



## A Reversible and Higher-Rate Li-O<sub>2</sub> Battery

Zhangquan Peng *et al.*  
*Science* **337**, 563 (2012);  
DOI: 10.1126/science.1223985

*This copy is for your personal, non-commercial use only.*

If you wish to distribute this article to others, you can order high-quality copies for your colleagues, clients, or customers by [clicking here](#).

Permission to republish or repurpose articles or portions of articles can be obtained by following the guidelines [here](#).

**The following resources related to this article are available online at [www.sciencemag.org](http://www.sciencemag.org) (this information is current as of August 12, 2012):**

**Updated information and services**, including high-resolution figures, can be found in the online version of this article at:

<http://www.sciencemag.org/content/337/6094/563.full.html>

**Supporting Online Material** can be found at:

<http://www.sciencemag.org/content/suppl/2012/07/18/science.1223985.DC1.html>

This article **cites 31 articles**, 2 of which can be accessed free:

<http://www.sciencemag.org/content/337/6094/563.full.html#ref-list-1>

This article appears in the following **subject collections**:

Chemistry

<http://www.sciencemag.org/cgi/collection/chemistry>

# A Reversible and Higher-Rate Li-O<sub>2</sub> Battery

Zhangquan Peng, Stefan A. Freunberger,\* Yuhui Chen, Peter G. Bruce†

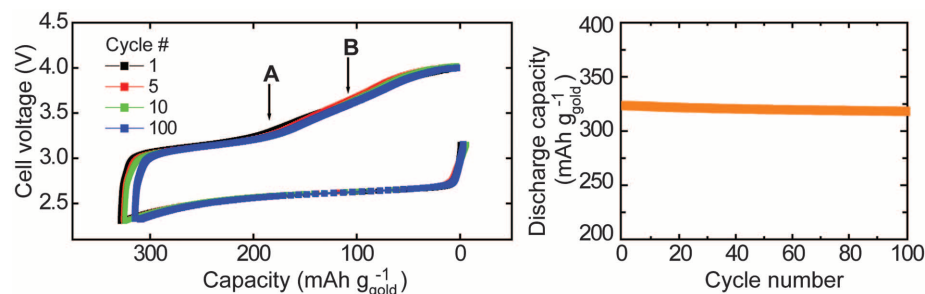
The rechargeable nonaqueous lithium-air (Li-O<sub>2</sub>) battery is receiving a great deal of interest because, theoretically, its specific energy far exceeds the best that can be achieved with lithium-ion cells. Operation of the rechargeable Li-O<sub>2</sub> battery depends critically on repeated and highly reversible formation/decomposition of lithium peroxide (Li<sub>2</sub>O<sub>2</sub>) at the cathode upon cycling. Here, we show that this process is possible with the use of a dimethyl sulfoxide electrolyte and a porous gold electrode (95% capacity retention from cycles 1 to 100), whereas previously only partial Li<sub>2</sub>O<sub>2</sub> formation/decomposition and limited cycling could occur. Furthermore, we present data indicating that the kinetics of Li<sub>2</sub>O<sub>2</sub> oxidation on charge is approximately 10 times faster than on carbon electrodes.

A typical rechargeable nonaqueous Li-O<sub>2</sub> cell is composed of a Li metal anode (negative electrode), a nonaqueous Li<sup>+</sup> conducting electrolyte, and a porous cathode (positive electrode) (1–6). Operation of the cell depends critically on O<sub>2</sub> being reduced at the cathode to O<sub>2</sub><sup>2-</sup>, which combines with Li<sup>+</sup> from the electrolyte to form Li<sub>2</sub>O<sub>2</sub> on discharge, and the reverse reaction occurring during charging (1–6). Early investigation of nonaqueous Li-O<sub>2</sub> cells focused on the use of organic carbonate-based electrolytes, which have since been shown to decompose irreversibly at the cathode on discharge to form products such as lithium formate (HCO<sub>2</sub>Li), lithium acetate (CH<sub>3</sub>CO<sub>2</sub>Li), lithium propyl-dicarbonate [C<sub>3</sub>H<sub>6</sub>(CO<sub>2</sub>Li)<sub>2</sub>], and lithium carbonate (Li<sub>2</sub>CO<sub>3</sub>) with little or no evidence of Li<sub>2</sub>O<sub>2</sub> formation (7–11). Later work turned to ethers—while initially promising and certainly more stable to reduced O<sub>2</sub> species than organic carbonates, ethers exhibit increasing electrolyte decomposition upon cycling (figs. S1 to S3) (11–13). These data show that whether combined with carbon or nanoporous gold (NPG) electrodes, ethers, including dimethoxyethane (DME), are increasingly unstable upon cycling. For example, in the case of DME-based electrolytes after only 10 cycles, 20% of the discharge products arise from electrolyte decomposition (fig. S2). Such side reactions can be difficult to detect by x-ray diffraction because of poor crystallinity of the decomposition products. Similar decomposition of tetraethylene glycol dimethyl ether (tetraglyme)-based electrolytes has been reported (12) and is also shown to occur at a NPG electrode (fig. S3). These results demonstrate that ethers do not support the necessary reversible Li<sub>2</sub>O<sub>2</sub> formation/decomposition upon cycling that is essential for operation of the Li-O<sub>2</sub> cell. A very recent paper comes to a different conclusion from the papers cited above and from

