or cold plate) of excellent ther mal conductivity, the difference between the tempera ture of the refrigerant and the surface of the freeze plate is negligible. Therefore, the heat flux through a layer of ice is illustrated in FIG. 2 plotted as a function of refrig erant evaporation temperature for various thicknesses of ice. From the chart of FIG. 2, it should benoted that heat flux through a layer of ice is highest for the thin-15 nest layer and lowest for the thickest layer. Stated dif ferently, it should be noted that the heat flux through the layer of ice diminishes as the layer thickens, thus leading to reduced build-up of ice volume per unit time for given compressor operating conditions. 20

Since a compressor has a given heat-pumping capac ity (which depends upon the refrigerant evaporation temperature), and upon the thickness of the ice layer, then the surface area of the freeze plate through which the refrigerant circulates must be selected as a function 25 of the compressor capacity.

Referring to the graph of FIG. 3, there is shown a chart of heat flux through ice layers of various thick nesses as a function of refrigerant evaporation tempera ture, with the plot of compressor capacity for a given 30 unit included in the illustrated family of curves. It should be noted from this chart that, at 0° F. evapora tion temperature, the given compressor unit does not have enough capacity to remove all of the heat that can be transferred through a layer of ice until it attains a thickness of about 0.6 inches. Thus, during initial opera tion, the rate of growth of the ice layer is limited by the heat-removal capacity of the compressor, and not by the rate at which heat can diffuse through the layer of ice. During this initial operation, the average tempera- 40 ture of the freeze plate is considered not to be as low as the refrigerant evaporation temperature, even though the evaporation temperature is held substantially con stant by such physical aspects of the system as the length of the conventional capillary tube, and the like. 45 Therefore, it should be noted that for a practical system of the type described, there will be an initial operating period during which the compressor has insufficient capacity to remove the heat from water to be crystal lized as rapidly as possible because the ice layer thus 50 formed is initially very thin (i.e., very thermally con ductive). Alternatively, furnishing an excessively-large compressor (or a freeze plate of excessively small sur face area) is undesirable because the compressor would cycle on and off more frequently, and ice would form 55 too rapidly to permit diffusion of impurities away from the ice-water interface, with concomitant lower purity of water from the melting of such ice. Also, it is desir able to provide a freeze plate which exhibits an average temperature across the surface thereof that is substan tially uniform (although perhaps not as low as the evap oration temperature of the refrigerant circulating therein) to promote uniform formation and growth of the ice layer. Such uniformity is important to assure high average purity of crystals throughout the layer of 65 ice thus formed. Also, the freeze plate configuration of optimum area for forming and growing a volume of ice in a layer is preferred, for example, over a freeze plate

configuration of smaller area for forming and growing a comparable volume of ice in a thicker, smaller layer. This is because the height of the ice-growing apparatus (as well as ice-formation times) increase with decreasing surface area of the freeze plate.

It should be noted that in batch-processing of impure water, only a portion of a volume of water is frozen and the remaining portion, containing substantially all the excluded impurities, is discarded. Therefore, it is desir able to limit the initial volume of impure water to an optimally-small volume to avoid substantial inefficien cies associated with having to remove heat from a larger volume of water that is then discarded. For a freeze-plate configuration of selected surface area, it is desirable to confine the volume of impure water within boundaries of substantially the same surface dimensions as the freeze plate and to a depth in the range of approx imately 1.1 to 3 times the expected thickness of the ice layer to be formed therein.

Therefore, the principal aspects for optimizing throughput in a batch purifier, once a compressor of given heat-removing capacity is selected, include: al lowable freeze time; the refrigerant evaporation tem perature; the freeze-plate surface area; volume of dis carded water: and allowable melt time.

Freeze time is the period of time for formation of the layer of ice of desired thickness and, as illustrated in the graph of FIG. 4, there is an optimum time period for the reasons discussed above beyond which the throughput of purified water decreases. The chart of FIG. 4 illustrates water production as a function of freeze time for various evaporation temperatures attainable with a given compressor unit. For a given compressor unit and a given evaporation temperature, there usually exist two distinct phases of ice formation, namely: (a) ice formation limited initially by compressor capacity; and (b) ice formation limited subsequently by the rate of thermal conduction through the ice layer. In terms of throughput of purified water, these phases are bounded by a distinct maximum point at an optimal freeze time, as illustrated in the graph of FIG. 4. As the evaporation temperature is decreased, the optimal freeze time increases and the maximum becomes less pronounced, as illustrated in the graph of FIG. 4. This graph also illus trates that there is a locus of maximum throughput conditions which itself has a maximum value (at approx imately 30 minutes freeze time and at approximately 5° F. evaporation temperature for the operating parame ters specified in the Figure). Freeze times up to approximately 60 minutes, for the operating conditions speci fied in the graph, thus yield acceptable levels of throughput within tolerable percentages of the maxi mum possible throughput.

It should be noted, therefore, that for any given com pressor and evaporation temperature, certain freeze times may be tolerated, and throughput will be opti mized for a selected area of the freeze plate, as illus trated in the graph of FIG. 5. This Figure illustrates that for a given allowable freeze time, the water production increases as the freeze plate area increases (i.e., larger volumes of ice produced per freeze cycle), until a criti cal area is reached at which further increase in area produces little additional increase in throughput. The sloped portions of the curves are believed to correspond to the periods during which ice growth is limited by compressor capacity, while the substantially horizontal portions of the curves (i.e., saturation) are believed to be attributable to the limited thermal diffusion rate of heat through an ice layer. Therefore, since the cost of a creases with increases in the surface area of the plate, it should be noted that no substantial throughput benefit is realized by increasing the freeze plate area beyond an optimal size (i.e., beyond about 100 square inches in the illustrated example).

For a given-size compressor, the capability of han dling the cooling load increases with the evaporative temperature, as illustrated in FIG. 1. However, once the 0 expansion valve or capillary tube (for example, 15 of FIG. 7) is selected, the evaporative temperature and cooling load of the refrigerant are fixed. Thus, at the beginning of the ice forming process, a thin ice layer is formed and heat transfers through the ice layer very rapidly. This is because:

$$
Q_{tr} = k(T_w - T_d)/1
$$
 (Eq. 2)

where Q_{tr} is the amount of heat transferred from the $_{20}$ water to the freeze plate 19 and the refrigerant circulat ing therein; k is heat conductivity of ice; T_w is the freezing point temperature (32° F. at normal condition); T, is the average temperature of the freezing plate; and 1 is the ice layer thickness. Since Q_{tr} can not exceed the $_{25}$ maximum value of a selected design and T_w is a constant for most cases, T_f must initially be closer to T_w when 1 is small. Subsequently, however, when the ice layer is thick enough, Q_t must be lowered because T reaches the minimum temperature T_{ev} which the selected refrigeration system can achieve. As a result of the above two 30 distinct phenomena, it is believed that there must exist a critical ice thickness 1_{cr} for which the (Q_{tr})max matches with $T_f = T_{ey}$.

- The importance of this critical thickness, 1_{cr} , is that: 1. If 1 is less than 1_{cr} , then more frequent cycling of 35 operations is necessary and the burden of time required for filling, freezing, melting, and draining increase proportionally and productivity suffers.
- 2. If 1 is greater than 1_{cr} , then Q_{tr} reduces rapidly then the state than $\frac{1}{4}$ through ice of thickness 1 greater than 1_{cr} , and productivity suffers.

