

# Chapter 3

## Regulating Mitochondrial Respiration in Cancer

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1 **Abstract** Mitochondria are a major focus of research in cancer due to their critical  
2 role in tumor physiology and metabolism. Metabolic remodeling is observed in tumor  
3 cells, often resulting in increased glycolytic activity, which serves for the generation  
4 of adenosine triphosphate (ATP), and as hubs for biosynthesis of key metabolites  
5 essential for cancer cell growth and proliferation. Mitochondria, thus, appear as a  
6 critical nexus in cancer metabolic alterations. Not only increased overexpression  
7 of oncogenes leads to altered mitochondrial respiration due to remodeling of mito-  
8 chondrial gene expression and substrate channeling, but also particular mutations  
9 in components of the respiratory chain trigger an upstream feedback mechanism  
10 which also leads to metabolic reshaping in cancer cells. Mitochondrial respiration  
11 can thus be controlled by intrinsic and extrinsic mechanisms in cancer cells, which  
12 ultimately translates into different abilities to generate mitochondrial ATP. Altered  
13 mitochondrial structures and processes can be a target for chemotherapeutics, which  
14 are increasingly being developed to specifically target mitochondria in tumors. The  
15 present chapter reviews current knowledge on regulation of mitochondrial respira-  
16 tion and overall metabolism and how these specific alterations in the cell powerhouse  
17 can be used to eliminate tumors.

18 **Keywords** Cancer metabolism · Mitochondria · Oxidative phosphorylation ·  
19 Respiration · Chemotherapy

### 20 3.1 Cancer Metabolism

#### 21 3.1.1 Overview

22 Under normal conditions, cells have controlled programs for maintaining home-  
23 ostasis in tissues, relying normally on aerobic respiration, using cytosolic and  
24 mitochondrial metabolisms to produce adenosine triphosphate (ATP) and for the

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25 biosynthesis of cellular building molecules [311]. Any deviation from these pro-  
26 grams may result in an anomalous situation. Tumors, deviations from normal cell  
27 homeostasis, contain a mixed cell population, with some showing fast prolifera-  
28 tion. Together with unregulated cell growth, tumor cells display loss of contact  
29 inhibition, which is necessary for normal tissue formation. Interestingly, both un-  
30 controlled growth and loss of contact inhibition appear to be linked with altered  
31 cellular metabolism [187]. The progressive growth of a tumor greatly increases the  
32 demand for oxygen and nutrients, resulting in the inability of tumor cells that are  
33 distant from blood vessels to be steadily supplied [129]. As a consequence, hypoxic  
34 regions are formed within the tumor. Therefore, one of the main mechanisms for  
35 the metabolic remodeling observed in cancer cells is an adaptation to a novel en-  
36 vironment, where oxygen can be limiting [183]. Malignant cells will survive under  
37 hypoxic conditions due to the activity of distinct oncogenic proteins, which induce  
38 the expression of specific encoding genes for metabolic proteins and consequently  
39 modulate their function in cancer cells [137]. The molecular mechanism behind this  
40 adaptation and energy metabolic adjustment is not completely understood and this  
41 phenomenon is not general to all cancer cells.

42 One important characteristic of tumors is the induction of angiogenesis [311].  
43 New vessels are formed in the tumor microenvironment providing oxygen, which,  
44 although not as well distributed as in a normal tissue, favors ATP production through  
45 oxidative phosphorylation (OXPHOS). Still, most cancer cell types will continue to  
46 use glycolysis, which not only provides a survival advantage over non-transformed  
47 cells but also ensures the persistence of the most successful cancer cells [128]. Cancer  
48 cells manage to adapt from aerobic to anaerobic glycolysis to survive in a new  
49 microenvironment, upregulating transporter proteins that extrude lactic acid from  
50 the cell into the surrounding extracellular medium, as well as undergoing many  
51 other alterations [286]. This phenomenon is widely explored in cancer biology and  
52 was termed the Warburg effect [309].

53 Glycolysis accounts for most of ATP generation in a majority of cancer cell types  
54 [203]; however, mitochondrial ATP production in other tumors may be entirely simi-  
55 lar to a non-tumor cell. It has been proposed that this switch may be related to specific  
56 cell or tissue types, with this metabolic flexibility being important for certain tumors  
57 to grow and metastasize [45]. Moreover, a large number of mitochondrial alterations  
58 exist in most cancer cells. In fact, tumor cells that show negative mitochondrial  
59 alterations are particularly aggressive, showing a rapid growth rate [279]. The down-  
60 regulation of some mitochondrial proteins in cancer cells, including the OXPHOS  
61 machinery, is achieved by distinct mechanisms, specifically activated by the pro-  
62 found hypoxic environment, the loss of tumor-suppressor genes and/or activation of  
63 oncogenes, and the direct inhibition of mitochondrial complex subunits [112]. Tumor  
64 microenvironment can also dictate the type of metabolic pathway to be predominantly  
65 used in cells, which, in turn, gives self-renewal ability to the tumor [20].

66 Hanahan and Weinberg reformulated their six hallmark signatures of cancer [150],  
67 adding the reprogramming of energy metabolism plus the evasion from immune  
68 destruction as new cancer features. The “Hallmarks of Cancer” appear now as a  
69 signature of the disease which can help in stratification, diagnosis, prognosis, and

70 treatment: limitless replication potential, sustained angiogenesis, evasion of apop- [AQ1]  
71 tosis, self-sufficiency in growth signals, insensitivity to antigrowth signals, tissue  
72 invasion, metastasis, metabolic remodeling, and evading immune destruction [151].

73 In fact, more and more evidence enhances the importance of cancer metabolism  
74 research. It is our objective to understand the mitochondrial alterations in tumori-  
75 genesis, namely those altering mitochondrial respiration, and evidence the most  
76 promising therapies that target these alterations.

### 77 3.1.2 Mitochondrial OXPHOS

78 Mitochondria are essential organelles for cell survival and growth and are the main  
79 producers of cellular ATP via OXPHOS, which provides 15 times more ATP than  
80 glycolysis [4]. These organelles are also involved in calcium signaling [148], heme  
81 and steroid synthesis [260], and redox homeostasis [149]. The actual mechanism  
82 of OXPHOS was mechanistically explained by Peter Mitchell's chemiosmotic  
83 hypothesis [217, 218], elucidating the biochemical mechanism of ATP synthesis  
84 in mitochondria. Under normal conditions, electrons are transferred from carbo-  
85 hydrates and lipids via nicotinamide adenine dinucleotide (NAD; reduced form)  
86 to complex I (NADH dehydrogenase), the major entrance point of electrons in the  
87 respiratory chain (or electron transport chain (ETC)), or from succinate to complex  
88 II (succinate dehydrogenate), that directly connects the tricarboxylic acid cycle  
89 (TCA) to the system [104]. Other components involved in electron entry to ETC  
90 are the electron transfer flavoprotein-ubiquinone oxidoreductase (ETF-QO) [327]  
91 and glycerol-3-phosphate dehydrogenase (G3PDH) [180]. Coenzyme Q<sub>10</sub> accepts  
92 the electrons from different sources and channels them to complex III (subunit  
93 for ubiquinol: cytochrome *c* oxidoreductase) [119]. Electrons then flow through  
94 complex III to complex IV (cytochrome *c* oxidase, COX), where oxygen is reduced  
95 to water. Protons are pumped from the matrix to the intermembrane space, coupled  
96 to electron transport at complexes I, III, and IV, creating an electrochemical gradient,  
97 composed of an electric component ( $\Delta\Psi_m$ ), being negative inside, and of a pH  
98 component ( $\Delta pH$ ), alkaline in the matrix [53]. The proton motive force is then used  
99 by complex V (ATP synthase) to produce ATP from adenosine diphosphate (ADP)  
100 and phosphate [171]. The ETC is coupled with the phosphorylation system, in order  
101 to maximize mitochondrial ATP production and minimize heat production [30].  
102 All these processes must follow strict regulated conditions, otherwise cell death or  
103 malignancy can occur. Therefore, under normal conditions, different mechanisms of  
104 regulation of mitochondrial respiration exist. One crucial factor is not only the mod-  
105 ulation of complex IV isoforms [43], but also the activation of four mitochondrial  
106 dehydrogenases, namely flavin adenine dinucleotide (FAD)-glycerol-3-phosphate  
107 dehydrogenase [152], pyruvate dehydrogenase phosphatase [83], NAD-isocitrate  
108 dehydrogenase [84], and oxoglutarate dehydrogenase [213] by calcium ions, which  
109 leads to their stimulation. Mitochondrial respiration regulation depends as well on  
110 fusion and fission proteins that are responsible for mitochondrial morphology [15].  
111 Moreover, there are other proteins that are responsible for mitochondrial biogenesis

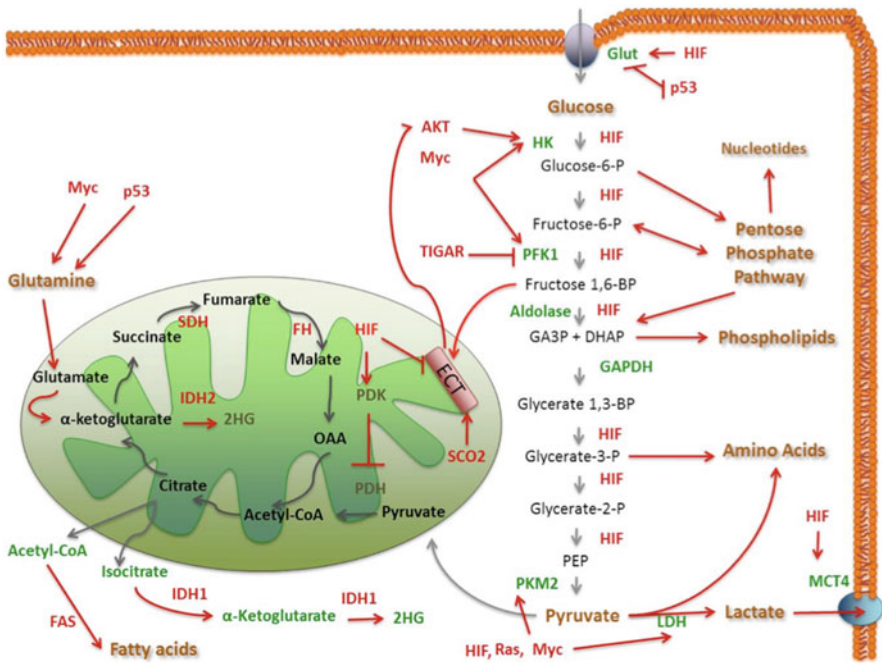
112 and degradation, which have a role in regulating mitochondrial respiration [40].  
113 Besides the direct regulation of OXPHOS by proteins, the availability of substrates  
114 (NADH,  $H + /NAD +$ , ADP/ATP, oxygen gradients, glucose, and glutamine) [135],  
115 as well as the interaction with other cellular organelles [77] or even chemicals and  
116 drugs, can also impact mitochondrial respiration [16].