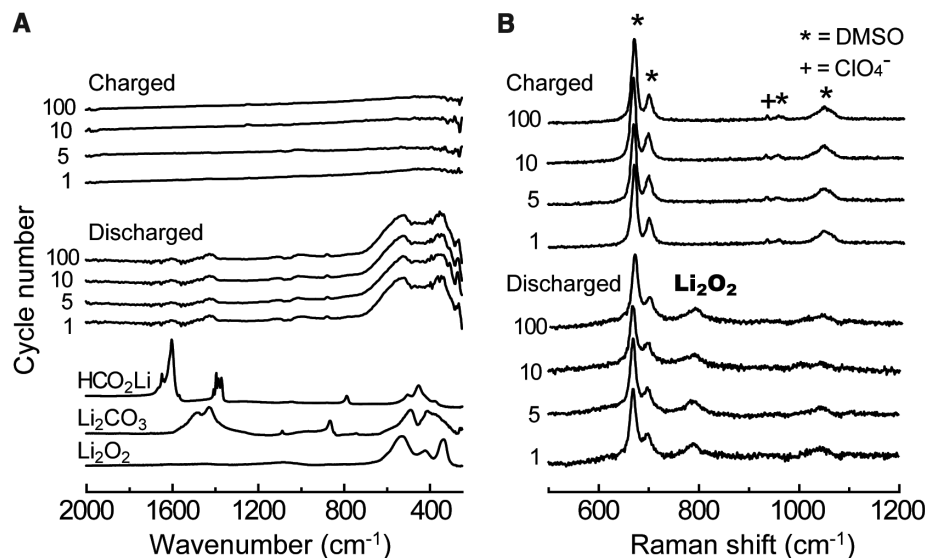
our own results concerning the cyclability of the tetraglyme/carbon interface (14).

We constructed a Li-O<sub>2</sub> cell that contained an electrolyte composed of 0.1 M LiClO<sub>4</sub> in dimethyl sulfoxide (DMSO) and a NPG cathode [for details, see the supplementary materials and

methods section (15)]. The cell was operated in 1 atm of O<sub>2</sub>. Oxygen reduction electrochemistry at the DMSO/planar-carbon interface has been studied previously (16). Discharge/charge curves for the cell on cycles 1, 5, 10, and 100 are shown in Fig. 1. Most of the initial capacity (95%) is retained after 100 cycles. However, as is now recognized from the work of many authors, the ability to recharge a Li-O<sub>2</sub> cell is not proof that the reactions occurring at the positive electrode are reversible and involve Li<sub>2</sub>O<sub>2</sub> formation/decomposition (7–13). To demonstrate that the reaction at the porous cathode is Li<sub>2</sub>O<sub>2</sub> formation/decomposition, we collected Fourier transform infrared (FTIR) spectroscopy data at the end of discharge and charge as a function of cycle number (1, 5, 10, and 100) (Fig. 2A). At the end of each discharge, we observed Li<sub>2</sub>O<sub>2</sub>. Its formation was corroborated by in situ surface-enhanced Raman spectroscopy (SERS) carried out on a cell with a sapphire window for transmission of the Raman laser beam (Fig. 2B) (17). A few small peaks, in addition to the peaks arising from Li<sub>2</sub>O<sub>2</sub>, are apparent in the



**Fig. 1.** Charge/discharge curves (left) and cycling profile (right) for a Li-O<sub>2</sub> cell with a 0.1 M LiClO<sub>4</sub>-DMSO electrolyte and a NPG cathode, at a current density of 500 mA g<sup>-1</sup> (based on the mass of Au). Because the capacities are given per gram of Au, which is ~10-fold heavier (more dense) than carbon, 300 mA h g<sup>-1</sup> (based on the mass of Au) would, for the same porous electrode but formed from carbon, correspond to ~3000 mA h g<sup>-1</sup> (based on the mass of carbon). FTIR spectra collected upon charging at points A and B are shown in fig. S7.



**Fig. 2.** Vibrational spectra of a NPG cathode at the end of discharge and charge in 0.1 M LiClO<sub>4</sub>-DMSO. (A) FTIR and (B) SERS spectra.