Therefore, it is important to limit the thickness of ice to around 1_{cr} for maximum yield. The following equation sets forth the parameters for 1_{cr} .

$$
(Q_{tr}/A)max-k(T_w-T_{ev})/1_{cr}
$$
 (Eq. 3)

or

 $1_{cr}=k(T_w-T_{ev})/(Q_{tr}/A)$ max $(Eq. 4) 50$

As an example, for a typical vapor compressor, (Dan foss Model TL3A), (Q_{tr}) max is 525 BTU/hr at T_{ev}= 10[°] F., k is 1.28 BTU/ft/hr/ \degree F. and A is 96 in²; and the critical thickness becomes 0.429 inches. However, for $T_{ev} = -10^{\circ}$ F., 1_{cr} becomes 1.433 inches. Therefore, the peak 7 illustrated in FIG. 4 is practically determined as the point of critical thickness 1_{cr} for which the filling, freezing, melting, and draining times, and appropriate heat losses, yield maximum production of purified wa- 60 ter.

The capital costs involved for throughput capacity maybe optimized (i.e., at lowest cost per gallon per day), as illustrated in FIG. 6, by considering the cost of the major component (i.e., the freeze plate) at, say, 65 19. \$10.00 per square foot of surface area, and by consider ing the production rates as a function of freeze plate area as illustrated in FIG. 5. It should be noted that a

point of minimum cost per gallon per day can be at tained with a freeze plate of about 96 square inches (i.e., approximately § square foot), operating with refrigerant evaporation temperature at about 0" F.

Referring now to FIG. 7, there is shown a block schematic diagram of one embodiment of the present invention. Specifically, there is shown a compressor 11 which operates in a closed system 9 to circulate refrigerant through condenser 13 and expander 15 to the evaporator 17 including freeze plate 19, and then back to the compressor 11, all in conventional manner. Va porization of the refrigerant within the evaporator 17 absorbs heat through the freeze plate 19 from water (and ice) 21 in the water vessel 23, and the refrigerant temperature is essentially established by the expander 15 which may include a conventional capillary or expan sion valve.

 45 pressor 11, heater 33 and valves 25, 27, and 31 are oper-The water transfer system in the illustrated embodi ment of the present invention includes the vessel 21 to which the feed water that is to be purified is supplied via the feedwater valve 25. In addition, the quantity of unfrozen waste water remaining in the vessel 23 after the requisite volume of ice is formed about the freeze plate 19 (and containing a higher concentration of resid ual impurities than the feedwater) is drained from the vessel via waste water drain valve 27. Also, purified water which collects in vessel 23 as the volume of ice about freeze plate 19 is melted is drained away to stor age 29 (or to direct consumption) via the purified water valve 21. A heater 33 may be disposed in contact with freeze plate 19 and/or with one or more of the bound ary walls of vessel 23 to facilitate the rapid melting of the volume of ice formed about the freeze plate 19. The heater 33 may be an electrical heater, or the condenser of a refrigerant system operating in alternating time relationships with the freeze-cycle operation of system 9. For example, heater 33 may include the condenser of another system for purifying water within another ves sel (not shown), and operating in similar manner in alternating timed relationships of the freezing and melt ing operations of such two systems. Of course, other types of heaters such as infra-red sources, microwave, or the like, may also be used as the heater 33. The com ated in timed sequence by the controller 35.

In operation, controller 35 activates the feedwater valve 25 to supply a quantity of water to be purified to vessel 23. The vessel is shaped closely approximate to the horizontal surface of the freeze plate 19 with a depth below the freeze plate 19 of approximately 1.1 to 3.0 times the expected thickness of a layer of ice beneath the freeze plate 19. The vessel 23 may be mounted to respond to weight of water to turn off the feedwater valve 25, and the evaporator 17 with freeze plate 19 may be resiliently mounted to accommodate expansion of ice within the confines of vessel 23, as well as to provide resilient bias of the ice layer against the freeze plate 19 to promote efficient heat transfer from the heater in the freeze plate 19 (when in operation) to the ice layer resiliently urged into contact therewith. The compressor 11 is activated by controller 35 to freeze the water in vessel 23 for a selected period of time, or until a selected volume of ice is formed about the freeze plate

The freeze time is the time from the first formation of ice at the surface of the freeze plate (or freeze cylinder in the case of a cylindrical vessel 23) until the compres

4,954,151
sor 11 is turned off, as determined by the controller 35. rity (The compressor 11 must also operate for a period of time to remove heat from the water before freezing begins). For freeze crystallization apparatus oPerating at the nominal conditions described above (130° F. con densing temperature, 60° F. feedwater temperature), the total freeze time can be determined from the parameters of the system, as previously described. However, if a ' change in ambient temperature causes the condensing temperature to change, the compressor 11 capacity will 0 change and the freeze time must be adjusted by the controller 35 to maintain the optimal throughput at the different ambient condition.

Several methods of adjusting the freeze time can be used, as follows:

- 1. Measure the ambient temperature and use a lookup table and interpolation function to predict the ad justed freeze time as a function of the measured ambient temperature;
- 2. Measure the initial feedwater temperature and the rate of change of feedwater temperature to deter mine the adjusted freeze time based on heat transfer considerations;
- 3. Measure the time from compressor starting to the formation of ice on the freeze plate, and adjust the freeze time in proportion to the ratio of the mea sured time to the nominal time required.

Of course, the evaporation temperature is determined by the pressure drop induced by the flow of refrigerant through the expander 15 which may be either a fixed 30 capillary tube or an expansion valve subject to control by controller 35. The freeze plate area 19, determined by the size of the evaporator 17, may be in the form- of a plate located at the upper surface of the water 21 in the vessel 23, or in the form of a cylindrical vessel 23 35 with the freeze plate 19 forming the cylindrical walls.

The recovery ratio is the ratio of the volume of puri fied water produced compared to the volume of feedwater required. The recovery ratio is determined by adjusting the volume of the vessel 23, either by chang ing the depth or by increasing the diameter of a cylin drical vessel. Thus, the ice crystal layer is grown verti cally downward into the tray or vessel of water 21. A freeze plate 19 of a given surface area is in contact with the upper horizontal surface of the water and removes 45 heat through the surface of the water 21 and through a horizontal ice-crystal layer that forms about the freeze plate 19. An alternate geometric configuration is a cylindrical vessel (for example of circular or rectangular cross-section) in which the ice-crystal layer is grown 50 where, horizontally inward toward the center, with heat re moval through the vertical sides of such cylinder. There, the impurities are concentrated in a column of water in the center of the cylinder (or beneath the plate 19) and are selectively drained via the wastewater drain 55 valve 27 with the excess, impure water 21 that is not frozen then drained from the vessel 23 by activating valve 27 under control of controller 35. Thereafter, the heater 33 is activated to melt the volume of ice about the freeze plate 19. With valves 25 and 27 closed, the 60 purified melt water is drained away to storage 29 through valve 31 that is activated by controller 35. Thereafter, with valves 27 and 31 closed, the feedwater valve 25 is activated to supply impure water to be puri fied 21 to the vessel 23 for operation through another 65 freeze purification cycle, as previously described.

