

Antimicrobials, Resistance and Chemotherapy

Enhancement of antibiotic activity by efflux inhibitors against multidrug resistant Mycobacterium tuberculosis clinical isolates from Brazil

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33 Abstract

Drug resistant tuberculosis continues to increase and new approaches for its treatment are 34 necessary. The identification of *M. tuberculosis* clinical isolates presenting efflux as part of their 35 resistant phenotype has a major impact in tuberculosis treatment. In this work, we used a 36 checkerboard procedure combined with the tetrazolium microplate-based assay (TEMA) to study 37 38 single combinations between antituberculosis drugs and efflux inhibitors (EIs) against multidrug resistant *M. tuberculosis* clinical isolates using the fully susceptible strain H37Rv as reference. 39 Efflux activity was studied on a real-time basis by a fluorometric method that uses ethidium 40 bromide as efflux substrate. Quantification of efflux pump genes mRNA transcriptional levels 41 were performed by RT-qPCR. The fractional inhibitory concentrations (FIC) indicated synergistic 42 activity for the interactions between isoniazid, rifampicin, amikacin, ofloxacin, and ethidium 43 44 bromide plus the EIs verapamil, thioridazine and chlorpromazine. The FICs ranged from 0.25, indicating a four-fold reduction on the MICs, to 0.015, 64-fold reduction. The detection of active 45 46 efflux by real-time fluorometry showed that all strains presented intrinsic efflux activity that 47 contributes to the overall resistance which can be inhibited in the presence of the EIs. The quantification of the mRNA levels of the most important efflux pump genes on these strains 48 shows that they are intrinsically predisposed to expel toxic compounds as the exposure to 49 subinhibitory concentrations of antibiotics were not necessary to increase the pump mRNA levels 50 when compared with the non-exposed counterpart. The results obtained in this study confirm that 51 52 the intrinsic efflux activity contributes to the overall resistance in multidrug resistant clinical isolates of *M. tuberculosis* and that the inhibition of efflux pumps by the EIs can enhance the 53 clinical effect of antibiotics that are their substrates. 54

55 Introduction

Tuberculosis (TB) remains a public health issue worldwide (World Health Organization, 2014). 56 According to the World Health Organization in 2013 there were an estimated 9.0 million 57 tuberculosis cases and 480 000 people developed multidrug-resistant tuberculosis (MDR-TB). 58 Among these there were an estimated 210 000 deaths (World Health Organization, 2014). The TB 59 control is severely complicated by the emergence of multi- and extensively drug resistant 60 Mycobacterium tuberculosis strains. Multidrug resistant M. tuberculosis is recognized as M. 61 tuberculosis strains resistant to at least isoniazid and rifampicin, and extensively drug resistant 62 63 (XDR) *M. tuberculosis* as those resistant to isoniazid, rifampicin, a fluoroquinolone and one of the three second line injectables: amikacin, kanamycin or capreomycin (World Health Organization, 64 65 2008). M. tuberculosis strains that are resistant to isoniazid and rifampicin and either a fluoroquinolone or an aminoglycoside, but not both, are colloquially termed "pre-XDR-TB" 66 67 strains.

Despite the known effectiveness of the antituberculosis standard treatment against 68 69 susceptible strains of *M. tuberculosis*, the first-line drugs isoniazid and rifampicin are ineffective for treating patients infected with multidrug resistant strains. Consequently, second-line drugs 70 71 have to be employed. These drugs are more toxic, poorly tolerated, and sometimes difficult to 72 obtain (Green and Garneau-Tsodikova, 2013). Furthermore, extensively drug resistant M. tuberculosis strains easily emerge during second-line treatment due to poor tolerance and lack of 73 compliance (World Health Organization, 2008). The emergence and spread of resistant 74 phenotypes of *M. tuberculosis* are nowadays a major health problem due to the reduced 75 therapeutic options, high mortality rates and danger to the community if transmission of the 76 bacillus is not readily stopped (World Health Organization, 2013). 77

78 Intrinsic resistance of *M. tuberculosis* to antimicrobial agents is mainly attributed to the reduced permeability of the cell wall due to the lipid-rich composition and the presence of mycolic 79 80 acids that considerably decreases the intracellular access of antibiotics (Brennan and Nikaido, 1995). However, it cannot prevent completely their entrance. Other intrinsic mechanisms of 81 82 resistance, such as efflux pumps, act synergistically with the permeability barrier to reduce the passage of antimicrobials across the bacterial cell wall (De Rossi et al., 2006; Li and Nikaido, 83 84 2004; Piddock, 2006). Efflux pumps usually confer low levels of drug resistance but play an important role in the evolution to high levels of resistance in M. tuberculosis (Machado et al., 85 86 2012). Prolonged exposure to subinhibitory concentrations of antituberculosis drugs facilitate the progressive acquisition of chromosomal mutations and provide the natural ground for the 87 88 development of bacteria with high-level resistance phenotypes due to the acquisition of mutations

in the antibiotic target. This chain of events is particularly relevant in long-term therapies such as
that used in tuberculosis treatment, where a sustained pressure of sub-inhibitory concentrations of
antibiotics can result in an increased efflux activity and allow the selection of spontaneous highlevel drug resistant mutants (Machado et al., 2012; Schmalstieg et al., 2012).

93 A possible alternative to prevent the resistance generated by efflux is the chemical inhibition of these systems by molecules that act as inhibitors, the so called efflux inhibitors (EI) 94 95 that can act as treatment adjuvants to increase the activity of the antibiotics (Marquez, 2005). Such molecules are expected to reduce the intrinsic resistance of the bacteria by increasing the 96 97 intracellular concentration of antibiotics even in highly resistant strains and reduce the frequency of emergence of resistant mutant strains (Mahamoud et al., 2007; Viveiros et al., 2010). The net 98 result of blocking the efflux of an antimicrobial compound by the use of an EI is to decrease the 99 threshold concentration (*i.e.* the minimum inhibitory concentration, MIC) of the antibiotic when 100 101 the EI is used at concentrations devoid of any antibacterial activity. Many compounds have been reported as having inhibitory activity on mycobacterial efflux systems such as calcium channel 102 103 blockers like verapamil, thioridazine, chlorpromazine, farnezol, reserpine, or uncouplers of the proton motive force such as carbonyl cyanide m-chlorophenyl hydrazone (CCCP) (Viveiros et al., 104 105 2012), but none has evolved toward clinical usage.

So far no MDR clinical strain was identified with high-level resistance attributed solely to 106 107 overexpressed efflux pumps and the contribution of these systems to the overall level of resistance in MDR-TB clinical strains, irrespective of the existing mutations for drug targets in the bacteria, 108 has not been thoroughly explored. In the present study we have explored the contribution of the 109 efflux mechanisms to the overall resistance to isoniazid, rifampicin, amikacin and ofloxacin in 110 five MDR (two of which pre-XDR) *M. tuberculosis* clinical isolates from Brazil by (i) the analysis 111 of the synergistic effect of the EIs verapamil, thioridazine and chlorpromazine on the MICs of the 112 antibiotics and ethidium bromide by a tetrazolium microplate-based assay (TEMA) on 113 checkerboard format; (ii) the analysis of real-time efflux activity, using ethidium bromide as efflux 114 substrate, by a semi-automated fluorometric method in presence and absence of each EI; and (iii) 115 116 the analysis of mRNA transcriptional levels of selected efflux pump genes in these strains.

