# Resolution of Disputes Concerning the Physical Mechanism and DC/AC Stress/Recovery Modeling of Negative Bias Temperature Instability (NBTI) in p-MOSFETs

Narendra Parihar, Uma Sharma, Subhadeep Mukhopadhyay, Nilesh Goel, Ankush Chaudhary, Rakesh Rao, and Souvik Mahapatra\*

Department of Electrical Engineering, Indian Institute of Technology Bombay, Mumbai 400076, India (\*Phone: +91-222-572-0408, Fax: +91-222-572-3707, Email: souvik@ee.iitb.ac.in)

Abstract-Negative Bias Temperature Instability (NBTI) is due to interface trap generation ( $\Delta N_{IT}$ ) and trapping of holes in gate insulator traps ( $\Delta N_{\rm HT}$ ). However, the isolation methods and the relative dominance of  $\Delta N_{\rm HT}$  and  $\Delta N_{\rm HT}$ , time constants of  $\Delta N_{\rm HT}$  and  $\Delta N$ HT for stress, recovery and associated temperature (T) activation, and whether  $\Delta N_{1T}$  recovers or remains permanent after stress, are widely debated. The resolution of such disputes is necessary to develop a reliable NBTI model. This work uses carefully designed measurements and simulations to resolve the aforementioned disputes. The contribution of  $\Delta N$ IT and  $\Delta N$ HT on overall threshold voltage shift ( $\Delta V_T$ ) is determined. Kinetics of  $\Delta N_{\rm HT}$  and  $\Delta N_{\rm HT}$  during stress and recovery, T activation and associated time constants are verified in both large and small area devices. Existing theoretical models for  $\Delta N$ IT and  $\Delta N$ HT are benchmarked and validated against DC and AC experiments. Capability of the existing models for predicting end-of-life  $\Delta VT$  is demonstrated.

*Index Terms*—NBTI, trap generation, hole trapping, Reaction-Diffusion (RD) model, Gate Sided Hydrogen Release (GSHR) model, extended Non-radiative Multi-Phonon (e-NMP) model.

## I. INTRODUCTION

Negative Bias Temperature Instability (NBTI), resulting in positive charge buildup in the gate insulator, is a well-known reliability issue for Silicon Oxynitride (SiON) [1] and High-K Metal Gate (HKMG) [2]-[4] p-MOSFETs. It is necessary to understand NBTI physical mechanism and develop a suitable model, a subject of intense debate [5], to reliably predict the experimental data during accelerated stress and estimate endof-life (EOL) degradation under use condition for technology qualification.

In the past, NBTI threshold voltage shift  $(\Delta V_T)$  has been attributed to only interface trap generation  $(\Delta N_{IT})$  [6], [7], to only hole trapping in pre-existing gate insulator traps  $(\Delta N_{HT})$ [8], [9], to a strongly coupled  $\Delta N_{IT}$  and  $\Delta N_{HT}$  mechanism [10] and to mutually uncoupled  $\Delta N_{IT}$  and  $\Delta N_{HT}$  processes [1], [5], [11]-[14]. The  $\Delta N_{IT}$  only models cannot predict gate insulator process dependence [1], [3] and ultra-fast measured data [5]. The  $\Delta N_{HT}$  only models are not consistent with experimental evidence of interface trap generation, directly estimated using Charge Pumping (CP) [1], [6], [13]-[16], Direct-Current I-V (DCIV) [5], [14], [17]-[21], subthreshold slope [22] and Low Voltage Stress Induced Leakage Current (LV-SILC) [7], [17] techniques. The coupled  $\Delta N_{IT}$  and  $\Delta N_{HT}$  mechanism (the two stage model) is not consistent with long-time measured stress data and cannot explain gate insulator process dependence, as discussed in [5], [23]. It is now well accepted that  $\Delta V_T$  is due to mutually uncoupled contributions from  $\Delta N_{IT}$  and  $\Delta N_{HT}$ .