As illustrated in FIGS. 4, 5 and 6, the optimal thick ness of ice layer is critical to obtaining the optimal pu

rity and throughput of purified water, and occurs when the heat removed by the refrigeration system through the freeze plate is balanced by the heat that can be transferred through the ice layer. In mathematical terms, this is given by:

 $q_{opt}=[k \cdot A \cdot \Delta T \div \Delta x]_{opt}$ (Eq. 5)

The left hand side of equation 5 represents the compressor heat removal capacity (which is a function of evaporation temperature), while the right hand side of the equation represents the heat transfer through an ice layer of thickness Δx and cross-sectional area A. This equation can be re-arranged in dimensionless groups as 15 follows:

$$
(\Delta x + \sqrt{A})_{\text{opt}} = [k \cdot \sqrt{A} \cdot \Delta T + q]_{\text{opt}} \tag{Eq. 6}
$$

The same principal can be applied to geometries other than the horizontal freeze plate, for example, in cylin drical geometry, the equivalent heat balance is:

$$
q_{opt} = [2\pi \cdot k \cdot l \cdot \Delta T + ln(r_0 + r_1)]_{opt}
$$
 (Eq. 7)

where.

l is the length of the cylinder,

r0 is the outside radius of the cylinder,

 r_1 is the inside radius of the ice.

Re-arranging this equation in dimensionless groups gives:

$$
(r_0+r_1)_{opt} = exp[2\pi \cdot k \cdot l \cdot \Delta T + q]_{opt}
$$
 (Eq. 8)

If the ice thickness is again represented by Δx , then $r_1=r_0-\Delta x$, and the optimal non-dimensional ice thickness in cylindrical geometry is given by

$$
(\Delta x + r_0)_{opt} = 1 - exp[-2\pi \cdot k \cdot l \cdot \Delta T + q]_{opt}
$$
 (Eq. 9)

The volumetric capacity of a freeze crystallization apparatus according to the present invention is equal to the volume of purified water produced in a batch divided by the cycle time required to prepare the batch. The volume of water produced in a batch is

$$
Q_{batch} = \Delta x \cdot A \cdot [p_s \div p_f] \div [\theta_s + \theta_f + \theta_m \theta_o]
$$
 (Eq. 10)

 Δx is the ice thickness described above,

A is the area of the freeze plate,

 p_s is the density of the ice, and

 p_f is the density of the water

The cycle time includes the following components:

 θ_s the time required to remove heat from the water.

- θ_f the time required to freeze the ice,
- θ_m the time required to melt the ice,
- θ_o the time required for overhead, e.g., filling and draining the apparatus.

Since the entire batch must be cooled from the in coming feedwater temperature to the freezing point, then θ_s is,

$$
\theta_{s} = p_f A \cdot D \cdot \Delta T_{fw} C_p + q \tag{Eq. 11}
$$

where

D is the depth of the freeze tray,

 ΔT_{f_w} is the difference between the feedwater temperature and the freezing temperature,

 C_p is the specific heat of the water to be purified,

q is the compressor heat removal capacity,

 p_f is the density of water

The time required to freeze the purified water is

 $\theta_f=p_sA\cdot\Delta x\cdot h_f+q$ (Eq. 12)

where,

 h_f is the latent heat of fusion of the ice. The time required to melt the purified ice is:

$$
\theta_m = p_{\mathcal{S}} A \cdot \Delta x \cdot h_{\mathcal{S}} + q_{melt} \tag{Eq. 13}
$$

where.

 q_{melt} is the heat addition capacity of the melting device.

The overhead time, θ_o , includes the time to fill the apparatus, the time to drain the impure water from the freeze tray, and the time to drain the purified, melted ice 20 from the freeze tray. These times should be propor tional to the volume of fluid to be filled or drained, as well as to the pressure difference between the source of the water and the tray (for filling) or between the tray and the drain location. For a typical horizontal freeze 25 tray application, however, the drainage flow rate is quite slow, and the overhead time is therefore consid ered to be a constant. In most cases of practical significance, the overhead time is insignificant compared to $\frac{30}{ }$ the other times.

Thus the volumetric capacity of the device is:

$$
Q = \Delta x \cdot A \cdot [p_s + p_f] + \{[p_f \cdot A \cdot D \cdot \Delta T_{fw} \cdot C_p + q] + [\text{Eq. 14})
$$

$$
[p_s \cdot A \cdot \Delta x \cdot h_6 + q] + [p_s \cdot A \cdot \Delta x \cdot h_6 + q_{\text{mod}}]\}^{35}
$$

This can be re-arranged in dimensionless groups as follows:

$$
Q \cdot p_f h_{f_3} + q = \{ [D \cdot p_f \Delta T_{fw} C_p + (\Delta x \cdot p_s h_{f_3})] + 1 + [q - q. \text{ [Eq. 15) } \}
$$
 40

This expression can be simplified by defining the recovery ratio R, previously discussed, as follows:

$$
R = (p_f \div p_s) \cdot (D - \Delta X),
$$
 (Eq. 16)

so that:

$$
Q \cdot p_f h_{fs} + q = \{ [R \cdot \Delta T_{fw} \cdot C_p + h_{fs}] + 1 + [q + q_{melt}] \}^{-1}
$$
 (Eq. 17) 50

The first term on the right hand side of equation 17 shows the influence of feedwater temperature and recovery ratio on the volumetric capacity, and the last term shows the influence of melt heater capacity. In the case of cylindrical geometry, the same equation above ⁵⁵ can be applied by defining the recovery ratio for cylindrical geometry to be:

$$
R = (p_f + p_s) \cdot [1 - (r_1 + r_o)^2], \text{ or } (p_f + p_s) \cdot \{1 - [1 - (\Delta x + r_o)^2]\}
$$
\n(Eq. 18)

From the preceeding description, it should be noted that as the ice layer grows thicker, the rate of produc tion decreases, so if the ice is permitted to grow too thick in a batch, the daily production of purified water 65 is decreased. Also, for a given depth of water in the vessel 23, as the ice layer grows thicker, the concentra tion of impurities in the liquid 21 increases with higher

probability of entrapment of impurities in the ice layer that results in relatively greater contamination of the melt water.

Since it is difficult or expensive to directly measure 5 the thickness of the ice layer in situ during a batch, an indirect means of determining the optimal ice thickness is preferred. In one embodiment of the present inven tion a timer is included in controller 35, and the optimal operation time can be directly determined in accor 10 dance with the above-described method and apparatus. Unfortunately, the optimal freeze time is dependent upon ambient conditions such as the feedwater tempera ture, and the ambient temperature (which influences the heat pump capacity). In addition, the matching of the 15 capacity of the heat pump to the freeze plate area can be influenced by various manufacturing tolerances in the components of the system, as well as by aging or deteri oration.

In an alternative embodiment of the present inven tion, simple method and apparatus are provided to com pensate for these ambient variations to assure that the batch crystallization purification operates optimally. Also, an economical scheme is provided for controlling the optimal operation under the influence of the variations described above. Thus, with reference to Equa tions 11 and 12, above, the batch cycle time can be determined by thermodynamic calculations that include the heat gain of the apparatus from the ambient environ ment, the incoming feedwater temperature, and the capacity of the heat pump at the ambient temperature. Since the ambient temperature and feedwater tempera ture can vary in actual applications, a timer may not be sufficient to assure optimal thickness of the ice. Moreover, the time for optimal ice thickness predicted by the above equations may not apply exactly to production apparatus subject to manufacturing tolerances, or may not apply to situations in which the performance in an actual environment differs from the performance in the ideal environment.