### 117 **3.1.3 Cancer Metabolism**

118 Metabolism is the sum of all chemical reactions that occur in cells or organisms [116].  
119 In this particular section, energy metabolism in cancer is discussed. The analysis of  
120 mitochondrial metabolic alterations is important to better approach the regulatory  
121 adaptations that occur in mitochondrial respiration of cancer cells.

122 Cells exposed to low oxygen availability (hypoxia) upregulate glycolysis, re-  
123 sulting in increased lactic acid production. Cancer cells can preferentially use this  
124 pathway, once it generates ATP more rapidly than OXPHOS, even if in far lower  
125 amounts [262]. Glycolytic genes are regulated by the hypoxia-inducible factor-1  
126 (HIF-1) ([72]; Fig. 3.1). Within any cell type, HIF-1 controls the expression of a very  
127 large number of genes. In particular, HIF-1 modulates the expression of aldolase,  
128 phosphoglycerate kinase, phosphofructokinase, lactate dehydrogenase A (LDHA),  
129 and lactate-extruding enzyme monocarboxylate transporter 4 (MCT4), as well as hex-  
130 okinases (Hk1 and Hk2) [57]. At the same time, HIF-1 indirectly inhibits pyruvate  
131 conversion to acetyl-coenzyme A (CoA) by leading to an overexpression of pyruvate  
132 dehydrogenase kinase 1 (PDK1), which inhibits pyruvate dehydrogenase (PDH) [71].  
133 In mitochondria, HIF inhibits the respiratory chain by targeting a Bcl-2 family mem-  
134 ber (BNiP3) and by reducing COX activity by upregulating microRNA-210 [257].  
135 In several tumors, impairment of the TCA cycle leads to succinate accumulation,  
136 which acts as a signaling molecule and triggers the reactivation of HIF-1 [269]. Due  
137 to the lower energy efficacy of aerobic glycolysis, glucose uptake verified in most  
138 tumors is higher than in normal tissues [304], with increased expression of glucose  
139 transporters (Glut1, Glut3, and other isoforms) [270]. However, when elevated in-  
140 tracellular glucose is available, cells redirect pyruvate towards lipid synthesis, which  
141 is necessary for membrane assembly. While in non-tumor cells pyruvate is mostly  
142 imported into mitochondria to produce NADH and succinate, which will fuel the  
143 ETC in two different sites [6], pyruvate can also be converted to lactate by LDH  
144 in the cytosol and extruded, causing extracellular acidification, which is also ad-  
145 vantageous to cancer cells as it decreases immune detection and facilitates invasion  
146 [320]. Contributing to cancer success, the downregulation of oxidative metabolism  
147 can favor malignant cells to evade apoptosis [159].

148 The Warburg effect can be observed even after re-oxygenation of tumors due  
149 to the formation of new blood vessels. Warburg initially observed that cancer cells  
150 would rather use glycolysis than OXPHOS to obtain most of their energy [309]. The  
151 original observation was based on the fact that tumors have elevated levels of glucose  
152 consumption and lactate production (Pasteur effect) while in the presence of oxygen  
153 [184]. The Warburg effect was observed in vitro and in vivo and is well documented



**Fig. 3.1** Cancer metabolism. Proliferating cancer cells show upregulation of glucose transporters (Glut) in order to import a large amount of glucose to be processed in glycolysis. Glycolysis is entirely regulated by HIF; however, oncogenes (e.g., Myc and Ras) and suppressor genes (e.g., TP53-induced glycolysis and apoptosis regulator (TIGAR)) ultimately control the flux. The ultimate product of glycolysis is pyruvate, which is normally converted to lactate in cancer cells. Pyruvate can also originate from non-essential amino acids or be converted to acetyl-coenzyme A and enter mitochondria to generate citrate. Due to altered mitochondrial function observed in cancer cells, citrate will mostly leave these organelles to promote lipid synthesis. Other pathways that are altered and important for the survival of cancer cells are the pentose phosphate pathway, which supplies RNA and DNA, but especially the glutamine pathway which fuels cells with other amino acids and proteins. *MCT4* monocarboxylate transporter; *Glucose-6-P* Glucose-6-phosphate; *Fructose-6-P* Fructose-6-phosphate; *Fructose 1,6-BP* Fructose 1,6-bisphosphate; *GA3P* Glyceraldehyde-3-phosphate; *DHAP* Dihydroxyacetone phosphate; *Glycerate 1,3-BP* Glycerate 1,3-bisphosphate; *Glycerate-3-P* Glycerate 3-phosphate; *Glycerate-2-P* Glycerate-2-phosphate; *PEP* Phosphoenolpyruvate; *2HG* 2-hydroxy-glutarate; *HK* Hexokinase; *PFK1* Phosphofructokinase; *GAPDH* Glyceraldehyde 3-phosphate dehydrogenase; *PKM2* pyruvate kinase isoform 2; *LDH* Lactate dehydrogenase; *IDH1* Isocitrate dehydrogenase isoform 1; *IDH2* Isocitrate dehydrogenase isoform 2; *SCO2* synthesis of cytochrome *c* oxidase deficient homolog 2; *PDK* pyruvate dehydrogenase kinase; *PDH* pyruvate dehydrogenase; *SDH* Succinate dehydrogenase; *FH* Fumarate hydratase; *FAS* Fatty acid synthase; *HIF* Hypoxia inducible factor; *ETC* Electron transport chain

154 for several tumor types, where the overproduction of lactate leads to the acidification  
 155 of the tumor microenvironment, being recognized as a major metabolic hallmark of  
 156 cancer, although many tumors do not have this effect [294]. Therefore, the Warburg  
 157 effect can originate from an increase in glucose consumption and glycolysis activity  
 158 and/or downregulation of mitochondrial metabolism [90].

159 Another phenomenon similar to the Warburg effect but caused by a different event  
160 is the Crabtree effect [91]. Fast-growing cells, including tumors, display inhibition  
161 of respiration due to an excessive increase of intracellular glucose. The Crabtree  
162 effect is considered a short-term and reversible event. The possible advantage of this  
163 phenomenon would be the adaptation of cancer cell metabolism to the heterogeneous  
164 microenvironment found in tumors [91].

165 Even if both the Warburg and Crabtree effects were common to all cancer cells,  
166 one must take into account that both metabolic effects, as well as other metabolic  
167 alterations, are not exclusive to cancer cells, since they can also be observed in  
168 activated T lymphocytes and some proliferating normal cells [141]. Moreover, each  
169 type of cancer carries its own mutation load and different tissues of origin differently  
170 prime tumors to metabolic alterations. In addition, an increase in the glycolytic flux  
171 may not directly result from increased expression of glycolytic enzymes, but instead  
172 result from altered proteins that co-regulate glycolysis [227].

173 Within the tumor, some cancer cells quickly interchange the metabolism between  
174 fermentation and oxidative metabolism, according to the presence or absence of  
175 nutrients and environmental conditions, thus showing a large plasticity [259]. There-  
176 fore, tumor cells can behave differently depending on many intrinsic and/or extrinsic  
177 factors, which limits the use of metabolic remodeling per se to distinguish a particular  
178 type of tumor.

179 More research must be performed to identify differences between normal and  
180 cancer cells and to identify the best therapeutic approaches. In particular, the central  
181 role of mitochondria, by modulating several key functions in the cell, deserves special  
182 attention. Mitochondria can serve both as a hub for metabolic alterations and as a  
183 target for chemotherapeutics.

## 184 **3.2 Mitochondrial Metabolism Remodeling in Cancer**

### 185 **3.2.1 Biosynthesis and Energy Production**

186 The proliferation of cancer cells is supported not only by altered energy production  
187 but also by increased biosynthesis and maintenance of specific redox balance [18].  
188 The remodeling of mitochondrial metabolism is evidenced by the preferential use of  
189 glycolysis and the increased usage of biosynthetic pathways, such as those of amino  
190 acids and fatty acids [120].

191 As described earlier, ATP production by mitochondria in most tumor types is  
192 diminished. One possible explanation for the disruption of the normal flux of the  
193 Krebs cycle may be the channeling of cycle intermediates, including malate and  
194 citrate, for other biosynthetic pathways. Both molecules can leave mitochondria,  
195 thus deviating the carbon flux. Malate can be used to provide the cytoplasm with  
196 NADPH, and citrate is used to support fatty acid and cholesterol synthesis [225].  
197 Moreover, citrate is a crucial sensor of energy level, exerting a negative feedback on  
198 the Krebs cycle and glycolysis, slowing or even arresting the two pathways [161].

Another observation to support a low mitochondrial activity in cancer is a decrease of ADP transport to the mitochondrial matrix, as well as the inhibition of ATP synthase [64], decreasing ATP production in mitochondria. In the Krebs cycle, carbon can be dissipated as CO<sub>2</sub>, while carbons originating from glycolysis supply precursors for biosynthesis. In truth, there is a waste of carbon by lactate export, although there are several advantages in the process, including evasion from the immune system [80].

