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**Synoptic-Scale Precursors of East Asia/ Pacific Teleconnection Pattern
Responsible for Persistent Extreme Precipitation in the Yangtze River Valley**

Yang Chen^{a,b} and Panmao Zhai^b *

^a Department of Atmospheric Science, Nanjing University of Information Science &
Technology, Nanjing, China

^b State Key Laboratory of Severe Weather, Chinese Academy of Meteorological
Sciences, CMA, Beijing, China

*Correspondence to: P.M. Zhai, No. 46 Zhong-guan-cun-nan-da-jie, Haidian, Beijing,
China. E-mail: pmzhai@cma.gov.cn

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Abstract

25
26 Synoptic-scale precursors of typical East Asia/ Pacific (EAP) teleconnection pattern
27 responsible for persistent extreme precipitation events (PEPEs) in the Yangtze River
28 Valley (YRV) are investigated based on a composite analysis. The results reveal that
29 about one week prior to PEPEs, a blocking high develops near the Sea of Okhotsk
30 owing to an eastward energy dispersion and further strengthens markedly due to a
31 poleward energy dispersion from low latitudes. Subsequently, a meridional tripole
32 structure of typical EAP pattern becomes well established by this blocking and a
33 westward-migrated strong negative anomaly at mid-latitudes/positive anomaly at
34 lower latitudes. In the lower troposphere, a westward-progressive anomalous
35 anticyclone-cyclone pair can be identified up to about a week prior to PEPEs,
36 contributing to greatly enhanced moisture transport towards the YRV with a
37 magnitude anomaly over 3 standard deviations above normal. A mid-latitude
38 anomalous cyclone associated with the EAP pattern evolution and the
39 eastward-extended South Asia High combine to provide favorable upper-level
40 divergence. Correspondingly, strong ascent of low-level warm/moist air along a
41 quasi-stationary front leads to PEPEs in the YRV. A contrastive analysis between
42 evolution of typical wet and dry EAP regimes indicates that EAP-induced PEPEs are
43 more likely to occur in the YRV with the ridge of the western Pacific subtropical high
44 typically staying around northeastern quadrant of the South China Sea. This
45 contrastive analysis also highlights the importance of the upstream pre-existing ridge
46 to early strengthening of the Okhotsk blocking.

47 *Key Words:* East Asia/ Pacific teleconnection; persistent extreme precipitation;
48 precursors

49

50 **1. Introduction**

51 Certain recurrent large-scale flow regimes have been reported to be responsible for
52 long-lived circulation anomalies, which further result in prolonged extreme weather
53 conditions (Dole and Gordon, 1983; Dole, 1986; Higgins and Mo, 1997; Archambault
54 *et al.*, 2008; Lau and Weng, 2002). The duration of these regimes spans from several
55 days to a few weeks. The teleconnection pattern is deemed to be one of such regimes.
56 For instance, the Pacific–North American (PNA) teleconnection (Wallace and Gutzler,
57 1981) can substantially modulate the amount of precipitation received by the
58 Northeastern United States in the cool-season, because the PNA phases are linked to
59 the strength and the location of jet stream and storm track (Archambault *et al.*, 2008,
60 2010). Blocking episodes are another typical case of such regimes (Rex, 1950), which
61 usually lead to anomalous storm tracks by obstructing normal eastward progressive
62 synoptic disturbances (Carrera *et al.*, 2004).

63 During boreal summer, the Pacific-Japan (P-J) teleconnection has been recognized
64 as a dominant mode over East Asia and it exerts great influences on East Asia summer
65 monsoon (Nitta, 1987; Nitta and Hu, 1996; Huang and Sun, 1992; Huang, 2004; Lau
66 *et al.*, 2000; Hirota and Takahash, 2012). This teleconnection pattern primarily reflects
67 the configuration of three key systems, namely the western Pacific subtropical high
68 (WPSH), the Mei-Yu trough and the Okhotsk high over northeast Asia (Bueh *et al.*,

69 2008), and it is also called East Asia/Pacific (EAP) pattern by Huang and Li (1987).
70 The positive (negative) phase of the EAP teleconnection pattern at 500 hPa is
71 predominately characterized by positive (negative) geopotential height anomalies
72 around the Sea of Okhotsk and the western Pacific subtropical area respectively,
73 sandwiching a negative (positive) height anomaly at mid-latitudes over East Asia.
74 Hoskins and Karoly (1981) noted that Rossby waves triggered by a low-latitude
75 source could propagate strongly poleward as well as eastward. Based on theoretical
76 analysis and numerical experiments, both Huang (1985) and Nitta (1987) pointed out
77 that the EAP (P-J) teleconnection was primarily triggered by anomalous convective
78 activity near the western Pacific warm pool. Recently, Kosaka and Nakamura (2006,
79 2010) have revealed that this poleward dispersion mainly occur below the mid
80 troposphere. Persistent circulation anomalies during positive (negative) EAP phases
81 may result in cool (hot) summers in Japan and floods (droughts) in the Yangtze River
82 in China (Nitta, 1987; Huang and Sun, 1992; Huang, 2004; Lau and Weng, 2002).
83 Accordingly, this teleconnection pattern has been widely used in the operational
84 prediction of climate anomalies on a seasonal timescale over East Asia (Huang, 2004).
85 Substantial scientific attention has therefore been paid to the EAP teleconnection
86 pattern itself and its influences on East Asian summer monsoon on interannual to
87 inter-decadal timescales (Lau and Weng, 2002; Lau *et al.*, 2000).

88 Concurrently long-lived circulation anomalies from the lower to the upper
89 troposphere are capable of inducing prolonged intense precipitation (Chen and Zhai,
90 2014a), referred to as persistent extreme precipitation events (PEPEs) (Chen and Zhai,

91 2013). Actually, regional floods tend to be induced by these PEPEs persisting for at
92 least three consecutive days. Root *et al.* (2007) have noted that many high-impact
93 weather events failed to be recognized in the model output by even experienced
94 forecasters. Recognizing the potential for significant weather events based on patterns
95 and anomalies may therefore be another available method in improving high-impact
96 weather prediction (Grumm and Hart, 2001). Some recurrent teleconnection patterns
97 have already served as a tool for predicting high-impact precipitation events
98 (Archambault *et al.*, 2008, 2010; Lau and Weng, 2002). Encouragingly, the behaviors
99 of teleconnection patterns have become predictable by forecast models up to two
100 weeks in advance, especially during their extreme phases (Archambault *et al.*, 2010;
101 Johansson, 2007). Specifically, EAP patterns have been frequently detected during
102 some famous PEPEs that triggered severe flooding in the YRV. For example, PEPEs
103 in 1991 and 1998, both of which resulted in thousands of deaths and casualties as well
104 as billions of dollars in economic losses, have been reported to be obviously
105 associated with the EAP pattern (Chen and Zhai, 2014a; Huang 2004). It is therefore
106 of great practical value to quantify relationships between the EAP-related persistent
107 circulation anomalies and extreme precipitation. Though some attention has been paid
108 to synoptic structure of EAP (P-J) pattern recently (Bueh *et al.*, 2008; Sato and
109 Takahashi, 2006; Ogasawara and Kawamura, 2007), the influences of EAP-related
110 persistent anomalies on PEPEs on synoptic timescales have hitherto been rarely
111 reported.

112 The main objective of this study is to investigate the influences of the EAP

113 teleconnection pattern evolution on PEPEs in the Yangtze-River Valley (YRV, region
114 marked by rectangle in Figure 1a) on synoptic timescales. Of particular importance is
115 to identify synoptic precursor anomalies related to the EAP pattern evolution that are
116 capable of inducing PEPEs in the YRV. The YRV is selected as the study area because
117 this region is frequently affected by PEPEs associated with the stationary Mei-Yu
118 front (Chen and Zhai, 2013, 2014a; Ding and Chan, 2005), and it is one of the most
119 populated as well as the most economically developed regions in China.
120 Correspondingly, PEPEs in this region represent great threats to both society and
121 human.

122

123 **2. Data and Method**

124 *2.1. Data*

125 The data of observed daily precipitation amounts at 50 stations located in the YRV
126 (dots in the rectangle in Figure 1a) during 1961-2010 are used to build
127 domain-averaged precipitation events database. This data is kindly provided by the
128 Climate Data Center (CDC) of the National Meteorological Information Center
129 (available online <http://cdc.cma.gov.cn/home.do>), China Meteorological
130 Administration (CMA).

131 The daily reanalysis data are provided by the National Centers for Environmental
132 Prediction and National Center for Atmospheric Research (NCEP/NCAR), at a
133 horizontal resolution of $2.5^{\circ} \times 2.5^{\circ}$ (Kalnay *et al.*, 1996). The data used in this study
134 includes geopotential height (gpm), horizontal wind (m/s), specific humidity (kg/kg),

135 air temperature (K), and relative humidity (%). Another reanalysis data of higher
136 resolution, Climate Forecasting System Reanalysis (CFSR, Saha *et al.*, 2010), is also
137 employed. Highly similar results, to be documented in the following section 4, can be
138 achieved by using the CFSR. In order to include as many typical cases as possible
139 (especially cases before 1979), the results based on the NCEP/NCAR reanalysis are
140 presented, considering shorter time coverage of the CFSR. Additionally, the
141 horizontal resolution of $2.5^{\circ} \times 2.5^{\circ}$ is high enough for large-scale circulation analyses.

142 PEPEs tend to occur in the YRV during June-July (Chen and Zhai, 2013), when is
143 also the typical Mei-Yu episode (Ding, 1992). June and July are therefore selected as
144 the study period.

145

146 2.2. Methods

147 This study is based on a composite analysis, which is a simple and effective method
148 in identifying and typing synoptic-scale circulation patterns and their precursors
149 associated with extreme events (Sisson and Gyakum, 2004; Grotjahn and Faure,
150 2008).

151 Anomalies of different variables, such as geopotential height and horizontal wind,
152 tend to appear both in the lower and the upper troposphere concurrently during
153 extreme precipitation (Graham and Grumm, 2010; Milrad *et al.*, 2010; Chen and Zhai,
154 2014a, b). The predictors therefore shouldn't be considered individually, because only
155 opportune coincidences make the synoptic situations excessively dangerous (Müller *et*
156 *al.*, 2009). Further, anomalies in different meteorological parameters associated with

157 the EAP pattern evolution have also been detected both in the lower and the upper
158 troposphere (e.g. Bueh *et al.*, 2008). Accordingly, in addition to geopotential height
159 anomalies constituting the EAP pattern at 500 hPa, it is also necessary to take the
160 anomalies in moisture transport in the lower troposphere and favorable divergence in
161 the upper troposphere related to the EAP pattern or during the EAP pattern into
162 account. These analyses will render a more systematic understanding in the
163 mechanism of the EAP-induced PEPEs.

