

# Journal Pre-proof

Characterization of water treatment-resistant and multidrug-resistant urinary pathogenic *Escherichia coli* in treated wastewater

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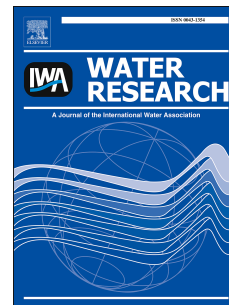
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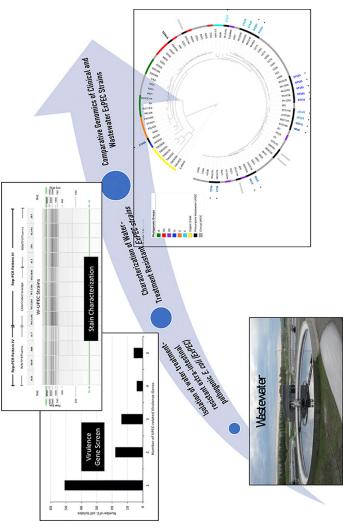
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Comparison Between a Circular and Wastewater WPC System

Water Characteristics

Wastewater

1                    **Characterization of Water Treatment-Resistant and Multidrug-Resistant Urinary**  
2                    **Pathogenic *Escherichia coli* in Treated Wastewater**

3  
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**22 Abstract**

23 A growing body of evidence has demonstrated that extraintestinal pathogenic *E. coli* (ExPEC),  
24 such as the urinary pathogenic *E. coli* (UPEC), are common constituents of treated wastewater,  
25 and therefore represent a potential public health risk. However, no single virulence gene, or set  
26 of virulence genes, can be used to conclusively identify this genetically diverse pathotype. As  
27 such we sought to identify and characterize the public health relevance of potential UPEC found  
28 in treated sewage/wastewater using a comparative genomics approach. Presumptive wastewater  
29 UPEC (W-UPEC) were initially identified by virulence gene screening against 5 virulence genes,  
30 and for which isolates containing  $\geq 3$  virulence genes were whole genome sequenced (n=24).  
31 Single nucleotide polymorphic (SNP) spanning tree analysis demonstrated that many of these  
32 wastewater UPEC (WUPEC) were virtually identical at the core genome (0.4 Mbp) when  
33 compared to clinical UPEC (C-UPEC) sequences obtained from NCBI, varying by as little as 1  
34 SNP. Remarkably, at the whole genome level, W-UPEC isolates displayed >96% whole genome  
35 similarity to C-UPEC counterparts in NCBI, with one strain demonstrating 99.5% genome  
36 similarity to a particular C-UPEC strain. The W-UPEC populations were represented by  
37 sequence types (ST) known to be clinically important, including ST131, ST95, ST127 and  
38 ST640. Many of the W-UPEC carried the exact same complement of virulence genes as their  
39 most closely related C-UPEC strains. For example, O25b-ST131 W-UPEC strains possessed the  
40 same 80 virulence genes as their most closely related C-UPEC counterparts. Concerningly, W-  
41 UPEC strains also carried a plethora of antibiotic resistance genes, and O25b-ST131 strains were  
42 designated as extended spectrum beta-lactamase (ESBL) producing *E. coli* by both genome  
43 profiling and phenotypic resistance testing. W-UPEC ST131 strains were found in the effluents  
44 of a single treatment plant at different times, as well as different wastewater treatment plants,

45 suggesting a differentially ability to survive wastewater treatment. Indeed, in sewage samples  
46 treated with chlorine doses sufficient for inducing a ~99.99% reduction in total *E. coli* levels,  
47 UPEC represented a significant proportion of the chlorine-resistant population. By contrast, no  
48 Shiga toxin-producing *E. coli* were observed in these chlorinated sewage libraries. Our results  
49 suggest that clinically-relevant UPEC exist in treated wastewater effluents and that they appear  
50 to be specifically adapted to survive wastewater treatment processes.

51

52

53 **Keywords:** urinary pathogenic *E. coli* (UPEC); wastewater; treatment resistant; comparative  
54 genomics; extended spectrum beta-lactamase (ESBL); water quality.

55

56 **Abbreviations:**

57

58 *E. coli*, *Escherichia coli*; ExPEC, extraintestinal pathogenic *E. coli*; UPEC, urinary  
59 pathogenic *E. coli*; W-UPEC, wastewater UPEC; C-UPEC, clinical UPEC; ST, sequence types;  
60 ESBL, extended spectrum beta-lactamase; AMR, antimicrobial resistance; UTI, urinary tract  
61 infections; U.S. EPA, United States Environmental Protection Agency's; ATP, alternate test  
62 procedure; ProvLab, Alberta Provincial Laboratory for Public Health; WWTPs, wastewater  
63 treatment plants; LB, Luria-Bertani; MLST, multilocus sequencing typing; STEC, Shiga toxin-  
64 producing *E. coli*; gDNA, genomic DNA; CARD, Comprehensive Antibiotic Resistance  
65 Database; AST, Antibiotic Susceptibility Testing; EHEC, enterohemorrhagic *E. coli*.

66

67

68 **1. Introduction**

69 Drinking water treatment and waste sanitation represent the most important public health  
70 intervention strategies for control of infectious diseases in developed society. It is estimated that  
71 between 1900 and 1936 a reduction of 50% of infectious disease mortality rates in the U.S. could  
72 be attributed to the advent of drinking water treatment, accounting for a 75% decline in infant  
73 mortality and a 66% decline in childhood mortality (Cutler and Miller, 2005). An estimated  
74 economic return of 23:1 exists for clean water investments, and the ‘cost-per-life-year-saved’ has  
75 been estimated at \$500 per individual (based on 2003 estimates), making water treatment the  
76 most cost-effective barrier to infectious disease prevention (Cutler and Miller, 2005). Consider  
77 the following – what would happen if microbes were able to breach this buttress of public health  
78 by evolving resistance to water treatment?

79 Indeed, several chlorine-specific transcription factors have been identified in *E. coli*,  
80 including *hypT*, *rclR* and *nemR* (Gray et al., 2013; Melnyk et al., 2015; Parker et al., 2013).  
81 Chlorine resistance and resistance to advanced oxidation products (AOPs) have been linked to  
82 the generalized stress response of *E. coli* (Cabisco et al., 2000; Drazic et al., 2013; Du et al.,  
83 2015; Hillion and Antelmann 2015; Parker et al., 2013), as well as the production of heat shock  
84 proteins (Winter et al., 2008). It is estimated that at least 10% of the entire genome of *E. coli* is  
85 dedicated to the generalized stress-response (Landini et al., 2014), and this estimate does not  
86 include other adaptive stress responses such as the universal stress response, SOS response, and  
87 soxRS systems (Trastoy et al., 2018), which are involved in survival against nutrient deprivation,  
88 osmotic stress, and oxidative stress (including UV). The diversity of stress mechanisms in the  
89 microbial toolbox is astounding, and along with their ability to transfer genes horizontally,

90 microbes are empowered to evolve and adapt to virtually any environment. Collectively, this  
91 raises the public health specter that wastewater treatment may actually drive the natural co-  
92 selection and evolution of water treatment-resistance in microbes such as *E. coli*.

93 In fact, recent evidence suggests that some strains of *E. coli* are more resistant to water  
94 treatment than others, and include certain pathotypes such as extraintestinal pathogenic *E. coli*  
95 (ExPEC). Anastasi and colleagues (Anastasi et al., 2013), observed that various strains of *E. coli*  
96 differentially survived wastewater treatment processes (activated sludge, chlorination and UV  
97 irradiation), and that isolates surviving treatment were dominated by ExPEC pathotypes, such as  
98 urinary pathogenic *E. coli* (UPEC). In another study, Anastasi et al., (Anastasi et al., 2010)  
99 observed that 59.5% of isolates surviving wastewater treatment possessed 1 or more virulence  
100 genes associated with UPEC (*papA/H*, *papE/F*, *papC*, *hlyA*, *cnf1*, and *ironN*). Based on virulence  
101 gene profiling, Adefisoye and Okoh (Adefisoye and Okoh, 2016) observed that >41.7% of *E.*  
102 *coli* isolates from treated wastewater effluents were considered as potential UPEC. Calhau et al.  
103 (2015), identified UPEC in wastewater effluents based on the presence of the virulence genes  
104 *iutA*, *papA/H*, and *sfa*, as well as various UPEC-associated pathogenicity islands, and observed a  
105 dominance of UPEC strains in hospital treated wastewaters, including sequence type (ST) 131  
106 extended spectrum beta-lactamase (ESBL) producing strains. The observation that ExPEC  
107 dominates the population of *E. coli* in treated wastewater, led Paulshus and colleagues (2019) to  
108 suggest that these findings can only be explained by a ‘higher-than-expected’ level of infection  
109 in the community or that ExPEC naturally occur in wastewater. The common occurrence of  
110 emerging pathotypes of *E. coli*, such as ESBL-producing O25b-ST131 strains in treated  
111 wastewater (Dolejska et al., 2011), elevates the concerns for public health.

112 UPEC are the most important cause of urinary tract infections (UTI) accounting for 65~75%  
113 of UTI cases (Flores-Mireles et al., 2015), resulting in >10 million physician visits each year in  
114 the U.S., and imposing an estimated \$3.5 billion in healthcare costs (Flores-Mireles et al., 2015).  
115 Among the sequence types associated with clinical infections, the ST131 strain is of major public  
116 concern (Mathers et al., 2015). This sequence type has emerged on all continents and is  
117 associated with greater antibiotic resistance than other sequence types, and includes ESBL-  
118 producing strains (Mathers et al., 2015; Nicolas-Chanoine et al., 2014).

119 Unfortunately, no single virulence gene, or set of virulence genes, clearly define UPEC  
120 (Schreiber et al., 2017), raising questions about whether the strains observed in various  
121 wastewater studies outlined above may represent clinically-relevant UPEC. As such, our main  
122 objective was to determine whether the treatment resistant population of *E. coli* isolated from  
123 treated sewage/wastewater samples represented clinically-relevant UPECs. Collectively, our  
124 comparative whole genome data demonstrates that many of the water-treatment resistant strains  
125 found in treated wastewater represent clonally-identical and clinically-relevant UPEC strains,  
126 and include antibiotic resistant sequence types of global concern, such as the ST131 ESBL-  
127 producing UPEC.

128

129

## 130 **2. Materials and methods**

### 131 ***2.1 Bacterial strains***

132 *E. coli* isolates for this study were obtained using two different approaches. One  
133 approach (Sample Set 1) employed the United States Environmental Protection Agency's (U.S.  
134 EPA) Alternate Test Procedure (ATP) (US EPA, 2010) for obtaining chlorine-stressed bacteria



135 from chlorinated sewage. This procedure incorporates a process step in which raw sewage is  
136 treated with chlorine bleach in the laboratory to reduce the viable *E. coli* concentration within the  
137 sewage by up  $\sim 4 \log_{10}$ , resulting in a chlorine-stressed and tolerant population. Briefly, raw  
138 sewage samples from 10 different sewage treatment plants in Alberta, Canada, were collected  
139 and sent to the Alberta Provincial Laboratory for Public Health (ProvLab) for analysis. Each raw  
140 sewage sample was treated with 3% sodium hypochlorite with a free chlorine residual of 0.3-0.5  
141 ppm, and for a sufficient length of time to cause a  $\sim 4 \log_{10}$  reduction in the culturable  
142 concentration of *E. coli*. Chlorine reactivity was neutralized by addition of a 10% solution of  
143 sodium thiosulfate. The  $\sim 4 \log_{10}$  reduction in culturable *E. coli* levels was verified using parallel  
144 samples, with numbers estimated based on most probable numbers using a Colilert QuantiTray®  
145 system (IDEXX Laboratories, Inc.). Chlorine-treated wastewater samples were then used to  
146 inoculate either ColiTag® or lauryl trypticase broth/BCG media according to Method 9221.F in  
147 *Standard Methods for the Examination of Water and Wastewater* (American Public Health  
148 Association. et al., 1999). *E. coli* was isolated from ColiTag® or LTB/BG positive cultures by  
149 selective plating onto X-Gluc agar plates (Frampton et al., 1988) and incubating the plates at  
150 44.5 °C for 24 h. Blue colonies were picked and streaked onto non-selective blood agar plates  
151 and incubated at 35 °C for 24 h. All presumptive wastewater *E. coli* isolates were confirmed as  
152 *E. coli* through comprehensive biochemical testing using a Vitek®2 Automated Bacterial  
153 Identification System (BioMerieux, St. Laurent, Quebec, Canada) according to the  
154 manufacturer's instructions. All isolates were stored in Tryptic Soy Broth (TSB) containing 50%  
155 skim milk at -80 °C. Individual isolates were subsequently thawed and cultured in TSB broth  
156 overnight at 37 °C prior to identification and characterization of UPEC isolates using molecular  
157 methods (see below).