School of Chemistry, University of St. Andrews, North Haugh, St. Andrews, Fife KY16 9ST, UK.

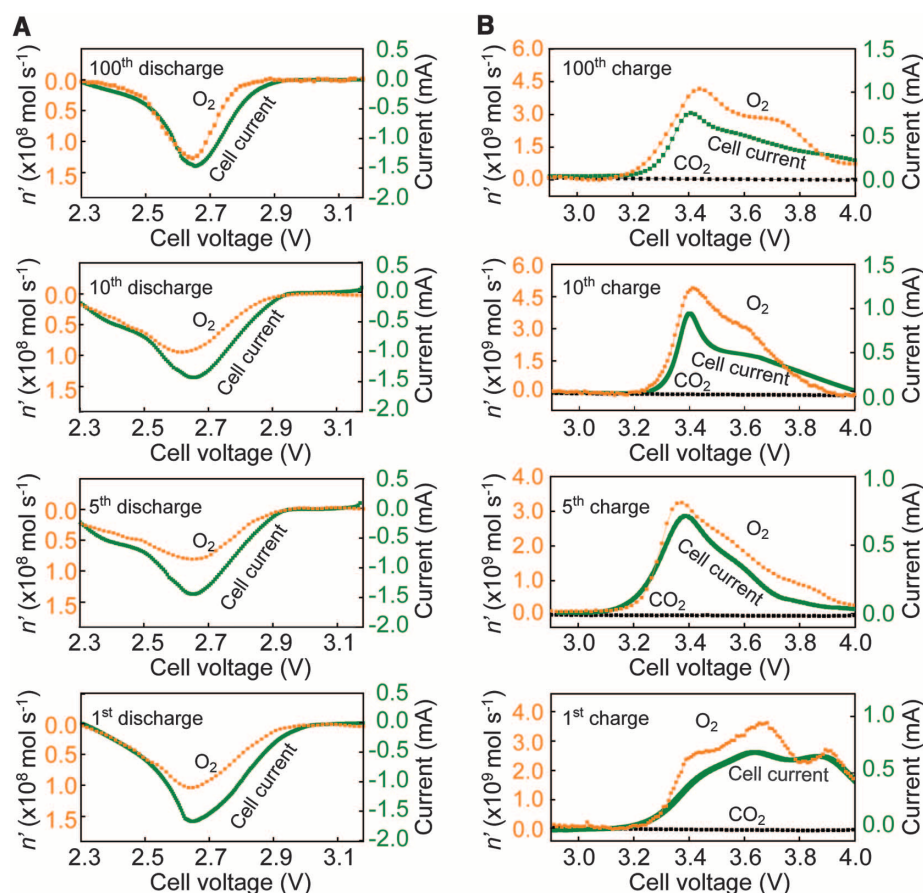
\*Present address: Institute for Chemistry and Technology of Materials, Graz University of Technology, Stremayrgasse 9, 8010 Graz, Austria.

†To whom correspondence should be addressed. E-mail: p.g.bruce@st-andrews.ac.uk

FTIR spectra at the end of discharge, at  $\sim 880$ , 1420, 1490, and  $1600\text{ cm}^{-1}$ . These peaks could be assigned to a mixture of  $\text{Li}_2\text{CO}_3$  and  $\text{HCO}_2\text{Li}$ , with no other species being detected, such as from S containing decomposition products (Fig. 2A). The presence of  $\text{HCO}_2\text{Li}$  was confirmed by washing the NPG electrode at the end of discharge with  $\text{D}_2\text{O}$  and examining the resulting solution by  $^1\text{H}$  nuclear magnetic resonance (NMR), following the procedure described previously (7, 12).  $\text{HCO}_2\text{D}$  in the  $^1\text{H}$  NMR indicated the presence of  $\text{HCO}_2\text{Li}$  in the discharged electrode before washing.