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120 Material and Methods

121 *M. tuberculosis* strains, its characterization and selection criteria

The *M. tuberculosis* strains included in this study were selected from the culture collection of 122 the Mycobacteria Laboratory of Interdisciplinary Area of Biomedical Sciences, Núcleo de 123 Pesquisa em Microbiologia Médica (NUPEMM), Faculty of Medicine, Federal University of 124 Rio Grande, Brazil and Fundação Estadual de Produção e Pesquisa em Saúde no Centro de 125 Desenvolvimento Científico e Tecnológico. The strains were previously characterized using 126 the proportion method on Löwenstein-Jensen for isoniazid, rifampicin, amikacin, and 127 128 ofloxacin, and DNA sequencing to search for mutations associated with resistance (data not shown) (Maschmann et al., 2013). The strains to be studied were chosen based upon their 129 resistance to isoniazid, rifampicin, amikacin and ofloxacin and presence of the most common 130 mutations found in clinical isolates. The sampling comprises five multidrug resistant strains 131 (three resistant to isoniazid and rifampicin; one resistant to isoniazid, rifampicin and 132 amikacin; one resistant to isoniazid, rifampicin and ofloxacin – the two latest colloquially 133 considered pre-XDR), whose phenotypic and genotypic characterization is shown in Table 1. 134 The *M. tuberculosis* H37Rv ATCC27294 reference strain was used as control. 135

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137 Antimicrobials, efflux inhibitors and ethidium bromide

Isoniazid, rifampicin, ofloxacin, amikacin, chlorpromazine, thioridazine, verapamil, ethidium 138 139 bromide, glucose, phosphate buffered solution (PBS), and 3-4,5-dimethylthiazol-2-yl-2, 5diphenyl tetrazolium bromide (MTT) were purchased from Sigma-Aldrich (St. Louis, MO, 140 141 USA). Verapamil, chlorpromazine, thioridazine, isoniazid, amikacin, and ethidium bromide were prepared in sterile distilled water; rifampicin was prepared in dimethyl sulfoxide 142 143 (DMSO), and ofloxacin in 1% hydroxide chloride in water. Stock solutions were stored at -20°C. The work solutions were prepared at the day of the experiments. The 10X MTT stock 144 solution was prepared in ultrapure sterile water and used at 1:1 in 10% Tween 80 (v/v). 145

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147 Determination of minimum inhibitory concentrations (MIC)

For the determination of the MICs of the antibiotics, efflux inhibitors and the efflux substrate ethidium bromide, the strains were grown in Middlebrook 7H9 (DIFCO, Madrid, Spain) plus 10% OADC supplement (oleic acid/albumin/dextrose/catalase) (Becton and Dickinson, Diagnostic Systems, Sparks, MD, USA) at 37°C until they reached an OD₆₀₀ of 0.8. Afterwards, the inoculum was prepared by diluting the bacterial cultures in 7H9/OADC medium to a final density of approximately 10⁵ cells/ml (Eliopoulos and Moellering, 1996). 154 The MICs were determined by a tetrazolium microplate-based assay (TEMA) (Caviedes et al., 2002) with slight modifications. Briefly, aliquots of 0.1 ml of inoculum were transferred 155 to each well of the plate that contained 0.1 ml of each compound at concentrations prepared 156 from two-fold serial dilutions in 7H9/OADC medium. The concentration ranges used are 157 shown in Table S1 of the Supplementary data. Growth controls with no drug and a sterility 158 control were included in each plate assay. Two hundred microliters of sterile deionized water 159 was added to all outer-perimeter wells of the 96-well plates to reduce evaporation of the 160 medium in the wells during the incubation. The inoculated plates were sealed in plastic bags 161 162 and incubated at 37°C for seven days. After this period, MTT was added to each well to a final concentration of 2.5% and the plates incubated overnight. The bacterial viability was 163 registered for each well based on the MTT color change. Here, the amount of color generated 164 is directly proportional to the number of viable cells and a precipitate of cells stained black 165 can be observed in the bottom of the well. The MIC was defined as the lowest concentration 166 of compound that totally inhibited bacterial growth (Gomez-Flores et al., 1995). All assays 167 were carried out in triplicate. 168

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170 Determination of fractional inhibitory concentration (FIC) and modulation factor (MF)

171 Two-dimensional broth microdilution checkerboard assay (Eliopoulos and Moellering, 1996) combined with TEMA, was performed to assess the effect of the efflux inhibitors verapamil, 172 173 thioridazine and chlorpromazine in combination with isoniazid, rifampicin, amikacin and ofloxacin against the M. tuberculosis strains. Two hundred microliters of sterile deionized 174 water was added to all outer-perimeter wells of the plates to prevent evaporation of the 175 medium during the incubation. The plates were prepared by dispensing the serially diluted 176 177 antibiotic in the x-axis and the inhibitors in the y-axis. The concentration range used for each compound is shown in Table S2. Aliquots of 0.1 ml of inoculum were transferred to each 178 179 well of the 96-well plate and incubated at 37°C. In all assays were included growth and sterility controls. The inoculated plates were sealed in plastic bags and incubated at 37°C for 180 seven days and the results interpreted as described above. The modulation factor (MF) was 181 used to quantify the effect of the inhibitors on the MIC of antibiotics and ethidium bromide 182 (Formula 1) (Gröblacher et al., 2012). The modulation factor reflects the reduction of MIC 183 values of a given antibiotic in the presence of the efflux inhibitor and was considered to be 184 significant when MF >4 (four-fold reduction). The effect of each efflux inhibitor on the 185 activity of each antibiotic was determined by means of fractional inhibitory concentration 186 (FIC) determination according to Formula 2. The FIC was calculated only for the antibiotic 187

188 as the concentration of the efflux inhibitor to be used in each combination corresponds to $\leq \frac{1}{4}$ of its MIC, which is considered to be devoid of antibacterial activity. The FIC indexes were 189 interpreted using the criteria established by Pillai et al. (2005). However, since we are only 190 evaluating the individual FIC for the antibiotic and not the sum of the FICs, or FIC index 191 (\sum FIC_{antibiotic}), the results were interpreted as follows: FIC ≤ 0.25 , synergism; FIC > 0.25 < 2, 192 indifference and FIC ≥ 2 , antagonism. As such, an individual FIC of ≤ 0.25 , indicative of a 193 four-fold reduction, was assumed as synergy. We considered FIC > 0.25 as indifferent 194 activity due to the inherent variability of the method (Odds, 2003). The FIC for the 195 combinations was classified as ND (non-determinable) when the MICs of the compounds 196 alone were greater than the highest, less than, or equal to the lowest concentration tested 197 (Moody, 1992). For combinations that showed tendencies for synergy, isobolograms were 198 constructed, by plotting changes in the MIC of antibiotics as a function of the efflux 199 inhibitors concentration, using GraphPad Prism V5.01 software (La Jolla, USA). Synergy is 200 illustrated by a concave isobol and antagonism, by a convex isobol. All assays were carried 201 out in triplicate. 202

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$$MF = \frac{MIC_{antiobiotic}}{MIC_{combination}}$$

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Formula 1. Modulation factor (MF) determination. MIC_{antibiotic} corresponds to the MIC of
 the antibiotic; MIC_{combination} corresponds to the MIC of the antibiotic in the presence of efflux
 inhibitor.