158           The second approach for obtaining *E. coli* isolates (Sample Set 2) used partially-treated  
159 and finished effluents from three municipal wastewater treatment plants (WWTPs) in the City of  
160 Calgary as a source of treatment-resistant *E. coli*. Wastewater treatment configurations include  
161 grit removal, primary clarification, activated sludge, secondary clarification and UV disinfection  
162 (low or medium pressure at doses of 25-30mJ/cm<sup>2</sup> at peak flow), and with maximal treatment  
163 capacities ranging from 140 ML/day to 1020 ML/day. Wastewater effluent samples were  
164 processed by standard membrane filtration (100 mL) on X-Gluc agar plates, and blue isolates  
165 were selected and inoculated into a 96-well plate containing 100uL 1X Luria-Bertani (LB) broth,  
166 followed by incubation overnight without shaking at 37°C. For long-time storage of the plates,  
167 50% LB-glycerol in a 1:1 ratio was added to each well and frozen at -80°C. A total of 1212  
168 isolates were collected during the study, and a random collection of 261 isolates from this library  
169 were selected for further analysis and confirmed as *E. coli* using a Vitek®2 Automated Bacterial  
170 Identification System.

171

## 172 **2.2 Analysis of UPEC-related virulence genes and molecular markers**

173           *E. coli* isolates were grown in TSB overnight at 37°C and their genomic DNA extracted from  
174 the TSB culture using DNeasy Blood & Tissue kits (Qiagen, Toronto, Canada) according to the  
175 manufacturer's instructions. *E. coli* isolates from Sample Set 1 (i.e., chlorinated sewage) were  
176 analyzed by PCR against a panel of UPEC-related virulence genes and various other molecular  
177 markers. A total of 376 chlorine-tolerant isolates from Sample Set 1 were tested against a panel  
178 of two *E. coli*-related markers (*usp-IS30-flhDC* and *uidA*), two UPEC-related sequence type (ST)  
179 markers (ST131 and O25b-ST131), five known UPEC-related virulence genes (described  
180 below), and Shiga toxins 1 and 2 (*stx1* and *stx2*). The *uspC-IS30-flhDC* marker was used to

181 exclude naturalized wastewater strains of *E. coli* from further analysis since these strains have  
182 been shown to dominate the population of treatment-resistant *E. coli* found in chlorinated sewage  
183 (Zhi et al., 2016). The *uidA* marker was used as a genetic confirmatory marker of all *E. coli*  
184 strains phenotypically-typed by the Vitek® Automated Bacterial Identification System. The  
185 presence of the ST131 and the O25b-ST131 markers were included in the panel since ST131  
186 UPEC strains represent a dominantly emerging pandemic lineage of clinically-important UTI  
187 cases, and for which the O25b-ST131 sub-lineage is of particular concern (Clermont et al.,  
188 2009). The presence of five common UPEC-related virulence genes were also incorporated in  
189 the analysis, and included the genes *papC* (outer membrane usher protein), *sfa/foc* (S and F1C  
190 fimbriae), *fyuA* (outer membrane iron receptor), *chuA* (outer membrane heme receptor) and *iroN*  
191 (siderophore receptor). The *stx1* and *stx2* genes were also included in the analysis to determine  
192 if Shiga toxin-producing *E. coli* (STEC) were represented in the chlorine-tolerant *E. coli*  
193 population of treated sewage/wastewater. All primers used in this study are provided in **Table 1**.  
194 Purified genomic DNA (gDNA) was quantified using the Qubit fluorimeter (Thermo Fisher  
195 Scientific Inc.). All PCR reactions were performed on an ABI 2720 thermocycler (Applied  
196 Biosystems).

197 PCR protocols for the *uspC-IS30-flhDC* and *uidA* markers have been previously described by  
198 Zhi et al., (2016) and Taskin et al., (2011), respectively. For other PCR assays, the reactions  
199 consisted of 20 – 40 ng of gDNA template, 1X GoTaq mastermix (Promega), 10 µg/mL BSA  
200 and 500 nM of each primer. Cycling conditions for *papC* and *sfa-foc* were: 95 °C, 2 min  
201 followed by 33 cycles of 30 s at 95 °C, 30 s at 63 °C and 45 s at 72 °C followed by a 7 min  
202 incubation at 72 °C. Cycling conditions for *fyuA*, *chuA* and *iroN* were: 95 °C for 2 min followed  
203 by 33 cycles of 30 s at 95 °C, 30 s at 63 °C and 1 min at 72 °C followed by a 7 min incubation at

204 72 °C. Cycling conditions for ST131 marker were: 95 °C for 2 min followed by 35 cycles of 20 s  
205 at 95 °C, 20 s at 57 °C and 40 s at 72 °C followed by a 7 min incubation at 72 °C. Cycling  
206 conditions for O25b-ST131 marker were: 95 °C for 4 min followed by 30 cycles of 5 s at 94 °C,  
207 10 s at 65 °C followed by a 5 min incubation at 72 °C. All PCR products were run on 1.5%  
208 agarose gels and photographed on an ImageQuant LAS 4000 (GE Healthcare Life Sciences).

209 In some experiments, clonal-relatedness between strains was determined by (GTG)<sub>5</sub> rep-PCR  
210 using the methods of Korvin *et al.*, (2014). Cycling conditions for rep-PCR experiments were: 95  
211 °C for 2 min followed by 35 cycles of 1 min at 95 °C, 1 min at 50 °C, 8 min at 65 °C, followed by  
212 an 8 min incubation at 65 °C. The rep-PCR amplicons were run on a QIAxcel High Resolution  
213 Cartridge (Qiagen) using the OM1200 program with a 20 second injection time. A 15bp/10kb  
214 alignment marker and 250bp/8kb size marker were used for determining band sizes produced by  
215 rep-PCR.

216

### 217 ***2.3 Phenotypic characterization of antibiotic resistance in W-UPEC***

218 Wastewater UPEC (W-UPEC) strains were phenotypically tested for antibiotic  
219 susceptibility using the VITEK®2 Antibiotic Susceptibility Testing (AST) cards: AST-N391 and  
220 AST-GN98 (BioMerieux, St. Laurent, Quebec, Canada) according to the manufacturer's  
221 instructions. Resistance to 27 individual antibiotics as well as an ESBL-specific combination  
222 were assessed in the analysis. Eight antibiotics were similar between the two VITEK®2 AST  
223 cards and acted as a quality control for resistance testing among different cards. For the  
224 purposes of this study, strains classified as having intermediate resistance or complete resistance  
225 were considered as resistant to a particular antibiotic. Previously isolated and purified  
226 presumptive W-UPEC isolates were streaked on tryptic soy agar plates and incubated for 24

227 hours at 37°C. After incubation, colonies were suspended in 3ml of 0.45% saline and adjusted to  
228 an optical density of 0.5-0.63 McFarland standard by DensiCHEK™Plus (BioMerieux, St.  
229 Laurent, Quebec, Canada). One-hundred forty-five µl of the adjusted suspensions (from each  
230 isolate) was transferred to a clear plastic test tube containing another 3 ml of 0.45% saline. The  
231 prepared tubes (2 for each isolate) were loaded into a VITEK® 2 Compact (BioMerieux) along  
232 with the AST-GN98 and AST-N391 cards (one card per suspension). Both positive and negative  
233 quality control samples were included in the analysis. Positive controls were *E. coli* ATCC  
234 25912, *E. coli* ATCC 35218, and *Pseudomonas aeruginosa* ATCC 27853 (as per recommended)  
235 and the negative control was 0.45% saline.

236

#### 237 ***2.4 Whole genome sequencing of presumptive wastewater UPEC (W-UPEC) strains and*** 238 ***sequence assembly***

239 Genomic DNA of each presumptive wastewater UPEC (W-UPEC) isolate from Sample Set  
240 1, as identified from the PCR panel screen, was sent to Genome Quebec (Montreal, Canada) for  
241 sequencing using an Illumina HiSeq X platform (Illumina) with paired-end 150-nucleotide reads.  
242 Trimmomatic Version 0.38 was used to trim the low-quality reads of each genome with the  
243 following parameters: SLIDINGWINDOW=4:15, LEADING=3, TRAILING=3 MINLEN=36.  
244 *De novo* assembly was performed using SPAdes Version 3.9.1 (Bankevich et al., 2012) with '--  
245 careful' and '-k 21,33,55,77' options. The SPAdes-assembled contigs smaller than 1000 bp were  
246 removed from downstream analysis.

247

#### 248 ***2.5 Phylogenetic analysis and multilocus sequencing typing (MLST) of W-UPEC strains***

249 Whole genome phylogenetic analysis was performed using REALPHY 1.12 (Bertels et al.,  
250 2014). A maximum likelihood phylogenetic tree was generated based on the whole genome  
251 sequence (WGS) of presumptive W-UPEC strains from this study (n=24), 29 clinical UPEC (C-  
252 UPEC) strains, 6 cryptic *E. coli*, as well as 27 *E. coli* strains with known phylogroups (Sims and  
253 Kim, 2011). The phylogenetic tree was visualized using interactive Tree of Life (iTOL) (Letunic  
254 and Bork 2019) and was rooted against the *E. albertii* genome. Information pertaining to the  
255 bacterial strains used in this analysis can be found in **Supplementary Table S1**. MLST was also  
256 performed on all presumptive W-UPEC strains using MLST-2.0 with *Escherichia coli*#1  
257 scheme (Larsen et al., 2012). The serotype of W-UPEC strains and their genetically similar C-  
258 UPEC counterparts were predicted using SerotypeFinder 2.0 (Joensen et al., 2015).

259

## 260 ***2.6 Minimum spanning tree of W-UPEC and clinical UPEC (C-UPEC) strains based on core*** 261 ***genome SNP analysis***

262 Comparative core genome SNP analysis of the presumptive W-UPEC (n=24) and 328 C-  
263 UPEC strains obtained from NCBI databases (see **Supplementary Table S1** for list of isolates)  
264 was performed using REALPHY 1.12 (Bertels et al., 2014) to build a minimum spanning tree. In  
265 brief, whole genome sequences of all 352 strains were loaded into the local REALPHY input  
266 folder. One of the *E. coli* strains was randomly selected as a reference sequence. The genome  
267 sequences of all other strains were then mapped to the reference genome for SNP assessment.  
268 The core genome SNPs identified by REALPHY were analyzed by Phyloviz (Francisco et al.,  
269 2012) to generate a minimum spanning tree based on SNPs differences between the various  
270 strains. In addition, the core genome sequence alignments of all 352 strains were also used to  
271 generate a core genome SNP distance matrix using the R package Biostrings (Pagès et al., 2019).

272

### 273 **2.7 Pairwise whole genome comparison of W-UPEC and clinical UPEC strains**

274 Pairwise whole genome comparisons were performed on W-UPEC and closely related C-  
275 UPEC strains (as identified in minimum spanning tree and core genome similarity analysis  
276 described above). REALPHY 1.12 (Bertels et al., 2014) was used to determine the pairwise  
277 genome similarity with default parameters. The genome similarity between different strains was  
278 then calculated based on the core genome size result of the REALPHY analysis.