Batteries and chemical/electrochemical reactions in general exhibit some degree of side reaction, particularly on the first cycle (e.g., Li-ion batteries). The key question is the extent of such side reactions: whether this is sufficiently small compared with the amount of electrolyte used in practical cells and whether the extent increases with cycling. We prepared mechanical mixtures of  $\text{Li}_2\text{O}_2$  with  $\text{Li}_2\text{CO}_3$  and  $\text{Li}_2\text{O}_2$  with  $\text{HCO}_2\text{Li}$  of varying ratios, collected their FTIR spectra, and constructed calibration curves (figs. S4 and S5); from these curves, we determined the fractions of  $\text{Li}_2\text{CO}_3$  and  $\text{HCO}_2\text{Li}$  in the FTIR spectra in Fig. 2 to be  $<1\%$ . The proportion of  $\text{Li}_2\text{O}_2$  at the end of discharge exceeds 99%, and there is no evidence of this proportion decreasing on cycling. We used  $^1\text{H}$  and  $^{13}\text{C}$  NMR to investigate the presence of any solution-soluble decomposition products. Sensitivity to detection of such species depends on the ratio between the amount of electrolyte and the amount of discharge product (15). We collected spectra after 100 cycles to concentrate any decomposition products, but we did not detect evidence of any such species (fig. S6). We used differential electrochemical mass spectrometry (DEMS) to obtain further confirmation that discharge was overwhelmingly dominated by  $\text{Li}_2\text{O}_2$  formation. The DEMS process involves in situ mass spectrometric analysis of the gases consumed/evolved during a slow-sweep ( $0.1\text{ mVs}^{-1}$ ) linear potential scan (Fig. 3A) (15). The only gas detected on discharge was  $\text{O}_2$ . There was no evidence of  $\text{CO}_2$ ,  $\text{SO}_2$ , or  $\text{SO}_3$  (i.e., no evidence of electrolyte decomposition), in contrast to other electrolytes. The high purity of  $\text{Li}_2\text{O}_2$  formation implies that for every two electrons ( $e^-$ ) passed, one  $\text{O}_2$  molecule should be consumed; that is, the charge-to-mass ratio should be  $2e^-/\text{O}_2$ . The  $\text{O}_2$  consumption on discharge follows the cell current (Fig. 3A), and the charge-to-mass ratio is  $2e^-/\text{O}_2$  on each discharge (Table 1).

The FTIR spectra collected at the end of charge on cycles 1, 5, 10, and 100 are shown in Fig. 2A, from which it is clear that the product formed on discharge has been removed upon charging. This observation was confirmed by the SERS data in Fig. 2B, where the characteristic peak for  $\text{Li}_2\text{O}_2$  at  $\sim 800\text{ cm}^{-1}$ , observed at the end of discharge, is absent from the spectrum at the end of charge. To probe the oxidation in more detail, we used DEMS on charging for cycles 1, 5, 10, and 100 (Fig. 3B). Only  $\text{O}_2$  was



**Fig. 3.** DEMS of a NPG cathode during (A) discharge and (B) charge in  $0.1\text{ M LiClO}_4\text{-DMSO}$ . Linear potential scans at  $0.1\text{ mVs}^{-1}$  (corresponding to a low rate of discharge/charge) between 2.3 and 4.0 V were used.  $n'$  indicates the gas-consumption/-generation rates during discharge and charge.

detected, confirming that  $\text{Li}_2\text{O}_2$  had formed on the previous discharge and also that the electrolyte, even in the presence of  $\text{Li}_2\text{O}_2$ , is stable on oxidation. Upon examining the linear voltammetry (current-voltage curve) in Fig. 3B, several peaks are evident, corresponding well with the peaks for  $\text{O}_2$  evolution. A similar heterogeneous oxidation process spanning a range of potentials has been observed previously in porous electrodes and has been ascribed to oxidation of  $\text{Li}_2\text{O}_2$  being easier in certain pores than in others (11). We collected FTIR spectra (fig. S7) during charging, at the points shown in Fig. 1. The spectra indicate that the quantity of  $\text{Li}_2\text{O}_2$  is diminishing with increasing state of charge, but that some  $\text{Li}_2\text{O}_2$  is still present at point B. The ratio of charge passed to  $\text{O}_2$  evolved on charging is given in Table 1. As was the case for discharge, the ratio is close to  $2e^-/\text{O}_2$  on each cycle, in accord with charging involving oxidation of  $\text{Li}_2\text{O}_2$  without electrolyte degradation. Over the collection of up to 100 cycles, the results from FTIR, SERS, NMR, and DEMS all demonstrate that the cell cycles by the reversible formation/decomposition of  $\text{Li}_2\text{O}_2$ .

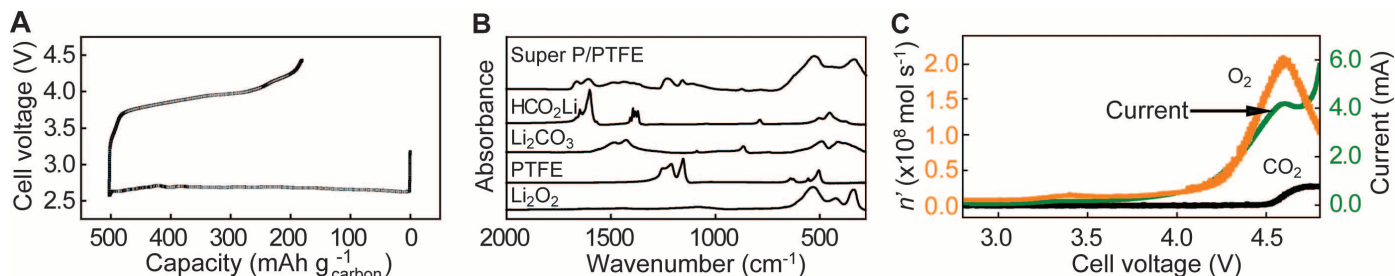
To investigate whether the dominance of  $\text{Li}_2\text{O}_2$  formation/decomposition is due to the salt,