In an alternative embodiment, a temperature measur ing device is used to measure the rate of change of temperature of the water as it is cooled prior to freez ing, and from this measurement the capacity of the heat pump can be determined. Thus, if the temperature of the water is decreased from an initial temperature T_0 to a final temperature T_1 , that is by an amount ΔT_1 , over a period of time measured as θ_1 , then an estimate of the actual heat pump capacity can be determined as:

$$
\mathcal{Q} = p_f A \cdot D \cdot C_p \cdot \Delta T_1 \div \theta_1 \tag{Eq. 19}
$$

where,

60

 \hat{q} is the estimated heat pump capacity in watts;

 \overline{T}_1 is the measured temPerature change of the wa-
ter=T₀-T₁ in °K; and

 θ_1 is the measured time in seconds.

The freeze time given by equation (12) is also depen dent on the heat pump or compressor capacity. Substi tuting equation (19) into equation (12) gives:

$$
P = p_{s'} \Delta x \cdot h_{fs'} \theta_1 + [p_f D \cdot C_n \cdot \Delta T_1]
$$
 (Eq. 20)

From equation (3) above, the optimal ice thickness for the apparatus with the actual compressor capacity

$$
\Delta x = k \cdot A \cdot \Delta T + \frac{\Delta}{q} \tag{Eq. 21}
$$

 40

Substituting equation (19) into equation (21) yields:

$$
\Delta x = k \cdot \Delta T \cdot \theta_1 + [p_f D \cdot C_p \cdot \Delta T_1]
$$
 (Eq. 22)

Now substituting equation (22) into equation (20) 5 gives the freeze time as:

$$
\theta_f = \{ [p_{s'}k \cdot \Delta T \cdot h_{fs}] + [p_f D \cdot C_p \cdot \Delta T_1]^2 \} \theta_1^2
$$
\n(Eq. 23)

Equation (23) shows that the freeze time is inversely $_{10}$ proportional to the square of the measured rate of change of water temperature. In addition, equation (23) shows that the following parameters should be carefully controlled:

the depth, D, of the freeze tray;

- the heat transfer temperature difference, ΔT , which is determined by the length of capillary tube or ex pander 15;
- the accuracy of the measurement of temperature difference, ΔT_1 ;

the accuracy of the measurement of the time, θ_1 .

The length of time, θ_c , required to operate the heat pump or compressor 11 to lower the water temperature
from the temperature T_1 to the freezing temperature, T_f can be obtained from a heat balance as, 25

$$
\theta_c = p_f A \cdot D \cdot C_p \cdot \Delta T_2 \div q \tag{Eq. 24}
$$

where.

 θ_c is the additional time required to reach the freezing 30 temperature in sec;

Substituting equation (19) into equation (24) gives,

$$
\theta_c = [p_f \Delta T_2] \div [p_f \Delta T_1] \cdot \theta_1 \tag{Eq. 25} 35
$$

The total additional operating time of the heat pump is then the sum of θ_f plus θ_c , and the time required to melt the purified ice layer is also proportional to the amount of ice present, as previously described.

Substituting equation (22) into equation (13) gives:

$$
\theta_m = [p_S A \cdot K \cdot \Delta T \cdot h_{fs}] \div [q_{melr} p_f D \cdot C_p \cdot \Delta T_1] \theta_1
$$
 (Eq. 26)

Equation (26) can then be used to control the time of $_{45}$ operation of the melt heater 33.

One modified embodiment of the present invention is illustrated schematically in FIG. 9 as including a tem perature sensor 41 attached to or located in the vessel 23 in order to measure the feedwater temperature. The 50 electrical signal from the temperature sensor 41 is sensed by two comparators 43 and 44 which each pro vide a logic signal to microprocessor 45 in controller 35. As the water temperature decreases below a certain temperature, the first comparator 43 changes its logic 55 output, thereby signaling the microprocessor 45 to begin timing the cooling process. When the water tem perature decreases further below a second temperature, the second comparator 44 changes its logic output, thereby signaling the microprocessor 45 to stop timing the cooling process. The elapsed time measured by the microprocessor 45 is the quantity θ_1 . The microprocessor then determines the additional time required for operation of the heat pump or compressor 11 in accor dance with the equations (23) and (25), above. Likewise, 65 the microprocessor 45 determines the time required for operation of the melt heater 33 in accordance with equation (26) above.

20 temperature of the evaporated refrigerant. When the Another modified embodiment of the present invention, as illustrated in FIG. 9, operates on the two peri ods of ice growth Previously discussed, including the first period in which ice growth is limited by the capacity of the heat pump or compressor 11, and the second period in which the ice growth is limited by the rela tively poor thermal conduction of the ice layer. During the initial period in which the growth of ice is limited by the capacity of the compressor 11, the liquid refrigerant evaporates completely and is heated to a significant degree by conduction from the feedwater to the refrig erant before it returns to the compressor 11. During the latter period, the refrigerant boils and evaporates, but is not significantly heated due to poor thermal conduction of heat from the feedwater, through the ice layer, to the refrigerant. The temperature of the returning refriger ant can therefore be used to indicate the optimal ice thickness. A temperature sensing device 47 is mounted on or in the evaporator return line 49 to indicate the temperature decreases below a given value, the optimal ice thickness has been attained.

In order to assure that all of the ice has been melted, a temperature sensing device may be located in the freeze tray. During the melting period of the cycle, such temperature sensor indicates by a rise in the sensed temperature that the ice is completely melted. If only a timer is used, the ice might either not melt completely, or too much time and energy might be used to melt the ice, resulting in higher operating costs as well as lower yield of purified water.

During each freeze purification cycle discussed above, ice crystals begin to grow at a nucleating site on or near the surface of the freeze plate 19 where water molecules become attached to each other through hy drogen bonding. The solution of impurities in water at the water/ice interface lose neighboring water mole cules through crystal formation, and impurities origi nally immiscible or dissolved in water are rejected to the solution. The solution therefore becomes more con centrated with the rejected impurities. The concentra tion differential between the solution at the interface and the bulk liquid, is believed to drive impurities from the interface toward the bulk liquid through a diffusion process.

There are two ways that impurities can exist undesir ably in the ice thus formed. The first way is by trapping of impurity-laden solution in the dislocation sites due to imperfect crystal growth. The second way is by replac ing water molecules in the crystal lattice by impurity molecules.

Impurity introduction by the first way depends upon the diffusion and absorption rates. Specifically, from the principle of crystal growth, the slower the rate of crys tal formation, the fewer the crystal dislocations. With a typical crystal growth, the crystal dislocations can be lower than 2%. Because ice growth is a relatively slow process compared with diffusion of impurities in water, the impurities in ice are not expected to exceed 4% of the original solution.