279 An additional pairwise whole genome comparison was performed among 30 *E. coli* O157:H7  
280 strains (**Supplementary Table S1**) to determine the level of whole genome similarity that exists  
281 between strains of the same bacterial species that cause a similar pathology (i.e., gastrointestinal  
282 illness). Since *E. coli* O157:H7 strains are genetically more homogeneous than UPEC strains,  
283 the comparative genome analysis of *E. coli* O157:H7 strains established an upper threshold of  
284 whole genome intra-pathotype genetic variability that is associated with a particular disease  
285 phenotype. All *E. coli* O157:H7 strains were downloaded from the NCBI genome database. The  
286 upper median threshold of whole genome intra-pathotype variability in *E. coli* O157:H7 strains  
287 was then used as a threshold criterion for determining whole genome relatedness between W-  
288 UPEC and C-UPEC that would likely result in a similar pathology (i.e., urinary tract infection).

289

### 290 **2.8 Whole genome analysis of virulence genes and antibiotic resistance genes in W-UPEC**

291 W-UPEC strains and selected C-UPEC strains from NCBI were analyzed for virulence gene  
292 and antibiotic resistance gene composition. For the virulence gene search, a local blast database  
293 of W-UPEC and C-UPEC strains was built using BLAST 2.5.0 , after which ~2,600 virulence  
294 factors in the core dataset of the Virulence Factor Database (VFDB) (Chen et al., 2016) were

295 compared to the genome database to identify virulence gene matches in the UPEC genomes. The  
296 minimum query coverage was set to 90% with a percent identity of  $\geq 80\%$ . Antibiotic resistance  
297 genes in *E. coli* genomes were identified using the Resistance Gene Identifier (RGI) of the  
298 Comprehensive Antibiotic Resistance Database (CARD) (Jia et al., 2017).

299

300

### 301 **3. Results**

#### 302 **3.1 Identification of presumptive W-UPEC from chlorine-treated sewage**

303 A library consisting of 376 *E. coli* isolates obtained from chlorinated sewage samples  
304 (Sample Set 1) was screened by PCR against a panel of *E. coli* related markers, as well as UPEC  
305 and STEC-related virulence genes. One-hundred forty-five (38%) isolates within this chlorine-  
306 tolerant library contained the *uspC-IS30-flhDC* marker and were therefore considered as  
307 naturalized wastewater strains of *E. coli*. Nine isolates that were biochemically-confirmed using  
308 the automated VITEK®2 Automated Bacterial Identification system lacked the *uidA* gene.  
309 Strains possessing the *uspC-IS30-flhDC* marker or lacking the *uidA* gene (154 of the original 376  
310 isolates) were not included in the subsequent virulence gene analysis.

311 The remaining 222 chlorine-tolerant isolates were tested by PCR for the virulence genes  
312 *papC*, *sfa/foc*, *fyuA*, *chuA*, *iroN*, *stx1* and *stx2* and were screened for ST131 and O25b-ST131  
313 markers. None of the 222 chlorine-tolerant isolates possessed *stx1* or *stx2*. Of the 222 isolates,  
314 129 (or 34% of the original 376 chlorine-tolerant *E. coli*) did not possess any of the UPEC-  
315 related virulence genes. Ninety-three isolates (25% of the original 376 chlorine-tolerant *E. coli*)  
316 contained one or more UPEC-related virulence genes. Of these 93 isolates, 51 isolates possessed  
317 one of the UPEC-related virulence genes, 18 possessed two virulence genes, 14 possessed three



318 virulence genes, 4 possessed four virulence genes, and 6 possessed five virulence genes. A  
319 breakdown of the occurrence of each of these virulence genes is provided in **Figure 1**. Isolates  
320 possessing at least 3 of the initial 5 virulence screen genes (*papC*, *sfa/foc*, *fyuA*, *chuA*, and *ironN*)  
321 were subjected to whole genome sequencing (n=24 [or 6% of the original 376 chlorine-tolerant  
322 isolates]). These 24 isolates were considered as presumptive W-UPEC. Five of these 24  
323 presumptive W-UPEC isolates (WU 1155, 1030, 1266, 1036 and 1265) were also positive for the  
324 ST131 PCR marker and all five were of the O25b-ST131 lineage.

325

### 326 **3.2 Whole genome sequence analysis of presumptive W-UPEC from chlorinated sewage**

327 Genome characteristics of the 24 presumptive W-UPEC strains are summarised in **Table 2**.  
328 Genome sizes ranged from 4.8 Mbp to 5.3 Mbp with an average G+C content of 50.5%. The  
329 mean number of putative coding genes within these presumptive W-UPEC wastewater strains  
330 was approximately 4762 and sequence coverage ranged from 332x to 569x.

331 Maximum likelihood phylogenetic analysis was used to compare whole genome sequences of  
332 the 24 chlorine-resistant presumptive W-UPEC strains against 29 clinical UPEC (C-UPEC)  
333 genomes from NCBI, as well *E. coli* strains with known phylogroups (Beatson et al., 2015;  
334 Tenailon et al., 2010; Touchon et al., 2009; Zhang and Lin 2012). Presumptive W-UPEC strains  
335 scattered within phylogroups B1, B2, and D (**Figure 2**). In total, 21 of the W-UPEC strains  
336 clustered within phylogroup B2, while only one and two strains grouped within phylogroups D  
337 and B1, respectively. A similar phylogrouping pattern was also observed in clinical UPEC  
338 strains with the majority of the clinical strains partitioning into phylogroup B2, followed by  
339 phylogroup B1 and A.

340 Sequence types (ST) of the 24 chlorine-resistant presumptive W-UPEC was determined by  
341 MLST sequence analysis (**Figure 2**). Four strains were designated to unknown STs. For the  
342 remaining 20 strains, 11 STs were identified, among which the most predominant sequence type  
343 was ST131 (20.8%, 5/24), followed by ST95 (16.7%, 4/24), ST127 (8.3%, 2/24), and ST640  
344 (8.3%, 2/24). The other STs (ST6331, ST349, ST80, ST625, ST538, ST357, ST117) were each  
345 represented by only one strain (4.2%, 1/24 each). Data also confirmed that the five ST131 strains  
346 identified by PCR were also designated as ST131 by whole genome sequencing analysis and also  
347 belonged to the O25b-ST131 sub-type, a current global pandemic strain (Can et al., 2015;  
348 Mathers et al., 2015; Nicolas-Chanoine et al., 2014). All of the chlorine-tolerant O25b-ST131  
349 isolates originated from the same WWTP.

350 In total, the 24 presumptive W-UPEC strains originated from eight of ten different WWTPs  
351 surveyed across Alberta, Canada (**Figure 2**), suggesting that chlorine-tolerance among W-UPEC  
352 pathotypes is widely distributed. Remarkably, the chlorine-resistant population of presumptive  
353 W-UPECs from chlorinated sewage from one WWTP was shown to be comprised of 6 different  
354 sequence types, all of which are known to be clinically important, including the most common  
355 clinical UPEC sequence types ST131, ST95, and ST127 [**Figure 2**] (Toval et al., 2014; Yun et  
356 al., 2015). This suggests that a variety of W-UPEC strains appear to display tolerance to chlorine.  
357 Conversely, none of the chlorine-resistant strains represented in our chlorine-treated library were  
358 shown to contain Shiga toxin virulence genes (*stx1* or *stx2*).

359

### 360 ***3.3 Genomic similarity between W-UPEC and C-UPEC strains***

361 A core genome similarity comparison of the 24 presumptive W-UPEC isolates against 328  
362 C-UPEC strains in NCBI was performed to further assess whether any of the chlorine-tolerant

363 W-UPEC strains actually represented clinically-important UPEC strains. A minimum spanning  
364 tree was built based on core genome SNPs differences (**Supplementary Figure S1**) for  
365 visualization of relatedness between W-UPEC and C-UPEC. Several W-UPEC strains clustered  
366 closely with C-UPEC strains, differing by only a few SNPs across the core genome. For  
367 example, the W-UPEC strain, WU1036, and the C-UPEC strain, U308, differed from each other  
368 by only one SNP across a 0.4 Mbp core genome. This high genome similarity was also observed  
369 among the W-UPEC strains, WU1025, and the C-UPEC strain U64 (4 SNPs differences). Other  
370 W-UPEC strains, such as WU1157, differed from the most closely related C-UPEC strain, U60,  
371 by only 4 SNPs. Similarly, the W-UPEC strain, WU1214, differed from the C-UPEC strain,  
372 U341, by only 7 SNPs across the core genome.

373 In addition to the spanning tree analysis for determining core genome similarity, a core  
374 genome SNP distance matrix of all 352 strains was generated (**Supplementary Table S2**). This  
375 distance matrix was used to further characterize all pairs of W-UPEC and C-UPEC that had high  
376 core genome similarity. A core genome SNP difference of 50 was used as a threshold to identify  
377 genetic matches between W-UPEC and C-UPEC strains. As shown in **Table 3**, fifteen W-UPEC  
378 strains were found to have C-UPEC matches that met this criterion. These fifteen W-UPEC  
379 included the five ST131 isolates, the four ST95 isolates, the two ST127 isolates, one ST80  
380 isolate, and two isolates with unknown sequence types.

381 Serotype prediction from genome sequences was subsequently done to evaluate similarity  
382 between W-UPEC and their most closely related C-UPEC strains (as identified in the analysis  
383 above) (**Table 4**). The predicted serotype of all W-UPEC strains matched the serotype  
384 predictions of their most closely related C-UPEC counterparts (**Table 4**). All O25b-ST131 PCR  
385 positive W-UPEC strains were predicted to have an O25:H4 serotype by whole genome

386 sequencing (**Table 4**) and matched the predictions for their mostly closely related clinical UPEC  
387 counterparts.

388 Since UPEC are a genetically diverse group of pathogenic *E. coli*, we sought to further  
389 evaluate and understand the overall intra-pathotype variation between chlorine-tolerant W-UPEC  
390 and C-UPEC at the whole genome level. To root this comparison, we performed a pairwise  
391 genome alignment against 30 clinical strains of *E. coli* O157:H7 - a genetically more  
392 homogeneous pathotype (STEC/EHEC) compared to UPEC. For this analysis, 435 paired  
393 genome comparisons were performed across the 30 *E. coli* O157:H7 genomes, and for which  
394 whole genome similarity between any two representative diarrheal disease-causing *E. coli*  
395 O157:H7 isolates ranged from 79.67% to 99.95%, with mean and median genetic similarity  
396 estimates of 94.24% and 96.03%, respectively. We therefore selected the higher median  
397 similarity value of 96.03% as the upper threshold of pathotype similarity to determine if any two  
398 W-UPEC and C-UPEC strains were indeed closely related at the whole genome level and likely  
399 to cause the same phenotypic disease pattern in humans. In total, 14 of the W-UPEC strains had  
400 at least one closely related clinical UPEC strain that passed this criterion (**Table 3**). Whole  
401 genome similarity of the W-UPEC and C-UPEC matches ranged from 96.05% to 99.49%,  
402 suggesting an extremely high degree of genetic similarity at the whole genome level between  
403 each of the W-UPEC and their most closed related C-UPEC strain. The genome of W-UPEC  
404 strain WU1214 (an ST80 isolate) displayed the highest degree of similarity to its C-UPEC  
405 counterpart (99.49%). Interestingly, and in agreement with the pairwise whole genome  
406 comparison analysis, the O25b-ST131 PCR assay demonstrated that all five ST131 W-UPEC  
407 strains belonged to an *E. coli* clonal group frequently isolated from urine samples of patients  
408 with UTI (Clermont et al., 2009; Coque et al., 2008; Gibreel et al., 2012a; Vimont et al., 2012).