**Table 1.** Ratios of the number of electrons to oxygen molecules upon reduction (discharge) and oxidation (charge).

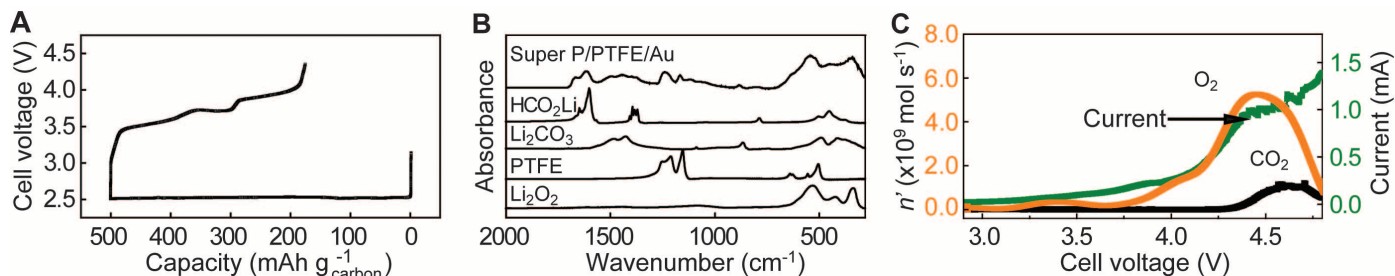
Cycle number	Discharge $e^-/\text{O}_2$	Charge $e^-/\text{O}_2$
1	2.01	1.98
5	1.99	2.04
10	2.02	1.98
100	2.03	2.01

solvent, or electrode substrate, we constructed cells in which  $\text{LiClO}_4$  was replaced by LiTFSI [lithium bis(trifluoromethanesulfonyl)imide] and separately in which the NPG electrode was replaced by carbon black (Super P, Timcal, Bodio, Switzerland). In the former case, the load curves and FTIR spectra at the end of discharge and charge on cycling are the same as those for  $\text{LiClO}_4$  (fig. S8), demonstrating that changing the salt does not influence the results. In contrast, replacing the NPG electrode with carbon does adversely affect the results (Fig. 4). The FTIR at the end of discharge on carbon shows a greater proportion of side reaction,  $\text{Li}_2\text{CO}_3$ , and  $\text{HCO}_2\text{Li}$  (Fig. 4). Using calibration plots, as before, we estimate the total





**Fig. 4.** (A) Discharge-charge curve of a Li-O<sub>2</sub> cell employing a composite carbon cathode at 70 mA g<sup>-1</sup> (normalized to the mass of carbon). (B) FTIR at the end of discharge. (C) DEMS of the porous carbon cathode during charging in 0.1 M LiClO<sub>4</sub>-DMSO; scan rate 0.1 mV s<sup>-1</sup>. The composition of the cathode is Super P carbon:polytetrafluoroethylene (PTFE) 8:2 (m/m). *n'* indicates the gas-generation rates during the charging process.



**Fig. 5.** (A) Discharge-charge curve of a Li-O<sub>2</sub> cell employing a gold-loaded composite carbon cathode at 70 mA g<sup>-1</sup> (normalized to the mass of carbon). (B) FTIR at the end of discharge. (C) DEMS of gold-loaded porous carbon cathode [Super P:PTFE: Au 8:1:1 (m/m)] during charging in 0.1 M LiClO<sub>4</sub>-DMSO; scan rate 0.1 mV s<sup>-1</sup>. *n'* indicates the gas-generation rates during the charging process. Note the electrode area is 1/4 of that in Fig. 4.

proportion of side-reaction products to be ~15%. The carbon itself may be unstable, as suggested recently (18), although the HCO<sub>2</sub>Li formation is likely to involve DMSO. Further work is required to investigate the origin of the side products formed at the DMSO/carbon interface. The charging curve (Fig. 4) is also different from the NPG electrode (Fig. 1). The voltage rises rapidly, passes through a very small step at 3.3 V to ~3.75 V, then slowly to 4 V. The higher charging voltage for carbon versus NPG occurs despite the current density (based on the true surface area of the electrode) being less for the carbon electrode than for NPG: 0.1 μA cm<sup>-2</sup> (true surface area of carbon) compared with 1 μA cm<sup>-2</sup> (true surface area of NPG). Note that the kinetics of the different electrodes is discussed below. The DEMS data in Fig. 4 confirm a very minor degree of O<sub>2</sub> evolution at 3.3 to 3.4 V, with most of the O<sub>2</sub> being evolved above 4 V and a substantial amount above 4.5 V, where it is accompanied by CO<sub>2</sub> evolution, which is indicative of electrolyte oxidation. The DEMS data for the Super P carbon cathode in Fig. 4 contrast strongly with those for the NPG electrode in Fig. 3B, where O<sub>2</sub> evolution commences at ~3.2 V and all of the O<sub>2</sub> is evolved below 4 V (Table 1 confirms that all of the O<sub>2</sub> expected from the Li<sub>2</sub>O<sub>2</sub> present is evolved). These results indicate that NPG lowers the charging voltage (i.e., NPG is more effective than carbon at promoting Li<sub>2</sub>O<sub>2</sub> oxidation).