The second way of impurity introduction in ice is a direct result of hydrogen bonding. When a hydrogen atom bonds covalently to a very small, highly electro negative atom such as fluorine (F) or oxygen (0) or nitrogen (N), the resulting bond is highly polarized. The hydrogen atom has such a large positive partial charge, it is attracted to the negative center of an adjacent mole cule with an appreciable intermolecular force. Since the

 ΔT_2 is the temperature difference = $T_1 - T_f$ in °K.

electronegativity of oxygen is very large, only those ions with even higher electronegativity can replace it in the lattice. For example, among atoms only fluorine has higher electronegativity than oxygen, and among or ganic function groups, NH₃ and OH are slightly higher 5 than oxygen. Therefore, sodium chloride, for example, exhibits a high rejection rate attributable to hydrogen bonding in crystal freeze purification. The aforementioned principles have been demonstrated experimen tally with sodium chloride (NaCl) exhibiting 95% rejec tion. Similarly, lead nitrate (P_bNO_3) exhibited 97% rejection rate, while baking, soda/water solution showed significantly less rejection compared with the above-described cases. Similarly, lower impurity rejec tion was exhibited for very hard water from wells. Rec ognizing the common dominating ionic impurity in both baking soda solution and in well water is bicarbonate $(HCO₃-)$, it is believed that bicarbonate must therefore have large electronegativity. Similar impurity rejection is also obtained from the softened well water where Sodium ions replace calcium or magnesium ions in the well water through the ionic exchange process of water softening. Such selective incorporation of negative ions in the ice lattice form an electrostatic potential at the ice/water interface, often as large as about 30 volts at the ice/solution interface of dilute electrolytic solu tions.

In accordance with the present invention, an external negative voltage is applied between the freeze plate 19 and the conductive walls of vessel 23 (or a screen grid 37 in the water below the freeze plate 19) to push the negative ions away from the ice/water interface. The applied negative voltage is believed to create a double layer of ions, with positive ions being attracted to the ice/water interface and a layer of negative ions forming 35 to maintain electric neutrality at the ice/ water interface. With the positive ion layer as a buffer, the negative ions with high electronegativity can not easily be incorpo rated into the ice lattice, and the purity in ice is thus improved. 40

It should be noted that impurity rejection improves rapidly with increasing applied voltage, as illustrated in FIG. 8, until all the positive ions are exhausted at a critical field strength or value of applied voltage. Little enhancement is realized by further increasing the ap-45 plied voltage beyond the critical voltage, as shown in FIG. 8. The critical voltage is thus determined by three fundamental effects: (a) differential absorption of the ice/water boundary; (b) differential incorporation; and boundary.

From the fundamental theory of electrolytic solu tions, it can be shown that the developed voltage is the charge density of the incorporated ion divided by the double layer thickness, sometimes known as the Debye length. To a first-order approximation, the double layer thickness is proportional to the inverse of the square root of the ion density. Therefore, the voltage devel oped by the effect of Selective Incorporation of Ions (SII) in the ice-crystal lattice is proportional to the 3/2 power of the ion concentration of the relevant ionic species. The relevant ionic species are those with elec tronegativity higher than oxygen. For solutions without relevant ionic species, there is no voltage developed by \$11, but this voltage increases rapidly with increasing 65 relevant ionic concentration. The applied voltage there fore is set (or controlled by controller 35) to exceed the voltage developed by SII for the type and concentra

tion of impurity ions expected to be encountered in the concentrated solutions 21 of impure water in vessel 23.

Referring now to FIG. 10, there is shown a sectional view of a freeze plate 61 on which is deposited a heater structure that includes a layer of electrical conductor 57 and an adjacent insulating layer 55. This heater struc ture aids in optimizing the throughput of purified water in several ways. First, it should be noted that the typical internal structure of a freeze plate includes a plurality of tubes 5, for the circulating refrigerant that are fairly uniformly distributed at spaced intervals over the sur face area of the freeze plate and that are formed in high thermal conductivity with the outer surface of the the freeze plate. Heat thus flows from water below the surface of the freeze plate 61 in substantially vertical direction through the layer of ice, and through the heater structure 55, 57 on the surface of the freeze plate, and (in some regions between tubes 51) along the freeze plate 61 to the tubes 51 with the circulating refrigerant therein. Heat flow along the freeze plate between tubes 51 may be slower or less efficient with higher thermal gradient per unit length than the heat flow through the ice layer and through the freeze plate 61 directly to a tube 51.

The heater structure illustrated in FIG. 10 includes the layer 55 of electrical insulation at least on the side of the electrical conductor 57 opposite the freeze plate 61. Such electrically insulating layer (typically, Kapton or Teflon polymer materials available from DuPont Co.) is also a thermal insulator which therefore decreases the rate of heat flow directly to the tubes 51 to approximately the rate of heat flow along the freeze plate between the tubes 51. This structure therefore contributes to formation of an ice layer of more uniform thickness over the surface area of the freeze plate 61 without significantly altering the overall rate of heat flow from the water to the refrigerant circulating in the tubes 51.

Second, the electrical conductor 57 in the intermedi ate layer of the heater structure is connected via the controller 35 to conduct current to provide Joule heat ing during the ice-melting period of operation previ ously described. This heater structure may be retained in close, continuous thermal contact with the layer of ice during the melting period by resiliently biasing the freeze plate 61 assembly into the freeze tray 23, or vice versa, in order to maintain surface contact between the heater structure and the ice layer in the freeze tray 23 as the ice layer melts.

(c) differential diffusion away from the ice/water 50 controller 35 to serve as one substantially equipotential Third, the electrical conductor 57 is connected via electrode for establishing an electrostatic field in the impure water in freeze tray 23 during the ice formation period of operation, as previously described. Finally, the outer surface of the outer layer 55 (e.g. Teflon) of the heater structure exhibits smooth, substantially non wetting surface characteristics which inhibits develop ment or formation of nucleation sites as ice initially forms. These surface properties have been found to promote the formation of a more uniform layer of ice comprising substantially homogenous platelet-type crystals rather than spire-shaped crystals, with concom itant reductions of trapped impurities at dislocation sites in imperfect ice crystals.

Referring now to FIG. 11, there is shown a perspec tive sectional view of another embodiment of a freeze tray or vessel 63 in the structure of the present inven tion. Specifically, the vessel 63 is generally of cylindrical shape (i.e. right circular, or rectangular or elliptical,

or the like) with thermally conductive side walls 65 forming an evaporator or freeze plate around substan tially the entire perimeter, and with minimum interior dimension (e.g. diameter) selected to be larger than the thickness of the layer of ice formed adjacent the side 5 walls. Remaining unfrozen impure water may therefore be drained from the center of the structure. An elec trode 66 is substantially vertically oriented near the center of the structure for establishing an electrostatic field in the impure water during the ice formation per- 10 iod of operation to enhance the purity of the ice in the manner previously described. A heater structure 55, 57 similar to the one previously described in connection with freeze plate 23 may also be disposed on the interior walls of the cylindrical vessel, and the vessel may be ¹⁵ closed at the upper end (except for air venting) in order to inhibit spills during operation in mobile environments such as in mobile homes or marine or military installa tions.