However, several aspects such as the extraction procedure of  $\Delta N_{IT}$  and  $\Delta N_{HT}$ , their relative dominance during stress and at EOL under use condition, time constants of  $\Delta N_{IT}$  and  $\Delta N_{HT}$  during stress and recovery and the associated temperature (T) activation, whether  $\Delta N_{IT}$  recovers after the removal of stress or remains permanent, are debated, as listed in Table-I. As a result, the NBTI mechanism and model are also debated.

Table-I. NBTI is due to generation of interface traps (TG:  $\Delta N_{IT}$ ) and hole trapping in pre-existing traps (HT:  $\Delta N_{HT}$ ). Several aspects concerning the underlying NBTI physical mechanism are debated (see text).

| Topic of debate                           | Model Set-A   | Model Set-B   |
|---|---|---|
| TG & HT extraction procedure              | $\Delta V_T$ (from UF I-V) and $\Delta V_{IT}$ (from DCIV) measurements | P and R components<br>of $\Delta V_T$ (from UF I-V)<br>recovery |
| Dominance at long, relevant stress time   | Trap generation (TG)  | Hole trapping (HT)  |
| Trap generation mechanism                 | Reaction-Diffusion<br>(RD)  | Gate Sided Hydrogen<br>Release (GSHR)                           |
| Recovery of generated traps               | Recoverable   | Almost permanent  |
| Trapping-<br>detrapping time<br>constants | Small   | Large   |
| T activation of<br>trapping magnitude     | Small   | Large   |

As mentioned above, DCIV measurements were performed to directly estimate  $\Delta N_{IT}$  contribution to overall  $\Delta V_T$ ; the later was measured by ultra-fast (UF, ~ µs delay) method [5], [19]-[21], [24], [25]. However, since DCIV is a slow technique (~ 10s delay) and scans only a fraction of the energy bandgap, corrections for delay and bandgap should be performed on asmeasured DCIV data, so that  $\Delta N_{IT}$  and  $\Delta V_T$  can be compared to extract  $\Delta N_{HT}$ . This framework (Set-A of Table-I) suggests  $\Delta N_{IT}$  dominated  $\Delta V_T$ , and can explain gate insulator process dependence across different device geometries [1], [5], [24]-[26]. It suggests lower time constants and lower T activation of  $\Delta N_{HT}$  as compared to  $\Delta N_{IT}$ . The recovery of  $\Delta N_{IT}$  is also directly demonstrated using CP [6], [16], DCIV [18]-[20] and subthreshold slope [22] techniques. Note that  $\Delta N_{IT}$  dominated  $\Delta V_T$  is fully consistent with reports across multiple industries and technology nodes [27]. Therefore, the  $\Delta N_{IT}$  only models [6], [7] can be modified to predict NBTI experiments.

Recently, a comprehensive modeling framework consisting of uncorrelated contributions from  $\Delta N_{IT}$  and  $\Delta N_{HT}$ , and bulk trap generation ( $\Delta N_{OT}$ , for harsher stress conditions), has been proposed [24]-[26]. It calculates generation and passivation of  $\Delta N_{IT}$  using the double interface H/H<sub>2</sub> Reaction-Diffusion (RD) model [5], and charge occupancy of generated traps and their contribution ( $\Delta V_{IT}$ ) by Transient Trap Occupancy Model (TTOM) [24], [25]. Density ( $\Delta N_{HT}$ ) and contribution ( $\Delta V_{HT}$ ) due to hole trapping and detrapping are calculated by using empirical expressions. Generated bulk trap density ( $\Delta N_{OT}$ ) is empirically calculated, and occupancy of, and contribution ( $\Delta V_{OT}$ ) from these traps are calculated using TTOM.

The model framework was validated against UF measured data from gate-first HKMG devices for diverse experimental conditions [24]-[26]. It can predict  $\Delta VT$  time evolution during and after DC and AC stress for different stress (V<sub>GSTR</sub>) and recovery (V<sub>GREC</sub>) bias, T, frequency (*f*) and pulse duty cycle (PDC), and mixed DC-AC stress under completely arbitrary time segments and for varying voltage, frequency and activity conditions. It can explain gate insulator process dependence, predict measured data from large area and mean of multiple small area devices, and can also estimate EOL degradation. Further details about Set-A (Table-I) approach and the model framework can be found in a recent book [28] and also online presentations [29]. To the best of our knowledge, we are not aware of any other model framework hitherto proposed, that can predict such wide variety of experimental results.