164 Composites of normalized anomalies are also performed following the method
165 introduced by Hart and Grumm (2001). The composites of normalized anomalies are
166 used to estimate the extremity of significant weather and how unusually large
167 departures from normal in various meteorological parameters might be used as a tool
168 for predicting high-impact precipitation events (Junker *et al.*, 2008). Following the
169 method described by Hart and Grumm (2001), the normal situation here refers to a
170 climatologic mean of related parameters evaluated via 21-day mean (from 1971-2010),
171 centered on the day being investigated. The standard deviation is denoted by σ
172 hereafter. Moisture flux (MF) in this study is represented as the vector composition of
173 the product of the specific humidity and horizontal wind. The normalized anomalies
174 of MF are evaluated by departures from the mean value of the magnitude of the total
175 moisture flux (Junker *et al.*, 2008).

176

177 **3. Identification of typical EAP regimes responsible for persistent extreme**
178 **precipitation events**

179 Initially, a normalized daily EAP index (EAPI) is calculated as follows: (1) during
180 1st June-31st July, daily normalized geopotential height anomaly at 500 hPa are
181 calculated at each grid; (2) three points, namely WP (20 °N, 120 °E), EA (37.5 °N,
182 120 °E) and OK (60 °N, 130 °E), are selected to represent three anomaly centers in the
183 western Pacific, mid-latitudes in East Asia, and the Sea of Okhotsk respectively
184 during EAP regimes (Nitta, 1987; Bueh *et al.*, 2008; Hirota and Takahash, 2012); (3)
185 the EAPI is subsequently defined as $I=1/3H_{WP}-1/3H_{EA}+1/3H_{OK}$, with H_{WP} , H_{EA} , H_{OK}
186 representing the normalized 500 hPa geopotential height anomaly of the above three
187 points respectively. Considering the fact that EAP-related intense precipitation occurs
188 in the YRV during positive phases of EAP pattern (Huang, 1985; Huang, 2004), the
189 anomalies in these three points are preliminarily required to be distributed as “+ - +”.

190 Based on above criteria, a typical EAP regime associated with PEPEs (referred to as
191 typical wet EAP regime) is considered when meeting the following criteria:

192 (1) a daily EAPI value of 1.0σ (one standard deviation) or greater persists for at
193 least three consecutive days;

194 (2) normalized domain-averaged precipitation in the YRV equals to or exceeds 1.0σ
195 in every day during EAP regimes.

196 One standard deviation is widely adopted as the threshold to define typical
197 anomalous circulation regimes (Archambault *et al.*, 2008, 2010). The first criterion
198 therefore aims to identify typical EAP regimes. The second criterion guarantees that
199 extreme precipitation occurs simultaneously. Relaxed thresholds of both intensity and
200 persistence are necessary for identification of combined regimes to ensure an

201 adequate sample size (Archambault *et al.*, 2010). Considering the shorter persistence
202 of extreme precipitation periods (Chen and Zhai, 2013), 3-day is adopted as the
203 minimum duration. Correspondingly, persistent extreme precipitation events (PEPEs)
204 in this study refer to the periods including at least three consecutive days with
205 normalized daily precipitation greater than 1σ above normal. Actually, this duration
206 mainly focuses on extreme phases of both EAP regimes and precipitation, prior to
207 when both of them may likely be developing. Further, relatively smaller threshold of
208 1σ for extreme precipitation also aims to ensure the continuity and integrity of the
209 extreme precipitation process in the YRV (Ren *et al.*, 2013). Though the relatively
210 smaller threshold of 1σ was adopted, 75% of the identified days witnessed extreme
211 precipitation of 2σ above normal (Figure 1b). Moreover, days with extreme
212 precipitation of 3.5σ above normal and 5.5σ above normal account for 50% and 25%
213 of the total identified days respectively. Such distribution of precipitation intensity
214 indicates the extremity of the identified events and suggests the rationality of adoption
215 of 1σ for EAP-related extreme precipitation identification. Furthermore, these events
216 identified based on 1σ of domain-averaged series may imply $40\text{-}50\text{mm day}^{-1}$ or more
217 in the precipitation center at an individual station level (see Figure 2, day 0-2).
218 Though $40\text{-}50\text{mm day}^{-1}$ (day 0-2) may not be considered very extreme for an
219 individual station in the YRV during June-July, the accumulated precipitation amount
220 of $150\text{-}200\text{mm}$ or more, owing to the long duration, will likely trigger a severe
221 flooding in the YRV within a few days. The identified events are therefore of high
222 disaster-causing potential. To further ensure all the key systems, rather than either of

223 them, being active enough during PEPEs, absolute values of three centers, i.e. H_{WP} ,
224 H_{EA} and H_{OK} , are required to be greater than 0.75σ in every day during PEPEs. The
225 adoption of 0.75σ in every day for individual centers is based on considerations of
226 their possible slight changes in both intensity and positions during PEPEs. Such
227 combination of typical EAP regimes and concurrent extreme precipitation episodes is
228 referred to as *typical wet EAP regimes* hereafter.

229 There are 20 typical wet EAP regimes identified during 1961-2010 based on above
230 criteria, as listed in Table 1. It has to be pointed out that the EAP teleconnection
231 represents only one of the favorable patterns responsible for such prolonged extreme
232 precipitation events in the YRV. These 20 typical cases account for about 22% of the
233 total PEPEs identified based on 1σ persisting for at least three consecutive days in the
234 YRV during 1961-2010. Of particular note is that remaining 78% events are not
235 absolutely exempt from influences of EAP patterns. It is possible that in some cases,
236 the EAP pattern also appears over East Asia, however its intensity fails to satisfy the
237 criterion of ‘a typical regime’, a daily EAPI value reaching 1.0σ or greater. Thus, the
238 contribution of weak or even obscure EAP patterns in triggering PEPEs in these cases
239 may be relatively small compared with the counterpart in the cases with typical EAP
240 regimes. Consequently, these 20 typical cases are more suitable to investigate possible
241 EAP-related mechanisms for PEPEs in the YRV. Further considering the complexity
242 and diversity of underlying mechanisms responsible for PEPEs in the YRV, such as
243 influences of upstream blocking episodes and typhoon and/or its remnants (Chen and

244 Zhai, 2013; Chen and Zhai, 2014a), this percentage may imply the importance of the
245 EAP pattern in inducing PEPEs in the YRV during Mei-Yu periods.

246 Obviously, the typical EAP regimes is predominantly characteristic of positive
247 height anomalies of more than 1.0σ above normal in the western Pacific and at high
248 latitudes over East Asia simultaneously, sandwiching a negative anomaly of 3σ below
249 normal in the immediate north of the YRV (Figure 1a). This pattern reflects the
250 westward-extension and intensification of the WPSH and the establishment and
251 maintenance of the Okhotsk blocking high, as well as the deepening of the Mei-Yu
252 trough. An obvious splitting of the mid-latitude flow extends over approximately 60°
253 of longitude (90° – 150° E), resulting in a distinct equatorward shift of the main
254 westerly flow. The duration of these significant anomalies spans from 3 days to 13
255 days, resulting in long-lasting extreme precipitation (Table 1).

256

257 **4. Precursor and simultaneous circulation**

258 In this section, day 0 denotes the onset (start date in Table 1) of typical EAP
259 regimes, and day- d refers to the d^{th} day prior to (negative) or after (positive) the onset.
260 Before composite, a manual inspection for every event is performed to check the
261 similarity in circulation patterns between different events and further to achieve little
262 smeared composites. Moreover, the principal synoptic features of the composite, to be
263 documented in the following, are similarly presented in composites based on the
264 subsets of 20 typical cases grouped arbitrarily (i.e., in chronological order; not shown),
265 implying the sufficient insensitiveness of composites of the 20 typical cases to the

266 inclusion or exclusion of specific events. Further, considering the possible
267 high-amplitude of circulation patterns during PEPEs and resultant unequal variances
268 of composited fields during PEPEs and climatology (Chen and Zhai, 2014a, b), both
269 ordinary Student's t -test and Welch's t -test (Welch, 1947) are employed to achieve
270 more rigorous statistical significance. Only the results satisfying both criteria at the
271 5% level at least are deemed to be statistically significant.

272 The temporal evolution of precipitation prior to and during typical wet EAP regimes
273 is presented in Figure 2. Near the onset of typical wet EAP regimes (day -1, figure not
274 shown), greatly enhanced precipitation is observed in the YRV, followed by
275 long-lasting extreme precipitation at a regional scale during typical EAP regimes (day
276 0-2). Large areas under influence of extreme precipitation during day 0-2 indicate that
277 the identified events are synoptic processes, rather than local convective events. Every
278 individual event shares similar synoptic characters of precipitation with the
279 composited results. The precursor features of the typical wet EAP regimes are
280 investigated as follows:

281

282 *4.1. 500 hPa geopotential height*

283 On day -7, two ridges with height anomalies of 1σ above normal exist near the sea
284 of Okhotsk and to the west of the Ural Mountain at mid-high latitudes, respectively
285 (Figure 3). A broad shallow trough is sandwiched between them, providing a
286 zonally-elongated westerly waveguide (e.g. Hoskins and Ambrizzi, 1993) for Rossby
287 wave energy dispersion. Accordingly, a distinct energy propagation along this

288 westerly waveguide from west of the Ural Mountain to East Asia can be clearly
289 identified (indicated by wave flux vectors defined by Takaya and Nakamura (2001)).
290 This zonally distributed ridge-trough-ridge wave train at mid-high latitudes is similar
291 to the West Europe-Japan (E-J) pattern (Wakabayashi and Kawamura, 2004), and
292 similar eastward-propagating wave energy before and during EAP regimes has also
293 been found in some other studies (e.g. Bueh *et al.*, 2008; Sato and Takahashi, 2007).
294 No obvious negative anomalies can be detected at mid-latitudes over East Asia on this
295 day. From day -6 to day -3, a negative anomaly of 1σ below normal progresses
296 westward from 170°E with little change in magnitude. By day -4, it has arrived at
297 southern Japan. Meanwhile, the WPSH (denoted by 588dagpm-contour) keeps
298 extending westward and reaches 120°E . During this period, the energy dispersion,
299 persistently emanating from the ridge to the west of the Ural Mountain toward East
300 Asia, contributes to the maintenance of the Okhotsk blocking high. The ridge in the
301 west itself weakens a little and retrogrades southward gradually. On day -4, a
302 poleward energy dispersion from lower latitudes in East Asia is discernible, resulting
303 from the suppression of convective activity to the east of Philippines covered by the
304 westward-extended WPSH (Nitta, 1987; Huang, 1985). Subsequently, the
305 EAP-related poleward wave fluxes enhance greatly due to the further westward
306 extension and intensification of the WPSH (day -2 to day 2). The convergence
307 between the poleward wave fluxes and eastward wave fluxes at high latitudes
308 strengthens the Okhotsk blocking markedly. Meanwhile, the mid-latitude trough
309 deepens manifestly with negative anomalies of more than 2σ below normal, owing to

310 the confluence between the poleward wave fluxes and upstream southeastward wave
311 fluxes. By day 0, the typical EAP pattern as presented in Figure 1a becomes well
312 established and then persists for several days.