409

410 ***3.4 Comparative assessment of virulence gene composition in W-UPEC and C-UPEC***  
411 ***strains***

412 The high degree of genetic relatedness between the W-UPEC strains and their C-UPEC  
413 counterparts at the core and whole genome level was also reflected in virulence gene analysis.  
414 Virulence gene composition was compared between each of the 14 W-UPEC strains identified  
415 above and their most closely related C-UPEC counterparts (a maximum of four C-UPEC  
416 representative strains were included for each pair). The results are provided in **Supplementary**  
417 **Table S3**. W-UPEC strains WU965, WU1752, and WU1033 possessed the exact same  
418 complement of virulence genes as their most closely related C-UPEC strains (**Supplementary**  
419 **Table S3**). Based on whole genome searching, the five O25b-ST131 W-UPEC strains (WU1030,  
420 WU1036, WU1155, WU1265, and WU1266) contained 78 different virulence genes that were  
421 also found in their genetically related C-UPEC counterparts. Upon initial assessment using  
422 curated whole genome data, the W-UPEC strains appeared to lack two virulence genes compared  
423 to their most closely related C-UPEC strains, including the *iutA* gene (which encodes for  
424 aerobactin receptor protein) and the *iucD* virulence gene (an aerobactin biosynthesis protein).  
425 However, when these genes were blasted against raw genome data (including the short contigs),  
426 the *iutA* gene and a partial *iucD* gene were found in short contig sequences, suggesting that the  
427 five ST-131 W-UPEC strains actually possessed the same 80 virulence gene complement as the  
428 C-UPEC strains. In addition to possessing these 80 virulence genes, the ST131 W-UPEC strains  
429 also possessed the *sfaX* and *papX* virulence genes which were not found in their C-UPEC  
430 counterparts. Interestingly, one W-UPEC ST131 strain (WU1266) also possessed the *gspC-M*  
431 gene cluster associated with the Type 2 Secretion System, which was not observed in their most

432 closely related C-UPEC strains (albeit this gene cluster was observed in other W-UPEC and C-  
433 UPEC strains).

434 The additional effort of searching for virulence genes in raw genome data revealed the  
435 presence of the same complement of virulence genes between the majority of the W-UPEC  
436 strains and their most closely related C-UPEC counterparts (**Supplementary Table S3**). This  
437 was true for all ST131 strains mentioned above, as well as W-UPEC strains WU1025, WU1151,  
438 and WU1214. In the case of the W-UPEC strain WU1214, although it carried all virulence genes  
439 similar to the related C-UPEC isolates (U57 and U341), the *papB* gene had only an 81%  
440 sequence identity. The exceptions to the virulence gene similarity analysis were: i) a W-UPEC  
441 strain (WU1157), which did not possess the *hlyCABD* gene operon as well as the *cnfI* gene  
442 compared to their most closely-related C-UPEC strains (U115, U203, U334, and U60); ii) a W-  
443 UPEC strain (WU1274), which did not possess the type 2 secretion system (T2SS) coding genes  
444 *gspCDEFGHIJKLM* compared to its closely related C-UPEC strains (U23, U67, U294, and  
445 U295); and c) a W-UPEC strain (WU3707), in which the *iroBCDEN* operon was absent when  
446 compared to its most closely related C-UPEC strains (U48, U139, U162, and U289).

447

### 448 **3.5 Antibiotic resistance in W-UPEC strains**

449 W-UPEC strains were also shown to possess a plethora of antibiotic resistance genes, as  
450 identified through the CARD database (Jia et al., 2017) (**Supplementary Table S4**). Sixty  
451 antibiotic resistance genes were identified in the ST131 W-UPEC isolates, and for which these  
452 isolates possessed the greatest number of antibiotic resistance genes compared to the other W-  
453 UPEC strains. Among the 60 antibiotic resistance genes identified, *bla<sub>CTX-M-15</sub>*, *bla<sub>OXA-1</sub>*, *ampC*,  
454 *aac(6')-Ib-cr*, *aac(3')-II*, *ptsI*, *parC*, *gyrA*, *catB3*, and *vagC* were only present in ST131 W-

455 UPEC strains and not the other W-UPEC strains. The presence of *bla*<sub>CTX-M-15</sub>, *bla*<sub>OXA-1</sub>, and the  
456 *ampC* gene suggested that these isolates may represent ESBL-producing *E. coli*. The five ST131  
457 W-UPEC strains also carried two genes encoding aminoglycoside-modifying enzymes (*AAC(3)-*  
458 *Iib* and *AAC(6')-IIa*), representing the most common mechanisms for resistance against  
459 aminoglycosides (Ramirez and Tolmasky, 2010). The five ST131 W-UPEC strains also  
460 possessed four genes that confer resistance to fosfomycin, including *glpT*, *ptsI*, *uhpT*, and *mdtG*  
461 (Ballesterro-Tellez et al., 2017; Ohkoshi et al., 2017), among which *ptsI* was only found in the  
462 five ST131 strains. Two additional antibiotic resistance genes, found only in the five ST131  
463 strains, included *parC* and *gyrA* – genes involved in fluoroquinolone resistance (Johnning et al.,  
464 2015). The chloramphenicol resistance gene *catB3* (Bunny et al., 1995) was also exclusively  
465 present in the five ST131 strains.

466 The antibiotic resistance gene profiles of the remaining 19 W-UPEC isolates  
467 (**Supplementary Table S4**) were quite similar to each other and contained upwards of 49  
468 antibiotic resistance genes. These genes are known to confer resistance to various classes of  
469 antibiotics including  $\beta$ -lactams, fluoroquinolones, fosfomycin, phenicol antibiotics, tetracycline,  
470 ansamycins, macrolides, nitrofurantoin, aminoglycosides, aminocoumarin, peptide antibiotics,  
471 and lincosamides.

472 Although the 24 W-UPEC from chlorine-treated sewage were shown to possess an  
473 abundance of antibiotic resistance genes, phenotypically, only 11 of the isolates displayed  
474 antibiotic resistance at clinical minimum inhibitory concentration breakpoints as determined by  
475 antibiotic susceptibility testing using VITEK®2 AST-N391 and AST-GN98 cards (**Table 5**).  
476 The five ST131 strains, were shown to be clinically-resistant to 16 antibiotics from five different  
477 antimicrobial classes, including the penicillins and  $\beta$ -lactam/ $\beta$ -lactamase inhibitor combinations,

478 cephalosporins, aminoglycosides, and quinolones and fluoroquinolones. These isolates were  
479 phenotypically-classified as ESBLs. Antibiotic resistance in the other six W-UPEC were as  
480 follows: WU1157 (an ST127 strain) was only resistant to cefalexin (cephalosporins); WU3598  
481 (an unknown ST strain) was resistant to ampicillin only; WU3165 (an ST117 strain) was  
482 resistant to the quinolone antibiotics enrofloxacin and marbofloxacin, and chloramphenicol  
483 (phenicols); WU2356 (an ST6331 strain) was resistant to chloramphenicol (phenicols); WU1630  
484 (an ST640 strain) was resistant to cefalexin (cephalosporins) and chloramphenicol (phenicols);  
485 and WU664 (an ST538 strain) was resistant to ampicillin and cephalosporin antibiotics  
486 (cefpodoxime, cefovecin, ceftiofur, ceftazolin, cefalexin, and cefixime), as well as  $\beta$ -lactam /beta-  
487 lactamase inhibitors including amoxicillin/clavulanic acid and piperacillin/tazobactam.

488 Collectively, the data confirms that W-UPEC isolates surviving chlorination appear to  
489 represent clinically-important UPEC pathotypes of public health concern, and include the  
490 emerging antibiotic resistant ESBL strains associated with the O25b-ST131 lineage and other  
491 public health strains of global public health significance (i.e., ST95, ST127, ST640).

492

### 493 *3.6 Characterization of W-UPEC in full scale wastewater effluents*

494 The screening protocols developed for identification and characterization of clinically-  
495 important UPEC from chlorinated sewage samples was subsequently used to identify and isolate  
496 UPEC from full scale WWTPs from the City of Calgary (**Supplementary Figure S1**). A  
497 microbial library of presumptive *E. coli* isolates from partially-treated (e.g., secondary-treated)  
498 and UV-disinfected wastewater effluents was generated, and represented the ‘survivor  
499 population’ of *E. coli* from 3 different WWTPs. These samples were collected from different  
500 days throughout the year. This collection of *E. coli* isolates was negatively selected against



501 naturalized wastewater strains (i.e., those possessing the *uspC-IS30-flhDC* marker). Of the 261  
502 *E. coli* isolates randomly selected for analysis (from 1212 isolates collected), only 13 isolates  
503 (5%) possessed the *uspC-IS30-flhDC* marker and were therefore considered as naturalized  
504 wastewater *E. coli*. The remaining 248 *E. coli* isolates were subsequently tested for the presence  
505 of the *uidA* gene, five UPEC-related virulence genes (*papC*, *sfa/foc*, *fyuA*, *chuA*, and *ironN*) as  
506 well as the ST131, O25b-ST131, *stx1* and *stx2* PCR markers.

507 One-hundred and fifty-five isolates possessed one or more of the UPEC-related virulence  
508 genes. Nineteen isolates possessed 3 or more virulence genes. Of these 19 isolates, however,  
509 only 1 was ST131 positive. Fifteen additional ST131 positive isolates were also identified and  
510 which possessed at least one other UPEC-related virulence gene. The 19 *E. coli* isolates  
511 containing more than 3 virulence genes and the 15 ST-131 positive isolates were further  
512 characterized genetically (n=34).

513 Rep-PCR was used to look at clonal relatedness between the whole genome characterized W-  
514 UPEC isolates from chlorinated sewage (i.e., isolates from Sample Set 1) and isolates screened  
515 from the effluents of full-scale wastewater treatment plants (Sample Set 2). In total, 16 unique  
516 rep-PCR fingerprints were obtained through this comparison. **Table 6** lists all rep-PCR patterns  
517 represented by more than two isolates. Pattern III was the most abundant clonal group, and  
518 contained the five ST131 W-UPEC strains isolated from chlorinated sewage (Sample Set 1), as  
519 well as seven ST131 strains isolated from full scale wastewater-treated effluents (Sample Set 2).  
520 Interestingly, all *E. coli* strains belonging to rep-PCR Pattern III (i.e., those collected from  
521 chlorinated sewage or full scale treated wastewater) were also PCR positive for the O25b-ST131  
522 sub-lineage marker (**Figure 3**). The remaining nine ST131 strains obtained from full-scale  
523 treated wastewater effluents formed the second most abundant clonal group (Pattern IV). Rep-

524 PCR Patterns III and IV encompassed all ST131 strains (**Figure 3**). Interestingly, six of the  
525 ST131 strains (2F5, 2F6, 4B8, 1F2A, 5A5, and 3C4) were all isolated from the final UV-  
526 disinfected effluents from two different WWTPs, and on different sampling days, suggesting that  
527 this clonal population of ST131W-UPEC appear to routinely survive the wastewater treatment  
528 train among different WWTPs throughout the year. Similar to our findings observed in Sample  
529 Set 1 (i.e., chlorinated sewage), no *stx1* or *stx2* positive *E. coli* isolates were observed in treated  
530 effluents from the WWTPs.

531 In total, seven different rep-PCR patterns were represented by at least two W-UPEC strains  
532 from either the chlorinated sewage samples (Sample Set 1) or from full scale effluents (Sample  
533 Set 2). Strain 3B7, isolated from a secondary-treated effluent at full scale from a WWTP, was  
534 clonally-related to W-UPEC strains WU1025 and WU1157 (ST127) isolated from chlorinated  
535 sewage samples. Strains 2B8, 3H3, 2E3, and 4F6, were isolated from secondary-treated  
536 wastewater effluents, and were clonally-related to the W-UPEC strain WU965 isolated from  
537 chlorinated sewage samples (unknown ST). Strains 3B9 and 4G1 isolated from secondary-treated  
538 wastewater effluents from two different WWTPs in Calgary were clonally-related to the W-  
539 UPEC strains WU1274 (ST95) and WU1151 (ST95) from chlorinated sewage. In total, 14 out of  
540 34 strains isolated from full-scale WWTP effluents were clonally similar to the whole genome  
541 characterized W-UPEC strains found in chlorinated sewage samples, suggesting that W-UPEC  
542 found in full-scale treated wastewater effluents (Sample Set 2) also represented clinically-  
543 important strains.