Referring now to FIG. 12, there is shown a perspec- ²⁰ tive sectional view of another embodiment of a freeze tray or vessel 68 in the structure of the present inven tion. Specifically, the vessel 63 is generally of cylindrical shape, as previously described with reference to 25
EIG 11 with a thermally conductive fracts globe on 25 FIG. 11, with a thermally-conductive freeze plate or tube 67 centrally disposed with the surrounding side walls 68. In this embodiment, the evaporator or freeze tube 67 includes circulating refrigerant therein for form ing the layer of ice with thickness less than the dimen-
 $\frac{30}{20}$ sion to the adjacent the side walls 68 of the vessel. The inner dimension of the vessel (e.g. diameter) may be at least 2.1 to 3.0 times the thickness of the ice layer (plus the outer dimension of the freeze tube 67, or electrode 66 in the embodiment of FIG. 11). The freeze tube 67 $_{35}$ may include a non-wetting surface, as previously de scribed. Remaining unfrozen impure water may there fore be drained from the outer region of the central layer of ice, (i.e., near the inner walls of the vessel 68). Electrodes for establishing an electrostatic field in the $_{40}$ impure water during the ice formation period of opera tion to enhance the purity of the ice in the manner previously described may be disposed on the walls of the vessel 68 and on the freeze tube 67 to wage impurities away from the freeze tube 67. Heating means for melt- $_{45}$ ing ice formed on the freeze tube 67 may include circu lating heated refrigerant therethrough, or a current conducting electrical heater, or infrared or microwave heaters, or the like, and the vessel may be closed at the upper end (except for air venting) in order to inhibit 50 spills during operation in mobile environments such as in mobile homes or marine or military installations.

Therefore, freeze purification of impure water can be optimized for maximum throughput at minimum cost in accordance with the method and apparatus of the pres- 55 ent invention by taking into account the size of freeze plate and the temperature of the refrigerant circulating therein, and by the magnitude of an applied electrical potential. In addition, the surface properties of the freeze plate are selected to promote the formation of more uniform ice crystals that inhibit entrapment of impurities at the ice-water interface. Also, the surface structure of the freeze plate may be arranged and con nected to exert electrostatic force on impurities during formation of the ice layer, as well as the supply Joule heating of the ice layer following removal of unfrozen, impure water.

What is claimed is:

1. Apparatus for removing impurities from impure water comprising:

- a chamber for confining a volume of water to be purified;
- heat transfer means including a freeze plate disposed to contact the surface of water within the chamber to extract heat therefrom substantially only from upper regions thereof to form a layer of ice in a volume of water adjacent the upper region of the chamber;
- circuit means disposed within the chamber to estab lish an electric field relative to the freeze plate for exerting electrostatic force upon impurities in water within the chamber in a direction away from the freeze plate;
- heater means disposed to melt ice within the cham ber; and means connected to the chamber for removing water
- therefrom associated with melting ice therein.
- 2. Apparatus as in claim 1 wherein:
- said circuit means includes electrode means disposed within the chamber at a depth below the freeze plate greater than the thickness of a layer of ice disposed adjacent the freeze plate.

3. Apparatus as in claim 2 wherein:

- said circuit means energizes the electrode means with a positive potential relative to the freeze plate.
- 4. Apparatus for removing impurities from impure water comprising:
	- a chamber having a selected depth and surface area for confining therein a volume of water to be purified:
	- heat transfer means including a freeze plate having a surface area of approximately the surface area of the chamber disposed to contact the surface of water within the chamber to extract heat therefrom substantially only from upper regions thereof to form a layer of ice beneath the freeze plate and in contact therewith in a volume of water adjacent the upper region of the chamber to a depth less than the selected depth of the chamber;
	- heater means disposed to melt ice within the cham
	- means connected to the chamber for removing water therefrom associated with melting ice therein.
	- 5. Apparatus as in claim 4 wherein:
	- said selected depth of water in the chamber below the freeze plate is in the range of 1.1 to 3.0 times greater than the thickness of the layer of ice be neath the freeze plate.

6. Apparatus for removing impurities from impure water comprising:

- a chamber for confining therein a volume of water to be purified;
- heat transfer means including a freeze plate and com pressor means for circulating refrigerant therein during a freeze cycle, said freeze plate being dis posed to contact the surface of water within the chamber to extract heat therefrom substantially only from upper regions thereof initially during the freeze cycle at a rate determined by the compressor means and freeze plate to form a layer of ice in contact with the freeze plate, said heat transfer means subsequently extracting heat during the freeze cycle at a rate substantially determined by the rate of thermal conduction through the layer of ice to the freeze plate;

4,954,151
means connected to the chamber for removing substantially all unfrozen water therefrom;

- heater means disposed to melt ice within the cham-
her: and
- means connected to the chamber for removing water 5 therefrom associated with melting ice therein.

7. Apparatus as in claim 6 wherein:

- said heat transfer means and means for removing unfrozen water and heater means and means for removing water associated with melting ice are 10 sequentially operated recurringly; and
- the surface area of the freeze plate is selected for the compressor means which circulates refrigerant therethrough at an average temperature over a stantially maximum volume of water from melted ice within the chamber over a plurality of recur ring operations.

8. A method for removing impurities from impure water comprising the steps of: 20

confining a volume of water to be purified;

- transferring heat from the volume of water substan tially only through a boundary surface thereof to form a layer of ice in a confined volume of water;
- establishing an electric field relative to the surface of 25 the water for exerting electrostatic force upon impurities in the volume of water in a direction away from the boundary surface;

removing unfrozen water;

melting the ice; and

removing the water associated with the melting ice. 9. The method according to claim 8 wherein the step of establishing the electric field includes positioning an electrode within the volume of water at a depth below the surface of the water that is greater than the thick ness of a layer of ice.

10. The method according to claim 9 wherein the electric field is established in a positive potential gradient with depth relative to the surface of the water.

11. A method for removing impurities from impure 40 water comprising the steps of:

- confining a volume of water to be purified within a selected depth and surface area;
- transferring heat from the volume of water within approximately the surface area of the confined 45 volume of water and from substantially only contact with the surface of the volume of water to extract the heat therefrom substantially only from upper regions thereof to form a layer of ice beneath of the volume of water;
- removing substantially all the unfrozen portion of the volume of water;

melting the ice within the chamber; and

removing the water associated with the melting ice. 55 12. The method according to claim 11 wherein in the step of confining, the selected depth of water below the surface thereof is in the range of 1.1 to 3.0 times greater than the thickness of the layer of ice beneath the sur face. 60

13. A method for removing impurities from impure water using refrigeration apparatus including a freeze plate and compressor means for circulating refrigerant therethrough, the method comprising the steps of:

confining a volume of water to be purified;

transferring heat from the volume of water during a freeze cycle with the freeze plate disposed in contact with the surface of the water to extract heat therefrom substantially only from upper re gions thereof near the surface of the water initially during the freeze cycle at a rate determined by the compressor means and freeze plate to form a layer of ice in contact with the freeze plate, and sequen tially to extract heat during the freeze cycle at a rate substantially determined by the rate of thermal conduction through the layer of ice to the freeze plate;

removing substantially all the unfrozen portion of the volume of water;

melting the ice; and

removing the water associated with melting ice.

freeze cycle of selected duration to produce sub-15 steps of transferring heat, removing unfrozen water, 14. The method according to claim 13 wherein the melting the ice, and removing water associated with melting ice are sequentially performed recurringly; and wherein

> the surface area of the freeze plate is selected for the compressor means which circulates refrigerant therethrough at an average temperature over a freeze cycle of selected duration to produce sub stantially maximum volume of water from melted ice within the chamber over a plurality of recur ring operations.