313 Different from the double blocking high pattern described by Chen and Zhai
314 (2014a), the ridge in the west is anchored to the west of the Ural Mountain rather than
315 extends eastward. Further, it weakens and retrogrades southward rather than
316 strengthens and extends toward high latitudes prior to the onset of PEPEs. Figure 3
317 clearly shows that a Ω -type blocking high (Rex, 1950) has been developing near the
318 Sea of Okhotsk from day -7 onward, preceding the development of typical EAP
319 pattern. This long-lived Okhotsk blocking high acts to maintain a robust meridional
320 circulation, providing favorable conditions for the development of subsequent EAP
321 pattern and related persistent extreme precipitation. The strengthening Okhotsk
322 blocking high displaces the main westerly flow much equatorward by day -2.
323 Northwesterlies therefore prevail in the upstream of the YRV, steering the cold/dry air
324 required by the frontogenesis into the YRV. The confluence between cold/dry air and
325 warm/moist air conveyed by the westward-shifted WPSH enhances the gradients of
326 both temperature and specific humidity around the YRV, which favors the formation
327 the Mei-Yu front (Ninomiya and Shibagaki, 2007). Additionally,
328 eastward-propagating short-wave troughs tend to be blocked by the Okhotsk blocking
329 high and then they are steered into the YRV by the resultant equatorward westerlies
330 (Ding and Reiter, 1982). Consequently, local rainfall is further enhanced (Samel *et al.*,
331 1999, 2003).

332

333 *4.2. Lower-level wind and water vapor transport*

334 At 850 hPa (Figure 4), an anomalous anticyclone originated from the east of
335 150°E begins to migrate westward from day -6 onward, followed by a
336 westward-progressive anomalous cyclone at mid-latitudes from day -5 onward. Both
337 of them strengthen during westward migration. By day -4, the west boundary of the
338 anomalous anticyclone has arrived at 120°E, with an enhanced moisture flux (MF) of
339 a magnitude anomaly over 1.5σ above normal to its northern flank. Subsequently, a
340 strengthening anticyclone-deepening cyclone pair prevails at mid-latitudes over East
341 Asia, contributing to a greatly enhanced moisture flux with a MF magnitude anomaly
342 of 3σ above normal. The confluence between the northerlies steered by the anomalous
343 cyclone and southwesterlies to the northern flank of the anticyclone maximizes the
344 moisture convergence in the immediate south of the YRV (blue contours). Obviously,
345 the anomalously abundant moisture necessary for PEPEs is primarily transported by
346 the anomalous anticyclone related to the westward-extended WPSH, rather than by
347 the southwesterly originated from the Bay of Bengal (Qian *et al.*, 2004). During
348 typical EAP regimes (day 0 to day 2), a strong moisture convergence exists between
349 the anomalous anticyclone and cyclone with a MF anomaly over 3.5σ above normal.
350 Of particular note is that the zonally westward progression of the anomalous
351 anticyclone, instead of the northwest migration as previously reported (Mao *et al.*,
352 2010; Yang *et al.*, 2010), is markedly observed prior to the establishment of typical
353 EAP regimes. In addition, an anomalously deepening cyclone, rather than a

354 dissipating one as reported by Mao *et al.* (2010), is observed in the YRV.

355

356 4.3. Upper-level divergence

357 The temporal evolution of the typical wet EAP pattern in the upper troposphere
358 (200 hPa) is similar to that at 500 hPa especially at mid-high latitudes (Figure 5),
359 indicating an equivalent barotropic structure of EAP pattern at mid-high latitudes
360 (Hoskins and Karoly, 1981; Tsuyuki and Kurihara, 1989). The negative anomaly at
361 mid-latitude can reach 3σ below normal during typical EAP regimes (day 0-2).
362 Accompanying developing of the EAP-related negative anomaly at mid-latitude, the
363 westerly jet displaces equatorward gradually (bold green lines) and is finally anchored
364 in the region immediate north of the YRV eastward to Japan. Accordingly, the YRV is
365 well located beneath the southern section of westerly jet. Furthermore, the deepening
366 of the mid-latitude anomalous cyclone render a sharper meridional height gradient
367 from the YRV to Japan, contributing to a further accelerating westerly jet (shadings in
368 Figure 5). Also evident is the eastward extension of the South Asia High (SAH,
369 indicated by the 12520gpm contour, black solid line), so northerly flows prevail above
370 upstream of the YRV (vectors). The mid-latitude anomalous cyclone embedded in
371 these northerly flows favor advection of positive vorticity toward immediate north of
372 the YRV. The extra positive vorticity triggers upper-level divergence (Holton, 2004),
373 which facilitates development and maintenance of strong ascent during the persistence
374 of extreme precipitation in the YRV.

375 At the upper troposphere, in addition to the distinct EAP pattern, another wave train

376 at mid-latitudes seems to propagate slowly along the Asian Jet, and it is called the Silk
377 Road pattern (Kosaka *et al.*, 2009) or West Asia-Japan pattern (Wakabayashi and
378 Kawamura, 2004). Simultaneous existence of two wave trains at mid-latitudes and
379 high-latitudes may be attributed to the European blocking high, which excites
380 eastward-propagating wave activity fluxes at high-latitudes and
381 southeastward-propagating wave activity fluxes reaching mid-latitudes (Iwao and
382 Takahashi, 2008).

383 *4.4. Vertical structure of the quasi-stationary front related to typical wet EAP pattern*

384 Considering the fact that the Mei-Yu front is characterized by a relatively weak
385 temperature contrast and a sharp moisture contrast, equivalent potential temperature
386 (θ_e) rather than potential temperature is therefore widely employed to depict the
387 Mei-Yu front (Ding, 1992; Zhou *et al.*, 2004; Lai *et al.*, 2011). The moist air denoted
388 by high specific humidity in the lower troposphere corresponds well to large θ_e
389 (Figure 6), indicating that moisture rather than temperature dominates the equivalent
390 potential temperature signature. From day -4 onward, the low-level southerlies
391 originated from low-latitudes (15 °N) become more organized, primarily owing to the
392 arrival of the WPSH at 120 °E. Subsequently, further westward extension and
393 enhancement of the WPSH (Figure 3 and Figure 4) contribute to the acceleration of
394 these low-level southerlies. Meanwhile, the northerlies from mid latitudes steered by
395 the deepening trough prevail in the mid-upper troposphere. Correspondingly, a
396 pronounced southward-intrusion of the cold/dry air can be observed in the mid-upper
397 levels. By day -1, obvious descending northerlies are detected over 35 °-45 °N, where

398 is well located in the rear flank of the upper-level trough. This descent is consistent
399 with the forcing of anticyclonic vorticity advection behind the trough (Holton, 2004).
400 Also obvious is a thicker and moister layer (indicated both by 355K contour and
401 shading specific humidity) in the lower troposphere well over the YRV from day -2
402 onward, providing a more unstable condition necessary for extreme precipitation.
403 Convergence between the ascending low-level warm/moist southerlies and the
404 descending upper-level cold/dry northerlies result in a greatly enhanced θ_e gradient
405 in the northern YRV (dense contours) by day 0, indicating the formation of a typical
406 Mei-Yu front (Ding, 1992). Persistent strong ascent of low-level warm/moist
407 southerlies along this quasi-stationary front can be clearly identified within the YRV
408 (day 0 to day 2), contributing to the persistent extreme precipitation. What is worth
409 mentioning is that once extreme precipitation related to quasi-stationary front initiates,
410 resultant diabatic heating would also exert some influences of surrounding
411 circulations. Such feedback may be a contributing factor in maintaining local
412 circulation anomalies, which in turn lead to a longer duration of extreme precipitation
413 (Lu and Lin, 2009).

414

415

416

417 *4.5. Contrastive analyses*

418 A question of whether these long-lived anomalies during typical EAP regimes will
419 definitely lead to PEPEs in the YRV is likely to be raised naturally. The answer to this

420 question will provide a more in-depth understanding in underlying mechanism of
421 PEPEs related to the EAP pattern. To this end, some additional cases are selected for
422 comparison by demanding that during typical EAP regimes defined as same as the
423 first criterion in section 3, the normalized domain-averaged daily precipitation in the
424 YRV on the same day is smaller than -1σ . Also, absolute values of three centers are
425 required to be greater than 0.75σ in every day during PEPEs. These criteria succeed to
426 identify typical EAP regimes that can't result in PEPEs in the YRV (Table 2; Figure
427 7b). This kind of typical EAP pattern is hereafter referred to as *typical dry EAP*
428 *regime*. Of particular note is that the typical dry EAP regimes differ from the above
429 wet regimes only in concurrent occurrence of extreme precipitation, not representing
430 negative phases of the EAP pattern.

431 The comparison reveals that the most obvious differences during dry EAP pattern
432 and wet EAP pattern lie in the meridional location of the WPSH and strength of the
433 Okhotsk blocking high (Figure 7a). Though the WPSH also extends to the west of
434 120°E during typical dry EAP regimes, it displaces more to the south compared with
435 that during typical wet EAP regimes, with its ridge anchored near 15°N . The moist air
436 is therefore less likely conveyed to the YRV, leading to less precipitation relative to
437 climatology (Figure 7b). Meanwhile, a weaker Okhotsk blocking high is observed
438 during typical dry EAP regimes (shadings in Figure 7a). Prior to the onset of typical
439 dry EAP regimes, due to the absence of the positive anomalies to the west of the Ural
440 Mountain (green hatched lines to the west of 60°E in Figure 8), the zonal wave
441 activity fluxes are weak and are not as well-organized as those in typical wet EAP

442 regimes situation (Figure 8). The Okhotsk blocking high correspondingly develops
443 slowly and is not well-established until day -1, mainly resulting from the EAP-related
444 poleward energy dispersion from low latitudes. Also, the weaker Okhotsk blocking
445 high may be possibly associated with absence of transient eddies (Nakamura and
446 Fukamachi, 2004). Interestingly, no significant differences are found in the region of
447 western positive anomalies during dry and wet EAP regimes (Figure 8, day 0-2). This
448 phenomenon suggests that the western ridge is important to the early strengthening of
449 the Okhotsk blocking high, while the EAP-related meridional energy dispersion is the
450 key factor in maintaining the Okhotsk blocking high and resultant meridional
451 circulation pattern. Another obvious difference is that the WPSH extends westward
452 much slower prior to the onset of the dry EAP pattern, reaching 120°E by day -1. In
453 the lower troposphere (Figure 9), an anomalous cyclone is anchored in the northern
454 South China Sea from day -8 to day -3, advecting abundant moisture toward east and
455 northeast of the Philippines. This anomalous cyclone directly prevents the westward
456 extension of the WPSH. From day -2 onward, the anomalous cyclone dissipates, and
457 then is replaced by an anomalous anticyclone, which is much weaker and displaces
458 more to the south compared to the counterpart during typical wet EAP regimes.
459 Correspondingly, the anomalous water vapor can only be transported to coastal region
460 of South China (Figure 9, day 0-2). Hence, though the cold/dry air can still be steered
461 into the YRV by the mid-latitude trough during typical dry EAP regime (Figure 9, day
462 0-2; Figure 10, day 2), moisture convergence fails to form in the YRV without
463 necessary warm/moist air because of the southward displacement of the WPSH. The

464 thick moist and warm layer is also beyond the YRV (Figure 10). Additionally, the
465 accelerated and well-organized low-level southerlies are hardly detected prior to the
466 onset of the dry EAP pattern. Consequently, obviously enhanced θ_e gradient doesn't
467 appear during typical dry EAP regimes, indicating the failure of establishment of the
468 stationary front responsible for the PEPEs in the YRV (Figure 10, comparing day 0-2
469 with day -8- -2). Additionally, no obvious eastward extension of the SAH is observed
470 prior to typical dry EAP regimes (Figure not shown). Hence, the strong ascent in the
471 YRV along the stationary front is absent during typical dry EAP regimes. So it is
472 reasonable to conclude that the position of the WPSH is critical to EAP patterns in
473 triggering and maintaining PEPEs in the YRV.