The DEMS results for the Super P cathode are in accord with the difficulty in cycling a cell with a carbon electrode. Incorporation of α-MnO<sub>2</sub> nanowires into a porous carbon electrode proved

effective in promoting Li<sub>2</sub>O<sub>2</sub> oxidation in previous studies (19). However, reduction of O<sub>2</sub> in the DMSO electrolyte at a Super P electrode incorporating α-MnO<sub>2</sub> nanowires resulted in the formation of LiOH on the first discharge, as noted in previous studies in ethers, possibly arising from -OH groups on the surface of the oxide (12). Therefore, we constructed a composite electrode made of Super P with nanoparticulate gold (15). The results are shown in Fig. 5. As for Super P alone, the side products are Li<sub>2</sub>CO<sub>3</sub> and HCO<sub>2</sub>Li, which together account for ~15% of the discharge products. Charging occurs at a somewhat lower voltage than without the Au, as noted previously (20), but overall nanoparticulate Au/carbon composite electrodes are less effective at promoting Li<sub>2</sub>O<sub>2</sub> oxidation than NPG electrodes. This is especially evident when comparing the DEMS data in Figs. 3, 4, and 5: Whereas only a small proportion of O<sub>2</sub> is evolved at the carbon electrode below 4 V (Fig. 4), the proportion increases somewhat with the addition of nanoparticulate Au to the electrode (Fig. 5), but it is much greater for NPG (Fig. 3).

An important challenge for Li-O<sub>2</sub> cells is to increase the kinetics of the electrode reaction, which is generally observed to be relatively low, especially for the charging process (1–6, 21–33). The rate used in Fig. 1 is 500 mA g<sup>-1</sup> of gold (equivalent to ~5000 mA g<sup>-1</sup> for a carbon electrode of the same volume), which translates into 1.0 μA cm<sup>-2</sup> based on the total active surface area of the NPG electrode (50 m<sup>2</sup> g<sup>-1</sup>) (15). The rate used for the carbon-based electrodes (Figs. 4 and

5) is 70 mA g<sup>-1</sup>, a typical value from the literature (19, 24), which translates into a true current density of 0.1 μA cm<sup>-2</sup>, based on a surface area for Super P of ~60 m<sup>2</sup> g<sup>-1</sup>. Therefore, the true rate at the electrode surface is 10 times greater in the case of NPG than is typical for carbon electrodes. Yet, this is still a relatively low rate overall. The discharge potential is hardly affected by the change in rate, but as noted above, a substantial proportion of the charging occurs at lower voltages for NPG than for carbon or Super P/nanoparticulate Au, despite the rate being 10-fold higher for NPG. This result underlines the fact that oxidation of Li<sub>2</sub>O<sub>2</sub> on NPG is much more facile than on carbon. Other factors, such as electrode porosity, can also affect rate performance, and this will differ between NPG and Super P. Recent studies of the electrocatalysis of O<sub>2</sub> evolution on charging Li<sub>2</sub>O<sub>2</sub> suggest that there is little evidence of true electrocatalysis (24). We do not claim electrocatalysis is necessarily taking place here, but we simply observe that the charging voltage is lower and kinetics is faster compared with a carbon electrode. Although the capacity obtained with NPG in Fig. 1 may look relatively modest at ~300 mA h g<sup>-1</sup>, it must be noted that this value is normalized to the mass of gold and is equivalent to 3000 mA h g<sup>-1</sup> of carbon.