Although multiple measurement methods provide evidence of  $\Delta$ NIT generation and recovery as mentioned above, a single report [13] suggested no recovery of  $\Delta$ NIT after stress. In [13], CP method was used to measure  $\Delta$ NIT before, during and after stress. SiON devices were used and subjected to stress at high VGSTR and T. The lack of  $\Delta$ NIT recovery is presumably due to measurement issues associated with long CP sweeps, and this aspect is explained in [30]. Moreover, the use of high VGSTR and T results in relatively larger  $\Delta$ NoT contribution, and  $\Delta$ NoT shows negligible recovery [14]. Note that CP measurements simultaneously capture  $\Delta$ NIT and  $\Delta$ NoT (TDDB like generated bulk traps). Therefore, large  $\Delta$ NoT contribution would result in negligible recovery that is wrongly ascribed fully to  $\Delta$ NIT, when CP method is used under such strong stress conditions.

Nevertheless, presumed non-recoverable  $\Delta N_{IT}$  was used to isolate  $\Delta N_{IT}$  and  $\Delta N_{HT}$  subcomponents. UF  $\Delta V_T$  recovery was decomposed into recoverable (R) and permanent (P) parts, and attributed respectively to contributions ( $\Delta V_{HT}$  and  $\Delta V_{IT}$ ) due to  $\Delta N_{HT}$  and  $\Delta N_{IT}$  (Set-B of Table-I) [31], [32]. In such a framework,  $\Delta N_{HT}$  fully dominates  $\Delta V_T$ , and therefore,  $\Delta N_{HT}$ requires large time constants during stress and recovery and also requires large T activation. This is in direct conflict with the earlier approach (Set-A) and needs to be resolved.

Moreover, it was suggested that RD model is inconsistent with UF measured  $\Delta V_T$  recovery [8], [33], [34]. As described in [24]-[26], RD model calculates trap density ( $\Delta N_{TT}$ ), but it is the occupancy of these traps ( $\Delta V_{TT}$ ), calculated using TTOM enabled RD model that actually contributes to overall  $\Delta V_T$  for recovery. In addition, effects due to  $\Delta V_{HT}$  (and possibly also  $\Delta V_{OT}$ ) should also be considered. Therefore, comparison of only RD model to  $\Delta V_T$  recovery is basically irrelevant.

Nevertheless, such presumed incompatibility has led to the development of different energy-well (energy-state) based models [8], [9], [35], [36]. In particular, the extended Non-radiative Multi-Phonon (e-NMP) model for  $\Delta N_{\rm HT}$  ( $\Delta V_{\rm HT}$ ) and the Gate Sided Hydrogen Release (GSHR) model for  $\Delta N_{\rm IT}$  ( $\Delta V_{\rm IT}$ ) are of interest. It is important to note that the e-NMP model was used to predict  $\Delta V_{\rm HT}$  (hence  $\Delta V_{\rm T}$ ) recovery and is never benchmarked against stress data. Similarly, the GSHR model was only tested against a single DC stress data and not benchmarked against diverse DC and AC experiments. These aspects will also be addressed in this paper.

In this paper, carefully designed experiments are used to address and resolve the disputes mentioned in Table-I. The R versus P decomposition of  $\Delta V_T$  recovery into  $\Delta V_{HT}$  and  $\Delta V_{IT}$ , when evaluated for different stress conditions, are shown to be incompatible respectively with e-NMP and GSHR models. The correct bandgap correction scheme has been identified for DCIV based decomposition method. Experimental proofs are provided to show smaller time constants and T activation of  $\Delta V_{HT}$ . Finally, the RD and GSHR models are benchmarked against DC and AC stress data for wide range of conditions, and the inconsistencies of the GSHR model are highlighted.