474

475 **5. Conclusions and discussions**

476 Based on a composite analysis, the synoptic-scale evolution of typical East
477 Asia/Pacific (EAP) teleconnection pattern responsible for persistent extreme
478 precipitation events (PEPEs) in the Yangtze River Valley (YRV) is investigated
479 (referred to as *typical wet EAP pattern*). Potentials of key components in the EAP
480 pattern for predicting PEPEs are also evaluated via composites of their normalized
481 anomalies. Another kind of typical EAP pattern that cannot result in PEPEs in the
482 YRV (referred to as *typical dry EAP pattern*) is analyzed as a comparison to further
483 highlight the roles of key elements in the evolvement of the typical EAP pattern and
484 related PEPEs. The main conclusions are summarized in a schematic (Figure 11) and
485 stated as follows:

486 About one week prior to the onset of typical wet EAP pattern, a blocking develops
487 near the Sea of Okhotsk, resulting from the upstream wave energy dispersion
488 emanating from the positive anomaly to the west of the Ural Mountains (Figure 11b).
489 Simultaneously, this western positive anomaly weakens and retrogrades
490 southeastward gradually. A negative anomaly originated from about 170 °E progresses
491 westward and arrives at southern Japan by day -4. In the meantime, the west boundary
492 of the WPSH reaches 120 °E. By day -2, convergence between EAP-related poleward
493 propagating wave fluxes from lower latitudes and the eastward propagating wave
494 fluxes at high latitudes greatly strengthen the Okhotsk blocking high. The mid-latitude
495 trough deepens manifestly with anomalies of more than 2.5 standard deviations below
496 normal, owing to the confluence between the EAP-related poleward wave fluxes and
497 the upstream southeastward wave fluxes. Subsequently, a well-established EAP
498 pattern persists for several days over East Asia.

499 In the lower troposphere, a strengthening anomalous anticyclone/ a deepening
500 anomalous cyclone pair progresses westward since day -6 and finally stays
501 quasi-stationary at lower-mid latitudes over East Asia (Figure 11c). An anomalously
502 enhanced moisture flux with a magnitude anomaly of 3σ above normal prevails to the
503 northern flank of the anomalous anticyclone. Corresponding warm/moist air strongly
504 converges with cold/dry air steered by the anomalous cyclone in the YRV. In the
505 upper troposphere, a deepening mid-latitude cyclone (2.5σ below normal) and the
506 eastward-extended the South Asia High combine to provide conductive divergence for
507 the persistence of the extreme precipitation in the YRV (Figure 11a).

508 By day -4, well-organized low-level southerlies are observed from low latitudes
509 (15 °N) northward to the YRV. Subsequently, the low-level warm/moist air is elevated
510 by the descending northerlies over 35 °-45 °N. Continuous confluence between these
511 ascending warm/moist southerlies and descending cold/dry northerlies renders steep
512 meridional gradients of both temperature and humidity, further contributing to the
513 formation of a quasi-stationary Mei-Yu front in the northern YRV (Figure 11c).
514 Persistent strong ascent of low-level warm/moist southerlies along this
515 quasi-stationary front ultimately contributes to PEPEs in the YRV.

516 The location of the WPSH is critical in triggering and maintaining extreme
517 precipitation in the YRV during typical EAP regimes, because it determines water
518 vapor transport towards the YRV. The ridge of the WPSH during typical wet EAP
519 regimes tends to be anchored around northeast quadrant of the South China Sea (22 °
520 N). During typical dry EAP regimes, though the WPSH also extends to the west of
521 120 °E and the meridional tripole structure can also be evidently identified over East
522 Asia, the ridge of the WPSH typically stays near 15 °N, failing to transport required
523 moisture towards the YRV. This kind of EAP pattern therefore cannot result in PEPEs
524 in the YRV. Also in the mid-upper troposphere, the upstream effect of the positive
525 anomaly to the west of the Ural Mountain seems important in determining early
526 strengthening of the Okhotsk blocking high. The absence of this western ridge
527 weakens the eastward energy dispersion, resulting in a much weaker Okhotsk
528 blocking high during typical dry EAP regimes. In the lower troposphere, a
529 pre-existing anomalous cyclone around the South China Sea prevents the early

530 westward extension of the WPSH prior to typical dry EAP regimes, delaying the
531 arrival of the WPSH at 120 °E.

532 Of particular note is that the applicability of the precursors identified based on the
533 events during 1961-2010 needs to be further justified by large number of future cases
534 after 2010. Encouragingly, similar evolution of typical EAP pattern can be obviously
535 recognized prior to PEPEs that occurred in mid-low reaches of the YRV during
536 10th-13rd July 2012, with maximum accumulated precipitation of 350mm during four
537 days (figure not shown).

538 Though the diagnoses of wave activity fluxes imply dynamical links between the
539 three key systems, deeper investigations via numerical model simulations are still
540 needed to justify that these concurrent anomalies share common dynamical
541 mechanisms rather than just occur by chance. In addition, the mechanisms for the
542 westward migration of anomalies, especially at lower-mid latitudes, will be further
543 investigated and presented in a future study. It is also worth mentioning that most dry
544 events listed in Table 2 occurred in early Mei-Yu period, while most wet events listed
545 in Table 1 occurred after mid-June. This may imply that identified EAP-based
546 precursors are of more indicative significance to occurrences of PEPEs in the YRV
547 after mid-June.

548 Given that global forecast models exhibit great skills in predicting large-scale
549 circulation evolution at lead times of 1-2 weeks, the conclusions and schematics
550 (Figure 11) in this study may allow local forecasters to improve the prediction of
551 high-impact precipitation by recognizing evolution of typical EAP patterns.

552

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721 Table 1. The 20 typical East Asia/Pacific (EAP) teleconnection regimes responsible
 722 for persistent extreme precipitation (typical wet EAP regimes) in the Yangtze River
 723 Valley (YRV) during 1961-2010.

Year	Start Date (Day-Month)	End Date (Day-Month)	Average normalized precipitation	Average EAPI	Average H _{WP}	Average H _{EA}	Average H _{OK}
1968	4 Jul	10 Jul	2.33	1.27	1.09	-1.59	1.14
1969	11 Jul	16 Jul	4.16	1.77	1.29	-3.15	0.90
1970	10 Jul	19 Jul	3.27	2.38	1.31	-3.38	2.46
1974	14 Jul	17 Jul	4.34	1.75	0.95	-2.14	2.17
1975	26 Jun	28 Jun	3.32	1.53	1.08	-2.04	1.47
1982	17 Jul	24 Jul	3.47	1.77	1.97	-1.61	1.73
1983	5 Jul	7 Jul	3.75	1.28	1.59	-1.33	0.92
1986	4 Jul	6 Jul	2.26	1.58	0.96	-1.42	2.37
1989	15 Jun	18 Jun	4.70	1.99	1.13	-2.65	2.19
1989	29 Jun	2 Jul	2.01	1.37	0.93	-1.97	1.22
1991	1 Jul	9 Jul	3.51	1.89	2.23	-1.33	2.09
1993	23 Jul	27 Jul	1.51	1.96	1.47	-2.33	1.72
1995	21 Jun	3 Jul	3.17	2.37	1.66	-2.94	2.56
1996	29 Jun	1 Jul	4.12	1.83	2.40	-2.14	0.98
1998	16 Jun	19 Jun	4.94	2.35	1.96	-1.90	3.18
1998	20 Jul	24 Jul	5.79	2.06	1.28	-2.30	2.58
1999	15 Jul	18 Jul	1.80	1.81	1.08	-1.62	2.74
2000	8 Jun	10 Jun	4.85	1.62	1.17	-2.45	1.34
2009	29 Jun	1 Jul	4.97	2.33	1.62	-2.89	2.49
2009	22 Jul	30 Jul	3.64	1.83	1.22	-3.11	1.38

724 Note: the last four columns offer average index values of EAP and three centers constituting the
 725 EAP pattern, namely WP (20°N, 120°E), EA (37.5°N, 120°E) and OK (60°N, 130°E).

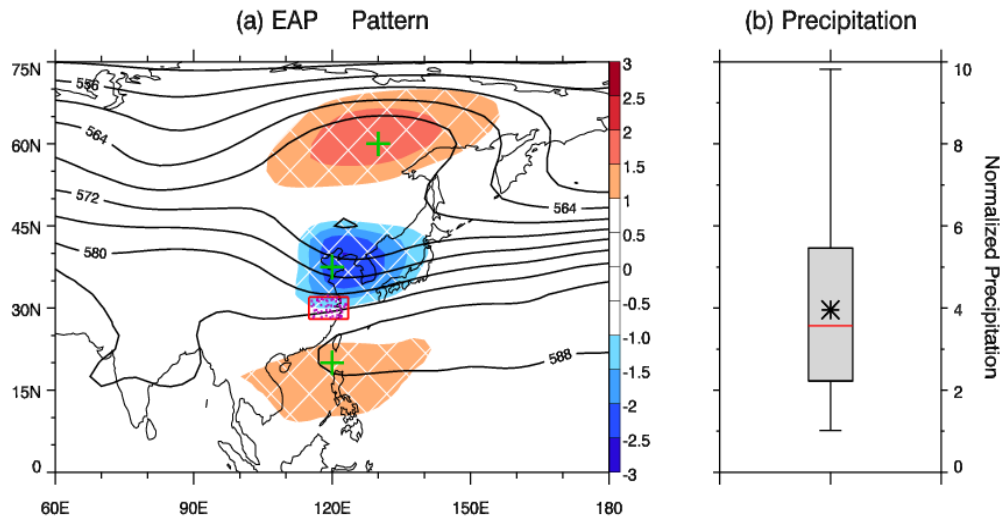
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727 Table 2. The 11 typical East Asia/Pacific (EAP) teleconnection regimes during
 728 1961-2010 that can't result in persistent extreme precipitation (typical dry EAP
 729 regimes) in the Yangtze River Valley (YRV).