544 To further validate the clinical importance of these isolates found in treated wastewater  
545 effluents (Sample Set 2), antibiotic resistance testing was performed (**Table 5**). Four of these W-  
546 UPEC strains were sensitive to all antibiotics, while the other 30 strains showed clinical

547 resistance to one or more antibiotics. Twenty-six of the 34 isolates (76%) were resistant to more  
548 than 3 antibiotics. Remarkably, one isolate, 4C1, collected from a secondary-treated wastewater  
549 effluent sample, represented an ST131 strain that was clinically-resistant to 19 different  
550 antibiotics. Of the sixteen ST131 isolates obtained from wastewater effluents, 14 (88%) were  
551 resistant to more than 4 antibiotics. W-UPEC isolates 2F5 and 2F6 represented two O25b-  
552 ST131 isolates that: a) were ESBLs; b) had identical clinical antibiotic resistance patterns (15  
553 different antibiotics); c) possessed resistance to the same 12 antibiotics that O25b-ST131 strains  
554 from chlorinated samples were resistant to; d) had identical rep-PCR patterns to each other, and  
555 for which the rep-PCR patterns were virtually identical to the O25b-ST131 isolates obtained  
556 from chlorinated sewage; and e) originated from two different WWTPs in Calgary and for which  
557 the point of origin was different than the O25b-ST131 strains isolated from chlorinated samples  
558 coming from the third WWTP in Calgary. The data suggest that antibiotic resistant clonal O25b-  
559 ST131 strains of UPEC can be routinely found in finished treated effluents of all of Calgary's  
560 WWTPs.

561 In total, 7/35 isolates collected from treated-wastewater samples were considered as ESBLs  
562 by phenotypic resistance testing. Five of these belonged to the ST131 lineage (4B8, 2F5, 2F6,  
563 4C1, 5B5) and two to non-ST131 lineages (4C8, 1E1A).

564

#### 565 **4. Discussion**

566 Collectively, the data presented in this study provide compelling evidence that clinically -  
567 important pathotypes of *E. coli* comprise a major proportion of the *E. coli* population surviving  
568 wastewater treatment – a finding similar to that reported in other research studies (Adefisoye and  
569 Okoh 2016; Anastasi et al., 2010; Boczek et al., 2007; Ebomah et al., 2018). In two separate

570 studies by Anastasi and colleagues (2010, 2013), certain strains of *E. coli* were shown to have  
571 enhanced survivability to wastewater treatment processes, including chlorination and UV  
572 irradiation, and that isolates surviving treatment were dominated by UPEC pathotypes. Likewise,  
573 Adefisoye and Okoh (2016) and Ebomah *et al.* (2018) observed that >40% of *E. coli* isolates  
574 from treated wastewater effluents, and from a beach impacted by wastewater, were deemed to be  
575 ExPEC. Calhau *et al.* (2015), observed a dominance of UPEC-related strains in hospital-treated  
576 wastewaters, including ST131 ESBL strains. In the present study 94/377 (25%) isolates from  
577 chlorinated sewage possessed one or more of the UPEC-related virulence genes. Similar to the  
578 findings described by Calhau *et al.* (2015) we also identified a predominance of ST131 ESBL  
579 strains in both chlorinated sewage and in treated wastewater effluents, and for which these  
580 isolates were obtained from several wastewater treatment plants across Alberta, Canada.

581 Of 24 wastewater UPEC isolates subjected to whole genome sequencing in the present study,  
582 11 sequence types were represented, and dominated by ST131, followed by ST95, ST127, and  
583 ST640. Other STs included ST6331, ST349, ST80, ST625, ST538, ST357, and ST117. The  
584 majority of these sequence types have also been observed in clinical UTI cases (15, 16, 56). In a  
585 U.S. study by Yamaji *et al.* (2018) the most common STs among 233 clinical UPEC strains were  
586 found to be ST95, ST127, ST73, ST69, and ST131. In a British study, the most common  
587 sequence types were ST73, ST131, ST69, ST95, ST10, and ST127 (Gibreel *et al.*, 2012b). The  
588 relative abundance and diversity of UPEC sequence types observed in treated wastewater  
589 effluents and chlorinated sewage compared to other *E. coli* pathotypes (i.e., STEC), suggests that  
590 UPEC may be more adapted to survival and persistence in environmental water matrices  
591 compared to other pathotypes. Anastasi and colleagues (2013) noted an absence of STEC  
592 representation in a library of *E. coli* isolates collected from treated wastewater effluents. Franz *et*

593 *al.* (2015) also observed a lack of representation of STEC in surface and wastewater libraries of  
594 *E. coli*, but found that gastrointestinal pathotypes included mostly enteroaggregative *E. coli*  
595 (EAEC). Similar to that reported by Anastasi *et al.* (2013), we did not find any *stx* positive *E.*  
596 *coli* isolates in our chlorinated sewage or wastewater effluent libraries.

597 Although previous research supports the idea that UPEC may be particularly adapted to  
598 survive wastewater treatment, a major challenge rests with the fact that no single virulence gene,  
599 or designated set, can be used to conclusively identify this genetically heterogeneous group of  
600 pathogenic *E. coli* (Barber *et al.*, 2016; Schreiber *et al.*, 2017). In fact, ExPEC appear to have  
601 exploited an array of molecular strategies and redundant cellular mechanisms to attach and  
602 colonize to host cell surfaces, and have acquired diverse toxin production systems and invasion  
603 strategies that allow it to diversify and exploit multiple niches for survival and growth (i.e., gut,  
604 urethra, bladder, kidney, and blood) (Barber *et al.*, 2016; Nielubowicz and Mobley, 2010).  
605 Indeed, many of the so-called virulence genes described from UPEC are also found in  
606 commensal strains of *E. coli* (Cyoia *et al.*, 2015; Qin *et al.*, 2013), and for which these genes  
607 facilitate adhesion and colonization of the gut –i.e., a general prerequisite for survival in the gut  
608 for both commensals and pathogens. Consequently, virulence gene profiling among clinical  
609 UPEC strains has been shown to be highly variable (Kanamaru *et al.*, 2003; Mao *et al.*, 2012;  
610 Marrs *et al.*, 2005; Marrs *et al.*, 2002; Spurbeck *et al.*, 2012; Vigil *et al.*, 2011; Yun *et al.*, 2014).  
611 From a human clinical perspective, UTI symptomology in clinically-ill patients provide the  
612 necessary and accessory evidence for labelling a clinical isolate as a UPEC strain. Likewise,  
613 bloodborne infections caused by systemic ExPEC, result in a range of clinical symptoms that  
614 support culture confirmation of *E. coli* isolated from the blood. Virulence gene profiling (and  
615 sequence typing) in these cultured isolates simply confirms the pathogenic nature of the isolates.

616 Unfortunately, the lack of a clearly defined set of virulence genes makes it difficult to predict  
617 and assess the true pathogenic potential of presumptive strains found in environmental samples.  
618 This has led to some uncertainty regarding the public health risks posed when these presumptive  
619 UPEC isolates are observed in treated wastewater samples. Another challenge rests with the fact  
620 that animal UPEC often have similar virulence profiles as human clinical UPEC, suggesting that  
621 animal reservoirs may also contribute to the occurrence of presumptive UPEC in environmental  
622 samples but for which the true zoonotic potential of these animal strains still remains somewhat  
623 unclear (Singer, 2015).

624 As such, this study provides very important evidence validating the genomic relatedness  
625 between ExPEC strains in treated sewage/wastewater and strains causing clinical disease in  
626 humans. Furthermore, it supports the emerging hypothesis that these pathotypes may be adapted  
627 to survive water treatment. Our evidence for clinical relevance is based on a number of  
628 comparative genomic approaches, including SNP core genome analysis, pairwise whole genome  
629 sequence comparisons, virulence gene composition, antibiotic-resistance gene composition and  
630 phenotypic antibiotic resistance profiling. Across the core genome (~0.4 MB analyzed), W-  
631 UPEC varied from their most closely related C-UPEC counterpart by only a few SNPs (in one  
632 case, only 1 SNP differed). Whole genome pairwise sequence-analysis demonstrated that most  
633 W-UPEC strains had >96% similarity to one or more C-UPEC strains found in NCBI. Of all the  
634 W-UPEC strains examined, WU1214 had the highest similarity to its C-UPEC counterpart  
635 (U341), with 99.49% similarity at the whole genome level. In most cases, virulence gene  
636 profiling demonstrated a similar complement of virulence genes between a particular W-UPEC  
637 strain and their most closely related C-UPEC counterparts found in NCBI databases. For  
638 example, based on whole genome sequencing all O25b-ST131 W-UPEC isolates collected from

639 chlorinated sewage possessed the same set of 80 virulence genes that their most closely related  
640 C-UPEC counterparts possessed. These W-UPEC strains also contained 60 different antibiotic  
641 resistance genes, and were found to be phenotypically-resistant to 16 different antibiotics at  
642 clinical minimum inhibitory concentration breakpoints. Genetically and phenotypically, the  
643 O25b-ST131 isolates from chlorinated sewage were classified as ESBLs, and were genetically  
644 similar to the O25b-ST131 strains found in the treated effluents of three different WWTPs in  
645 Calgary, based on rep-PCR and virulence gene profiling. The observation that these O25b-ST131  
646 strains were found in multiple treatment plants and at different times supports the idea that these  
647 strains may be evolutionarily adapted to survive wastewater treatment compared to other *E. coli*  
648 pathotypes.

649         Several other sequence types of clinical importance were also identified and  
650 characterized in the chlorinated sewage population, including those associated with ST95 and  
651 ST127 – both considered as globally emerging pathogens of significant concern (O'Hara et al.,  
652 2019). Clonally-related isolates from multiple sequence types were found in both treated  
653 wastewater effluents as well as chlorinated sewage. As further evidence of enhanced survival of  
654 UPEC during wastewater treatment, a single sample of chlorinated sewage from a WWTP in  
655 Calgary, Alberta, contained 13 different UPEC isolates comprised of 6 different sequence types  
656 (ST131, ST80, ST625, ST127, ST95 and an unknown ST), and for which 11 of the isolates from  
657 4 sequence types (ST131, ST80, ST127, and ST95) displayed >96% genome similarity to  
658 clinical UPEC. Collectively, our data demonstrate that the UPEC observed in treated wastewater  
659 effluents are very likely to be pathogenic to humans. Although our screening approach to detect  
660 and isolate clinically-relevant UPEC from sewage/wastewater was extremely effective, the  
661 process likely targets select strains, and therefore underestimates overall representation of the

662 diversity of clinical UPEC strains that might be present. Based on our data, we estimate that >25%  
663 of the surviving *E. coli* population found in treated wastewater effluents represent potentially  
664 pathogenic UPEC, a number that is in alignment with other studies (Anastasi et al., 2010;  
665 Anastasi et al., 2013; Adefisoye and Okoh 2016; Ebomah et al., 2018; Calhau et al., 2015)

666 Our data is also in alignment with Paulshus *et al.* (2019), who recently used whole  
667 genome sequencing to characterize ESBLs from sewage pump stations in suburban communities  
668 in Norway. Forty-five repetitive samplings were conducted over 15 months of this study  
669 generating a library of 3123 *E. coli* isolates. Up to 10% of *E. coli* isolates observed in this study  
670 were considered ESBLs, of which 44% of these were characterized as ST131 and ST648 strains.  
671 The fact that these strains were present throughout the sampling period and in such abundance,  
672 led the authors to speculate that ExPEC may represent: a) a resident population within  
673 wastewater systems, or b) that these ExPEC strains circulate abundantly in the community and  
674 for which infections may be underreported (Paulshus et al., 2019). In a survey of wastewater  
675 treatment plants in the U.S., Hoelle *et al.* (2019) also observed a relative abundance of ESBL  
676 strains associated with ST131, O25b-ST131, and ST648 lineages, findings that are in alignment  
677 with ours. Our O25b-ST131 W-UPEC isolates were confirmed as ESBLs based on phenotypic  
678 resistance profiling and were resistant to penicillins,  $\beta$ -lactam/ $\beta$ -lactamase inhibitor  
679 combinations, and all eight cephalosporins (cefazolin, cefixime, ceftriaxone, cefalexin,  
680 cefpodoxime, cefovecin, ceftazidime, and ceftiofur). The strains obtained from chlorinated  
681 sewage also possessed the *bla*<sub>CTX-M-15</sub>, *bla*<sub>OXA-1</sub>, and *ampC* genes and for which the *bla*<sub>CTX-M-15</sub>  
682 and *bla*<sub>OXA-1</sub> genes are known to confer resistance to  $\beta$ -lactam/ $\beta$ -lactamase inhibitor combination  
683 antibiotics (Sugumar et al., 2014). In addition to being ESBLs, our O25b-ST131 strains were  
684 also resistant to aminoglycosides and fluoroquinolones, both of which are also commonly used



685 antimicrobials to treat UTI (Ghafourian et al., 2015; Goodlet et al., 2019). The emergence of  
686 highly multidrug resistant UPEC greatly complicates clinical treatment and sometimes results in  
687 treatment failure of UTI or even death (Can et al., 2015; Owens et al., 2011).