15. A method for removing impurities from impure water using refrigeration apparatus including a freeze plate and compressor means for circulating refrigerant therethrough, the method comprising the steps of:

confining a volume of water to be purified;

- contacting the surface of the volume of water with the freeze plate;
- circulating refrigerant through the freeze plate to transfer heat from the volume of water during a freeze cycle to extract heat therefrom substantially only from upper regions thereof near the surface of the water to form a layer of ice in contact with the freeze plate during the freeze cycle;
- sensing the temperature of the refrigerant circulated through and returned from the freeze place;
- terminating the freeze cycle to cease forming the layer of ice in contact with the freeze plate in re sponse to the sensed temperature of the refrigerant decreasing to a selected value;
- removing substantially all the unfrozen portion of the volume of water;

melting the ice; and

removing the water associated with melting ice.

the surface to a depth less than the selected depth 50 water using refrigeration apparatus including a freeze 16. A method for removing impurities from impure plate and compressor means for circulating refrigerant therethrough, the method comprising the steps of:

confining a volume of water to be purified;

- contacting the surface of the volume of water with the freeze plate;
- circulating refrigerant through the freeze plate to transfer heat from the volume of water during a freeze cycle to extract heat therefrom substantially only from upper regions thereof near the surface of the water to form a layer of ice in contact with the freeze plate during the freeze cycle;
- sensing the temperature of water in the vessel;
- sensing the temperature of the refrigerant circulated through and returned from the freeze plate;
- controlling the duration of the freeze cycle to cease forming the layer of ice in response to the sensed temperature of water in the vessel and the sensed temperature of the refrigerant circulated through

30

65

10

and returned from the freeze plate attaining se lected values;

removing substantially all the unfrozen portion of the volume of water;

melting the ice; and

removing the water associated with melting ice.

- 17. Apparatus for removing impurities from impure water comprising:
	- a vessel for confining a volume of water to be purified;
	- refrigeration means including a freeze plate and com pressor means for circulating refrigerant there through, said freeze plate being disposed to contact the surface of water confined in said vessel;
	- sensing means disposed to sense the temperature of refrigerant circulated through and returned from the freeze plate;
	- control means coupled to the compressor means and to the sensing means for activating the compressor means to circulate refrigerant through the freeze 20 plate to extract heat therefrom to form a layer of ice in contact therewith near the surface of a vol ume of water confined in said vessel, said control means being responsive to the sensing means to deactivate said compressor means in response to 25 the sensed temperature decreasing to a selected value;
	- valve means coupled to the vessel and connected to be operated by the control means for draining sub stantially all unfrozen water from the vessel fol- 30 lowing deactivation of the compressor means;
	- heater means disposed to melt the layer of ice in contact with the freeze plate following operation of the valve means to drain unfrozen water from the vessel; and means coupled to the vessel for removing water asso 35
	- ciated with melting ice.
- 18. Apparatus for removing impurities from impure water comprising:
	- a vessel for confining a volume of water to be puri- 40 fied:
	- refrigeration means including a freeze plate and com pressor means for circulating refrigerant there through, said freeze plate being disposed to contact the surface of water confined in said vessel; 45
	- first sensing means disposed to sense the temperature of water in the vessel:
	- second sensing means disposed to sense the tempera ture of refrigerant circulated through and returned from the freeze plate; 50
	- control means coupled to the compressor means and to the first and second sensing means for activating the compressor means to circulate refrigerant through the freeze plate to extract heat therefrom to form a layer of ice in contact therewith near the surface of a volume of water confined in said vessel, said control means being responsive to the first and second sensing means to deactivate said com pressor means in response to the sensed tempera tures decreasing to selected values;
	- valve means coupled to the vessel and connected to be operated by the control means for draining un frozen water from the vessel following deactiva tion of the compressor means;
	- heater means disposed to melt the layer of ice in 65 contact with the freeze plate following operation of the valve means to drain unfrozen water from the vessel; and

 20 means coupled to the vessel for removing water associated with melting ice.

19. Apparatus according to claim 18 wherein said control means responds to the rate of change of temper ature and to the temperature sensed by said first sensing

means to selectively deactivate said compressor means. 20. Apparatus for removing' impurities from impure

- water comprising: a chamber having cylindrical walls and having a selected depth dimension and a selected minimum interior dimension substantially normal to the depth dimension for confining therein a volume of water to be purified;
	- heat transfer means including a freeze plate disposed in thermal contact with the walls of the chamber to extract heat from water within the chamber sub stantially only through the walls thereof to form a layer of ice adjacent the freeze plate and in contact with said walls in a volume of water in the chamber to a thickness less than said minimum interior di
	- means connected to the chamber for removing substantially all the unfrozen water therefrom;
	- heater means disposed to melt ice within the cham
	- ber; and means connected to the chamber for removing water therefrom associated with melting ice therein.
	- 21. Apparatus as in claim 20 wherein:
	- said selected minimum interior dimension of the chamber near the freeze plate is in the range of 2.1 to 3.0 times greater than the thickness of the layer of ice adjacent said walls.

22. Apparatus as in claim 20 for removing impurities from impure water wherein said:

heat transfer means includes said freeze plate and compressor means for circulating refrigerant therein during a freeze cycle and said freeze plate extracts heat from water within the chamber through the walls thereof initially during the freeze cycle at a rate determined by the compressor means and freeze plate to form a layer of ice in contact with the chamber walls, and said heat transfer means subsequently extracting heat during the freeze cycle at a rate substantially determined by the rate of thermal conduction through the layer of ice to the freeze plate.

23. Apparatus as in claim 22 wherein:

- said heat transfer means and said means for removing unfrozen water and said heater means and said means for removing water associated with melting ice are sequentially operated recurringly; and
- the surface area of the walls in contact with the freeze plate is selected from the compressor means which circulates refrigerant therethrough at an average temperature over a freeze cycle of selected dura tion to produce substantially maximum volume of water from melted ice within the chamber over a plurality of recurring operations.

24. Apparatus for removing impurities from impure 60 water comprising:

- a chamber having cylindrical walls and having a selected depth dimension and a selected minimum interior dimension substantially normal to the depth dimension for confining therein a volume of water to be purified;
- heat transfer means including a freeze plate disposed in thermal contact with the walls of the chamber to extract heat from water within the chamber sub

 $\overline{\mathbf{5}}$

stantially only through the walls thereof to form a layer of ice adjacent the freeze plate and in contact with said walls in a volume of water in the chamber to a thickness less than said minimum interior di

- means connected to the chamber for removing substantially all the unfrozen water therefrom;
- heater means disposed to melt ice within the cham ber; and
means connected to the chamber for removing water 10
- therefrom associated with melting ice therein; and
- circuit means including electrode means disposed substantially centrally within the chamber along the depth dimension thereof to establish an electric field relative to the walls of the chamber for exert- 15 ing electrostatic force upon impurities in water within the chamber in a direction away from the walls.

25. Apparatus as in claim 24 wherein:

said electrode means is disposed within the chamber 20 at a distance from the walls that is greater than the thickness of a layer of ice disposed adjacent the walls.

26. Apparatus as in claim 25 wherein:

said circuit means energizes the electrode means with 25 a positive potential relative to the walls.