Year	Start Date (Day-Month)	End Date (Day-Month)	Average normalized precipitation	Average EAPI	Average H _{WP}	Average H _{EA}	Average H _{OK}
1966	3 Jun	6 Jun	-2.45	2.41	2.21	-3.56	1.46
1967	1 Jul	3 Jul	-1.27	1.48	1.43	-2.22	0.79
1973	20 Jul	22 Jul	-1.47	1.75	0.91	-2.00	2.34
1990	15 Jul	17 Jul	-2.08	1.47	1.36	-2.10	0.97
1997	2 Jun	4 Jun	-1.82	1.55	1.27	-1.94	1.37
1998	3 Jun	5 Jun	-2.44	1.82	1.36	-2.87	1.16
2002	11 Jun	14 Jun	-2.62	2.05	1.06	-3.43	1.65
2003	12 Jun	14 Jun	-2.43	2.71	1.08	-5.28	1.76
2004	4 Jul	10 Jul	-1.45	1.71	1.15	-2.43	2.06
2005	8 Jul	10 Jul	-1.22	1.62	2.56	-1.00	1.18
2009	11 Jun	13 Jun	-2.99	1.90	1.07	-2.21	2.42

730 Note: the last four columns offer average index values of EAP and three centers constituting the
 731 EAP pattern, namely WP (20°N, 120°E), EA (37.5°N, 120°E) and OK (60°N, 130°E).
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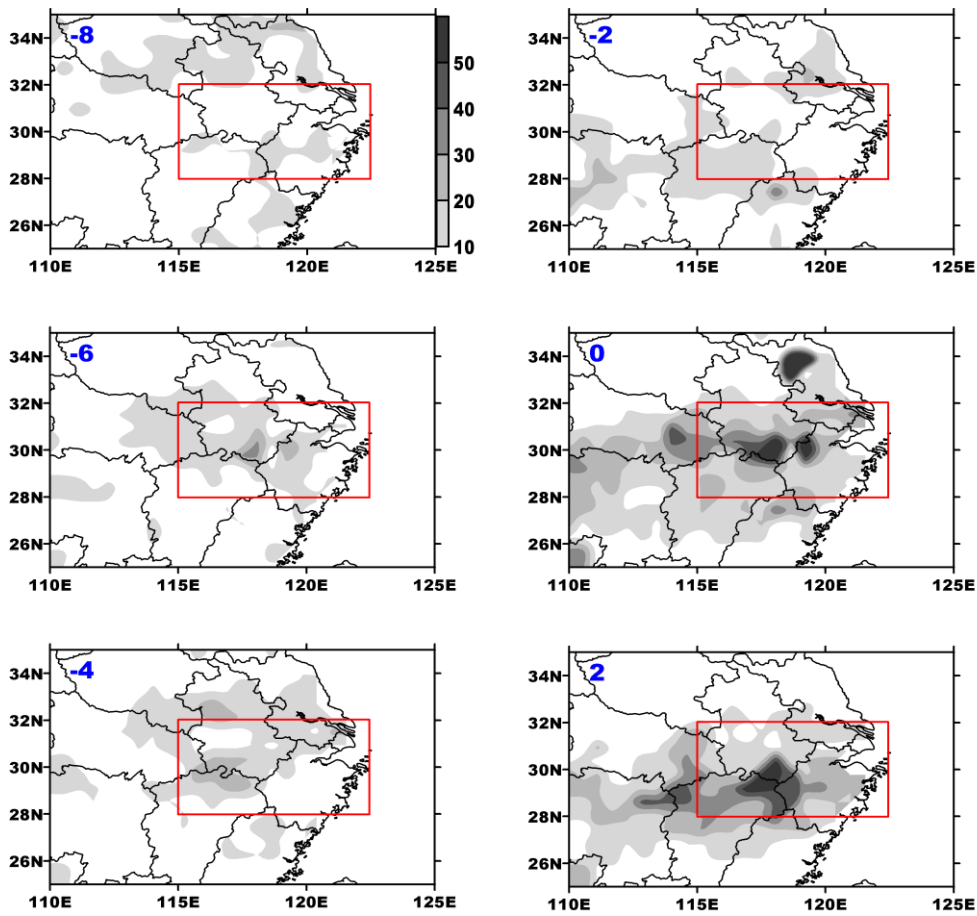


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735 **Figure 1.** The circulation pattern and precipitation distribution during typical EAP regimes responsible
736 for persistent extreme precipitation events (typical wet EAP regimes) in the YRV. a) shows the
737 composited 500 hPa geopotential height (contour, every 4 dagpm) and composited normalized height
738 anomalies (shading, every 0.5 standard deviation). Three green crosses represent three anomaly centers
739 in the western Pacific, the mid-latitudes in East Asia, and the Sea of Okhotsk respectively. The hatched
740 lines filled in the shadings indicate their significance at the 0.05 level at least. The study area, Yangtze
741 River Valley (28-32°N, 115-123.5°E) is marked by red rectangle in a, with purple dots indicating
742 meteorological stations. b) displays the box plot of normalized precipitation anomalies (right y-axis) of
743 110 days accumulated by all the identified cases, in which five horizontal bars indicate the minimum,
744 first quartile, median, third quartile and the maximum respectively. The asterisk denotes the mean
745 value.

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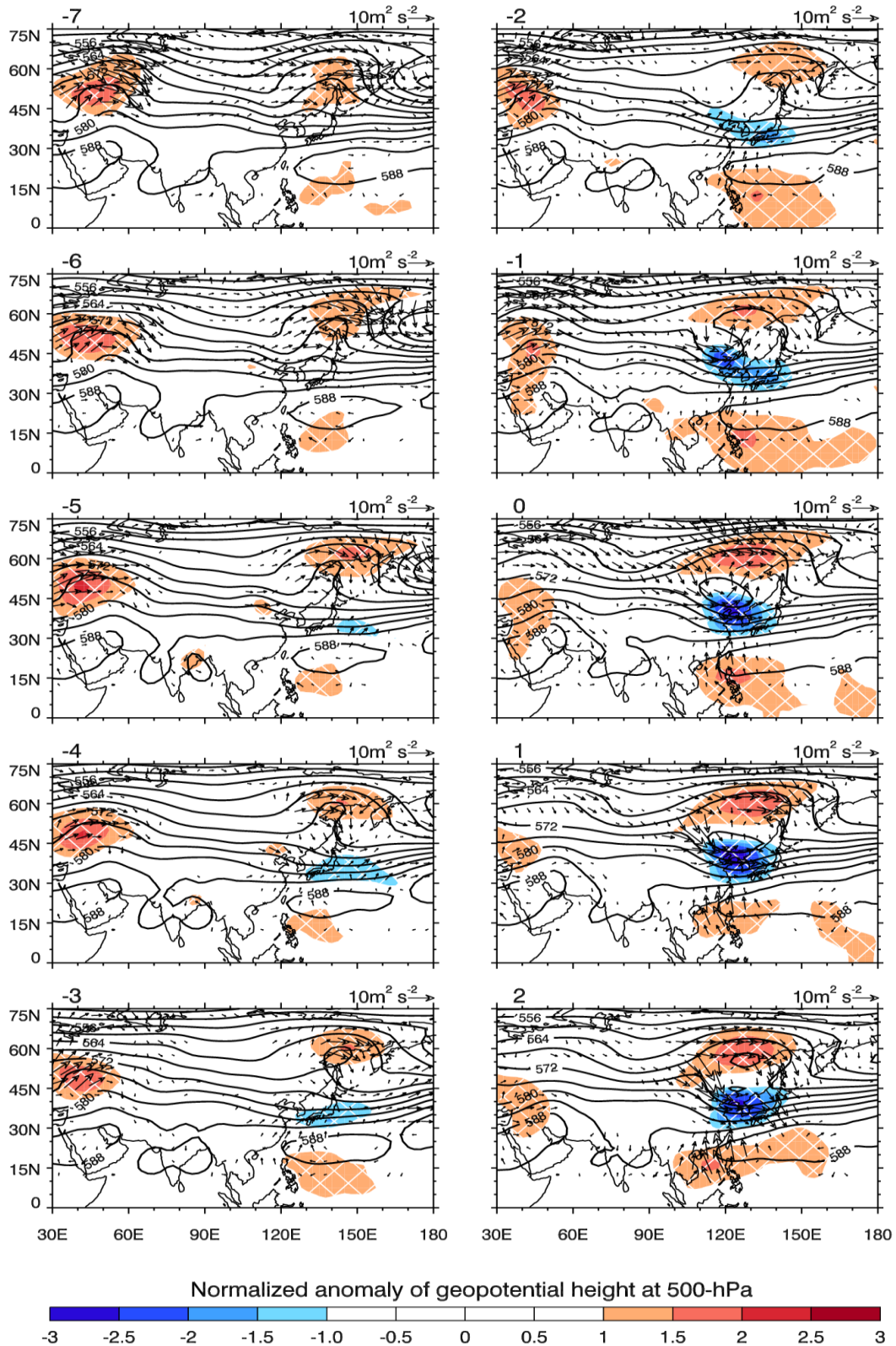
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749 **Figure 2.** Composited temporal evolution of precipitation (unit: mm) in the YRV. The number-d at the
750 upper-left corner in each panel refers to the d^{th} day prior (negative) to and after (positive) the
751 occurrence of PEPEs. Only the regions with precipitation amount of greater than 10mm are shaded,
752 with shading interval of 10mm. The YRV is highlighted by the red rectangle.