Several groups [76, 123, 324] have provided evidence of the importance of amino acid metabolism in tumor proliferation, demonstrating that cancer cells have increased glutamine consumption by glutaminolysis when compared with their normal counterparts, although others suggest that this may be an in vitro artifact [223]. Glutamine is the most abundant amino acid in mammals [185] and a major factor in anaplerosis [76]. Oxidation of glutamine was observed to be essential not only for cancer systems but also for normal proliferating cells, such as lymphocytes, enterocytes, and fibroblasts [76]. Glutamine metabolism can be roughly divided into  $\alpha$ -nitrogen (Krebs cycle) and  $\gamma$ -nitrogen (nucleotide and hexosamine synthesis) [57]. In the latter reactions, glutamine is converted to glutamate by cytoplasmic or mitochondrial glutaminase. From here, glutamate can follow one of two pathways: as a source of oxaloacetate (OAA) for the Krebs cycle or via transaminase by consuming OAA and generating aspartate, which then leaves mitochondria [223]. OAA is an essential substrate because it leads to citrate production when condensed with acetyl-CoA. After being exported to the cytosol, citrate can be used by ATP citrate lyase (ACL) to produce OAA and acetyl-CoA, essential for cholesterol and fatty acid synthesis and also for modification of chromatin structure [153, 315].  $\alpha$ -Ketoglutarate can also be originated from isocitrate by the action of isocitrate dehydrogenases (IDH1 and IDH2). The two enzymes exist in the cytoplasm and mitochondria, respectively, and, when mutated, convert  $\alpha$ -ketoglutarate to 2-hydroxy-glutarate, which is recognized as an oncometabolite [252], Scatena [2012].

Glutamine metabolism can also provide precursors for the synthesis of glutathione (GSH), which serves as a redox buffer against increased oxidative stress, being important for tumors with rapid growth, thus presenting a high production of reactive oxygen species (ROS) [109]. Finally, glutamine is required as a nitrogen donor to produce purine and pyrimidine nucleotides during cell proliferation [123].

Interestingly, the serine pathway, another amino acid biosynthetic flux, has an important role in most estrogen-negative breast cancers [248]. In fact, some tumors showing overexpression of phosphoglycerate dehydrogenase (PHGDH) redirect glycolytic intermediates into serine and glycine metabolism [202].

Besides amino acid metabolism, other metabolic pathways can be altered, including fatty acid  $\beta$ -oxidation. The contribution of  $\beta$ -oxidation to metabolism in cancers was suggested as providing an important source of acetyl-CoA, NADH, H<sup>+</sup>, and ATP, to sustain energy production and proliferation. However, there is still a large unknown to be investigated [161]. Fatty acid synthesis in normal cells occurs at a low rate, since fatty acids can be easily obtained via blood circulation. However, proliferation of some tumors was still observed even when mitochondrial catabolism of fatty acids originating from the blood stream was not occurring, forcing de novo fatty acid synthesis at very high rates [212] or export of citrate from mitochondria to produce

[AQ2]

244 acetyl-CoA [275]. To support this hypothesis, citrate transport is increased in tumor  
245 cells and also associated with glutamine uptake [237]. Moreover, increased lipogene-  
246 sis in cancer is closely associated with the overexpression and hyperactivity of ACL,  
247 acetyl-CoA carboxylase (ACC), or fatty acid synthase (FAS) [186]. Among these  
248 proteins, FAS was the most consistently increased in cancer cells, being expressed at  
249 low levels in normal cells and tissues [36]. In malignant cells, FAS is involved in lipid  
250 production for membrane incorporation, as well as synthesis of lipids for cell signal-  
251 ing, such as phosphatidylinositol-3,4,5-trisphosphate, which activates protein kinase  
252 B/Akt leading to cell proliferation and survival [323], lysophosphatidic acid, which  
253 stimulates tumor aggressiveness by signaling a family of G-protein-coupled recep-  
254 tors [256], and prostaglandins formed by cyclooxygenases, which support migration  
[AQ3] 255 and tumor–host interactions [143]. Moreover, fatty acid synthesis participates in the  
256 activation of oncogenic pathways, such as Ras, Src, or Wnt [247]. Lipid metabolism  
257 also involves important mitochondrial proteins, such as uncoupling protein 2 (UCP2),  
258 normally expressed in central and peripheral tissues [88]. Uncoupling proteins have  
259 multiple roles, which are tissue-dependent, including heat generation [303], fatty  
260 acid derivatives transport [105], and control of oxidative stress [23]. In some tumor  
261 models, high expression of UCP2 was observed to be associated with malignancy,  
262 increased aerobic glycolysis, and resistance to apoptosis [265].

263 Mitochondria are responsible for a significant part of ROS as well as reactive  
264 nitrogen species (RNS) generation in cells [38]. Both ROS and RNS act as biological  
265 mediators by regulating mitogen-activated protein kinases (MAPKs) essential in  
266 signaling pathways involved in cell survival, proliferation, and differentiation [222].  
267 ROS are mostly produced by mitochondrial complexes I and III [51]. Complex II  
268 has also been shown to be another source, possibly at the FAD coenzyme present in  
269 SDHA [145] or in a mutated SDHC subunit [281].

270 In malignant cells, ROS promote mitogenic signaling, cell survival, disruption  
271 of cell death signaling, epithelial–mesenchymal transition (EMT), metastasis, and  
272 chemoresistance [54]. In fact, increased uncontrolled mitochondrial ROS produc-  
273 tion affects HIF-1 by stabilizing HIF-1 $\alpha$ , the oxygen-sensitive subunit, allowing the  
274 dimerization with HIF-1 $\beta$  to form an active molecule [85].

275 The transcription factor p53 regulates ROS production and induces cell death  
276 when damage is extensive [253]. Excessive ROS production can damage proteins,  
277 lipids, and DNA, leading, in extreme situations, to cell death [299], once ROS pro-  
278 duction exceeds the capacity of cell antioxidant defenses [54]. In fact, some findings  
279 suggest that the mitochondrial antioxidant defenses do not provide efficient removal  
280 of ROS, especially H<sub>2</sub>O<sub>2</sub>, in most tumor tissues [48]. Another mitochondrial source  
281 of ROS, which has been associated with carcinogenesis, is p66Sch. This adaptor  
282 protein seems to promote increased oxidative stress by inhibiting the mitochondrial  
283 enzyme manganese superoxide dismutase (SOD2) activity [233]. On the other hand,  
284 SOD2 is an effective antioxidant enzyme with antitumor activity, since its overex-  
285 pression results in inhibition of tumor growth [14]. In melanoma and some cancer  
286 cell types, SOD2 expression was found to be decreased, more likely due to epigenetic  
287 silencing [158]. However, other studies are contradictory, showing that SOD2 over-  
288 expression in cancers of the gastrointestinal tract is correlated with an invasive and



289 metastatic profile, resulting in poor prognosis for the patients [169, 250]. Similarly  
290 to other proteins, SOD2 has heterogenic expression, probably due to cancer type or  
291 developmental stage.

292 Without having an intrinsic antioxidant activity, the overexpression of the  
293 anti-apoptotic Bcl-2 protects against ROS-induced apoptosis by promoting over-  
294 expression of antioxidants such as reduced GSH, catalases, and NAD(P)H [194].  
295 At the same time, other studies showed that Bcl-2 induces increased generation of  
296 mitochondrial ROS [49]. Even though cancer cells are often shown to have higher  
297 ROS production, coupled with high expression of cell antioxidants, the opposite  
298 can occur. For instance, a lower than normal generation of mitochondrial ROS was  
299 recently correlated to intrinsic chemotherapy resistance of cancer stem cells [92].

300 Due to the proximity to ROS sources, mitochondrial DNA (mtDNA) is continu-  
301 ously at risk for suffering oxidative damage. In fact, a correlation between altered  
302 mitochondrial gene expression and cellular metabolism alteration has been observed  
303 in some tumor types. Whereas mtDNA-encoded subunits correspond to catalytic en-  
304 zymes, nDNA-encoded subunits have functional and structural activities [53]. Thus,  
305 the coordination of the expression of nDNA-and mtDNA-encoded genes is essential  
306 for normal mitochondrial physiology [53]. In different systems, loss of mtDNA is  
307 associated with a decrease in oxygen consumption and increased oxygen tension  
308 inside cells [62]. In fact, mutations and altered mtDNA copy number were ob-  
309 served in diverse types of tumors and cancer cell lines (see also Sect. 3.2.3), leading  
310 to altered mitochondrial protein expression, morphology, and general physiology  
311 [11, 193, 204]. However, since these mutations result in a large range of tissue-  
312 dependent phenotypic variation, this complicates the identification of OXPHOS  
313 alterations as a unique pathogenic factor [216]. Importantly, mtDNA alterations can  
314 even lead to the activation of oncogenes including Ras and a downstream increase  
315 in Akt and Erk pathway signaling, besides several metabolic modifications [62].

### 316 **3.2.2 *Oncogenes Vs. Suppressor Genes and Mitochondria***

317 Oncogenes such as Myc, Ras, or Src induce the expression of glucose transporters  
318 (Glut), which are associated with tumor invasiveness and metastasis, but also are  
319 implicated in the regulation of mitochondrial activity [72]. The Myc gene is es-  
320 sentially engaged in conserved core target genes, which are involved in ribosomal  
321 and mitochondrial biogenesis, energy metabolism, and cell cycle regulation [103].  
322 Under normal conditions, Myc stimulates glucose oxidation and lactate production,  
323 while under hypoxia, Myc and HIF-1 cooperate to increase pyruvate dehydrogenase  
324 kinase 1 (PDK1) activity, leading to OXPHOS inhibition [176]. In addition, Myc can  
325 regulate the alternative splicing of the pyruvate kinase (PK) transcript, in favor of  
326 isoform M2 (PKM2) [74], which is one of the most regulated enzymes in glycolysis  
327 [206]. Pyruvate kinase converts phosphoenolpyruvate to pyruvate and produces ATP  
328 in the final step of glycolysis. Pyruvate kinase isoform M2 is the predominant form  
329 in many cancer cells [61]. This protein can promote glucose metabolism in can-  
330 cer cells by increasing lactate production and reducing oxygen consumption [302],

331 also directly binding to HIF-1, promoting its transcriptional activity [205]. Pyruvate  
332 kinase isoform 2 interacts with a specific cell surface marker in cancer stem cells,  
333 CD44, whose ablation leads to depletion of GSH and increased generation of intra-  
334 cellular ROS in glycolytic cancer cells [292]. In fact, PKM2 confers cancer cells  
335 with resistance to oxidative stress [7]. Regulation of glycolysis by Myc involves  
336 several other glycolysis-associated target proteins, including hexokinase 2 (HK2),  
337 phosphofructokinase (PFKM), and enolase1 (ENO1) [177]. Loss of Myc results in  
338 a profound decrease in the expression of genes involved in metabolism [308], while  
339 the activation of Myc and consequent upregulation of glycolysis can direct cells to  
340 use other substrates to fuel mitochondria; this allows cancer cells to easily adapt to  
341 different environments, including hypoxia and nutrient deprivation [300]. In fact,  
342 tumors in which Myc is upregulated are particularly sensitive to the amount of glu-  
343 tamine present, which suggests that Myc is regulated by glutamine metabolism as  
344 well [301]. Moreover, Myc induces lipogenic genes contributing to lipid membrane  
345 synthesis for fast-growing cells rather than used for fat storage [70]. Therefore, the  
346 ability of Myc to induce mitochondrial biogenesis despite glycolysis upregulation  
347 makes sense, since cells need a constant supply of amino acids and fatty acids to  
348 proliferate, and these are supplied by mitochondria [68]. Interestingly, inhibition  
349 of tumorigenesis is obtained after a brief suppression of Myc [164], while in other  
350 tumors this is not observed [29]. The evidence suggests that tissue specificity or  
351 even mutagenic or epigenetic alterations influence tumor regression following Myc  
352 suppression [318, 330].