688         The apparent ability of UPEC to survive wastewater has also been noted in other *E. coli*  
689 strains (Anastasi et al., 2010; Anastasi et al., 2013), including naturalized wastewater strains of *E.*  
690 *coli* (Zhi et al., 2017; Zhi et al., 2016; Zhi et al., 2019). Naturalized wastewater strains of *E. coli*  
691 have been shown to be genetically distinct from the cryptic and the human/animal enteric clades  
692 of *E. coli* based on comparative whole genome sequencing and phylogrouping (Zhi et al., 2019).  
693 They can be distinguished from enteric and cryptic clades through possession of the *uspC-IS30-*  
694 *flhDC* marker (Zhi et al., 2016; Zhi et al., 2019). Naturalized wastewater strains possess an over-  
695 abundance of stress-adaptive genes compared to enteric clades (Zhi et al., 2019) (believed to be  
696 acquired through horizontal gene transfer), and have been shown to be resistant to chlorine (Zhi  
697 et al., 2017) and heat (Wang et al., 2020). Interestingly, their extreme resistance to heat, is  
698 conferred by the locus of heat resistance (LHR), and for which we have demonstrated that LHR  
699 cross protects these strains against chlorine (Wang et al., 2020). The dominance of stress-related  
700 genes in naturalized wastewater strains of *E. coli*, as compared to enteric clades, suggests the  
701 evolution of survival strategies aimed at overcoming the harsh conditions of a wastewater  
702 treatment plant, and for which these strains may be specifically adapted to live and survive in  
703 this environment. Based on the thousands of *E. coli* genomes sequenced to date, these  
704 naturalized strains have only ever been found in sewage and wastewater (Zhi et al., 2019). It is  
705 believed that these naturalized wastewater strains of *E. coli* are non-pathogenic in nature, having  
706 abandoned life in the gut of an animal for life in sewage/ wastewater. Remarkably, these  
707 naturalized wastewater *E. coli* strains possess upwards of 36 different UPEC-related virulence

708 genes, including : a) the ferrienterobactin multi-enzyme sythetase complex and transport system;  
709 b) UPEC fimbrial genes; c) the curli virulence gene (*csgB*); d) the outer membrane protein gene  
710 known as *ompA*; and e) the flagellar biosynthesis protein encoded by *flhA* (Zhi et al., 2019).  
711 Some naturalized strains also possessed the yersiniabactin siderophore biosynthetic pathway and  
712 receptor/transport system found in many clinical UPEC, as well as the virulence genes *fyuA* and  
713 *irp1/2* (Zhi et al., 2019). It is interesting to note that the virulence genes highlighted above have  
714 been identified as being important in the survival of clinical UPEC in the nutrient-deprived and  
715 osmotically/hydrologically-stressed environment of the urinary tract [i.e., low solutes and  
716 repetitive flushing] (Mann et al., 2017). For example, human UPEC strains have a predominance  
717 of iron-sequestering virulence genes (e.g., ferribactin, yersinabactin, enterobactin-related genes  
718 and transport pathways) to enhance their survival in iron-deficient urine (Terlizzi et al., 2017).  
719 An important finding noted by Zhi et al., (2019) was that many of these UPEC-related virulence  
720 genes found could also be found in the environmental cryptic clades (Zhi et al., 2019). Thus, the  
721 existence of these so-called virulence genes in naturalized wastewater *E. coli* strains,  
722 environmental cryptic clades of *E. coli*, and in clinical UPEC, suggests that the genes may  
723 actually provide an adaptive evolutionary advantage for survival in extraintestinal niches  
724 including non-host environmental niches such as water, sewage and wastewater.

725 Our data, and that of others, also raises some important questions about the public health  
726 risks associated with UPEC/ExPEC infections acquired through waterborne routes of  
727 transmission. Greater than 10 million physician visits occur each year in the U.S. due to urinary  
728 tract infections and for which the vast majority of these infections are caused by UPEC (Flores-  
729 Mireles et al., 2015; Foxman 2010; Pitout and DeVinney 2017; Russell et al., 2018; Xie et al.,  
730 2006). As with many clinical infections, the number of reported cases likely underestimates the

731 true scope of the health issue. Recently, it has been demonstrated that swimming in natural  
732 water bodies has been epidemiologically-linked to increased prevalence of urinary tract  
733 infections (UTIs), largely caused by UPEC (Soraas et al. 2013). Urinary pathogenic *E. coli* have  
734 also been identified as extremely important emerging foodborne pathogens (Liu et al., 2018;  
735 Markland et al., 2015), and although foodborne UPEC are typically attributed to animal  
736 reservoirs (Todd, 1997; Singer, 2015), it is important to note that wastewater effluent discharges  
737 can comprise a significant proportion of water available for agricultural production (animal and  
738 crop production). It is estimated that 50% of drinking water treatment plants in the U.S. are  
739 impacted by wastewater effluents, and in some cases wastewater effluents account for >50%  
740 (and as high as 90%) of river flow volumes in the U.S. (Rice and Westerhoff, 2015; Rice and  
741 Westerhoff, 2017). The U.S. EPA estimates that as many as 40,000 sewer overflows occur each  
742 year, and up to 500,000 km of coastlines, rivers and streams do not currently meet ambient  
743 microbial water quality guidelines for recreation as a result of human and animal waste  
744 contamination (US EPA, 2007a; US EPA, 2007b). For these reasons there is growing concern  
745 that water could represent an important transmission nexus for UPEC and other ExPEC strains.

746         The plausibility of waterborne disease transmission (i.e., ingestion) associated with  
747 urinary and septicemic *E. coli* is supported by recent research that suggests that ExPEC strains  
748 typically establish infection by first *asymptotically* colonizing the gastrointestinal tract of an  
749 animal host, subsequently invading the gut lining and disseminating to the urinary tract (e.g.,  
750 UPEC) or bloodstream (e.g., NMEC) (Bower et al., 2005; Russell et al., 2017). Therefore,  
751 ingestion of wastewater-contaminated food (i.e., crop irrigation), drinking water (i.e., untreated  
752 or inadequately treated), or recreational water (i.e., ingestion) offer possible transmission  
753 pathways for ExPEC infections from water. Unfortunately, UTIs or systemic septicemia have not

754 been considered as clinical endpoints for most epidemiological studies examining water as a  
755 vehicle of transmission, focusing primarily on gastrointestinal illness. For example, the most  
756 recent recreational water quality standards promulgated by the U.S.EPA in 2012 did not include  
757 UTIs (or systemic septicemia) in their epidemiological benchmarks, but rather, gastrointestinal  
758 illness as the clinical outcome (US EPA, 2012). As mentioned previously, UTIs have been  
759 epidemiologically-linked to recreational water exposures (Soraas et al. 2013), and ESBL *E.coli*  
760 have been routinely found in surface water samples and irrigation water samples (Franz et al.,  
761 2015; Gekenidis et al., 2018; Gomi et al., 2017; Njage and Buys, 2015; Nuesch-Inderbinen et al.,  
762 2015). In a study by Tanner *et al.* (2019), ESBLs were found in 6.4% of drinking water samples  
763 that failed bacteriological water quality parameters in the U.S. (i.e., total coliforms), for which  
764 several of the ESBLs identified were *E. coli*, and which led the authors to conclude that drinking  
765 water may be an underestimated vehicle for transmission of ExPEC into the community.  
766 Arguably, gastrointestinal illness may still be a more sensitive measure of the human health  
767 outcomes associated with exposure to contaminated water, largely due to the diversity of  
768 etiological agents that can cause gastroenteritis (viruses, bacteria, protozoa). However, given the  
769 emerging global distribution and dramatic increase in the prevalence of antibiotic resistant UTIs  
770 (i.e., ESBLs) and septicemia caused by ExPEC over the last decade, we would encourage future  
771 research aimed at addressing the contribution that waterborne transmission may play in this  
772 important and emerging public health issue. This call for research is further galvanized if we  
773 consider that pathogens may not only be emerging resistance to antibiotics, but also to our most  
774 important barrier for infectious disease control in society– water treatment and sanitation.

775

## 776 **5. Conclusion**

- 777           • Clinically-relevant UPEC strains readily survive municipal wastewater treatment  
778           processes.
- 779           • UPEC strains found in treated wastewater include ST131 ESBL-producing *E. coli*  
780           and represent strains of global public health concern.
- 781           • The resistance of UPEC to wastewater treatment implies that a potentially  
782           significant public health risk may exist for downstream drinking water systems  
783           impacted by wastewater discharge, as well as for crops irrigated with water  
784           contaminated by treated wastewater, or recreational sites impacted by municipal  
785           wastewater effluents.

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795

### 796 **Competing interests**

797           The authors of this paper solemnly declare that there are no competing interests  
798 associated with the work presented in this manuscript.

799

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**Table 1.** List of PCR gene targets, primer sequences and associated references.