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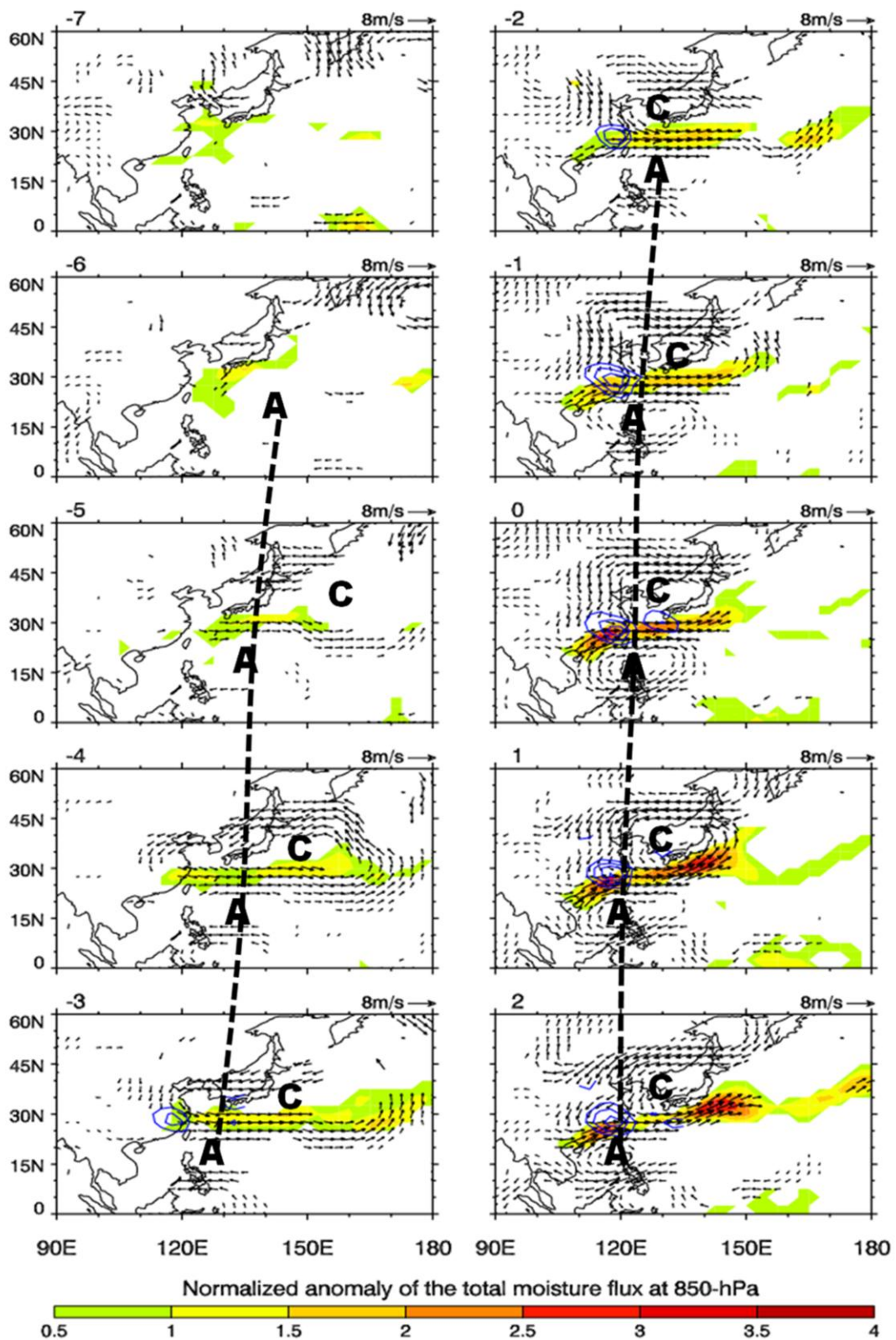


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755 **Figure 3.** Composited 500 hPa geopotential height (contour, every 4 dagpm) and normalized height

756 anomalies (shading, every 0.5 standard deviation). The vectors indicate wave activity flux (unit: $\text{m}^2 \text{ s}^{-2}$)

757 defined by *Takaya and Nakamura* (2001). The number above each panel represents the same meaning
758 of that in Figure 2. And the cross-hatched shadings represent the same meaning of that in Figure 1.
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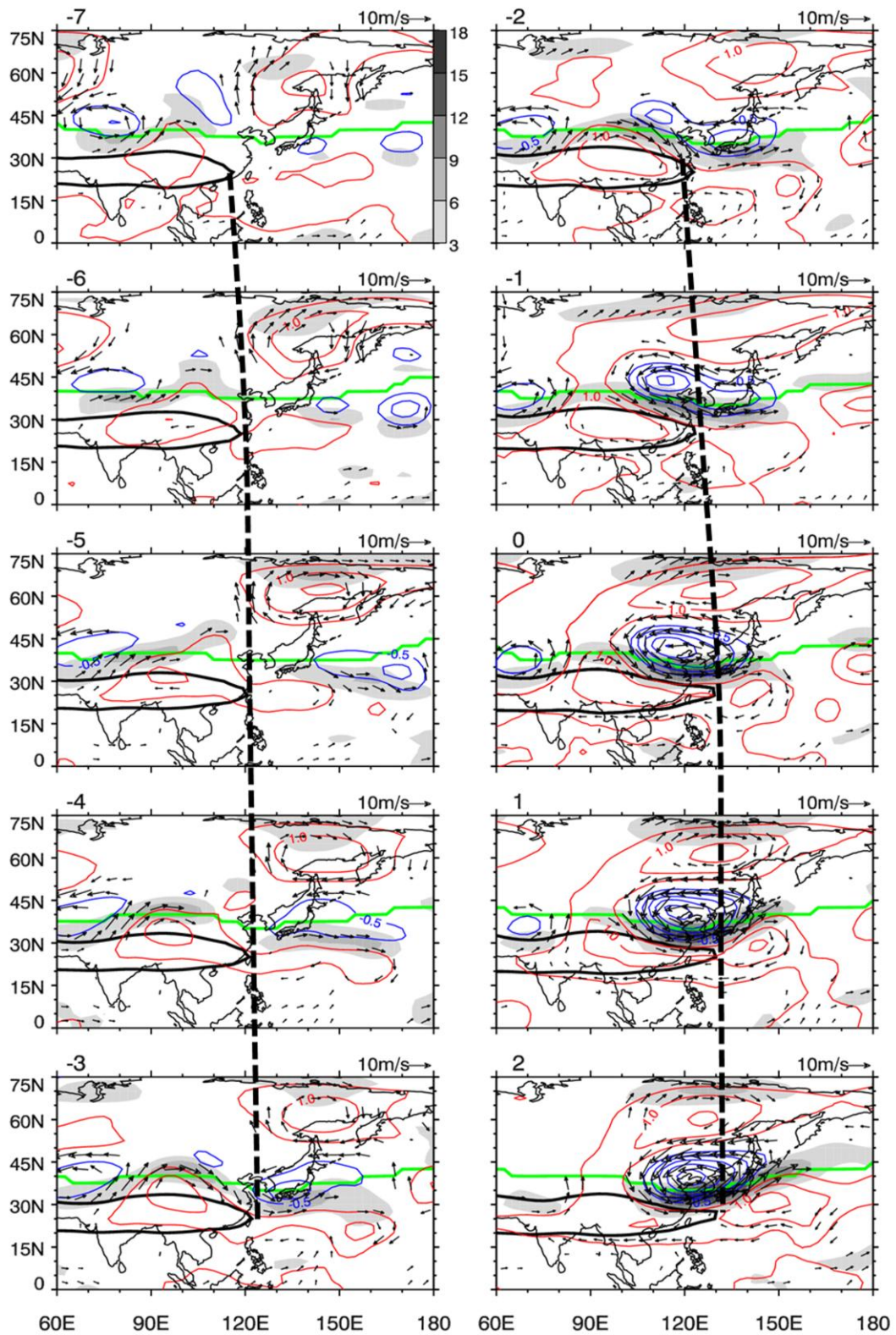


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762 **Figure 4.** Composited 850 hPa horizontal wind anomaly (vectors, m/s) and normalized anomaly of

763 total moisture flux magnitude (shadings). Only the vectors that are at least significant at the 0.05 level

764 are shown. The number above each panel represents the same meaning of that in Figure 2. The shading
765 interval is 0.5σ . Blue contours of value spanning -2 to -8 with interval of -2 (unit: 10^{-8} s^{-1}), indicate
766 moisture flux convergence. The letter 'A' and 'C' represent anomalous anticyclone and cyclone,
767 respectively. The black dashed lines portray the westward propagation of the anomalous
768 anticyclone/cyclone
769

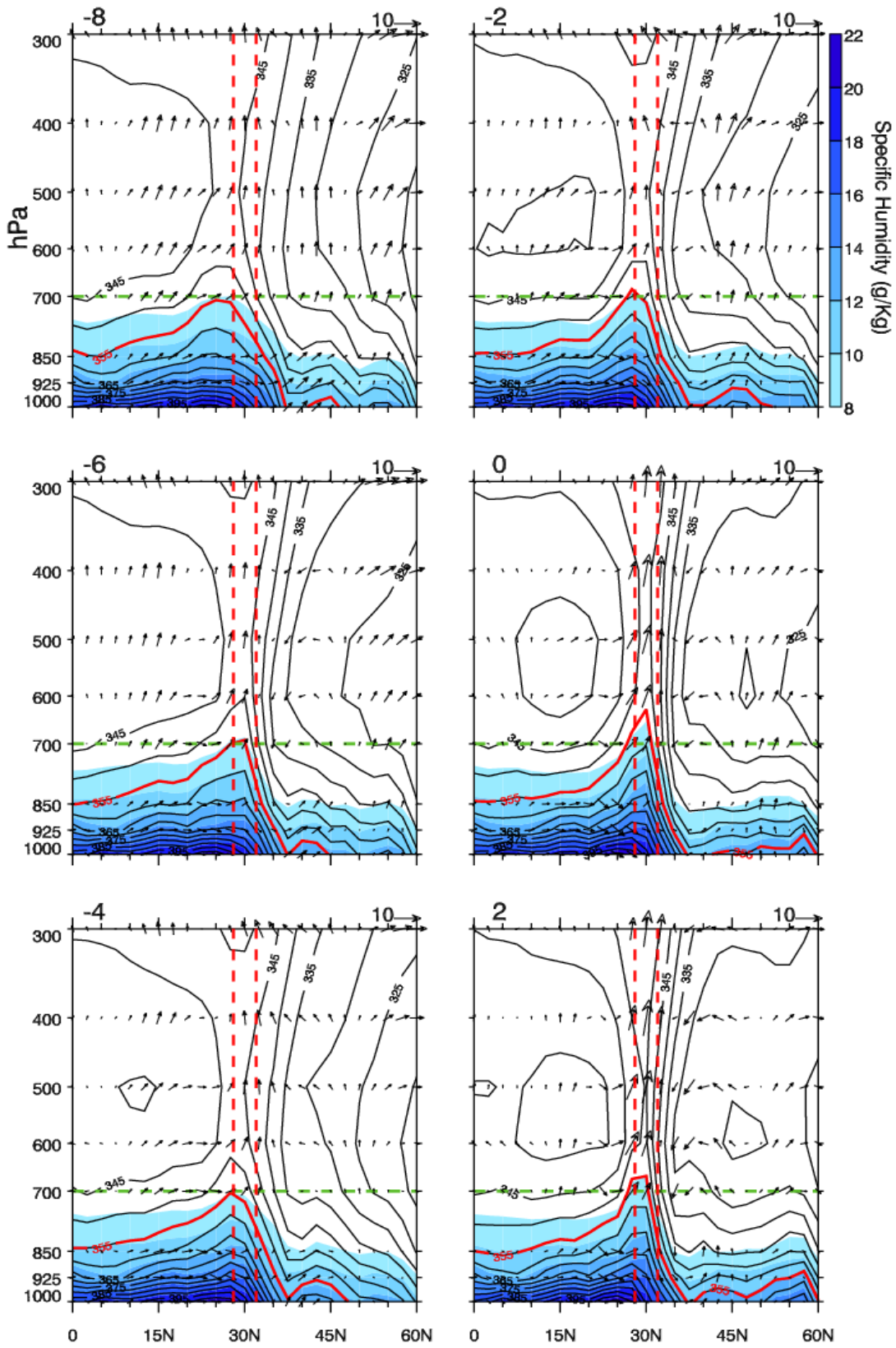


771

772

773 **Figure 5.** Composited 200 hPa horizontal wind anomaly (vectors, m/s) and normalized geopotential