All experiments done in this paper are on gate first HKMG devices. Two different devices having different gate insulator processes A and B have been used. Process B has ~2X higher pre-existing hole trap density compared to process A, which is measured by flicker noise method [37]. Measurements are done by UF measure-stress-measure method with 10µs delay to determine  $\Delta$ VT. DCIV measurements are used to determine  $\Delta$ NIT and its contribution ( $\Delta$ VIT) to overall  $\Delta$ VT. Refer to [3], [24], [25] for further details on devices and measurements.

## II. ISOLATION OF TRAP GENERATION AND TRAPPING

## A. Permanent (P) and Recoverable (R) method:

Fig.1 shows time evolution of UF measured  $\Delta V_T$  recovery for different V<sub>GREC</sub> and stress time (tstr). Measured data fitted with empirical universal recovery expression [38], and P and R components are determined. Use of different V<sub>GREC</sub> helps to determine the actual end-of-stress (start of recovery) value. This exercise was repeated for different V<sub>GSTR</sub> and T, and for both process A and B devices.

Fig.2 shows the time evolution of P and R components measured during stress at different V<sub>GSTR</sub> and T for process A and B devices. Note that the magnitude of R is greater than P component. The GSHR [35], [36] and e-NMP [9] models are used to calculate the time evolution of "P" ( $=\Delta$ V<sub>IT</sub>) and "R" ( $=\Delta$ V<sub>HT</sub>) respectively for stress, and shown in Fig.2 (as lines). Downloadable simulation codes, and all details regarding the equations, implementation and parameter sensitivity analysis can be found elsewhere for GSHR [39] and e-NMP [40]. It is possible to match the time evolution of measured P and R for a particular V<sub>GSTR</sub> and T and for a given process, by adjusting respectively the GSHR and e-NMP model parameters. Note that GSHR model has 11 and e-NMP model has 20 adjustable parameters, and multiple parameters can be varied to obtain the desired fitting<sup>1</sup>. However, both GSHR and e-NMP model become incompatible with measured stress data when V<sub>GSTR</sub>, T and process are varied, when all model parameters, except the pre-existing trap density N<sub>0</sub>, are kept constant. Note, only N<sub>0</sub> is varied between processes A and B to remain consistent with flicker noise measurements. Also note that it is possible to predict the stress data at a particular V<sub>GSTR</sub> and T for both processes, if all model parameters are varied between process A and B. However, even then, these models would still fail to predict stress data when V<sub>GSTR</sub> and T are varied (results not shown here for brevity).

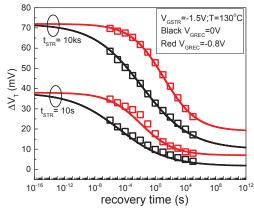


Fig.1. UF measured  $\Delta V_T$  recovery after different stress time and empirical model fit to determine permanent (P) and recoverable (R) components. The P component is obtained from extrapolated long time saturated part.

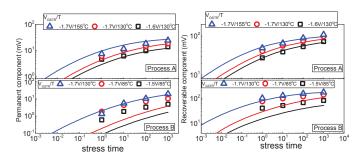


Fig.2. The time evolution of extracted permanent (P, Left) and recoverable (R, Right) components at different V<sub>GSTR</sub> and T. GSHR and e-NMP models (lines) are respectively used to model P and R components. Unlike measured data, model shows saturation at higher V<sub>GSTR</sub> and T.

This discrepancy is further illustrated in Fig.3. Measured long-time power law time slope (n) of P and R components is compared respectively to GSHR and e-NMP simulation, for changes in V<sub>GSTR</sub>, T and process. It is evident that the models cannot predict measured data. Measured n for both P and R and for both processes show no particular trend as V<sub>GSTR</sub> and T are varied. GSHR and e-NMP simulated *n* reduces slightly at higher V<sub>GSTR</sub>, however, a drastic reduction is seen at higher T. Note that the large number of GSHR and e-NMP model parameters can be adjusted to predict experimental n for a particular V<sub>GSTR</sub> and T for a particular process, but the model predictions fail for other stress conditions as shown. Except N<sub>0</sub>, all parameters are held constant between process A and B in these simulations. It is possible to match n at a particular V<sub>GSTR</sub> and T for both processes, if the model parameters for process A and B are independently adjusted. Even then, these models would be inconsistent with data as V<sub>GSTR</sub> and T are varied (results are not shown here for brevity).