In conclusion, we have shown that a Li-O<sub>2</sub> cell composed of a DMSO-based electrolyte and a NPG electrode can sustain reversible cycling, retaining 95% of its capacity after 100 cycles and having >99% purity of Li<sub>2</sub>O<sub>2</sub> formation at the cathode, even on the 100th cycle, and its complete oxidation on charge. The charge-to-

mass ratio on discharge and charge is  $2e^-/O_2$ , confirming that the reaction is overwhelmingly  $Li_2O_2$  formation/decomposition. We have also shown that such electrodes are particularly effective at promoting the decomposition of  $Li_2O_2$ , with all the  $Li_2O_2$  being decomposed below 4 V and ~50% decomposed below 3.3 V, at a rate approximately one order of magnitude higher than on carbon. Although DMSO is not stable with bare Li anodes, it could be used with protected Li anodes. Nanoporous Au electrodes are not suitable for practical cells, but if the same benefits could be obtained with Au-coated carbon, then low-mass electrodes would be obtained, although cost may still be a problem. A cathode reaction overwhelmingly dominated by  $Li_2O_2$  formation on discharge, its complete oxidation on charge and sustainable on cycling, is an essential prerequisite for a rechargeable nonaqueous  $Li-O_2$  battery. Hence, the results presented here encourage further study of the rechargeable nonaqueous  $Li-O_2$  cell, although many challenges to practical devices remain.

#### References and Notes

- K. M. Abraham, Z. Jiang, *J. Electrochem. Soc.* **143**, 1 (1996).
- P. G. Bruce, S. A. Freunberger, L. J. Hardwick, J.-M. Tarascon, *Nat. Mater.* **11**, 19 (2012).
- G. Girishkumar, B. McCloskey, A. C. Luntz, S. Swanson, W. Wilcke, *J. Phys. Chem. Lett.* **1**, 2193 (2010).
- B. Scrosati, J. Hassoun, Y.-K. Sun, *Energy Environ. Sci.* **4**, 3287 (2011).
- J.-G. Zhang, P. G. Bruce, X. G. Zhang, in *Handbook of Battery Materials*, C. Daniel, J. O. Besenhard, Eds. (Wiley-VCH, Weinheim, Germany, ed. 2, 2011), pp. 759–811.
- J. Christensen et al., *J. Electrochem. Soc.* **159**, R1 (2012).
- S. A. Freunberger et al., *J. Am. Chem. Soc.* **133**, 8040 (2011).
- F. Mizuno, S. Nakanishi, Y. Kotani, S. Yokoishi, H. Iba, *Electrochemistry* **78**, 403 (2010).
- W. Xu et al., *J. Power Sources* **196**, 3894 (2011).
- G. M. Veith, N. J. Dudney, J. Howe, J. Nanda, *J. Phys. Chem. C* **115**, 14325 (2011).
- B. D. McCloskey, D. S. Bethune, R. M. Shelby, G. Girishkumar, A. C. Luntz, *J. Phys. Chem. Lett.* **2**, 1161 (2011).
- S. A. Freunberger et al., *Angew. Chem. Int. Ed.* **50**, 8609 (2011).
- H. Wang, K. Xie, *Electrochim. Acta* **64**, 29 (2012).
- H.-G. Jung, J. Hassoun, J.-B. Park, Y.-K. Sun, B. Scrosati, *Nat. Chem.* **4**, 579 (2012).
- Materials and methods are available as supplementary materials on Science Online.
- C. O. Laoire, S. Mukerjee, K. M. Abraham, E. J. Plichta, M. A. Hendrickson, *J. Phys. Chem. C* **114**, 9178 (2010).
- Z. Peng et al., *Angew. Chem. Int. Ed.* **50**, 6351 (2011).
- B. D. McCloskey et al., *J. Phys. Chem. Lett.* **3**, 997 (2012).
- A. Débart, A. J. Paterson, J. Bao, P. G. Bruce, *Angew. Chem. Int. Ed.* **47**, 4521 (2008).
- C. J. Allen, S. Mukerjee, E. J. Plichta, M. A. Hendrickson, K. M. Abraham, *J. Phys. Chem. Lett.* **2**, 2420 (2011).
- Y.-C. Lu et al., *Energy Environ. Sci.* **4**, 2999 (2011).
- Y.-C. Lu, H. A. Gasteiger, Y. Shao-Horn, *J. Am. Chem. Soc.* **133**, 19048 (2011).
- T. Ogasawara, A. Débart, M. Holzapfel, P. Novák, P. G. Bruce, *J. Am. Chem. Soc.* **128**, 1390 (2006).
- B. D. McCloskey et al., *J. Am. Chem. Soc.* **133**, 18038 (2011).
- S. J. Visco, B. D. Katz, Y. S. Nimon, L. D. DeJonghe, U.S. Patent 7,282,295 (2007).
- X.-H. Yang, P. He, Y.-Y. Xia, *Electrochem. Commun.* **11**, 1127 (2009).
- J. Read, *J. Electrochem. Soc.* **149**, A1190 (2002).
- Y. G. Wang, H. S. Zhou, *J. Power Sources* **195**, 358 (2010).
- J. S. Hummelshøj et al., *J. Chem. Phys.* **132**, 071101 (2010).
- V. S. Bryantsev, M. Blanco, F. Faglioni, *J. Phys. Chem. A* **114**, 8165 (2010).
- Y. Mo, S. P. Ong, G. Ceder, *Phys. Rev. B* **84**, 205446 (2011).
- D. Aurbach, M. Daroux, P. Faguy, E. Yeager, *J. Electroanal. Chem.* **297**, 225 (1991).
- J. Hassoun, F. Croce, M. Armand, B. Scrosati, *Angew. Chem. Int. Ed.* **50**, 2999 (2011).