353 Another oncogenic protein is Ras, which is mutated in one quarter of all can-  
354 cers, leading to increased aggressiveness [255]. Ras is associated with metabolic  
355 alterations, increased lactic acid accumulation, altered expression of mitochondrial  
356 genes, increased ROS production, and significantly decreased OXPHOS activity  
357 [124]. Specifically, mitochondrial dysfunction was associated with mitochondrial  
358 localization of STAT3, which is regulated by oncogenic Ras, and at the same time  
359 promotes mitochondrial respiration and an increase in glycolytic activity [139, 254].

360 Ras is activated by growth factors to transduce proliferation signals, medi-  
361 ating important pathways such as PI3K/Akt and MAPK [3, 255]. Similarly to  
362 Myc, the PI3K/Akt pathway can lead to glycolytic upregulation by diverse ways,  
363 including by increasing Glut1 expression [13], stimulating phosphofructokinase ac-  
364 tivity and increasing the association of hexokinase with mitochondria [258]. Both  
365 PI3K/Akt/mTOR and MAPK pathways were shown to be involved in lipogenesis  
366 [319]. Increased glycolytic activity is intrinsically associated with the activation of  
367 Akt for cell survival [107]. This protein can stimulate glycolysis in a dose-dependent  
368 manner, which is correlated with tumor aggressiveness *in vivo* [107]. Together with  
369 a high activity of the PI3K/Akt pathway, the inactivation of phosphatase and tensin  
370 homolog (PTEN), a negative regulator of PI3K pathway is often also found [108].  
371 Moreover, the hyperactivity of Akt can also lead to the increase of mammalian target  
372 of rapamycin (mTOR) activity, which in turn increases nutrient uptake during tumor  
373 cell proliferation [106]. Furthermore, Akt is important in lipid metabolism, activating  
374 enzymes involved in cholesterol synthesis, such as 3-hydroxy-3-methylglutaryl-  
375 coenzyme A (HMG-CoA) synthase and HMG-CoA reductase, and in fatty acids  
376 biosynthesis, namely FAS and stearoyl-CoA desaturase [246].

377 Low intracellular glucose or glutamine levels often result in lower ATP produc-  
378 tion and increased AMP levels [215]. AMP-activated protein kinase (AMPK) is an  
379 ATP sensor that is activated during metabolic stress, promoting cell survival by  
380 blocking the cell cycle progression or by inducing biosynthetic pathways for prolif-  
381 eration under harsh conditions. AMPK also participates in the inactivation of mTOR,  
382 through phosphorylation of tuberous sclerosis complex subunit 2 (TSC2) [69]. In a  
383 regular cell environment, when nutrients are not limiting, cells accumulate biomass  
384 and, in some cases, proliferate [333]. Several proteins are involved in this pro-  
385 cess, including insulin growth factor 1 (IGF-1), epidermal growth factor (EGF), or  
386 platelet-derived growth factor (PDGF), which are often absent in cancer [311]. In  
387 fact, some cancer cells can proliferate without external growth stimuli, altering the  
388 normal function of their downstream targets, Akt and mTOR [311]. Therefore, the  
389 mTORC1 complex senses the nutritional status of the cell, linking nutrient availabil-  
390 ity with proliferative activity [60]. On the other hand, mTORC2 activates Akt, which  
391 in turn promotes glycolytic activity, through phosphorylation of several proteins  
392 including hexokinase II, and also inhibits apoptosis by activating FoxO3A [114].  
393 FoxO3A can also be activated downstream of HIF-1 during hypoxia, inhibiting a set  
394 of nuclear-encoded mitochondrial genes and consequently decreasing mitochondrial  
395 mass, oxygen consumption, and ROS production and promoting cell survival [167].

396 The switch to glycolysis in cancer cells is also associated with the inactiva-  
397 tion of the tumor suppressor p53 [140], occurring via defective trans-activation of  
398 TP53-induced glycolysis and apoptosis regulator (TIGAR), which is an isoform of  
399 6-phosphofructo-2-kinase with the ability to inhibit glycolysis and ROS generation  
400 [103]. Similarly to TIGAR, the mitochondrial protein SCO2, which promotes mito-  
401 chondrial respiration by inducing the correct assembly of COX complex, is induced  
402 by p53, favoring mitochondrial respiration [17]. Moreover, PGC-1 $\alpha$  can bind to p53  
403 and modulate the transactivation of pro-arrest and metabolic genes [271]. Silencing  
404 or alteration of p53 activity can occur during the development of some types of tu-  
405 mors, especially during hypoxia, impacting the response of cells to DNA damage  
406 [274]. Interestingly, a p53-responsive gene, Lpin1, induced following DNA damage  
407 and glucose deprivation, is involved in the regulation of fatty acid oxidation in mouse  
408 C2C12 myoblasts [10]. On the other hand, p53 can accelerate the development of  
409 nearby capillary networks and contribute to minimizing hypoxia, through the con-  
410 sequent inactivation of thrombospondin (Tsp-1), a potent anti-angiogenic molecule  
411 [188]. Similarly to Myc, p53 promotes glutamine utilization by upregulating glu-  
412 taminase 2 [157], but as opposed to the former, it can have an inhibitory effect on  
413 the expression of Glut1 and Glut4 [267]. Interestingly, the overexpression of Glut1  
414 was shown to inhibit p53 and Puma activities during growth factor induction [329].

415 Some TCA cycle enzymes can act as tumor suppressors, including succinate dehy-  
416 drogenase (SDH) and fumarate hydratase (FH), which convert succinate to fumarate  
417 and fumarate to malate, respectively [138]. Interestingly, oncogenic mutations in  
418 SDH and FH can result in hypoxia-like response and glycolysis activation due to  
419 substrate accumulation, resulting in the development of paragangliomas (PGLs) as  
420 well as leiomyomatosis and renal cell carcinoma, respectively [32].

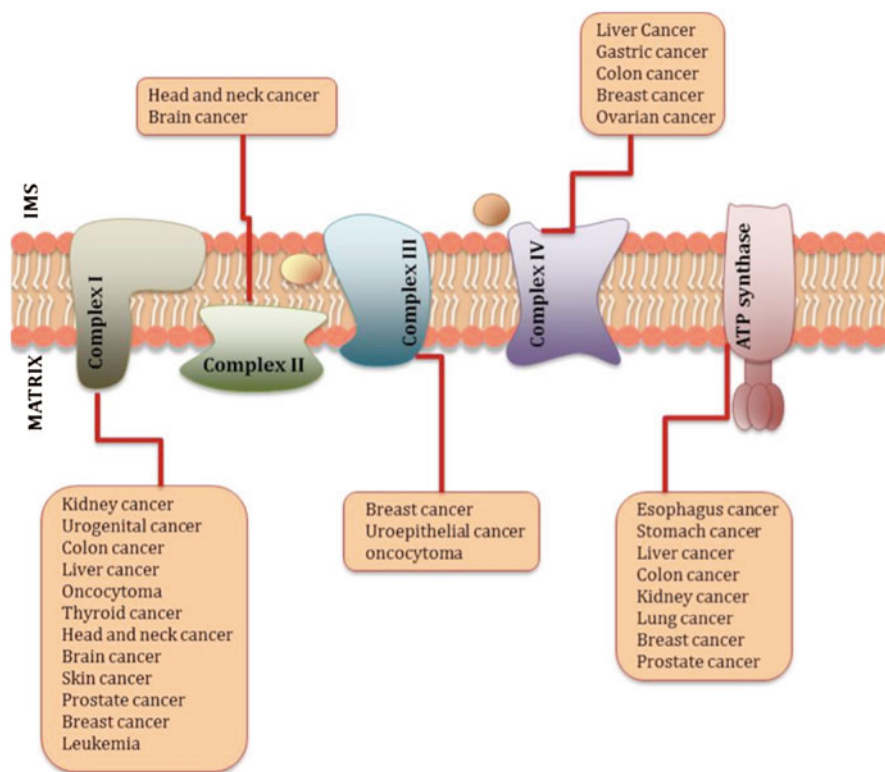
421 Sirtuins, proteins with de-acetylase activity, also modulate metabolism in cancer.  
422 Sirtuin 1 (Sirt1) was found altered in some cancer types, although the data are  
423 controversial whether this protein works as a tumor suppressor or as a promoter  
424 [82]. Sirtuin 1 acts as tumor promoter when inhibiting the activity of p53 through  
425 deacetylation at the C-terminal K382 residue [305]. Interestingly, Sirt1 and PGC-1 $\alpha$   
426 can activate HIF2 $\alpha$ , and consequently reprogram the metabolism of cancer cells by  
427 inhibiting the supply of fatty acids and pyruvate to mitochondrial metabolism, besides  
428 the upregulation of angiogenesis via expression of vascular endothelial growth factor  
429 (VEGF) [181]. On the other hand, Sirt1 can act as a tumor suppressor by regulating  
430 c-Myc, decreasing its activity [27]. Interestingly, both Sirt1 and fatty acid oxidation  
431 can be controlled by  $\beta$ -adrenergic/cAMP signaling [47].