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$$FIC_{antibiotic} = \frac{MIC_{combination}}{MIC_{alone}}$$

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Formula 2. Fractional inhibitory concentration (FIC) determination. MIC_{combination}
corresponds to the MIC determined in the presence of the antibiotic and the inhibitor;
MIC_{alone} corresponds to the MIC of the antibiotic alone.

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214 Evaluation of efflux activity by real-time fluorometry

The assessment of efflux activity on a real-time basis was performed using a semi-automated
fluorometric method, as previously described (Viveiros et al., 2010; Machado et al., 2012).
The *M. tuberculosis* strains were grown in 10 ml of 7H9/OADC with 0.05% Tween 80 at

37°C until they reach an OD_{600} of 0.8. For the accumulation assays, the cultures were 218 centrifuged at 3500 rpm for three minutes, the supernatant discarded and the pellet washed in 219 PBS. The OD₆₀₀ was adjusted to 0.8 with PBS. In order to determine the concentration of 220 ethidium bromide that establish the equilibrium between efflux and influx, aliquots of 0.05 221 ml of the bacterial suspension were added to 0.2 ml microtubes containing 0.05 ml different 222 concentrations of ethidium bromide that ranged from 0.625 µg/ml to 3 µg/ml with and 223 without 0.4% glucose. The assays were conducted at 37°C in a Rotor-Gene 3000TM (Corbett 224 Research, Sydney, Australia), using the 530 nm band-pass and the 585 nm high-pass filters as 225 226 the excitation and detection wavelengths, respectively. Fluorescence data was acquired every 60 seconds for 60 minutes. The selected concentration of ethidium bromide was further used 227 for the evaluation of the capacity of the efflux inhibitors to retain ethidium bromide inside the 228 cells. The efflux inhibitors verapamil, thioridazine and chlorpromazine were tested at 1/2 MIC 229 with and without 0.4% glucose and ethidium bromide at the equilibrium concentration 230 determined for each strain and the assays performed like described above. The inhibitory 231 activity of the compounds was determined by the calculation the relative final fluorescence 232 233 (RFF) value according to Formula 3 (Machado et al., 2011):

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$$RFF = \frac{RF_{treated} - RF_{untreated}}{RF_{untreated}}$$

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Formula 3. Relative final fluorescence (RFF) determination. $RF_{treated}$ corresponds to the relative fluorescence at the last time point of EtBr accumulation curve (minute 60) in the presence of an inhibitor; and the $RF_{untreated}$ corresponds to the relative fluorescence at the last time point of the EtBr accumulation curve of the untreated control tube. EtBr, ethidium bromide.

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For the efflux assays, strains were exposed to conditions that promote maximum 242 accumulation of ethidium bromide, *i.e.*, ethidium bromide equilibrium concentration for each 243 strain, no glucose, presence of the efflux inhibitor that caused maximum accumulation (in all 244 cases verapamil), and incubation at 25°C for one hour (Viveiros et al., 2010). Before 245 incubation, the cultures were centrifuged at 3500 rpm for three minutes, resuspended in PBS, 246 247 centrifuged again and OD_{600} adjusted to 0.4. The suspension was incubated with ethidium bromide and verapamil under the conditions described above. Aliquots of 0.05 ml of cells 248 were transferred to 0.2 ml microtubes containing 0.05 ml of each efflux inhibitor at 1/2 MIC 249 without ethidium bromide. Control tubes with only cells and cells with and without 0.4% 250

glucose were included. Fluorescence was measured in the Rotor-GeneTM 3000 and data was acquired every 30 seconds for 30 minutes. Efflux activity was quantified by comparing the fluorescence data obtained under conditions that promote efflux (presence of glucose and absence of efflux inhibitor) with the data from the control in which the mycobacteria are under conditions of no efflux (presence of an inhibitor and no energy source). Thus, the relative fluorescence corresponds to the ratio of fluorescence that remains per unit of time, relatively to the ethidium bromide-loaded cells.

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259 RNA isolation, RT-qPCR, and quantification of efflux pump mRNA levels

Total RNA from *M. tuberculosis* cultures was extracted using the RNeasy Mini kit 260 (QIAGEN, GmbH, Hilden, Germany) as previously described (Machado et al., 2012). The 261 concentration and quality of total RNA was measured using a NanoDrop 1000 262 spectrophotometer (Thermo Scientific, Waltham, USA). The primers sequences used for the 263 analysis of the efflux genes mmpL7, mmr, Rv1258, p55, Rv2469, efpA and the transcriptional 264 regulator whiB7 are described in Table 2. The RT-qPCR assay was performed in a Rotor-265 GeneTM 3000 thermocycler and followed the protocol recommended for use with the 266 QuantiTect SYBR Green RT-PCR Kit (QIAGEN) with the following amplification program: 267 268 reverse transcription for 30 minutes at 50°C; initial activation step for 15 min at 95°C; 35 cycles of denaturation at 94°C for 30 seconds, annealing at 52°C for 30 seconds and extension 269 270 at 72°C for 30 seconds; a final extension step at 72°C for 5 minutes; and an additional step at 50°C for 15 seconds followed by melt analysis (50-99°C). The quantification of the relative 271 272 mRNA levels of the genes in the strains exposed and non-exposed to subinhibitory concentrations of the antibiotics was performed using the standard curve method (Bustin, 273 274 2000). Standards consisted of known numbers of molecules prepared from cDNA PCR products of the target genes. The PCR products were purified by gel extraction (QIAquick 275 276 Gel Extraction Kit, QIAGEN), quantified by spectrophotometry and the molecular weight determined. The number of molecules/µl of the standards was calculated according to the 277 following formula (Yin et al., 2001): 278

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 $molecules/\mu l = \frac{6.023 \times 10^{23} \times C \times OD_{260}}{MW}$

280 281

Formula 4. Quantification of mRNA copy number. In this formula, C corresponds to 50 $ng/\mu l$ for DNA and MW, to the molecular weight of the target gene.