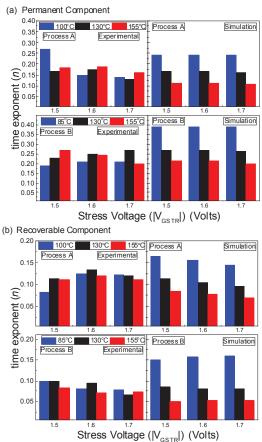


Fig.3. (Top 4 panels): Experimental (Left) and GSHR simulated (Right) time exponent of permanent (P) component for process A (Top) and process B (Bottom) for different V<sub>GSTR</sub> and T. (Bottom 4 panels): Experimental (Left) and e-NMP simulated (Right) time exponent of recoverable (R) component for process A (Top) and process B (Bottom) for different V<sub>GSTR</sub> and T. The simulated results are incompatible with experiments.

To even further illustrate the discrepancy, Fig.4 shows the measured T activation of P and R components at fixed stress time and the corresponding GSHR and e-NMP simulations. Both GSHR and e-NMP models cannot predict the measured T activation for P and R respectively, for various V<sub>GSTR</sub> and processes as shown.

Hence, the P and R component based decomposition done for stress experiments are incompatible with physical models. This has not been realized till date, due to the overemphasis in modeling recovery without attempting to model stress [8],

<sup>&</sup>lt;sup>1</sup> The complicated e-NMP model can be substituted by the much simpler NMP model having only 9 adjustable parameters [9]. However, both models produce very similar  $\Delta V_{HT}$  kinetics during stress and recovery. Refer to [41] for details regarding the NMP model. Comparison of different hole trapping models will be shown elsewhere.

[9]. These discrepancies are not surprising, as the very basic premise of permanent  $\Delta V_{IT}$  is never substantiated by several experiments. As mentioned, multiple experiments suggest the recovery of  $\Delta N_{IT}$  (trap density) after stress. Furthermore, as explained in detail in [24]-[26], recovery of  $\Delta V_{IT}$  (electrically active traps that contribute to  $\Delta V_T$ ) is not only due to  $\Delta N_{IT}$  recovery but also due to fast electron capture in generated interface traps. Therefore, the P versus R decomposition and their respective assignments to  $\Delta V_{IT}$  and  $\Delta V_{HT}$  (set-B, Table-I) cannot be physically justified.

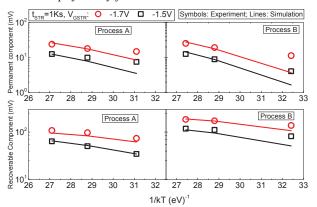


Fig.4. Temperature dependence of permanent (P, Top) and recoverable (R, Bottom) components for process A (Left) and process B (Right). The GSHR model simulations for P and e-NMP model simulations for R are shown as lines. The simulated results are incompatible with experiments.

# B. DCIV based method:

Fig.5 shows the DCIV measured time evolution of  $\Delta N_{IT}$  for (a) stress and (b) recovery. Stress measurements are done for two different delays, and show lower magnitude and higher n at higher delay due to recovery. Note, DCIV is a slow method and has a default delay of  $\sim 10$ s, which is sufficient to cause recovery of  $\Delta N_{IT}$ . Time evolution of recovery is measured for different stress time and universal behavior is observed when plotted versus normalized time. Empirical universal recovery expression [38] is used to correct the as-measured stress data as shown in Fig.5 (a). Note that measured data using different delay show similar magnitude and  $n (\sim 0.16)$  after correction. Fig.5 also shows as measured and corrected *n* for different (c) V<sub>GSTR</sub> and (d) stress T. The universality of power-law time exponent n (~0.16) after such delay correction is observed for different devices and experimental conditions; refer to [19], [20], [42] for further details.