432 Other sirtuins were also pointed out as having a role in tumorigenesis, namely  
433 Sirt3 and Sirt5, mitochondrially located sirtuins. Sirtuin 5 (Sirt5) overexpression was  
434 identified in pancreatic cancer [231], while a decrease in Sirt3 expression/activity  
435 leads to increased ROS production, a shift towards glycolysis metabolism, and tu-  
436 mor growth [117]. Furthermore, a number of studies showed that Sirt3 can control  
437 mitochondrial ATP production, possibly through regulating complex I activity [132].  
438 In addition, Sirt3 decreases cyclophilin D (cypD) activity, promoting its dissociation  
439 from the adenine nucleotide translocator 1 (ANT1). Sirtuin 3 can also promote the  
440 separation of hexokinase II from the outer membrane voltage-dependent anion chan-  
441 nel (VDAC), resulting in increased OXPHOS [277]. Sirtuin 3 can prevent oxidative  
442 stress through IDH2 activation and decrease chromosomal instability caused by ROS  
443 generation through increasing the activity of SOD2 [295, 322]. Both effects may be  
444 considered tumor-suppressant activities.

### 445 **3.2.3 Mitochondrial OXPHOS in Cancer**

446 Mitochondrial OXPHOS complexes are organized in large supermolecular structures,  
447 constituted by a diverse number of subunits. Defects in specific complex subunits can  
448 alter electron flux through the chain ([208]; Fig. 3.2). Some studies demonstrated the  
449 relationship between mitochondrial structure and metabolic state when cells were  
450 forced to use OXPHOS to synthesize ATP. In the absence of glucose, some cancer  
451 cell lines rapidly show morphological adaptations to the new substrate availability,  
452 namely by increasing the synthesis of OXPHOS components, cristae content, and  
453 elongation and ramification of mitochondrial network [261]. When cancer cells are  
454 made to rely more on glycolysis, the mitochondrial structure appears to become  
455 more fragmented [147]. Interestingly, a correlation between decreased levels of  
456 fusion proteins MFN2, MFN1, or OPA1 and inhibition of Krebs cycle, decrease  
457 of OXPHOS, and stimulation of glycolysis and lactic fermentation was previously  
458 observed [52].

459 One characteristic of some cancer cells is higher  $\Delta\Psi_m$  when compared with  
460 normal counterparts [175]. Mechanistically, this can be explained by mitochondrial  
461 membrane composition alterations, decreased proton influx, or a decreased activity  
462 of ATP synthase, among other causes [283]. In addition, cells usually regulate their



**Fig. 3.2** Different cancer types associated with specific mitochondrial respiratory chain complex alterations. *IMS* mitochondrial intermembrane space

463  $\Delta\Psi_m$  under a certain threshold to avoid the formation of ROS by the respiratory  
 464 chain, while in cancer cells, an incomplete OXPHOS may lead to higher  $\Delta\Psi_m$   
 465 and increased ROS production [306]. Moreover, the expression of mitochondrial  
 466 proteins involved in OXPHOS appears to be decreased. Besides the inhibition of  
 467 OXPHOS by intrinsic cellular signaling, mtDNA and/or nuclear gene mutations  
 468 or damaged enzymes can also result in lower respiration [48]. Downregulation of  
 469 mitochondrial proteins leads to general reduction of OXPHOS activity, especially  
 470 complex I, suggesting that at least in some cases, defective mitochondrial activity is  
 471 associated with altered cellular metabolism [126].

472 Mitochondrial complex I is a major site of oxygen superoxide anion production,  
 473 being also involved in apoptosis and age-related diseases [235]. Moreover, complex  
 474 I can be regulated by hormones, growth factors, and neurotransmitters [235]. Com-  
 475 plex I subunits have been shown to have more significant mutations than any other  
 476 complex in mitochondria, leading to the development of several diseases, including  
 477 cancer. Mutations in nuclear or mtDNA genes encoding complex I subunits may  
 478 result in deficient complex I activity, with ROS overproduction and, consequently,  
 479 upregulation of nuclear genes such as Mcl-1, HIF-1 $\alpha$ , and VEGF [57]. As already

480 described, these three genes regulate alterations in cell metabolism and metastatic  
481 potential [162]. Loss or reduced expression of GRIM-19 and NDUFS3 complex I  
482 subunits are present in primary renal cell carcinomas and urogenital tumors [154]  
483 and in highly invasive breast carcinoma [288]. Mutations in mitochondrial NADH  
484 dehydrogenase (ND) subunit 1 gene are present in patients with renal adenocarci-  
485 noma [48], colorectal carcinoma [325], hepatocellular carcinoma [195], and thyroid  
486 carcinoma, contributing to a decrease in enzymatic activity [25]. Mutations in the  
487 subunits ND2 and ND4–6 are present in thyroid cancer cell lines and renal oncocy-  
488 tomas [127], which also show low oxygen consumption, increased ROS production,  
489 and glucose dependency, besides fast tumor growth [236]. Particularly, the demethy-  
490 lation of the D-loop regulates ND2 expression in colorectal cancer [113], while  
491 mutations in ND subunit 4 have been identified not only in acute myeloid leukemia,  
492 but also in head and neck squamous cell carcinoma [67]. Finally, complex I subunit  
493 ND6 was described to be decreased in prostate cancer [66].

494 Complex I is also a caspase-3 and Calpain 10 substrate. Caspase-3 cleaves the  
495 largest subunit of the complex (p75), inhibiting its activity leading to mitochondrial  
496 membrane potential disruption and ROS production [174]. Upon increased calcium  
497 accumulation, Calpain10 inhibits complex I [9]. Complex I dysfunction can also pro-  
498 mote fibroblast activation, through increased ROS generation, and melanoma cell  
499 invasiveness [291]. In extreme situations, where complex I is lost, oxiphilic tumors  
500 and oncocytomas can be originated, showing upregulation of the other mitochon-  
501 drial complexes [331]. Mitochondrial complex I is, in fact, considered a sensible  
502 pacemaker of mitochondrial respiration [235].

503 Mutations in nuclear-encoded complex II subunits were associated with the oc-  
504 currence of specific tumors [156]. Complex II, or SDH, is composed of four distinct  
505 subunits (SDHA, SDHB, SDHC, and SDHD) and is the only complex totally en-  
506 coded by nuclear DNA. Loss of function or mutations in SDHB, SDHD, and SDHC  
507 (although in a lesser degree) can result in head and neck PGLs, extra-adrenal PGLs,  
508 and pheochromocytomas [35]. Tumors appear to be more aggressive when mu-  
509 tated SDHB is present, having a poor prognosis and metastatic potential [35]. Many  
510 mutations in complex II that are associated with cancer development occur in an  
511 iron–sulfur (Fe–S)-containing subunit. These tumors exhibit high levels of HIF-1 $\alpha$   
512 expression, promoting the downregulation of SDHB expression [46]. Hypoxia can  
513 further inhibit complex II activity, promoting an increase in ROS [201]. Mutations in  
514 SDHC can result in increased superoxide anion production and consequent oxidative  
515 stress, increased glucose consumption and genomic instability [281]. Interestingly,  
516 the downregulation of complex II subunits does not promote cell death; however,  
517 specific inhibition promotes it [197, 198].

518 Complex III has also been implicated in carcinogenesis, by being involved in  
519 generating ROS that is required for HIF hypoxic activation [179]. Complex III mu-  
520 tations in cytochrome *b* are found in human breast cancer cells [293] and murine and  
521 human uroepithelial carcinoma, which have in common increased ROS and lactate  
522 production, high oxygen consumption and induction of tumor growth, invasiveness,  
523 and immune system detection escape [73]. Although complex III is present in low  
524 amounts in oncocytoma [25] and breast cancer [251], UQCRCF1 (encoding RISP

525 protein) and UQCRH (encoding Hinge protein) complex III subunits were found to  
526 be overexpressed in human breast cancer cell lines and primary tumors [232].

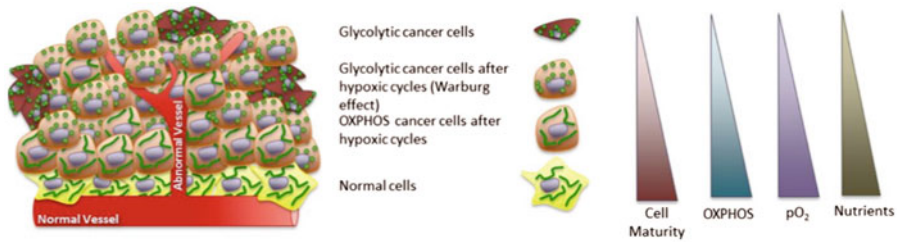
527 Complex IV (COX) is the terminal step in the ETC, responsible for the conversion  
528 of O<sub>2</sub> to H<sub>2</sub>O [160]. In fact, the expression of COX subunits is regulated by oxy-  
529 gen [121]. Therefore, it was suggested that reduced oxygen levels lead to isoform  
530 rearrangement, where COXIV-1 is degraded by mitochondrial protease LON and  
531 COXIV-2 is increased, resulting in optimization of COX activity for the new hypoxic  
532 condition with minimal ROS production [34, 121]. However, virtually all oxygen is  
533 consumed and the decrease of hydroxylase activity would result in activation of the  
534 HIF pathway [297]. Consequently, differential expression of COX subunits, namely  
535 low expression of COXII and high expression of COXI and COXIII, was detected  
536 in hepatoma, colon, and prostate cancer [1, 155, 289]. High expression of COXI is  
537 also associated with gastric tumorigenesis and ex vivo de-differentiation [207], while  
538 mutations in COXI are associated with prostate cancer [241]. In 40 % of breast and  
539 ovarian tumors, a decrease in COX subunit II expression was identified [86]. The  
540 COXVa subunit has a role in migration and invasion of non-small-cell lung carci-  
541 noma cells [55]. A metastasis-associated mechanism, involving Wnt/Snail signaling,  
542 suppresses mitochondrial respiration and COX activity, inducing a metabolic switch  
543 to glycolysis and pyruvate carboxylase expression [196]. Interestingly, expression  
544 of COX levels varies significantly between tissues, being higher in the liver [115].  
545 Whether this impact regulates COX activity/role in cancers in the liver versus other  
546 tissues remains to be known.