**Acknowledgments:** P.G.B. is indebted to the UK Engineering and Physical Sciences Research Council, including the Supergen Programme and AlSTORE for financial support.

#### Supplementary Materials

www.sciencemag.org/cgi/content/full/science.1223985/DC1  
Materials and Methods  
Figs. S1 to S9  
References (34, 35)

30 April 2012; accepted 26 June 2012  
Published online 19 July 2012;  
10.1126/science.1223985

## Aerosols from Overseas Rival Domestic Emissions over North America

Hongbin Yu,<sup>1,2\*</sup> Lorraine A. Remer,<sup>3</sup> Mian Chin,<sup>2</sup> Huisheng Bian,<sup>2,3</sup> Qian Tan,<sup>2,4</sup> Tianle Yuan,<sup>2,3</sup> Yan Zhang<sup>2,4</sup>

Many types of aerosols have lifetimes long enough for their transcontinental transport, making them potentially important contributors to air quality and climate change in remote locations. We estimate that the mass of aerosols arriving at North American shores from overseas is comparable with the total mass of particulates emitted domestically. Curbing domestic emissions of particulates and precursor gases, therefore, is not sufficient to mitigate aerosol impacts in North America. The imported contribution is dominated by dust leaving Asia, not by combustion-generated particles. Thus, even a reduction of industrial emissions of the emerging economies of Asia could be overwhelmed by an increase of dust emissions due to changes in meteorological conditions and potential desertification.

**A**tmospheric aerosols emitted or produced in one region can be transported thousands of miles downwind to affect other regions on intercontinental or hemispheric scales (1–3). Because of such intercontinental transport, emission controls over North America may be offset partly by the import of aerosols from re-

mote international sources. Assessing the aerosol intercontinental transport and its impacts on atmospheric composition, air quality, and climate in North America is thus needed from both scientific and policy perspectives. Currently, such assessment for the most part has been based on global model simulations (4–6) and remains very uncertain (7).

Today's constellation of passive and active satellite sensors are providing three-dimensional distributions of aerosol properties on a global scale, with improved accuracy for aerosol optical depth (AOD) and enhanced capability of characterizing aerosol type (8). Such advances have made it feasible to elucidate the evolution of aerosol plumes during the cross-ocean transport (9, 10) and generate measurement-based estimates of

aerosol intercontinental transport on seasonal and annual time scales (11, 12).

We integrated satellite measurements from the Moderate-resolution Imaging Spectroradiometer (MODIS) (13) and the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) (14) in order to characterize the three-dimensional distributions of trans-Pacific dust transport (15). We used MODIS measurements of total AOD and fine-mode fraction over ocean to separate AOD for dust, combustion aerosol, and marine aerosol (16). Combustion aerosol refers to aerosol products from the burning of both biomass and fossil fuels, which include sulfates, nitrates, and carbonaceous particles. The partitioning of AOD into these three categories accounts for fine-mode components of marine and dust aerosol (15, 16). The CALIOP measurements are used to characterize seasonal variations of aerosol extinction profiles, with dust being separated from other types of aerosols by the measured depolarization ratio (15). The climatology of springtime (March–April–May, or MAM) AOD (2001–2007) and vertical profile of extinction (2006–2010) over the North Pacific basin are shown in Fig. 1. Spring is the most active season for trans-Pacific transport of combustion aerosols and dust because of the combined effect of active extratropical cyclones and the strongest mid-latitude westerlies. However, trans-Pacific transport occurs throughout the year (12). Over the period we examined here, interannual variations of AOD are generally small for dust in the outflow and inflow regions (8 and 4%, respectively), but larger (17 and 18%, respectively) for combustion aerosol. The relatively large interannual variations for combustion

<sup>1</sup>Earth System Science Interdisciplinary Center, University of Maryland, College Park, MD 20740, USA. <sup>2</sup>Earth Science Directorate, NASA Goddard Space Flight Center, Greenbelt, MD 20771, USA. <sup>3</sup>Joint Center for Earth Systems Technology, University of Maryland at Baltimore County, Baltimore, MD 21228, USA. <sup>4</sup>Goddard Earth Sciences Technology and Research Center, Universities Space Research Association, Columbia, MD 21044, USA.

\*To whom correspondence should be addressed. E-mail: hongbin.yu@nasa.gov