DCIV method scans traps that are physically located at and near the channel-gate insulator interface, in a limited energy zone centered around the middle of Si energy bandgap [21]. On the other hand,  $V_T$  measurements scan the entire Si energy bandgap. Hence, delay corrected  $\Delta N_{IT}$  must also be corrected for bandgap, before comparing its contribution ( $\Delta V_{IT}$ ) against  $\Delta V_T$ . Fig.6 plots the time evolution of UF measured  $\Delta V_T$  and DCIV measured  $\Delta V_{IT}$  after delay and bandgap corrections, and extracted  $\Delta V_{HT}$  (= $\Delta V_T$ – $\Delta V_{IT}$ ). The bandgap correction is performed assuming different energy-zone scenarios, for trap generation in (a) narrow zone only in the bottom half, (b)

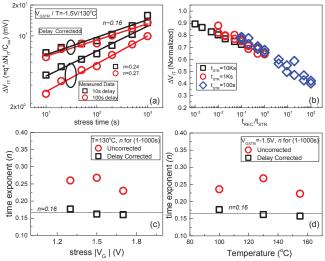


Fig.5 (a) Time evolution of  $\Delta N_{IT}$  from DCIV measurements at two different delays, before and after delay correction, (b) time evolution of  $\Delta N_{IT}$  recovery from DCIV measurements for different stress time. Also shown long-time power-law time exponents of measured  $\Delta N_{IT}$  time evolution data during stress, before and after delay correction, as a function of (c) stress V<sub>G</sub> and (d) stress T.

narrow zone in top and bottom halves, (c) wide zone only in the bottom half, and (d) wide zone covering both halves, of the Si bandgap. The magnitude of  $\Delta V_{IT}$  and the magnitude and time exponent *n* of  $\Delta V_{HT}$  get impacted by the correction scheme. Schemes A through C exhibit the domination of nonsaturating  $\Delta V_{HT}$ , but D shows the domination of  $\Delta V_{IT}$  and saturated  $\Delta V_{HT}$ . Note that correction scheme D was used in our earlier works [24], [25].

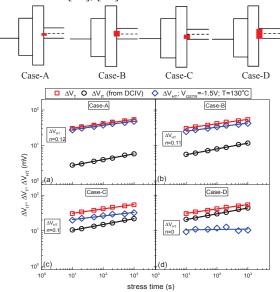


Fig.6 Time evolution of ultra-fast measured  $\Delta V_T$ , DCIV measured  $\Delta V_{TT}$  after delay and bandgap corrections and extracted  $\Delta V_{HT}$ , for different bandgap correction cases: (a) narrow spread of donor like  $\Delta N_{TT}$  in only bottom half, (b) narrow spread of donor like  $\Delta N_{TT}$  in top and bottom halves, (c) broad spread of donor like  $\Delta N_{TT}$  in only bottom half, and (d) broad spread of donor like  $\Delta N_{TT}$  in top and bottom halves, of the Si bandgap. The relevant energy zones are shown using a patch in the energy band diagrams. The distribution of interface traps is assumed to be uniform in energy for a particular spread. The kinetics of extracted  $\Delta V_{HT}$  depends on the bandgap correction scheme.

To choose the correct bandgap correction scheme, the time evolution of measured  $\Delta V_{HT}$  is determined for process A and B devices at different V<sub>GSTR</sub> and T, and shown in Fig.7.  $\Delta V_{HT}$  extraction is done using the method shown in Fig.6, and all possible bandgap corrections discussed in cases A through D (of Fig.6) has been used. The lines in Fig.7 are from e-NMP model simulations for  $\Delta V_{HT}$ . The e-NMP model parameters are calibrated to predict measured data at a particular V<sub>GSTR</sub> and T, and the model is then used to predict data at different V<sub>GSTR</sub> and T. Note that e-NMP model is not consistent for the corrections schemes A through C, which show non-saturation of  $\Delta V_{HT}$ . The model can predict data for a given V<sub>GSTR</sub> and T, but cannot predict across different V<sub>GSTR</sub> and T, which is true for both processes. However, the model is consistent with the correction scheme D that shows saturated  $\Delta V_{HT}$  for different  $V_{GSTR}$  and T and for different gate insulator processes.