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To generate the standard curve, each RNA sample was successively diluted in nuclease-free 285 water, the C_t values of each dilution measured in triplicate, plotted against the logarithm of 286 their initial template copy numbers and the R^2 obtained to evaluate the performance of the 287 assay. The PCR amplification efficiency was controlled by the slope of the standard curve. 288 To compensate variations in the input RNA and efficiency of the reverse transcription step, 289 the results were normalized against the *M. tuberculosis* 16S rDNA reference gene. Data is 290 presented as the n-fold difference relative to the control (non-exposed condition or, when 291 292 necessary, the reference strain) plus standard deviation $(\pm SD)$.

293

294 **Results**

295 Evaluation of the synergistic effect between efflux inhibitors and antibiotics

The MICs of the antibiotics isoniazid, rifampicin, amikacin and ofloxacin, the efflux 296 substrate ethidium bromide, as well as the MICs of the efflux inhibitors verapamil, 297 thioridazine, and chlorpromazine, for each of the strains enrolled in this study, are given in 298 Table 3. The FICs and MF obtained for each combination are listed in Table 4. All the 299 multidrug resistant strains (n=5) presented high-level resistance to isoniazid (5/5) and high-300 301 (4/5) and intermediate-level resistance to rifampicin (1/5). High-level resistance to ofloxacin was observed in one multidrug resistant, FURG-4. Resistance to amikacin (high-level) was 302 303 detected only in one multidrug resistant strain, FURG-5. A mutation associated with the resistant phenotype was identified in all five multidrug resistant strains (Table 1). The 304 305 combination between the antibiotics and the efflux inhibitors tested at 1/2 of its MIC consistently produced non-determinable FICs since the combination interaction was always 306 307 bellow the concentration range tested (see Table S1 and S2). This data indicates that the effect of these compounds at ¹/₂ of MIC, as commonly tested in the literature and in previous 308 309 works of our group (Viveiros et al., 2010), is due to its antibacterial effect and not due exclusively to the inhibition of efflux activity (Machado, 2014). As such, for a more accurate 310 and precise analysis of the effect of the efflux inhibitors on the MIC values of the antibiotics 311 and efflux substrate, the results were compared at ¹/₄ MIC of each efflux inhibitor, where all 312 313 the FICs (for all the strains) where inside the concentration range tested (Table 3 and 4).

As can be seen in Table 4, the MIC values of isoniazid were reduced (MF \geq 3) in 2/5 multidrug resistant strains (FURG-1 and -2) by the three inhibitors, and in 1/5 only by chlorpromazine (FURG-4). For this last strain, the FIC result indicated a synergist effect. For strain FURG-5, none of the inhibitors was able to reduce the high-level resistance to isoniazid

(MIC of 512 µg/ml). For H37Rv, the MIC for isoniazid was reduced by all the inhibitors 318 originating a FIC of 0.25 indicative of synergism. Concerning rifampicin resistance, the MIC 319 values were reduced with verapamil in all rifampicin resistant strains by four-fold (2/5), 320 eight-fold (1/5), 32-fold (1/5) and 64-fold (1/5). Thioridazine was able to reduce the MIC of 321 rifampicin in 2/5 strains and chlorpromazine in 1/5. Of note, strain FURG-4, which displays 322 intermediate level of resistance to rifampicin and harbors a rare mutation on codon 516, was 323 the strain for which the resistance to rifampicin was reduced in the presence of the three 324 inhibitors to levels similar of that of the susceptible H37Rv reference strain (Table 4). The 325 326 MIC for rifampicin of the susceptible H37Rv reference strain was reduced four-fold only by verapamil. Resistance to amikacin was found in strain FURG-5 to which the MIC was 327 reduced four-fold with chlorpromazine. For the amikacin susceptible strains the MIC values 328 were reduced by two- to four-fold depending on the strain. Resistance to ofloxacin was 329 reduced only in strain FURG-2 by four-fold with verapamil and thioridazine. Concerning 330 ethidium bromide, the MIC values were reduced in all strains with verapamil by four- to 16-331 fold, and with thioridazine and chlorpromazine by four- to eight-fold in 4/6 and 2/6 strains, 332 333 respectively. The FICs determined for the individual synergistic interactions described above ranged from 0.25 (MF=4) to 0.015 (MF=64) (Table 4) and is illustrated in Figure 1 for the 334 335 four antibiotics and ethidium bromide plus verapamil against *M. tuberculosis* FURG-4 as an example for the remaining strains tested. Noteworthy, no antagonistic interaction was 336 337 observed in this study.

338

339 Assessment of efflux activity by real-time fluorometry

340 After determining the synergistic effect between the inhibitors and antibiotics, the inhibitors were evaluated for their ability to inhibit efflux activity by the M. tuberculosis strains in 341 study. Real-time fluorometry was applied to study their behavior in the presence of the broad 342 efflux substrate ethidium bromide with and without glucose as the source of metabolic 343 energy. First, was determined the lowest concentration that causes minimal accumulation of 344 ethidium bromide, *i.e.* the concentration for which there is an equilibrium between influx and 345 efflux of the substrate. The lowest concentration that resulted in equilibrium between the 346 influx and efflux of ethidium bromide was 0.25 µg/ml for the reference strain H37Rv, 0.5 347 μ g/ml for strains FURG-2 to FURG-5, and 1 μ g/ml for the strains FURG-1. These results 348 clearly indicate that the clinical drug resistant *M. tuberculosis* strains can handle higher 349 concentrations of ethidium bromide than the reference strain, which is suggestive of the 350 presence of more active efflux systems in these strains. After this, the efflux inhibitors under 351

study were tested under the equilibrium concentration of ethidium bromide identified for each 352 strain. In Figure 2-A, we can observe the effect of the efflux inhibitors on the accumulation of 353 ethidium bromide by *M. tuberculosis* FURG-5, in the presence of glucose, as an example. 354 Due to the large number of graphs describing the effects of verapamil, thioridazine and 355 chlorpromazine, with and without glucose, on the efflux activity of the other five M. 356 tuberculosis strains studied, the relative final fluorescence (RFF) indexes were calculated and 357 the results presented in tabular form (Table 5). The index of activity of the three efflux 358 inhibitors tested against the *M. tuberculosis* strains was calculated with the aid of the Formula 359 360 3 (see Material and Methods). The RFF index is a measure of how effective the compound is on the inhibition of ethidium bromide efflux (at a given non-inhibitory concentration) by 361 comparison of the final fluorescence at the last time point (60 minutes) of the treated cells 362 with the cells treated only with ethidium bromide. The inhibitor that presents the highest 363 ethidium bromide accumulation rate was verapamil, followed by thioridazine and 364 chlorpromazine, as previously demonstrated (Machado et al., 2012; Rodrigues et al., 2012). 365 The results showed that all strains studied presented with efflux activity which can be 366 inhibited in the presence of the efflux inhibitors (Figure 2-B as an example of the results 367 obtained with all strains tested). Efflux activity was more pronounced in the clinical strain 368 369 FURG-5 (Figure 2-B); strains FURG-1 to - 4 presented significant and similar EtBr efflux activities but less than FURG-5, whilst H37Rv presented a basal efflux activity, assessed by 370 371 the semi-automated fluorometric method (data not shown). Moreover, it was also noticed that the accumulation of ethidium bromide by the *M. tuberculosis* strains is not affected by the 372 373 presence of glucose (Table 5), revealing that the external energization of *M. tuberculosis* cells is not determinant to guarantee an optimal active efflux, as previously noticed (Machado et 374 375 al., 2012; Rodrigues et al., 2012). These results provide further evidence that efflux activity is an intrinsic characteristic of susceptible and drug resistant M. tuberculosis strains and is 376 377 directly involved in antibiotic resistance in the *M. tuberculosis* clinical strains, irrespectively of the presence or absence of mutations that confer antibiotic resistance. 378