27. Apparatus as in claim 24 wherein:

said circuit means includes an electrical conductor disposed adjacent said walls and connectable to form a substantially equipotential surface relative to said electrode means. 30

28. Apparatus for removing impurities from impure water comprising:

a chamber for confining a volume of water to be $_{35}$ purified:

heat transfer means including a freeze plate disposed to contact a boundary surface of water within the chamber to extract heat therefrom substantially only through said boundary surface to form a layer $_{40}$ of ice in a volume of water in the chamber;

- conductor means disposed intermediate the freeze plate and the boundary surface of water within the chamber and including a layer of electrical conduc tor and a layer of insulation, said conductor means 45 being connectable to conduct current for supplying heat to melt ice within the chamber, and being connectable to form a substantially equipotential surface in the layer of electrical conductor;
- circuit means including an electrode disposed within 50 water comprising the steps of: the chamber away from the freeze plate for estab lishing an electric field relative to said electrical conductor for exerting electrostatic force upon impurities in water within the chamber in a direc tion away from the freeze plate; and 55
- means connected to the chamber for removing water therefrom associated with melting ice therein.

29. Apparatus as in claim 28 wherein:

said electrode is disposed within the chamber at a distance away from the freeze Plate that is greater 60 than the thickness of a layer of ice disposed adja cent the freeze plate.

_30. Apparatus as in claim 29 wherein:

said circuit means energizes the electrode means with a positive potential relative to the electrical con-65 ductor.

31. Apparatus for removing impurities from impure water comprising:

-
- 22 a chamber for confining a volume of water to be purified;
- heat transfer means including a freeze plate disposed adjacent a boundary surface of water within the chamber to extract heat therefrom substantially only through said boundary surface to form a layer of ice in contact with the freeze plate in a volume of water adjacent the freeze plate;
- conductor means disposed intermediate the freeze plate and the boundary surface of water within the chamber and including a layer of electrical conduc tor and a layer of insulator disposed to contact
- means connected to the chamber for removing substantially all unfrozen water therefrom;
- circuit means connected to supply current to the electrical conductor to produce heat for melting ice in the chamber; and means connected to the chamber for removing water
- therefrom associated with melting ice therein.

32. Apparatus as in claim 31 wherein:

- said insulator layer includes a substantially non-wet table smooth surface.
- 33. Apparatus as in claim 32 wherein:
- said conductor means has lower thermal conductivity therethrough than said freeze plate.
- 34. A method for removing impurities from impure water comprising the steps of:
- confining a volume of water to be purified;
- establishing a boundary layer in contact with a sur face of the volume of water;
- transferring heat from the volume of water substan tially only through the boundary layer to form a layer of ice in a volume of water adjacent the boundary layer of the chamber;
- removing substantially all the unfrozen portion of the volume of water;
- producing heat in the boundary layer for melting the ice; and
- removing the water associated with the melting ice.

35. The method according to claim 34 wherein in the step of producing heat, electric current is conducted within the boundary layer to produce heat for melting the ice.

36. The method according to claim 34 wherein the step of establishing a boundary layer includes forming a smooth, substantially non-wetting surface in contact with the water.

37. A method for removing impurities from unpure

confining a volume of water to be purified;

- establishing a boundary layer in contact with a sur face of the volume of water;
- transferring heat from the volume of water substan tially only through the boundary layer to form a layer of ice in a volume of water adjacent the boundary layer of the chamber;
- establishing an electric field relative to the boundary layer for exerting electrostatic force upon impuri ties in the volume of water in a direction away from the boundary layer;
- removing unfrozen water;
- produces heat in the boundary layer for melting the ice; and

removing the water associated with the melting ice. 38. Apparatus according to claim 4 wherein said heater means is disposed in the freeze plate to melt ice adjacent the freeze plate.

 $10¹⁰$

39. Apparatus according to claim 38 comprising: means disposed to resiliently urge the freeze plate and

layer of ice into intimate surface engagement dur

ing said melting of ice within the chamber.

40. Apparatus according to claim 7 wherein said heater means is disposed in said freeze plate; and com prising means disposed to resiliently urge the freeze plate and

layer of ice into intimate surface engagement dur ing said melting of ice within the chamber.

41. The method according to claim 11 wherein the step of melting the ice includes heating a surface adja cent the layer of ice and resiliently urging the ice into surface engagement during melting of the ice.

42. The method according to claim 15 wherein the ¹⁵ step of melting the ice includes heating the surface of the freeze plate, and resiliently urging the layer of ice into surface engagement with the heated surface of the freeze plate.

43. Apparatus for removing impurities from impure 20 water comprising:

- a chamber having substantially cylindrical walls and having a selected depth dimension and a selected minimum interior dimension substantially normal to the depth dimension for confining therein a volume of water to be purified;
- heat transfer means including a freeze tube disposed within with the walls of the chamber to extract heat from water within the chamber substantially only through the walls thereof to form a layer of ice adjacent the freeze tube in a volume of water in the chamber to a thickness less than said minimum interior dimension of the chamber;
- means connected to the chamber for removing sub- $_{35}$ stantially all unfrozen water therefrom;
- heater means disposed to melt ice within the cham
- means connected to the chamber for removing water therefrom associated with melting ice therein. 40

44. Apparatus as in claim 43 wherein:

said selected minimum interior dimension of the chamber to the freeze tube is in the range of 2.1 to 3.0 times greater than the thickness of the layer of ice adjacent said freeze tube. 45

45. Apparatus as in claim 43 for removing impurities from impure water wherein said:

heat transfer means includes said freeze tube and compressor means for circulating refrigerant therein during a freeze cycle, and said freeze tube 50 extracts heat from water within the chamber through the walls of the freeze tube initially during the freeze cycle at a rate determined by the com pressor means and freeze tube to form a layer of ice in contact with the surface of the freeze tube, and 55 said heat transfer means subsequently extracting heat during the freeze cycle at a rate substantially

determined by the rate of thermal conduction through the layer of ice to the freeze tube.

46. Apparatus as in claim 45 wherein:

- said heat transfer means and said means for removing unfrozen water and said heater means and said means for removing water associated with melting ice are sequentially operated recurringly; and
- the surface area of the freeze tube is selected for the compressor means which circulates refrigerant therethrough at an average temperature over a freeze cycle of selected duration to produce sub stantially maximum volume of water from melted ice within the chamber over a plurality of recur ring operations.

47. Apparatus as in claim 43 for removing impurities from impure water wherein:

said freeze tube is disposed substantially centrally within the chamber along the depth dimension for circulating refrigerant therein to extract heat from water within the chamber to form a layer of ice that increases in thickness during a freeze cycle toward the walls of the chamber.

48. Apparatus according to claim 47 comprising a layer on said freeze tube of substantially non-wetting material disposed to contact water in the chamber.

49. A method for removing impurities from impure water confined within a vessel using refrigeration apparatus including a freeze tube and compressor means for circulating refrigerant therethrough, the method com~ prising the steps of:

confining a volume of water to be purified within the vessel to a selected depth;

contacting a boundary surface of the volume of water with the freeze tube at a position within the vessel;

- circulating refrigerant through the freeze tube to transfer heat from the volume of water during a freeze cycle to extract heat therefrom substantially only through said boundary surface to form a layer of ice in contact with the freeze tube during the freeze cycle;
- sensing the temperature of the refrigerant circulated through and returned from the freeze tube;
- terminating the freeze cycle to cease forming the layer of ice in contact with the freeze tube in re sponse to the sensed temperature of the refrigerant decreasing to a selected value;
- removing the unfrozen portion of the volume of water within the vessel;

melting the ice; and

removing the water associated with melting ice.

50. The method according to claim 49 wherein the step of melting includes heating the surface of the freeze tube to melt the ice in contact therewith.

51. The method according to claim 49 wherein the surface of the freeze tube forming a boundary surface with water in the vessel includes non-wetting material.

65

60