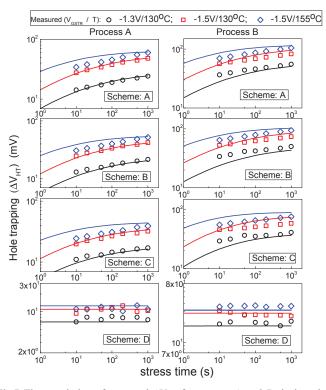


Fig.7 Time evolution of extracted  $\Delta V_{HT}$  for process A and B devices, by using ultra-fast measured  $\Delta V_T$  and DCIV measured and corrected  $\Delta V_{IT}$  for different schemes shown in Fig.6. The extraction is done at different V<sub>GSTR</sub> and T. Lines are  $\Delta V_{HT}$  simulated using the e-NMP model. The e-NMP model is consistent with extracted  $\Delta V_{HT}$  from scheme D.

To illustrate further, Fig.8 compares the long-time powerlaw time exponent *n*, extracted from measured and simulated time evolution of  $\Delta V_{\text{HT}}$ , corresponding to bandgap correction cases A through C. Results are shown for both process A and B devices and for different V<sub>GSTR</sub> and T. Note, simulated *n* is grossly incompatible with measured data for different devices and experimental conditions, when case A through C bandgap correction schemes are used. Measured *n* shows no trend with V<sub>GSTR</sub> and T, however, e-NMP simulated *n* reduces slightly at higher V<sub>GSTR</sub>, while a drastic reduction is seen for higher T. Hence, non-saturated  $\Delta V_{HT}$  with large trapping time constant (Set-B, Table-I) is not consistent with e-NMP calculations. Saturated  $\Delta V_{HT}$  at long stress time and hence smaller trapping time constant (Set-A, Table-I) is consistent with the e-NMP model, and with earlier reports [1], [5], [11]-[14], [21], [24]-[27], and this is also discussed in detail in [28], [29].

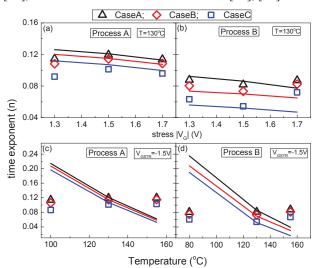


Fig.8 Long-time power-law time exponents of extracted  $\Delta V_{HT}$  time evolution data during stress, for (a, c) process A and (b, d) process B devices, versus (a, b) stress V<sub>G</sub> and (c, d) stress T. Data form bandgap correction schemes A through C (see Fig.6) are shown. Lines are e-NMP model simulations. The model calculations are not consistent with experimental data.

Fig.9 shows the T activation of measured  $\Delta V_{HT}$  at different  $V_{GSTR}$  for process A and B, obtained from bandgap correction schemes A through D. Schemes A through C show higher T activation energy (E<sub>A</sub>) of measured  $\Delta V_{HT}$  for process A when compared to process B, while scheme D shows similar E<sub>A</sub> for both processes. Moreover, obtained E<sub>A</sub> is higher for schemes A through C compared to scheme D. The corresponding e-NMP model simulations are also shown. Simulated results are

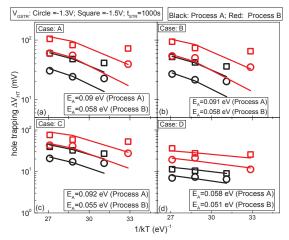


Fig.9 Temperature dependence of extracted  $\Delta V_{HT}$  at different  $V_{GSTR}$  but fixed stress time, for process A and B devices, for all different extraction schemes A through D (see Fig.6). Lines are e-NMP model simulations. Measured  $E_A$  values are shown. The e-NMP simulations are consistent with data obtained only using scheme D.

grossly incompatible with the measured data for all schemes except scheme D. Note, the e-NMP model show higher  $E_A$  for process B compared to A for correction schemes A through C, contrary to measured data. Therefore it is important to note that high  $E_A$  for  $\Delta V_{HT}$  (Set-B, Table-I) is not consistent with e-NMP model. However, e-NMP model can predict measured  $E_A$  (lower value) for both processes for scheme D.