547 The downregulation of  $\beta$ -F1-ATPase is considered a feature of liver, kidney, colon,  
548 breast, and many other human carcinomas, where its reduction was correlated with  
549 increased expression of some glycolytic markers [63, 163]. Specifically, alterations  
550 of ATP6 subunit were found in prostate cancer [1], as well as in in vitro tumor  
551 models with decreased respiration rates, high proliferation, and significant resistance  
552 to apoptosis [276]. The natural inhibitor Factor 1 (IF1) of ATP synthase is also  
553 overexpressed in human cancer cells [264]. Altogether, overexpression of IF1, the  
554 limited expression of the catalytic  $\beta$  subunit, and upregulation of glycolytic proteins  
555 lead to inhibition of ATP synthase activity [96].

556 Interestingly, the most aggressive cancers have little or no mtDNA content [211].  
557 Indeed, although  $\rho^0$  cells, which lack mtDNA, have similar mitochondrial mem-  
558 brane potential to cancer cells [211], the former have increased capacity to invade  
559 neighboring tissues and promote metastasis [211].

### 560 **3.2.4 Tumor Oxygen Gradients and Mitochondrial Respiration**

561 Evidence suggests that cancer cells and the other microenvironment constituents  
562 co-evolve during the process of carcinogenesis [245]. The expression of metabolic  
563 biomarkers is altered according to the distance from the nearest vessels [280]. In fact,  
564 increased glucose uptake, hypoxia, and acidosis are not always fairly distributed in  
565 the tumor [56]. The microenvironment of tumors is heterogeneous due to inefficient  
566 blood supply, creating nutritional as well as metabolic gradients inside the tumor



**Fig. 3.3** Mitochondrial metabolism and dependence on oxygen and nutrient gradients within the tumor. The cycles of hypoxia or lack of nutrients can result in different cell metabolism used for adenosine triphosphate (ATP) production. Cancer cells under higher stress preferentially use glycolysis instead of oxidative phosphorylation (OXPHOS), while others with mild strain can maintain their mitochondrial ATP production. Moreover, such mitochondrial metabolic changes can also influence the maturity of the cell, if it is more or less differentiated. Mitochondria are represented as *round* or *filamentous green* bodies

567 [287]. Oxygen gradient in tumors can be created from both passive physical diffusion  
 568 and oxygen consumption resulting from cellular activity (Fig. 3.3; [75]). Another  
 569 possible reason for the differences in oxygen distribution and consequent acidosis  
 570 in tumors has to do with malformed vasculature [39]. Peripheral cells present high  
 571 proliferative capacity with full nutritional capacity supplied by blood, while cells with  
 572 low blood supply present a less active mitochondrial metabolism [102]. In fact, the  
 573 most aggressive tumors are those found under hypoxic conditions, where they suffer  
 574 cycles of hypoxia and re-oxygenation [87]. Metabolic demand, vessel morphology,  
 575 hemoglobin oxygen saturation, and blood flow rate can lead to differential hypoxia  
 576 cycling in tumors [280]. An increasing distance from the source of nutrients will  
 577 first promote decreased cell proliferation and later result in its stimulation [119]. The  
 578 hypoxic core is also the site where cancer stem cells are thought to be maintained  
 579 in an undifferentiated state [242], thus restraining their oxidative metabolism, again  
 580 suggesting a close relationship between tumor hypoxic cores and cell immaturity.

581 As described previously, HIF-1 is activated and modulates the mitochondrial  
 582 respiratory chain by regulating COX. Therefore, at low oxygen availability, the  
 583 COXIV-2 isoform is more active and more efficient in using oxygen [121]. These  
 584 observations explain mitochondrial activity and ATP production even under hypoxic  
 585 conditions. However, a negative correlation between oxygen gradients and ROS  
 586 generation is often found in the tumor microenvironment. In fact, cells under a high  
 587 ROS-prone environment must upregulate antioxidant defenses in order to modulate  
 588 the malignant phenotype, allowing them at the same time to escape from cell death  
 589 induction [234]. A signaling gradient of declining transforming growth factor beta-  
 590 1 (TGF- $\beta$ 1) concentration, which is important during development, is also often  
 591 deregulated in human tumors. Mitochondrial ATP synthesis can be modulated by  
 592 TGF- $\beta$ 1, stimulated through ANT1 and ANT2 regulation [191, 200], or inhibited  
 593 via cyclooxygenase-2 (COX-2) and prostaglandin (PG) E2 [50]. The latter signal-  
 594 ing pathway is connected with increased inflammation, ROS generation, altered  
 595 cytokine/chemokine expression, and enhanced signaling via nuclear factor kappa B  
 596 (NFkB), which combined results in increased risk factors for carcinogenesis [170].



Besides the variability of oxygen tension within the tumor microenvironment, cancer-associated fibroblasts (CAFs) are able to mimic hypoxia, expressing HIF-1 without real oxygen deprivation [298]. Interestingly, TGF- $\beta$  signaling and consequent metabolic reprogramming of CAFs are activated due to the loss of caveolin-1 (Cav-1) [44]. In CAFs, glycolytic enzymes are upregulated, while OXPHOS pathway is downregulated leading to overproduction of pyruvate and lactate that will fuel the surrounding cancer cells' metabolism, a phenomenon called "reverse Warburg effect" [26]. Moreover, Cav-1 seems to contribute to glucose uptake and ATP generation, through HMGA1-mediated Glut3 transcription [146]. Therefore, these results can help to explain the existence of cancer cells showing increased aerobic glycolysis in oxygenated tumor regions. Indeed, CAFs can even mediate EMT and enhance the motility response of cancer cells [131].

Unfortunately, much needs to be done to confirm the present ideas, especially the reverse Warburg effect in vivo. Measuring oxygen gradients in intact tumors has also been hard, making the identification of gradients in mitochondrial respiration difficult. Some techniques to measure oxygen gradients are available, including measuring oxygen supply at the microvessel level by using microelectrodes and phosphorescent lifetime imaging with  $pO_2$ -calibrated dyes [280]. Immunohistochemistry aimed at evaluating hypoxia gradients by detecting hypoxic markers is another possible technical approach [263].

From the previous sections, it is evident that mitochondria and the process of carcinogenesis are interconnected. Whether mitochondrial alterations are causally linked with cancer or are merely a small component of a larger metabolic remodeling is still under debate, although it appears that mitochondrial alterations are a piece of a more complex puzzle. Whatever the mechanism is, it is clear that mitochondria are important targets in cancer therapy. Therefore, the design and synthesis of effective pharmaceutical agents that would directly target mitochondrial alterations and decrease tumor size can be achieved. In addition, the differential metabolism used by normal and cancer cells can provide knowledge to discover new drugs with little or no side effects on normal cells.

### 3.3 Targeting Tumor Mitochondria—Closing Down the Factory

Distinct approaches to control cancer are available such as surgery, radiotherapy, and hormone and biological therapies. However, in many cases, those methods are clearly not fully effective, so chemotherapy is usually another tool to eradicate cancer. Unfortunately, the low specificity and the fact that the drugs currently in use have uncomfortable side effects drive the search for more effective and selective drugs.

Guchelaar et al. [142] and Decaudin et al. [78] were the first to point out mitochondria as a potential target for anticancer drugs, proposing the modulation of extrinsic and intrinsic regulators and finding developing chemotherapeutics that would act on mitochondria. Later, a new term, mitocan, was coined to refer to all compounds that exert their action by targeting mitochondria.

638 The first goal in chemotherapy administration is reached when the drug is selec-  
639 tively accumulated by the tumor. Furthermore, the drug needs to get in the tumor  
640 cell and reach mitochondria. The selective accumulation of promising anticancer  
641 molecules inside mitochondria of tumor cells, thus sparing normal cells, is a key  
642 point in the design of novel molecules [220]. The design of mitochondrial-directed  
643 agents, by either chemical conjugation or targeting transporters, has demonstrated  
644 promising efficacy; however, their specificity is still discussed. New agents specifi-  
645 cally target cancer cells when fused with peptides that recognize cancer-cell-specific  
646 surface receptors or internalized through the plasma membrane due to the biological  
647 activity of the molecule. Furthermore, if the agent contains a lipophilic cationic moi-  
648 ety, its accumulation by polarized mitochondria, which are negatively charged in the  
649 matrix, increases several fold [122]. Thus, the extent to which a drug may interact  
650 or even bind to subcellular components, such as membranes and cell organelles, de-  
651 pends on the physicochemical properties of the drug. In order to reduce undesirable  
652 side effects, which may result from the drug being accumulated in wrong tissues or  
653 in normal cells, or even in wrong organelles, efficient mitochondria-specific delivery  
654 systems have been proposed.

655 To specifically target mitochondria, distinct approaches can be found, including  
656 delocalized lipophilic cations (DLCs), mitochondrial targeting sequence (MTs)-  
657 containing polypeptides, synthetic peptides and amino-based transporters, and  
658 vesicle-based carriers, as reviewed by Weissig and Souza [314]. Unfortunately, many  
659 of these strategies can fail if the compound does not reach tumor cells. In fact, several  
660 potent anticancer candidates have been shelved due to low solubility and low mem-  
661 brane permeability. It is not easy to design a drug that would combine all essential  
662 properties regarding bioavailability and high pharmacological activity [314]. The  
663 mechanism by which mitochondrial drugs trigger apoptosis depends on the molecu-  
664 lar mitochondrial target site. Nowadays, the vast majority of conventional anticancer  
665 drugs activate death pathways, using multiple activation routes (e.g., p53 or death  
666 receptors) in order to exert their cytotoxic action [89]. Many of these agents fail  
667 due to disruption of endogenous apoptosis-inducing pathways in tumor cells. Newer  
668 and more specific therapies have become more prevalent in the treatment of specific  
669 cancers as the molecular mechanisms of carcinogenesis become better characterized.