Target Gene	Forward Primer (5' – 3')	Reverse Primer (5' – 3')	Amplicon Size (bp)	Reference
<i>papC</i>	GTGGCAGTATGAGTAATGACCCGTTA	ATATCCTTTCTGCAGGGGATGCAATA	202	(White et al., 2011)
<i>sfa-foc</i>	CTCCGGAGAACTGGGTGCATCTTAC	CGGAGGAGTAAATTACAAAACCTGGCA	407	(White et al., 2011)
<i>chuA</i>	CTGAAAACCATGACCGTTACG	TTGTAGTAACGCACCTAAACC	652	(Spurbeck et al., 2012)
<i>iron</i>	AAGTCAAAGCAGGGGTTGCCCG	GAGGCCGACATTAAGACGCAG	665	(White et al., 2011)
<i>fyuA</i>	GTAACAATCTTCCCGCTCGGCAT	TGACGATTAACGAAACCCGGAAGGGA	850	(Spurbeck et al., 2012)
<i>uidA</i>	CGCAAGGTGCACGGGAATA	CAGGCACAGCACATCAAAGAGA	143	This study
<i>uspC-IS30-flhDC</i>	CGGGGAACAAATGAGAACAC	Probe: FAM-ACCCGACGGTCCGATCACCT-NFQMGB		(Taskin et al., 2011)
<i>ST131</i>	AGCAACGATATTTGCCCAT	TGGAGAAACGACGCAATC	386	(Zhi et al., 2016)
<i>O25b-ST131</i>	TCCAGCAGGTGCTGGATCGT	GCCGATAACAGTACGCCCAT	580	(Matsumura et al., 2017)
<i>rep-PCR</i>	5'-GTGGTGGTGGTG-3'	GCGAAATTTTTCGCCCGTACTGT	347	(Clermont et al., 2009) (Korvin et al., 2014)



**Table 2.** General genome characteristics of sequenced wastewater UPEC strains.

Strain Designation									
	WU153	WU664	WU965	WU1022	WU1025	WU1030	WU1033	WU1036	
<b>Genome size (Mb)</b>	5.3	4.8	5	4.9	5.1	5.3	5.1	5.3	5.3
<b>GC (%)</b>	50.34	50.58	50.52	50.83	50.44	50.62	50.52	50.62	50.62
<b>Average coverage</b>	430	373	549	526	340	374	424	507	507
<b>Genes (coding)</b>	4706	4384	4579	4588	4779	5025	4782	5045	5045
<b>tRNA number</b>	94	71	72	69	73	74	77	74	74
Strain Designation									
	WU1038	WU1149	WU1151	WU1155	WU1157	WU1214	WU1265	WU1266	
<b>Genome size (Mb)</b>	5	4.9	5.2	5.3	4.9	5.1	5.3	5.3	5.3
<b>GC (%)</b>	50.57	50.42	50.68	50.63	50.55	50.34	50.62	50.62	50.62
<b>Average coverage</b>	496	440	459	569	445	550	351	343	343
<b>Genes (coding)</b>	4623	4556	4887	5033	4516	4687	5024	5032	5032
<b>tRNA number</b>	75	73	77	72	75	74	75	73	73
Strain Designation									
	WU1274	WU1630	WU1635	WU1752	WU2356	WU3165	WU3598	WU3707	
<b>Genome size (Mb)</b>	5.2	4.9	4.8	5.2	5.2	5	5.2	5	5
<b>GC (%)</b>	50.63	50.65	50.69	50.61	49.78	50.73	50.5	50.67	50.67
<b>Average coverage</b>	456	404	468	389	386	423	375	332	332
<b>Genes (coding)</b>	4933	4555	4477	4818	5098	4698	4835	4633	4633
<b>tRNA number</b>	76	76	74	74	62	79	76	78	78

**Table 3.** Distance matrix SNP analysis based on core genome (labelled ‘SNP’) and pairwise whole genome similarity (labelled ‘Similarity’) of W-UPEC and C-UPEC strains

WU1030			WU1096			WU1155			WU1265			WU1266		
Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity
U308	3	96.66%	U308	2	96.05%	U308	3	96.58%	U308	2	96.64%	U308	2	96.66%
U309	7	96.72%	U309	6	96.11%	U309	7	96.64%	U309	6	96.71%	U309	6	96.73%
U310	8	96.73%	U310	7	96.12%	U310	8	96.65%	U310	7	96.71%	U310	7	96.73%
U244	9	97.23%	U244	8	96.63%	U244	9	97.15%	U244	8	97.22%	U244	8	97.24%
U272	20	96.70%	U272	19	96.10%	U272	20	96.62%	U272	19	96.69%	U272	19	96.69%
WU1157			WU3707			WU1025			WU1151			WU1752		
Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity
U60	4	98.54%	U139	3	98.93%	U64	5	97.50%	U23	18	97.39%	U215	16	96.50%
U203	6	98.30%	U238	4	96.76%	U339	7	96.75%	U294	21	97.46%	U288	18	96.05%
U70	17	96.04%	U48	6	98.18%	U26	9	97.09%	U295	22	96.83%	U291	19	98.79%
U44	21	96.18%	U162	14	97.60%	U41	9	97.36%	U292	25	97.07%	U192	20	97.00%
U321	22	96.15%	U289	17	98.56%	U223	11	96.77%	U32	25	96.27%	U293	23	97.20%
U334	23	97.36%	U8	46	96.37%	U38	11	97.26%	U67	26	96.09%			
U339	29	96.62%	U137	46	96.71%	U182	12	96.13%						
U64	29	96.09%	U204	47	96.82%	U44	29	96.41%						
WU163			WU1274			WU965			WU1214			WU1033		
Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity	Clinical UPEC	SNP	Similarity
U116	31	96.66%	U294	12	96.65%	U173	13	98.58%	U341	7	99.49%	U290	25	98.03%
U26	31	96.90%	U295	13	96.91%	U154	14	97.53%	U57	9	97.08%			
U41	31	96.29%												
U38	33	96.57%												
U115	33	97.01%												
U223	33	96.92%												
U182	34	96.19%												

**Table 4.** Serotype prediction of W-UPEC strains and their most closely related clinical- UPEC strains as determined by genome sequence analysis.

W-UPEC Strain	Genome-predicted Serotype of W-UPEC isolate	Most Closely Related C-UPEC strain(s)	Genome-predicted Serotype of C-UPEC isolate
WU1030	O25:H4	U244, U308, U309, U310	O25:H4
WU1036	O25:H4		
WU1155	O25:H4		
WU1265	O25:H4		
WU1266	O25:H4		
WU1025	O6:H31	U26, U339, U41, U64	O6:H31
WU1033	O1:H7	U290	O1:H7
WU1151	O18:H7	U23, U292, U294, U295	O18:H7
WU1214	O2:H7	U341, U547	O2:H7
WU1157	O6:H31	U115, U203, U334, U60	O6:H31
WU1274	O18:H7	U23, U67, U294, U295	O18:H7
WU1752	O1:H7	U192, U291, U293	O2:H7
		U215	O1:H7
WU3707	O2:H4	U139, U162, U289, U48	O2:H4
WU965	O6:H1	U173, U154	O6:H1

**Table 5.** Phenotypic antibiotic resistance profiles (dark red = complete resistance [R]; light red = intermediate resistance [I]; and white = susceptible [S]) of W-UPEC strains isolated from chlorinate sewage (strains labelled with the prefix WU) and full scale WWTPs (all other strains) \*.

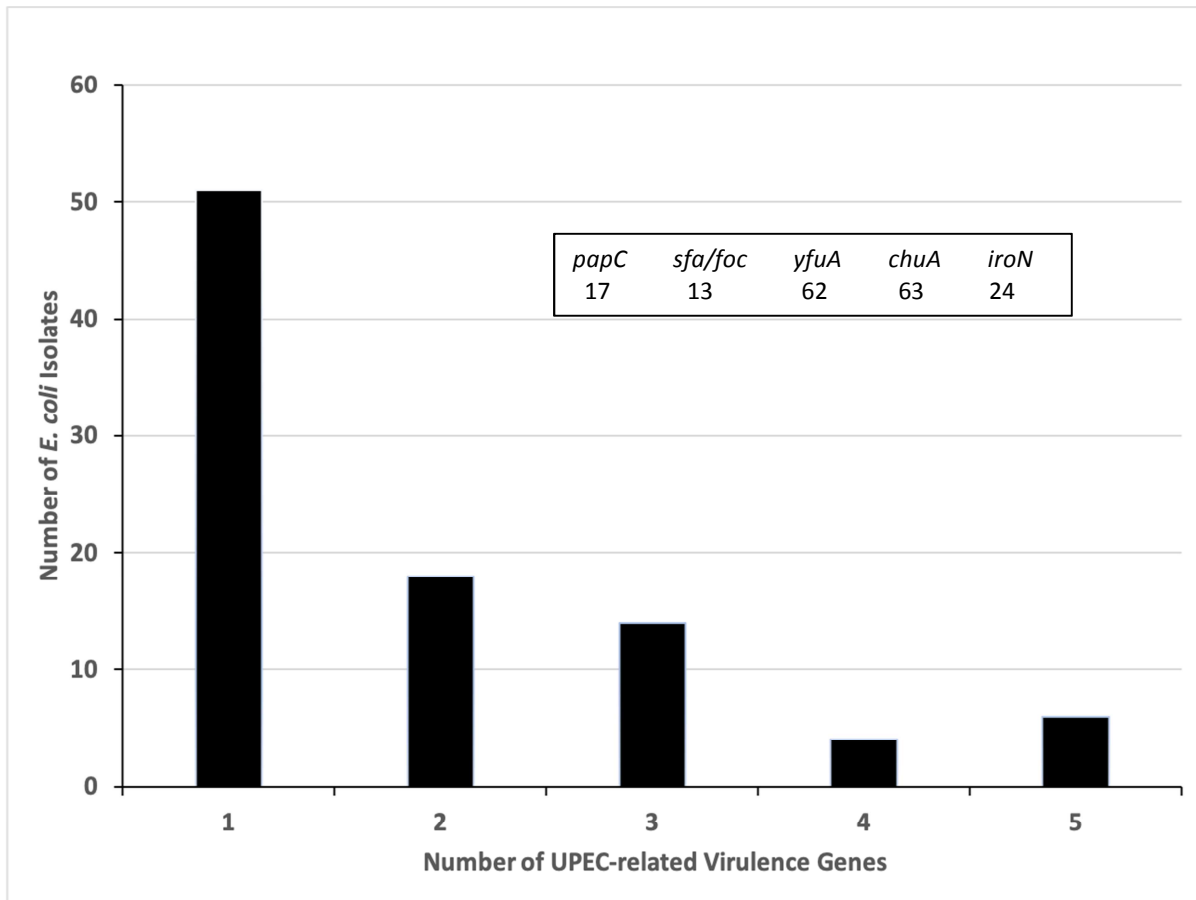
Strain Name	Extended-spectrum $\beta$ -lactamases		Penicillins		$\beta$ -lactam/ $\beta$ -lactamase inhibitor combinations		Cephalosporins										Carbapenems					Aminoglycosides					Quinolones and fluoroquinolones					Tetracyclines		Nitrofurantoin		Phenicol		Lipeptides		Sulfonamides		Fosfomycins		No. of resistant antibiotics
	ESBL	AMC	AMC	TZP	CN	CPD	CFO	CAZ	CFT	CZ	CFM	CRO	IPM	ETP	MEM	AN	GM	TM	CIP	ENR	MRB	DO	TE	FT	C	PB	SXT	FOS	TE	DO	TE	FT	C	PB	SXT	FOS								
WU1157	-	S	S	S	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
WU3598	-	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
WU3165	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	I	I	I	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	3					
WU2356	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
WU1080	+	R	I	I	R	R	R	R	R	R	R	R	R	R	S	S	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	16					
WU1630	-	S	S	S	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	2					
WU664	-	R	R	R	R	R	R	R	R	R	R	R	R	R	S	S	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	9					
WU1155	+	R	I	S	R	R	R	R	R	R	R	R	R	R	S	S	R	I	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	16					
WU1086	+	R	I	S	R	R	R	R	R	R	R	R	R	R	S	S	R	I	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	16					
WU1265	+	R	I	S	R	R	R	R	R	R	R	R	R	R	S	S	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	16					
WU1266	+	R	I	S	R	R	R	R	R	R	R	R	R	R	S	S	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	16					
2E3	-	R	R	I	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	7					
3B1	-	R	R	I	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	7					
3B9	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
4G1	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
1G6	-	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	3					
2B8	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
4F6	-	R	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	7					
4F9	-	R	I	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	6					
4G9	-	R	I	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	6					
1E1A	+	R	S	S	R	R	R	R	R	R	R	R	R	R	S	S	R	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	14					
1G4	-	S	S	S	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	1					
2E4	-	R	S	S	S	S	S	S	S	S	S	S	S	S	S	S	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	R	6					
3H3	-	R	I	S	S	S	S	S	S	S	S	S	S	S	S	S	R	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	3					
4C2	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	3					