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380 Quantification of efflux pump mRNA by RT-qPCR

To further analyze the contribution of efflux pumps to antibiotic resistance in these strains, six efflux pump genes, *mmpl7*, *mmr*, *p55*, *Rv1258c*, *Rv2459* and *efpA*, and the transcriptional regulator *whib7*, were selected to examine changes in mRNA transcriptional levels (Table2). The quantification of efflux pump mRNA level of the *M. tuberculosis* clinical isolates is shown in Table 6. When comparing the mRNA levels of each efflux pump gene for each 386 isolate with that of the H37Rv reference strain, no increase in the expression of these genes was detected in these strains, with the exception of strain FURG-1. This strain presents a two-387 to five-fold increase in mRNA levels for all genes tested (Figure 3). To analyze if the 388 presence of an antibiotic could induce an increase in mRNA transcriptional levels, the strains 389 were exposed to ¹/₂ of the respective MIC of the antibiotic to which the strains was shown to 390 be resistant and the transcription levels determined against the non-exposed counterpart. The 391 results are presented in Table 6. Increased mRNA levels were found in strains FURG-2 for all 392 seven genes upon exposure to rifampicin, and six genes for isoniazid (Table 6). Strain FURG-393 394 4 demonstrates increased mRNA levels for all genes tested upon exposure to ofloxacin. Strain FURG-5 shows only a marginal increase in the expression of the *efpA* gene. Concerning 395 strains FURG-1, FURG-3, FURG-4 and FURG-5 it was noticed a general decrease in the 396 transcript numbers of all efflux pump genes after the exposure to isoniazid, rifampicin or 397 amikacin. 398

399

400 Discussion

Understanding the mechanism by which *M. tuberculosis* develops resistance and how this can 401 be reduced is essential to shorten and improve tuberculosis treatment. Mutations in the genes 402 403 related with antibiotic resistance and efflux of drugs have been shown to contribute to the development of drug resistance in *M. tuberculosis* (Louw et al., 2011; Machado et al., 2012; 404 Silva and Palomino, 2011). In this work, we aimed first to determine whether the 405 combination of antituberculosis drugs and putative efflux inhibitors act synergistically against 406 407 a panel of drug resistant *M. tuberculosis* strains, and second to study the contribution of efflux systems to the overall drug resistance in these strains. 408

We applied a two-dimensional checkerboard procedure combined with a tetrazolium 409 microplate-based assay (TEMA) to evaluate the interactions of first and second line drugs 410 and the efflux substrate ethidium bromide with the efflux inhibitors verapamil, thioridazine 411 and chlorpromazine against a panel MDR *M. tuberculosis* clinical strains. The results showed 412 a synergistic interaction between all antituberculosis drugs and the efflux inhibitors although 413 with different degrees of synergism (Figure 1 as an example and Table 4). The interaction 414 between rifampicin, amikacin or ethidium bromide plus the inhibitors was significant even at 415 low concentrations of the inhibitors, whereas for isoniazid and ofloxacin the synergic 416 interaction was observed only at higher concentrations of the inhibitors. Significant reduction 417 of the MIC values of ofloxacin was achieved only in one susceptible strain and no reduction 418 419 was observed in the ofloxacin-resistant strain. This result indicates that, although 420 fluoroquinolones are considered to be common substrates efflux pumps in other bacterial pathogens (Costa et al., 2011), efflux activity seems to have little contribution for ofloxacin 421 resistance in multidrug resistant *M. tuberculosis* strains, as previously shown (Machado, 422 2014). Resistance to isoniazid was only moderately reduced, as these isoniazid resistant 423 strains harbor mutations within the *katG* gene (Table 1) associated with high-level resistance 424 (Böttger, 2011; Cambau et al., 2014). Strain FURG-5 presents a rare mutation in the katG 425 gene, D735A (Wei et al., 2003), and the highest level of isoniazid resistance. Although 426 rifampicin is not assumed to be a common substrate of efflux pumps, it was possible to 427 428 reduce rifampicin MICs for all mutant strains by at least one of the efflux inhibitors. Although we cannot assume reversal of resistance due to the absence of breakpoints for this 429 methodology, the rifampicin MIC values for strain FURG-4 reached values similar to the 430 fully susceptible reference strain H37Rv, despite the presence of a mutation. The mutation 431 D516Y in *rpoB* gene has been described by others as been associated with different levels of 432 susceptibility, from sensitive to high-level resistance (Williams et al., 1998; Somoskovi et al., 433 2006; Cambau et al., 2014). Resistance to amikacin was reduced by at least one of the 434 inhibitors in drug susceptible strains. Concerning the amikacin-resistant strain, the resistance 435 was reduced by four-fold with chlorpromazine. Kingondu et al. (2014) have recently reported 436 437 that combinations between chlorpromazine and aminoglycosides results in synergic activity against Mycobacterium smegmatis. In this study, verapamil was the efflux inhibitor that 438 439 demonstrated stronger activity against these strains which are in accordance with previous reports (Rodrigues et al., 2009; Louw et al., 2011; Rodrigues et al., 2011; Machado et al., 440 441 2012; Rodrigues et al., 2012; Gupta et al., 2013). Moreover, the results demonstrated that these efflux inhibitors are active against both antibiotic-susceptible and -resistant strains 442 443 indicating that the effect of these compounds is not dependent of the mutational profile of the strain. Additionally, it is likely these inhibitors have a wide range of activity acting on several 444 445 efflux systems instead of been specific of a particular efflux pump. In order to correlate the data obtained by the MICs determination and efflux activity, 446 we applied a semi-automated fluorometric method using ethidium bromide as an indicator of 447 efflux activity. The accumulation of increasing concentrations of ethidium bromide by the *M*. 448 *tuberculosis* clinical strains, when comparing with the pan-susceptible H37Rv strain, clearly 449 demonstrated that the clinical drug resistant strains, except FURG-3, possess enhanced efflux 450 activity which could be inhibited in the presence of the efflux inhibitors verapamil, 451

452 thioridazine and only marginally by chlorpromazine (Table 5). We have recently observed

the same kind of results with multi- and extensively drug resistant *M. tuberculosis* strains(Machado, 2014).