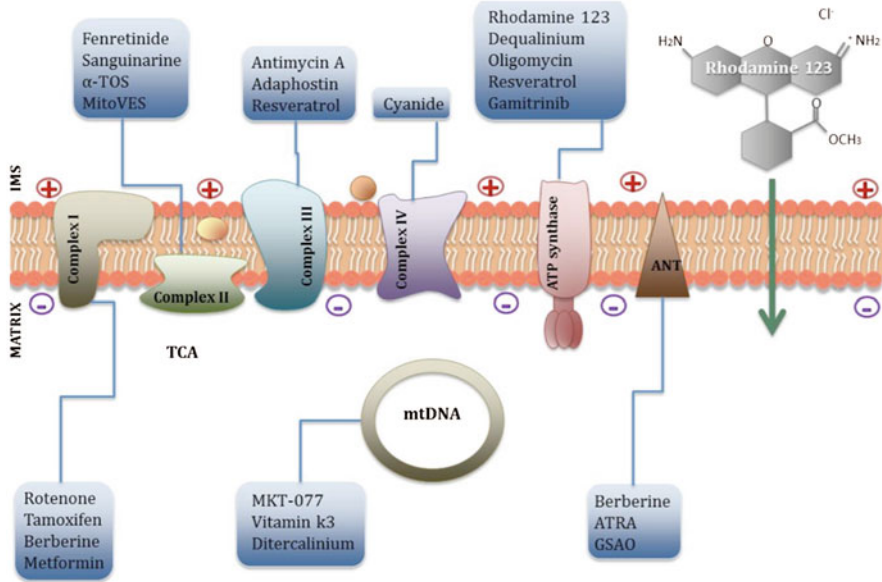
### 670 **3.3.1 Targeting Mitochondrial Feeding**

671 Although not technically mitocans, some compounds will target different steps of  
672 the glycolytic pathway, preferentially affecting those tumors that rely on glycoly-  
673 sis. Inhibition of glycolysis can lead to increased tumor susceptibility to common  
674 anticancer agents with minimal effects on normal cells [136]. For example, ATP  
675 depletion and consequent death by dephosphorylation of pro-apoptotic BAD pro-  
676 tein as well as BAX-induced outer mitochondrial membrane permeabilization were  
677 observed when the energy-depleting agent 3-bromopyruvate (3BrPA) and glucose  
678 analog 2-deoxy-D-glucose (2DG) were used together [79, 328].

679 3BrPA is a lactic acid analog known for its alkylating activity, selectively targeting  
680 hepatocellular carcinoma cells in vitro [182]. In vivo, 3BrPA suppresses metastatic  
681 lung tumors with no apparent side effects [130]. This compound suppresses glycoly-  
682 sis by inhibiting the activity of hexokinase and by interfering with VDAC–hexokinase  
683 interaction. 3BrPA is believed to enter the cancer cell via lactic acid transporters that  
684 are overexpressed in these cells [238], and inhibits SDH activity and mitochondrial  
685 respiration [182]. 3BrPA alone promotes cell death of AS-30D hepatocellular carci-  
686 noma cells which exhibit the “Warburg effect,” while in combination with other  
687 chemotherapeutics, such as [Cu(II)]<sub>2</sub>, a DLC-like molecule, inhibits mito-  
688 chondrial oxygen consumption and produces ROS leading to cell death [118, 237].  
689 Moreover, an in vivo antitumor effect in hepatic and pancreatic cancer was observed  
690 in combination with the 90-kDa heat-shock protein (HSP90) inhibitor geldanamycin  
691 [42]. 2DG, in turn, is a non-metabolizable glucose analog used in human lymphoma  
692 cells to inhibit glucose metabolism and which, in combination with tumor necro-  
693 sis factors (TNF), induces apoptosis [134]. 2DG suppresses intracellular ATP and  
694 potentiates phosphatidylserine exposure induced by Fas [134]. Certain pancreatic  
695 tumors, with specific Glut-1 expression profiles, were shown to be susceptible to  
696 2DG, due to greater accumulation of this drug [209]. 2DG was also used as adjuvant  
697 in combination with ETC blockers, which were particularly effective against colon  
698 cancer cells [28].

699 Dichloroacetate (DCA), structurally similar to pyruvate, stimulates OXPHOS  
700 through inhibition of pyruvate dehydrogenase kinase (PDK), hence activating pyru-  
701 vate dehydrogenase (PDH) and shifting metabolism from glycolysis to glucose  
702 oxidation. Michelakis et al. [214] observed that DCA leads to mitochondrial depolar-  
703 ization and increased mitochondrial ROS generation, leading to death of glioblastoma  
704 multiforme cells, both in vitro and in vivo. The mechanism of action involves target-  
705 ing PDK II, highly expressed in this type of cancer. When associated with irradiation  
706 or etoposide, DCA induces apoptosis of glioma cancer stem cells in vitro, inducing  
707 the overexpression of BH3-only proteins (Bad, Noxa, and Puma), while reducing  
708 their growth in vivo [226]. Interestingly, DCA has higher activity in cells with def-  
709 ective mitochondria, presenting an effective synergistic effect with other mitocans  
710 [285]. Unfortunately, DCA does not have a selective activity, acting on both cancer  
711 and normal cells, although DCA has also been used to treat mitochondrial diseases  
712 [285]. Therefore, this compound is not a good solution in cancer cells with func-  
713 tional mitochondria, suggesting that DCA may benefit only a selected subset of  
714 patients. Another strategy to control glycolysis is through the suppression of glucose  
715 transports. Sensitizing tumor cells with phloretin, a glucose transporter inhibitor,  
716 enhanced the activity of daunorubicin [41].

717 Lipid metabolism has been a potential target for antitumor therapy with enzymes  
718 such as FAS, ACC, or ACL being good targets. Their downregulation was shown  
719 to decrease the proliferation of tumors [290]. Moreover, statins, the cholesterol-  
720 lowering agents, were shown to reduce the incidence of some cancers, and also  
721 to improve chemotherapy efficacy [33]. Palmitoylcarnitine and carnitine can in-  
722 duce apoptosis in transformed cells by increasing the synthesis of ceramide, a  
723 pro-apoptotic lipid, as well as by inducing glucose and fatty acid oxidation, leading  
724 to mitochondrial ROS production [316].



**Fig. 3.4** Mitochondria-targeting agents. Cancer cells have altered metabolism, conferring benefits for cell survival and chemotherapy resistance. Several agents are currently under clinical trial to selectively target mitochondria in tumor cells and alter their physiology. One strategy is by using the higher mitochondrial membrane potential ( $\Delta\Psi_m$ ) normally found in several tumors (e.g., Rhodamine 123). Several agents target components of the respiratory chain, the adenine nucleotide translocator (ANT), or mitochondrial DNA (mtDNA). Disturbance of mitochondrial function in cancer cells can result in the induction of apoptotic cell death. *TCA* Tricarboxylic acid cycle, *ATRA* All-trans retinoic acid, *GSAO* glutathione-coupled trivalent arsenical,  $\alpha$ -*TOS*  $\alpha$ -Tocopheryll succinate, *IMS* mitochondrial intermembrane space

725 For some cancer types, the inhibition of glycolysis per se is not enough, since  
 726 cancer cells can adapt by remodeling their metabolism with tumor recurrence likely  
 727 to occur. In those cases, targeting different metabolic pathways may be the solution.

### 728 3.3.2 Targeting Mitochondria

729 By taking advantage of mitochondrial alterations in several cancer types, specific mi-  
 730 tochondrially targeted agents can be designed (Fig. 3.4). For example, some cancer  
 731 cells present higher  $\Delta\Psi_m$  when compared with non-tumor counterparts [8]. Thus,  
 732 positively charged lipophilic molecules can be designed to accumulate inside mito-  
 733 chondria, disrupting the organelle and causing cell death. For example, the positively  
 734 charged Rhodamine-123 is preferentially accumulated in mitochondria of cancer  
 735 cells, showing a higher degree of toxicity towards them [221]. Rhodamine-123 and  
 736 analogs are a clear example of using a biophysical characteristic of mitochondria  
 737 in cancer cells (i.e., higher  $\Delta\Psi_m$ ) to undergo selective toxicity and accumulation  
 738 [190]. Once accumulated by mitochondria in cancer cells,  $\Delta\Psi_m$  is disturbed and

739 Rhodamine-123 inhibits the  $F_0F_1$ -ATPase [219]. Rhodamine-123 has also been used  
740 in conjugation with other compounds, such as 2DG, in the treatment of human breast  
741 carcinoma. The two compounds jointly inhibit the growth of cancer cells, whereas  
742 no toxicity was observed in normal cells [24]. A similar effect was observed during  
743 *in vivo* studies, suggesting that the disturbance of OXPHOS and glycolytic pathways  
744 in tumor cells can be an effective treatment [19]. Cyanine analogs, including MKT-  
745 077, are also preferentially accumulated in tumors with higher  $\Delta\Psi_m$  [312]. Although  
746 tested during phase I clinical trials, further trials with MKT-077 were stopped due  
747 to renal toxicity in some patients [31, 312].

748 Berberine, a phytoalkaloid presenting a positive charge in its structure, is accu-  
749 mulated in tumor cells at low concentrations [273]. Berberine targets the respiratory  
750 chain by inhibiting mitochondrial complex I and interferes as well with the mito-  
751 chondrial phosphorylative system [239], especially with the ANT [240]. Berberine  
752 also induces apoptosis by increasing ROS production, leading to overexpression of  
753 p53 and downstream apoptotic proteins [166]. Another phytochemical, sanguinarine,  
754 disrupts mitochondrial calcium loading capacity and increases p53 expression [272].  
755 Sanguinarine interferes with the mitochondrial respiratory chain, namely at complex  
756 II [12], and causes ROS-induced DNA damage [58], GSH depletion, and cleavage  
757 of poly (ADP-ribose) polymerase and beta-catenin [59]. Dequalinium and F16 are  
758 other lipophilic cations with mitochondrial disruptive effects [111, 313]. However,  
759 there are no current clinical trials with any of these molecules.