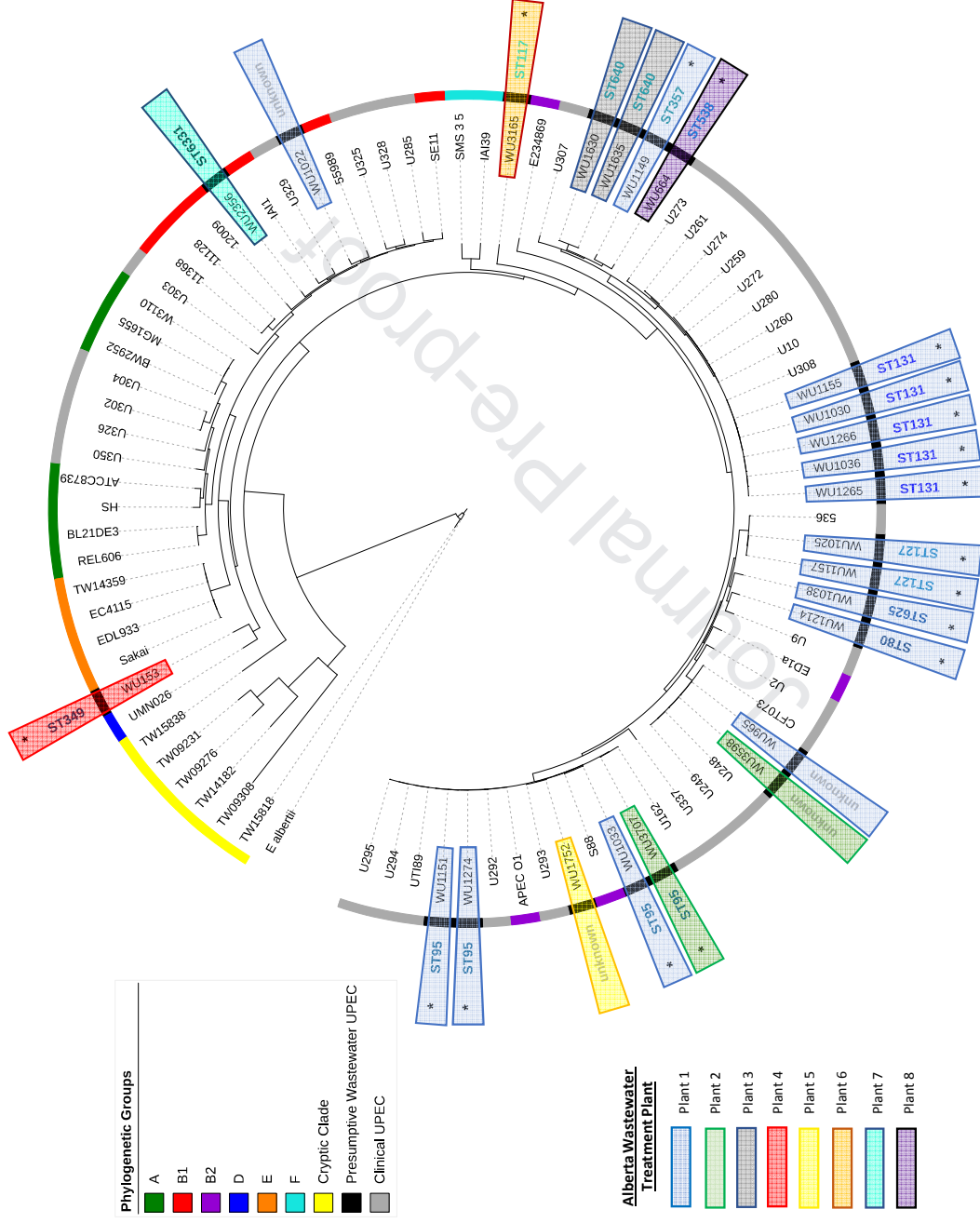
**Table 6.** Comparative rep-PCR analysis of the wastewater UPEC strains isolated from chlorinated sewage or wastewater treatment plant effluents (WWTP-Effluent), along with their associated sequence types based on PCR (ST131 and O25b-ST131) or whole genome sequence analysis (for isolates from chlorinated sewage).

Rep-PCR Pattern	W-UPEC Strain	Sequence Type	Source	O25b-ST131	Rep-PCR Pattern	W-UPEC Strain	Sequence Type	Source	O25b-ST131
Pattern I	1025	ST127	Chlorinated sewage	No	Pattern IV	1F2A	ST131	WWTP-Effluent	No
	1157	ST127	Chlorinated sewage	No		2B4	ST131	WWTP-Effluent	No
	3B7	ND	WWTP-Effluent	No		3C4	ST131	WWTP-Effluent	No
Pattern II	965	unknown	Chlorinated sewage	No		3E4	ST131	WWTP-Effluent	No
	2B8	ND*	WWTP-Effluent	No		3G8	ST131	WWTP-Effluent	No
	3H3	ND*	WWTP-Effluent	No		4B10	ST131	WWTP-Effluent	No
	2E3	ND*	WWTP-Effluent	No		4B8	ST131	WWTP-Effluent	No
	4F6	ND*	WWTP-Effluent	No		4C7	ST131	WWTP-Effluent	No
	1030	ST131	Chlorinated sewage	Y		5A5	ST131	WWTP-Effluent	No
Pattern III	1155	ST131	Chlorinated sewage	Y		Pattern V	3B9	ND*	WWTP-Effluent
	1036	ST131	Chlorinated sewage	Y	4G1		ND*	WWTP-Effluent	No
	1265	ST131	Chlorinated sewage	Y	1274	ST95	Chlorinated sewage	No	
	1266	ST131	Chlorinated sewage	Y	1151	ST95	Chlorinated sewage	No	
	2F6	ST131	WWTP-Effluent	Y	1752	unknown	Chlorinated sewage	No	
	4C1	ST131	WWTP-Effluent	Y	Pattern VI	3B1	ND*	WWTP-Effluent	No
	1G10A	ST131	WWTP-Effluent	Y		2E4	ND*	WWTP-Effluent	No
	2F5	ST131	WWTP-Effluent	Y		4F9	ND*	WWTP-Effluent	No
	4D7	ST131	WWTP-Effluent	Y		4G9	ND*	WWTP-Effluent	No
	3G11	ST131	WWTP-Effluent	Y	Pattern VII	3707	ST95	Chlorinated sewage	No
3G9	ST131	WWTP-Effluent	Y	1033		ST95	Chlorinated sewage	No	

\*ND- Not determined

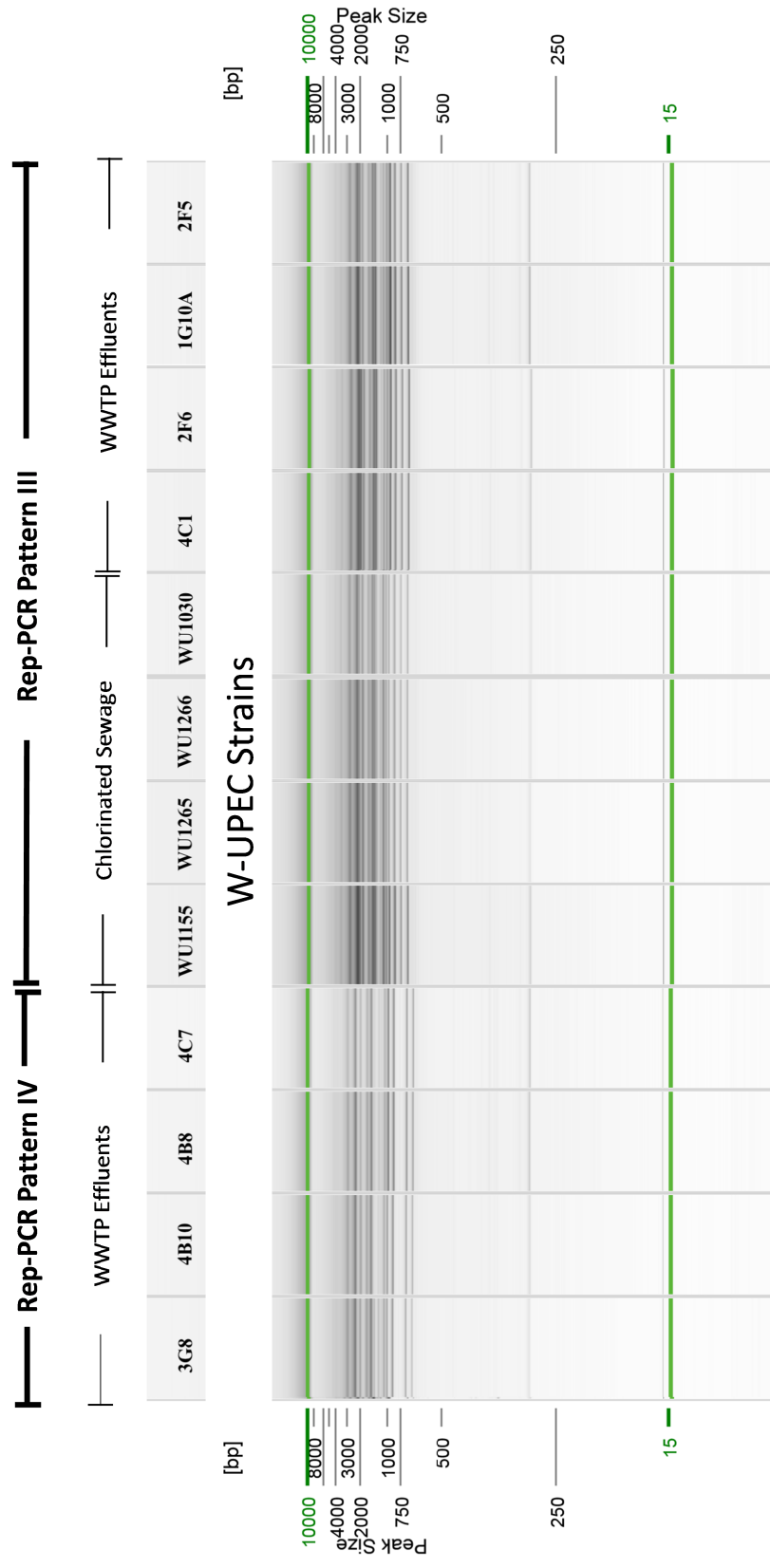


**Figure 1.** Number (non-cumulative) of chlorine-tolerant *E. coli* isolates possessing one or more UPEC-related virulence genes. Inset highlights the number of isolates in which the specific UPEC-related gene was observed (n=94).



**Figure 2.** Whole genome maximum likelihood phylogenetic tree of wastewater UPEC strains. W-UPEC strains were isolated from chlorinated sewage (designated with the prefix ‘WU’ and colored black in the concentric circle), and genome sequences compared to clinical UPEC strains from NCBI (designated with the prefix ‘U’ and colored grey in the concentric circle), along with various reference phylogroup strains of *E. coli* (other colored lines of the circle as represented in the upper figure legend). Wastewater UPEC strains originated from eight different wastewater treatment plants, with each specific treatment plant represented by a colored polygon (as reflected in the lower figure legend). Within each of the polygons are the predicted sequence types of the W-UPEC isolates. *E. albertii* was used as the outgroup.





**Figure 3.** (GTG)<sub>5</sub> rep-PCR profiles of ST131 strains of W-UPEC isolated from chlorinated sewage or full-scale wastewater treatment plant effluents. Rep-PCR Pattern 3 represented all O25b-ST131 isolates, whereas Pattern IV was associated with non-O25b isolates of the ST131 lineage and isolated from full-scale WWTP effluents.

## HIGHLIGHTS

- Urinary pathogenic *E. coli* (UPEC) appear to be resistant to wastewater treatment.
- Based on comparative genomics, the UPEC found in treated wastewater are clinically important.
- Pathogenic UPEC strains, such as ST-131 ESBL, can be frequently found in effluents.
- UPEC comprise a major portion of the *E. coli* discharged from wastewater treatment plants.
- Unlike shigatoxin producing *E. coli*, UPEC appear adapted to survive wastewater treatment.

**Conflict of Interest Statement**

The authors of this paper solemnly declare that there are no competing interests associated with the work presented in this manuscript.

Journal Pre-proof

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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