For the analysis of efflux pump gene expression, we first compared the mRNA levels 455 of the clinical strains with the antibiotic susceptible reference strain, *M. tuberculosis* H37Rv. 456 We observed an increase in the mRNA levels of all efflux genes tested in strain FURG-1 457 458 when compared with the reference strain. Regarding the remaining strains no efflux pump gene was found to be overexpressed using the H37Rv strain as a reference. As such, the 459 clinical strains were exposed to ¹/₂ of the MIC of the antibiotics and the corresponding mRNA 460 levels were compared with those of the same strain grown in a drug-free condition. We 461 noticed an increase in the mRNA levels of almost all genes in strains FURG-2 exposed to 462 isoniazid and rifampicin and FURG-4 exposed to ofloxacin. We also observed that the strains 463 expressing efflux pumps shown the expression of the whole panel of genes tested and not a 464 particular gene, as previously shown (Machado et al., 2012; Rodrigues et al., 2012; Machado, 465 2014). These results showed that these clinical strains are prepared to expel toxic compounds 466 possibly as a consequence of the constant pressure to which they were subjected in the 467 clinical setting (Costa et al., 2011; Machado et al., 2012). We did not notice a straight 468 correlation between the efflux pump gene expression and the reduction of the antibiotic 469 470 resistance levels by the efflux inhibitors. Although the effect demonstrated by the efflux inhibitors on the resistance levels of the antibiotics combined with the results obtained with 471 472 the real-time fluorometry clearly supports the involvement of efflux pumps in the overall resistance in these strains, it does not necessarily indicate efflux pump overexpression. All 473 474 strains presented high-level resistance to the antibiotics tested (Table 3) due to the presence of a mutation conferring resistance (Table 1) coupled with a component of efflux 475 476 demonstrated by the reduction of the resistance levels with the inhibitors (Table 4). The 477 extent of the later will depend on the resistance level conferred by the mutation plus the 478 different environmental pressures to which the strain was exposed. It is expected that clinical strains do not need to increase the amount of efflux pumps in the membrane to survive when 479 exposed to subinhibitory concentrations of the antibiotics to which they are resistant. 480 Additionally, we notice that some genes were downregulated upon exposure to the antibiotics 481 482 (Table 6). At the present we do not know the reason, although we can hypothesize that (i) gene downregulation occurs due to an antibiotic killing effect, or (ii) the downregulation of 483 these efflux systems occurs at the expense of the overproduction of other unknown efflux 484 systems or iii) gene downregulation can be due to the presence of mutations in the genes 485 encoding the efflux pumps. Further experiments would be necessary to test these hypotheses. 486

487 Tuberculosis continues to be a deadly disease worldwide and new approaches for its treatment are necessary. The identification of clinical isolates of M. tuberculosis that 488 presented an efflux component as part of their resistant phenotype has a major impact in 489 tuberculosis therapeutics as well in the development of new drugs. With the increase of 490 491 multi- and extensively drug resistant strains, there are few alternatives available to treat these patients. The data presented evidences a possible therapeutic value for compounds that have 492 493 the ability to inhibit mycobacterial efflux pumps via the retention of co-administered antimycobacterial drugs that are subject to efflux (Viveiros et al., 2012). The rationale and 494 495 procedures used in this study proved to be useful to evaluate the presence of active efflux systems in drug resistant *M. tuberculosis* strains. In our hands, they afford quantitative and 496 reproducible results and proved to be an inexpensive, rapid, high-throughput assay to predict 497 the activity of efflux inhibitors against drug susceptible and resistant strains of M. 498 tuberculosis. In conclusion, this study strengths the notion that intrinsic efflux activity also 499 contributes to the overall resistance in drug resistant clinical isolates of *M. tuberculosis* 500 bearing the most frequent mutations for resistance and that the inhibition of this efflux 501 activity by compounds such as thioridazine and verapamil can promote the clinical effect of 502 503 the antibiotics that are subject to efflux, highlighting the urgent need of animal studies to 504 guide the future progress of these compounds into combinational clinical trials (Viveiros et al., 2012; Gupta et al, 2013; Dutta and Karakousis, 2014). 505

506

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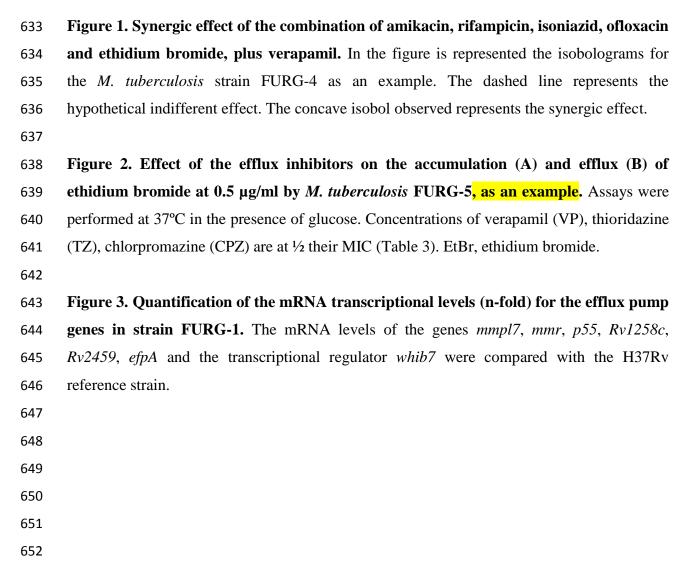
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631 Legends to figures



Tables

<i>a.</i> .	DI	Genotype								
Strain	Phenotype -	katG	inhA	rpoB	gyrA	rrs				
FURG-1	MDR	S315T	Wt	S531L	Wt	Wt				
FURG-2	MDR	S315T	Wt	S531L	Wt	Wt				
FURG-3	MDR	S315T	Wt	S531L	Wt	Wt				
FURG-4	MDR	S315T	Wt	D516Y	A90V	Wt				
FURG-5	MDR	D735A	Wt	S531L	Wt	A>G 140				
H37Rv	Susceptible	Wt	Wt	Wt	Wt	Wt				

Table 1. Phenotypic and genotypic characterization of the *M. tuberculosis* strains.

MDR, multidrug resistant; OFX, ofloxacin; R, resistant; Wt, wild-type.

Gene	Primer Sequence (5'-3')	Amplification product (bp)	Reference			
16S_Fw	CAA GGC TAA AAC TCA AAG GA	107				
16S_Rv	GGA CTT AAC CCA ACA TCT CA	197				
mmpL7_Fw	TAC CCA AGC TGG AAA CAA	214				
mmpL7_Rv	CCG TCA GAA TAG AGG AAC CAG	214				
<i>p55_</i> Fw	AGT GGG AAA TAA GCC AGT AA	198				
<i>p55_</i> Rv	TGG TTG ATG TCG AGC TGT	198	Dedrivere et al. 2011			
efpA_Fw	ATG GTA ATG CCT GAC ATC C	131	Rodrigues et al., 201			
efpA_Rv	CTA CGG GAA ACC AAC AAA G	151				
mmr_Fw	AAC CAG CCT GCT CAA AAG	221				
<i>mmr_</i> Rv	CAA CCA CCT TCA TCA CAG A	221				
Rv1258c_Fw	AGT TAT AGA TCG GCT GGA TG	268				
Rv1258c_Rv	GTG CTG TTC CCG AAA TAC	208				
Rv2459_Fw	CAT CTT CAT GGT GTT CGT G	222	Mashada et al. 2012			
Rv2459_Rv	CGG TAG CAC ACA GAC AAT AG	232	Machado et al., 2012			
whiB7_Fw	TCG AGG TAG CCA AGA CAC T	100	Maahada 2014			
whiB7 Rv	TCG AAT ATC TCA CCA CCC CA	109	Machado, 2014			

Table 2. Primers used in this study.