760 Agents that interfere with mitochondrial respiration, including OXPHOS uncou-  
761 plers cause cell death due to bioenergetic disruption. Numerous inhibitors of the  
762 mitochondrial respiratory chain are used as tools to better understand mitochon-  
763 drial respiration; however, in general, these mitochondrial poisons are toxic *in vivo*,  
764 due to their nonspecific activity. Classic mitochondrial poisons include rotenone  
765 (complex I), antimycin A (complex III), cyanide (complex IV), and oligomycin  
766 (complex V, or ATP-synthase), besides protonophores such as carbonylcyanide triflu-  
767 oromethoxyphenylhydrazine (FCCP) [97]. These and other mitochondrial inhibitors  
768 decrease the capacity to stimulate ROS production and apoptosis of cancer cells. For  
769 example, tamoxifen targets complex I [224], fenretinide inhibits complex II [65], and  
770 complex III is predominantly inhibited by adaphostin [192]. Alternative molecules  
771 presenting lower toxicity have been developed:  $\alpha$ -Tocopheryl succinate ( $\alpha$ -TOS) is  
772 a vitamin E analog capable of preferentially targeting mitochondria in cancer cells,  
773 inducing proliferation arrest [249].  $\alpha$ -Tocopheryl succinate is tumor-selective due to  
774 its ester structure, since the hydrolysis of  $\alpha$ -TOS to  $\alpha$ -tocopherol occurs in normal  
775 cells but not in tumor cells [168]. Moreover,  $\alpha$ -TOS induces cell death by target-  
776 ing the ubiquinone-binding site at complex II, causing electron leakage, stimulating  
777 ROS generation and killing malignant cells at nontoxic concentrations for normal  
778 cells [100, 230].  $\alpha$ -Tocopheryl succinate facilitates the translocation of Bax from the  
779 cytosol to mitochondria and subsequent cytochrome *c* release [321].  $\alpha$ -Tocopheryl  
780 succinate also induces apoptosis in proliferating endothelial cells by causing oxida-  
781 tive damage and suppressing angiogenesis *in vitro* and *in vivo* in different breast  
782 cancer models [99]. Another compound with a similar activity to  $\alpha$ -TOS is mitoVES  
783 [101].

784 Resveratrol is polyphenolic phytoalexin, found in the skin of red grapes, berries,  
785 and peanuts, and which presents with chemotherapeutic and chemopreventive prop-  
786 erties [165]. Resveratrol induces the redistribution of Fas/CD95 and TRAIL receptors  
787 in lipid rafts in colon carcinoma cells [81]. Resveratrol also decreases ROS produc-  
788 tion by competing with coenzyme Q and decreasing complex III activity [332].  
789 Nitric oxide production, caspase activation, and p53 are also necessary for the  
790 mechanism of action of resveratrol in tumor cells [178]. In normal cells, resveratrol  
791 increases mitochondrial capacity by activation of peroxisome proliferator-activated  
792 receptor- $\gamma$  coactivator 1 $\alpha$  (PGC1 $\alpha$ ), which in turn stimulates sirtuin 1 (SIRT1) [189].  
793 Nevertheless, structure-activity studies showed that resveratrol can interfere with  
794 mitochondrial ATP synthesis by binding to F1-ATPase, which may contribute to  
795 cell death induction [133]. Resveratrol has a low bioavailability [307]; hence, struc-  
796 tural modifications may increase its clinical usefulness. In fact, a complex between  
797 triphenylphosphonium and resveratrol leads to mitochondrial accumulation of this  
798 compound [21]. Resveratrol is currently under clinical evaluation for colon can-  
799 cer and multiple myeloma treatments [144, 282]. Moreover, resveratrol and other  
800 polyphenols are claimed to activate Sirt 3 [132]. Upregulation of this mitochondrial  
801 sirtuin may have a similar effect to that of DCA, which increases mitochondrial  
802 metabolism and disturbs cancer cell homeostasis.

803 Both hormones, insulin and insulin-like growth factor, are associated with a range  
804 of cancers [244]. Evidence shows that obese and diabetic individuals are a risk group  
805 for the development of cancer, and also have a worse prognosis in the event of  
806 the disease. Metformin is an anti-glycemic agent used in type 2 diabetes, thought  
807 to decrease cancer incidence [296]. Metformin is an AMPK activator and inhibits  
808 complex I in human breast cancer in situ [317], also increasing tumor cell sensitivity  
809 to chemotherapy [125]. However, caution is required in patients with diabetes since  
810 the use of metformin as adjuvant may not be as effective, because these patients may  
811 already have a long-term prescription [199]. Metformin also compromises the growth  
812 of breast cancer tumors in mice, by modulating endoribonuclease Dicer (DICER),  
813 through mir33a upregulation and by targeting c-Myc [22].

814 Other drugs can target other mitochondrial structures. Lonidamine is an inhibitor  
815 of aerobic glucose utilization and can also directly interact with hexokinase [110].  
816 Arsenic trioxide (As<sub>2</sub>O<sub>3</sub>) triggers cancer cell death by inhibiting thioredoxin  
817 reductase and promoting oxidative stress [93], which has been shown to be effective  
818 against acute promyelocytic leukemia (APL) [5]. Arsenic trioxide has also been  
819 used in combination with all-trans retinoic acid (ATRA) showing a synergistic  
820 effect against APL mouse models [210]. ATRA is a natural derivative of vitamin  
821 A, which stimulates the expression of retinoic acid receptor-responsive genes [210].  
822 This compound suppresses mitochondrial respiration, decreases  $\Delta\Psi_m$ , and triggers  
823 ANT-dependent MPT and cell death independent from nuclear receptor binding,  
824 suggesting another potential mechanism of action is involved [228]. The potential  
825 of As<sub>2</sub>O<sub>3</sub> and ATRA in the treatment of other cancer types is also being explored  
826 [326]. A GSH-coupled trivalent arsenical compound (GSAO) causes apoptosis  
827 in angiogenic endothelial cells both in vitro and in vivo, although it was initially  
828 suggested that proliferating cancer cells would be targeted as well [98]. However,

low toxicity towards the latter was observed [98]. GSAO can inhibit ATP/ADP transport by cross-linking two of the three matrix-facing cysteine thiols in the ANT. This will lead to ATP depletion, ROS generation, and ultimately mitochondrial depolarization and apoptosis [98]. Angiogenic cells can often circumvent many therapies; however, these cells have a decreased capacity to buffer the arsenical moiety by expressing low MRP1/2 [37]. GSAO is currently in clinical trials in cancer patients and promising results are anticipated [37, 94].

HSP90 is not normally present in mitochondria of normal cells; however, this chaperone is upregulated in mitochondria in cancer cells, due to a possible induction by Ras and Akt oncogenes [243]. HSP-90 is an ATPase-directed molecular chaperone that supervises protein folding during cellular stress responses, with the protein complexes involved in cell proliferation and cell survival [243]. The molecular chaperone Hsp90 provides an attractive target for therapeutic interventions in cancer. Shepherdin is a peptidomimetic that is easily accumulated in mitochondria, and which is an antagonist of the complex between Hsp90 and survivin (cell cycle-regulating protein), plus other additional client proteins such as TRAP-1 [278]. Shepherdin inhibits Hsp90 chaperone activity via an ATP competition mechanism and kills cancer cells by inducing the mitochondrial permeability transition (MPT) [278]. Shepherdin showed no toxicity for brain and liver mitochondria in several human cancers [172, 243]. Gamitrinib was conceived by coupling an HSP90 inhibitor to lipophilic cationic moieties. Gamitrinib specifically targets mitochondria in cancer cells, and antagonizes the ATPase activity of HSP90. Gamitrinib causes the death of cancer cells and suppresses tumor growth in vivo, with no apparent effect on normal counterparts [173].

Some test compounds specifically target mtDNA. A vitamin K sub-type, vitamin k3, is a synthetic compound that has been described to inhibit DNA polymerase  $\gamma$ , thus disturbing mtDNA replication and promoting ROS generation leading to apoptosis [266]. However, vitamin k3 can interfere with calcium homeostasis and decrease GSH levels as well [95]. In vitro studies demonstrated that vitamin k3 displayed anti-tumor activity against pancreatic and breast cancer cells [2]. Ditercalinium is another agent which is preferentially accumulated in mitochondria, and that targets mtDNA, inhibiting replication [229]. After treatment with ditercalinium, ultrastructural studies showed a depletion of mtDNA and loss of mitochondrial cristae [268]. Agents that disturb mtDNA are predicted to affect mitochondrial respiration by leading to loss of OXPHOS subunits encoded by the mitochondrial genome.

### 3.4 Concluding Remarks

The present chapter demonstrates that the profound metabolic remodeling of cancer cells, including mitochondrial rearrangement, not only is an indirect response to cell survival or proliferation but also can be controlled by specific cell signaling [310]. Nevertheless, there are no specific mitochondrial or metabolic alterations common to all cancer types, although the activation of different metabolic pathways results in similar phenotypes. There are no doubts that mitochondrial deregulation and

871 metabolism remodeling are important hallmarks of cancer cells; however, as pointed  
872 out, there are other cases where an altered metabolic pattern is not observed. Besides,  
873 many proteins involved in carcinogenesis have dual and opposite functions even  
874 inside the same tumor. It is also important to take into account the model that is being  
875 used to evaluate the protein activity, since many of them vary their behavior between  
876 in vitro and in vivo situations [334]. The large number of functions mitochondria  
877 have in cells implies that many of those may be altered during cancer, some of which  
878 will contribute to carcinogenesis while others will act as tumor suppressors. The  
879 mitochondrial respiratory chain has an important function not only in the context of  
880 ATP production, but also in maintaining a determined redox balance. A specific tumor  
881 signature requires that each one of these functions is altered somehow to respond to  
882 metabolic and survival cues. In the traditional model, a decrease in mitochondrial  
883 ATP production, resulting from different factors such as a hypoxic environment or  
884 low glucose, will drive the generation of malignant mitochondrial ROS production  
885 and trigger mitochondrial biogenesis [253]. Mitochondrial respiration can then be  
886 regulated by differential expression of OXPHOS subunits or by upstream signaling  
887 and/or metabolic pathways. By its turn, inhibition or stimulation of mitochondrial  
888 respiration can feed back onto other cancer cell pathways or even increase genomic  
889 instability, thus contributing to higher aggressiveness.

890 Targeting mitochondria in tumors based on specific respiratory alterations or com-  
891 ponents implies a type of knowledge that we may not have at the moment. Even inside  
892 the same tumor mass, mitochondrial respiration is different according to the oxygen  
893 gradient. In the absence of oxygen, mitochondria can still maintain  $\Delta\Psi_m$  by the  
894 reverse action of ATP synthase [284]. This means that compounds targeting the res-  
895 piratory chain will not work; instead, the inhibition of the ATP synthase in a selective  
896 manner in tumor cells is a solution in the future.

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