774 height anomaly (contours, red for positive and blue for negative). The black solid line in each panel
775 represents boundary of the South Asia High (12520gpm-contour). Only the vectors that are at least
776 significant at the 0.05 level are shown. The shadings denote the zonal wind (U) speed anomaly, with
777 interval 3m/s. The green bold line represents the jet axis. The number above each panel represents the
778 same meaning of that in Figure 2. The black dashed lines portray the eastward propagation of the South
779 Asia High
780



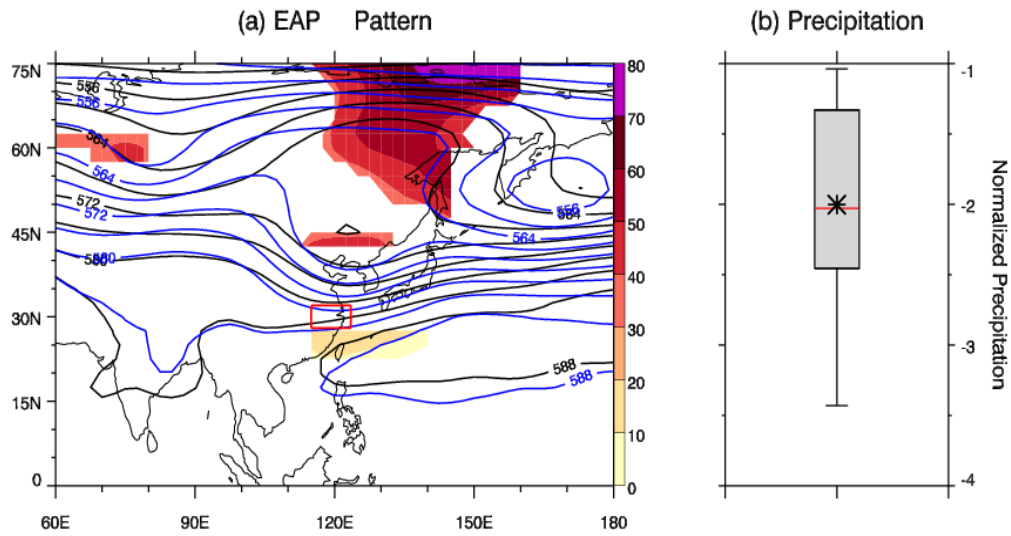
782

783 **Figure 6.** Composited latitude-height cross section (along 120°E) of equivalent potential temperature

784 (contour, every 5K), specific humidity (shading, every 2 g/kg) and wind (v-component, m/s;

785 - ω -component, 0.01 Pa/s). The red dashed line highlights the region within the YRV. The number
786 above each panel represents the same meaning of that in Figure 2. The green dashed line labels the
787 700hPa isobaric surface, and 355K-contour of equivalent potential temperature is highlighted by red
788 bold line.
789
790

791



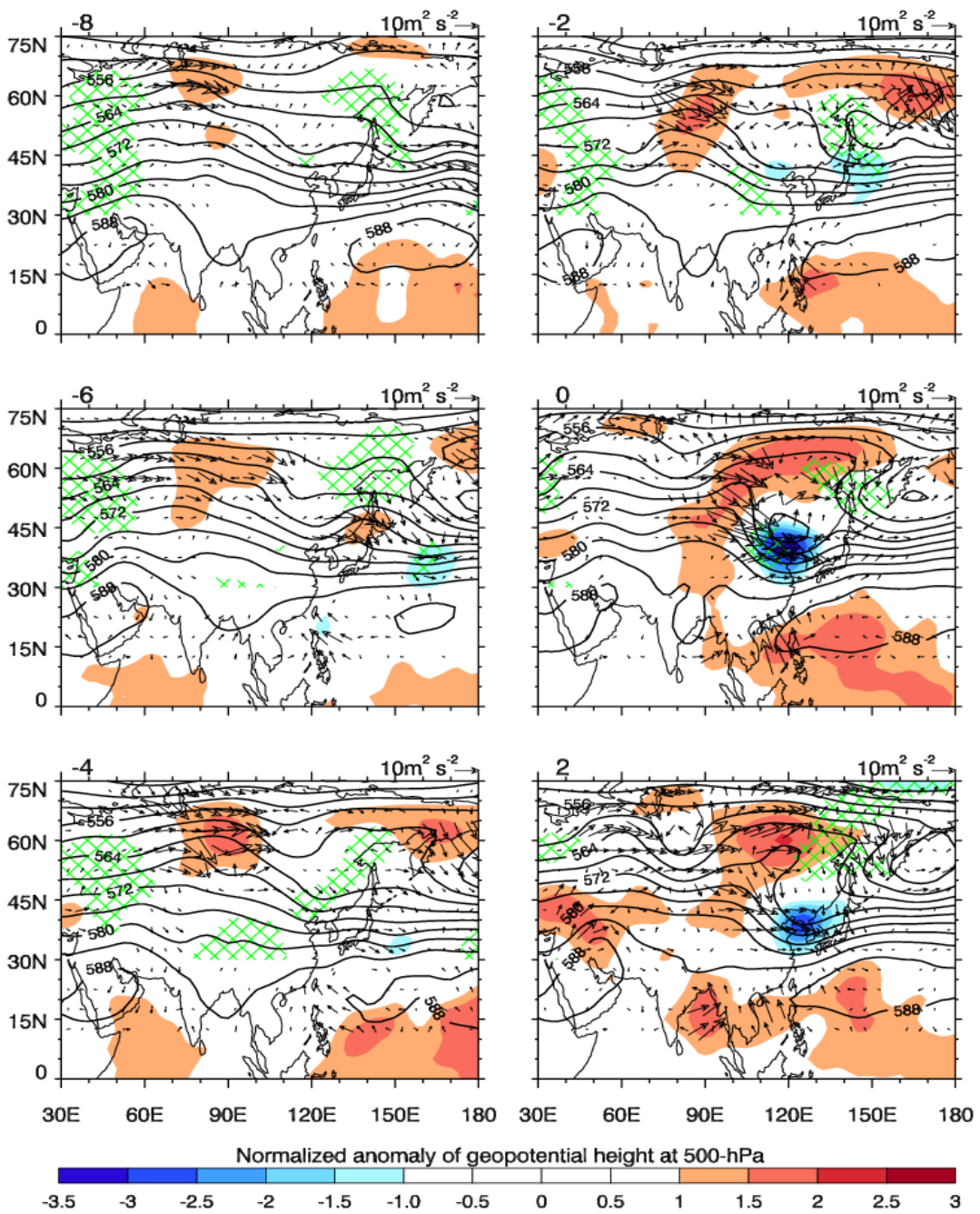
792

793 **Figure 7.** As in Figure 1, but for the EAP patterns that can't result in PEPEs in the YRV (typical dry
794 EAP pattern). For (a), the black contours indicate the composited geopotential height in Figure 1
795 (typical wet EAP pattern) and the blue ones represent the composited geopotential height during dry
796 EAP pattern (every 4 dagpm). The regions with significant differences (at least at the 0.05 level)
797 between wet and dry (wet minus dry) are shaded. For (b), the sample size is 39 days as listed in Table

798 2.

799

800



802

803 **Figure 8.** As in Figure 3, but for the EAP patterns that can't result in PEPs in the YRV (typical dry

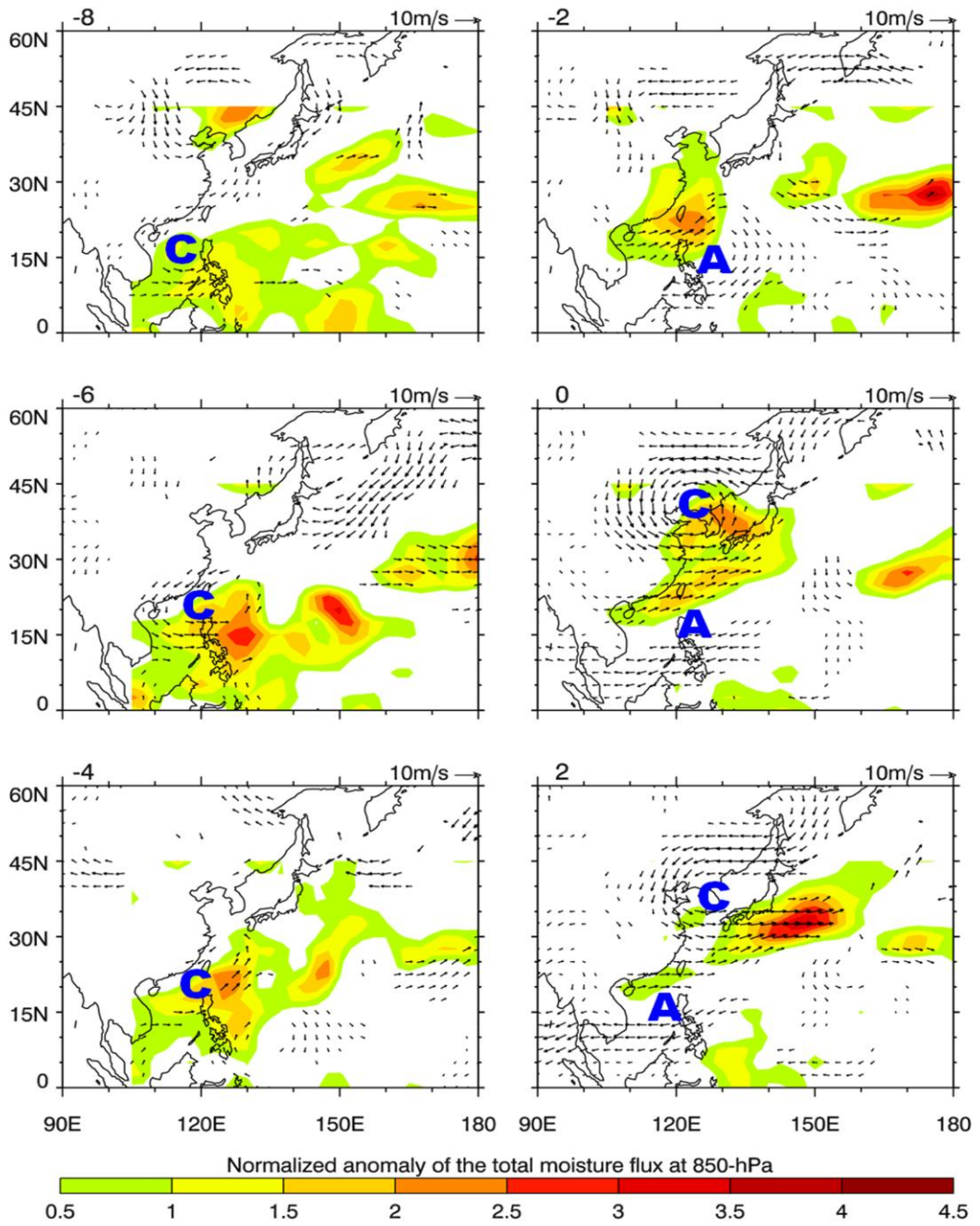
804 EAP regimes). Only the significant anomalies (at least at the 0.05 level) of geopotential height with