MIC (µg/mL) Strain INH RIF AMK OFX VP ΤZ CPZ EtBr FURG-1 FURG-2 FURG-3 FURG-4 FURG-5 0.5 H37Rv 0.1

Table 3. Minimum inhibitory concentrations of the antibiotics, efflux inhibitors, and ethidium bromide for the *M. tuberculosis* strains.

INH, isoniazid; RIF, rifampicin; AMK, amikacin; OFX, ofloxacin; VP, verapamil; TZ, thioridazine; CPZ, chlorpromazine; EtBr, ethidium bromide.

												Strains							
Antibiotics	ics EIs	FURG-1 FURG-2			FURG-3 FU				FURG-4			URG-5		H37Rv					
		MIC (µg/ml)	FIC	MF	MIC (µg/ml)	FIC	MF	MIC (µg/ml)	FIC	MF	MIC (µg/ml)	FIC	MF	MIC (µg/ml)	FIC	MF	MIC (µg/ml)	FIC	MF
	No EI	10	-	-	10	-	-	5	-	-	5	-	-	512	-	-	0.1	-	-
INH	+VP 1/4	3	0.3	3	3	0.3	3	3	0.6	2	5	1	1	512	1	1	0.025	0.25	4
	+TZ 1/4	3	0.3	3	3	0.3	3	3	0.6	2	5	1	1	512	1	1	0.025	0.25	4
	+CPZ 1/4	3	0.3	3	3	0.3	3	3	0.6	2	1.25	0.25	4	512	1	1	0.025	0.25	4
	No EI	2048	-	-	1024	-	-	1024	-	-	16	-	-	1024	-	-	0.5	-	-
RIF	+VP 1/4	512	0.25	4	256	0.25	4	16	0.015	64	0.5	0.03	32	128	0.125	8	0.125	0.25	4
	+TZ 1/4	2048	1	1	512	0.5	2	32	0.03	32	1	0.06	16	1024	1	1	0.25	0.5	2
	+CPZ 1/4	2048	1	1	512	0.5	2	512	0.5	2	0.5	0.03	32	1024	1	1	0.25	0.5	2
	No EI	1	-	-	2	-	-	1	-	-	16	-	-	1	-	-	2	-	-
OFX	+VP 1/4	0.5	0.5	2	0.5	0.25	4	0.5	0.5	2	16	1	1	0.5	0.5	2	1	0.5	2
014	+TZ 1/4	1	1	1	0.5	0.25	4	0.5	0.5	2	16	1	1	0.5	0.5	2	1	0.5	2
	+CPZ 1/4	0.5	0.5	2	1	0.5	2	0.5	0.5	2	16	1	1	0.5	0.5	2	1	0.5	2
	No EI	2	-	-	2	-	-	1	-	-	2	-	-	640	-	-	2	-	-
AMK	+VP 1/4	0.5	0.25	4	0.5	0.25	4	0.25	0.25	4	0.5	0.25	4	640	1	1	0.5	0.125	4
	+TZ 1/4	1	0.5	2	0.5	0.25	4	0.25	0.25	4	0.5	0.25	4	640	1	1	1	0.5	2
	+CPZ 1/4	1	0.5	2	0.25	0.125	4	0.5	0.5	2	0.5	0.25	4	160	0.25	4	0.5	0.125	4
	No EI	8	-	-	8	-	-	4	-	-	4	-	-	8	-	-	4	-	-
EtBr	+VP 1/4	1	0.125	8	0.5	0.06	16	0.25	0.0625	16	1	0.25	4	0.5	0.06	4	0.5	0.125	8
2.001	+TZ 1/4	2	0.25	4	2	0.25	4	0.5	0.125	8	2	0.5	2	1	0.125	8	2	0.5	2
	+CPZ 1/4	8	1	1	8	1	1	4	1	1	1	0.25	4	2	0.25	4	4	1	1

Table 4. Minimum inhibitory concentration, fractional inhibitory concentration, and modulation factor of antibiotics and ethidium bromide in the presence of efflux inhibitors for the *M. tuberculosis* strains.

MIC, Minimum inhibitory concentration; FIC, fractional inhibitory concentration; MF, modulation factor; INH, isoniazid; RIF, rifampicin; AMK, amikacin; OFX, ofloxacin; VP, verapamil; TZ, thioridazine; CPZ, chlorpromazine; EtBr, ethidium bromide; EI, efflux inhibitor.

Table 5. Relative final fluorescence (RFF) based on accumulation of EtBr. Accumulation of EtBr at 0.25 μg/ml (H37Rv), 0.5 μg/ml (FURG-2 to 5), and 1 μg/ml (FURG-1), in the presence of glucose at 0.4%. The most efficient inhibitors are in bold type.

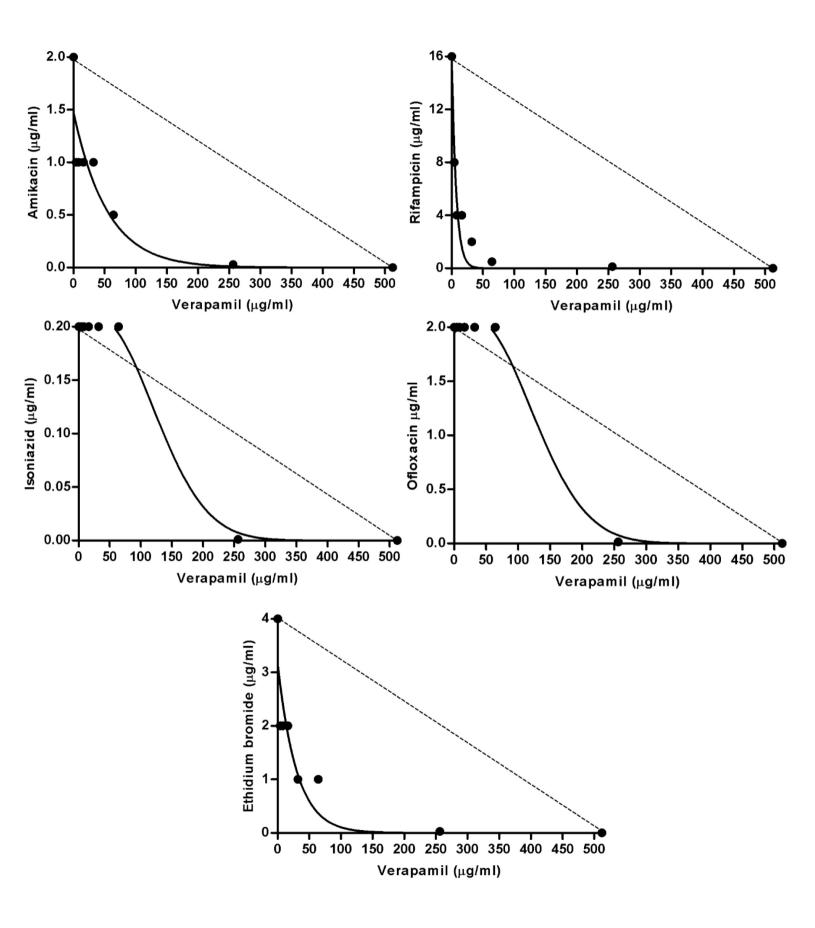
	RFF of the efflux inhibitors											
	Vera	pamil	Thiori	idazine	Chlorpromazine							
Strains	- glucose	+ glucose	- glucose	+ glucose	- glucose	+ glucose						
FURG-1	0.82	0.47	0.66	0.29	0.32	0.01						
FURG-2	1.36	1.01	0.63	0.33	0.39	0.21						
FURG-3	0.71	0.53	0.21	0.27	0.07	-0.04						
FURG-4	1.58	1.22	0.76	0.45	0.64	0.46						
FURG-5	1.62	1.48	1.37	1.54	1.06	1.01						
H37Rv	1.57	2.27	0.77	1.31	0.52	0.88						