805 respect to climatology are shaded. The hatched lines indicate the significance of positive differences

806 between wet and dry EAP regimes (wet minus dry) at the 0.05 level at least.

807

808

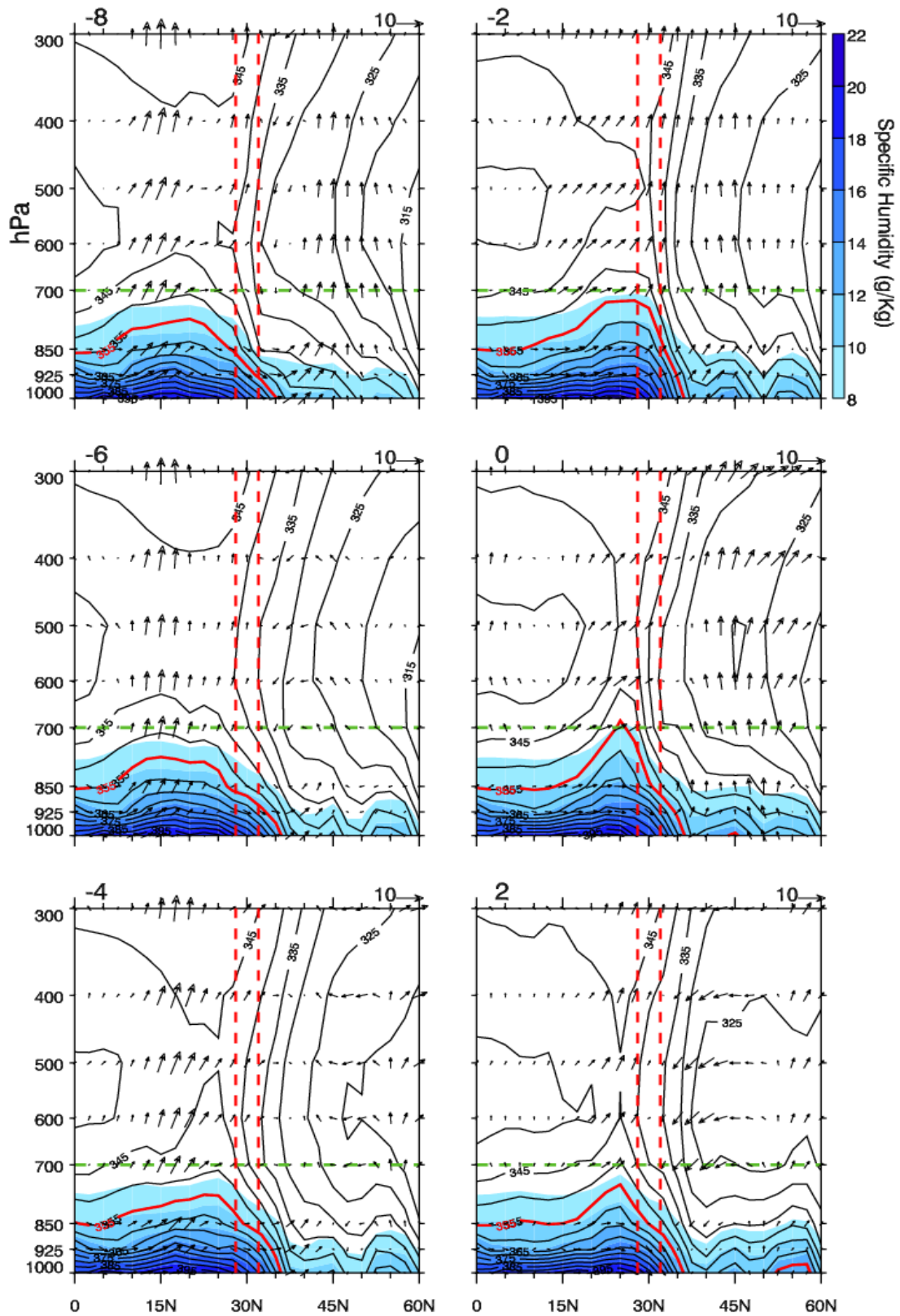


810

811 **Figure 9.** As in Figure 4, but for the EAP patterns that can't result in PEPes in the YRV (typical dry

812 EAP regimes)

813



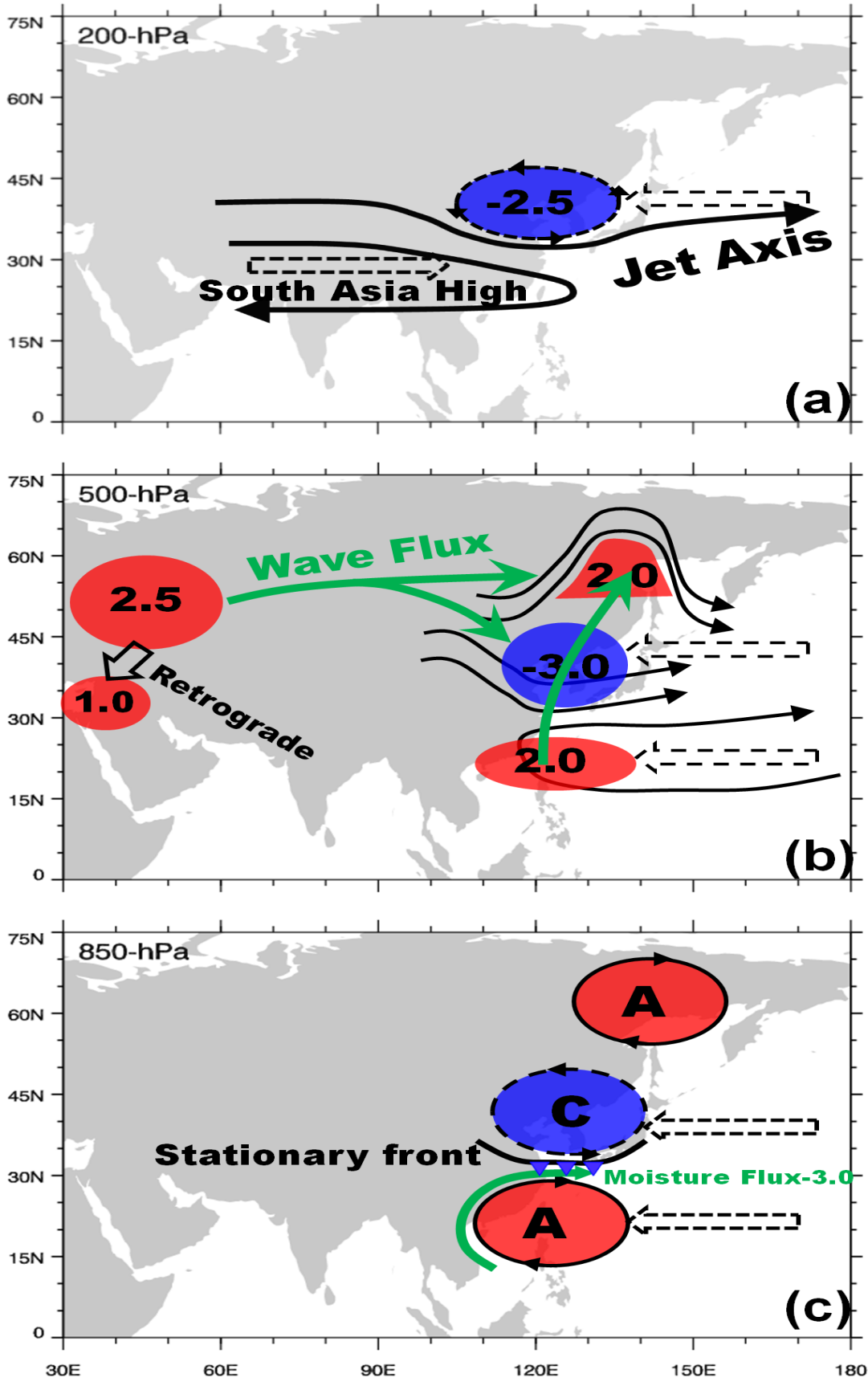
814

815 **Figure 10.** As in Figure 5, but for the EAP patterns that can't result in PEPes in the YRV (typical dry

816

EAP regimes)

817



818

819 **Figure 11.** Schematics for precursor circulation features of typical EAP patterns responsible for

820 persistent extreme precipitation events in the YRV. The thick dashed black arrows portray the
821 propagating routines of these precursors. (a) is for 200 hPa, in which the black solid lines with
822 arrowheads denote boundary of the South Asia High and westerly jet axis as labeled in the figure. (b) is
823 for 500 hPa, in which the black solid lines with arrowheads stand for streamlines. The green arrows
824 portray the wave fluxes propagation. The red and blue shadings denote positive anomalies and negative
825 anomalies of geopotential height respectively, with the regional average normalized anomaly value at
826 day -1 labeled on them. The shadings and values to the west of 60° E delineate the evolution of the
827 western ridge. (c) is for 850 hPa. The red shadings and the letter 'A' represent the anomalous
828 anticyclone. And blue shadings and the letter 'C' represent the anomalous cyclone. The green arrow
829 represents the anomalously enhanced moisture flux, with its normalized anomaly of magnitude on day
830 -1 (3 standard deviations above normal) labeled in text. The stationary front is presented as bold black
831 lines with three blue triangles.
832