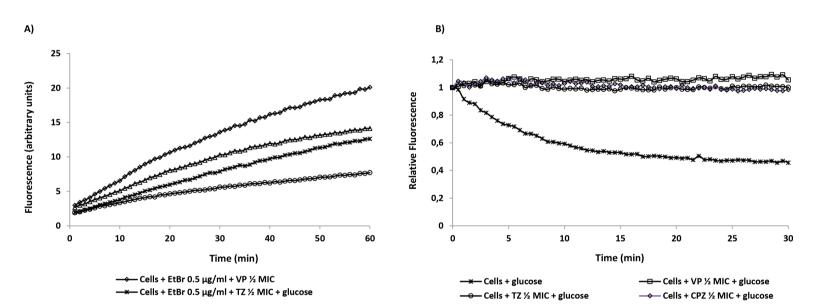
		Relative mRNA gene quantity (±SD) of:										
Strain	Condition	mmpl7	mmr	Rv1258c	p55	efpA	Rv2459	whib7				
FURG-1	Non-exposed	1.0	1.0	1.0	1.0	1.0	1.0	1.0				
	+INH	0.01 ± 0.00	0.05 ± 0.01	0.02 ± 0.00	0.01 ± 0.00	0.07 ± 0.00	0.01 ± 0.00	0.02 ± 0.00				
	+RIF	0.02 ± 0.00	0.04±0.01	0.01 ± 0.00	0.03 ± 0.00	0.01 ± 0.00	0.01 ± 0.00	0.01 ± 0.00				
FURG-2	Non-exposed	1.0	1.0	1.0	1.0	1.0	1.0	1.0				
	+INH	94.56±1.64	137.21±0.00	20.09±1.05	24.65±2.56	10.41±3.16	0.41±0.39	2.35±0.16				
	+RIF	172.05±10.42	249.20±12.95	90.18±15.08	84.90±5.14	56.80±2.95	16.59±4.19	13.08±1.13				
FURG-3	Non-exposed	1.0	1.0	1.0	1.0	1.0	1.0	1.0				
	+INH	0.23±0.03	0.16±0.02	0.03±0.01	0.18 ± 0.04	0.80 ± 0.29	0.13±0.01	0.04 ± 0.00				
	+RIF	0.02 ± 0.00	0.05 ± 0.00	0.01 ± 0.00	0.06 ± 0.03	0.06 ± 0.04	0.05 ± 0.00	0.06 ± 0.03				
FURG-4	Non-exposed	1.0	1.0	1.0	1.0	1.0	1.0	1.0				
	+INH	0.28 ± 0.05	0.17 ± 0.01	0.22±0.01	0.53±0.11	0.76 ± 0.03	0.37±0.03	0.42 ± 0.06				
	+RIF	0.01 ± 0.00	0.03 ± 0.00	0.05 ± 0.00	0.01 ± 0.00	0.02 ± 0.00	0.01 ± 0.00	0.01 ± 0.00				
	+OFX	4.45±0.15	2.41±0.25	2.75±0.29	4.17±0.29	4.02±0.14	2.96±0.51	5.51±0.38				
FURG-5	Non-exposed	1.0	1.0	1.0	1.0	1.0	1.0	1.0				
	+INH	1.00 ± 0.10	0.60±0.24	0.10 ± 0.06	0.76 ± 0.05	1.15±0.04	0.48 ± 0.03	0.20 ± 0.01				
	+RIF	0.07 ± 0.04	0.01±0.01	0.01 ± 0.00	0.01 ± 0.01	0.02 ± 0.00	0.02 ± 0.00	0.01 ± 0.00				
	+AMK	0.01 ± 0.00	0.08±0.02	0.02 ± 0.00	0.01 ± 0.00	0.01 ± 0.00	0.01±0.00	0.01±0.00				

7 Table 6. Relative quantification of efflux pump gene mRNA quantity in the *M. tuberculosis* strains exposed to antibiotics.

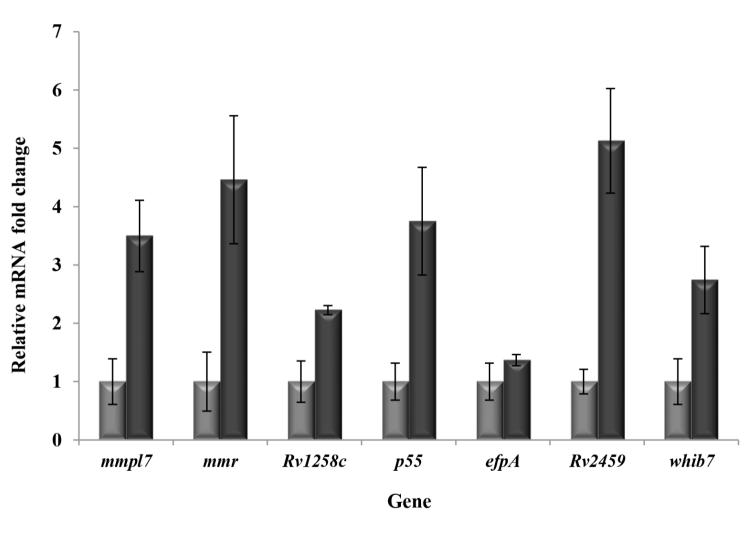
8 INH, isoniazid; RIF, rifampicin; OFX, ofloxacin; AMK, amikacin. Data is presented as the n-fold difference relative to the control

9 (non-exposed condition) plus standard deviation (±SD).









■H37Rv

■FURG-1