Low  $E_A$  of  $\Delta V_{HT}$  (Set-A, Table-I) obtained from scheme D is consistent with e-NMP model, and with earlier reports [1], [5], [12]-[14], [24]-[26], and is also discussed in [28], [29]. Moreover, scheme D isolation results in  $\Delta V_{IT}$  dominated  $\Delta V_T$  and higher  $E_A$  for  $\Delta V_{IT}$  during stress; refer to [5], [24]-[26] for additional discussions.

## C. Comparison of different methods:

Fig.10 (a) shows the time evolution of UF measured  $\Delta V_T$ and prediction by an empirical model [43]. The model uses power-law time dependence of  $\Delta V_{IT}$  (=A<sub>1</sub>. t<sup>n</sup>) with exponent n~0.16 (consistent with only delay corrected DCIV data) and a saturated  $\Delta V_{HT}$  for long stress time, which is consistent with e-NMP simulations and the comprehensive framework [24], [25]. The  $\Delta V_{IT}$  and  $\Delta V_{HT}$  subcomponents are also shown in Fig.10 (a). The delay and band gap corrected DCIV measured data (scheme D, Fig.6) can be expressed as  $\Delta V_{IT} = A_2$ . t<sup>n</sup> with  $n\sim0.16$ . Fig.10 also illustrates the comparison of A<sub>1</sub> (from UF  $\Delta V_T$  decomposition) and A<sub>2</sub> (from delay and energy bandgap corrected DCIV data) for experiments done on process A and B devices, for different (b) V<sub>GSTR</sub> and (c) stress T. Note that  $A_1$  is very similar to  $A_2$  for different  $V_{GSTR}$  and T and for both processes. This verifies the bandgap correction scheme D and suggests that generated interface traps have broad distribution in the Si energy band gap, which is consistent with [17].

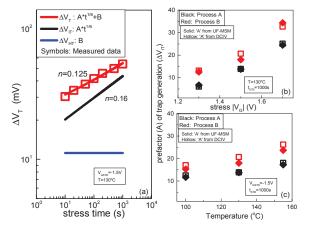


Fig.10 (a) Time evolution of ultra-fast measured  $\Delta V_T$  and empirical model fitting using  $\Delta V_{IT}$  (=A<sub>1</sub>. t") and  $\Delta V_{HT}$  (=B). Also shown comparison of A<sub>1</sub> from this calculation and A<sub>2</sub> obtained by fitting  $\Delta V_{IT}$  time evolution (=A<sub>2</sub>. t") from measured DCIV data after delay and bandgap correction using scheme D (see Fig.6), for process A and B devices, for different (b) stress V<sub>G</sub> and (c) stress T. DCIV correction scheme D is consistent with empirical model.

This clearly justifies the delay and bandgap correction (scheme D) used in previous reports [5], [24], [25]. Therefore, the DCIV based isolation technique is verified, and this results in  $\Delta V_{IT}$  dominated  $\Delta V_{T}$ , saturated  $\Delta V_{HT}$  and

low T activation  $E_A$  for  $\Delta V_{HT}$  (Set-A, Table-I). Moreover, it is of no surprise that the related model framework [24]-[26] can explain diverse set of experiments as mentioned in the introduction.

Finally, as interface traps recover after stress, as shown in Fig.5 (b) and multiple other reports, the usual assignment of P and R components respectively to  $\Delta V_{IT}$  and  $\Delta V_{HT}$  cannot be justified. To illustrate, Fig.11 shows the comparison of  $\Delta V_{IT}$  extracted from P and R decomposition and using DCIV data, after corrections for delay and bandgap (scheme-D, Fig.6), for variations in (a) stress time, (b)  $V_{GSTR}$  and (c) stress T. It is important to note that P assignment underestimates magnitude of  $\Delta V_{IT}$  and overestimates its *n* and E<sub>A</sub> compared to corrected DCIV data. Therefore, the GSHR model developed based on the presumably P component also faces significant challenges to predict experimental trap generation data, and this will be addressed in detail later in this paper.

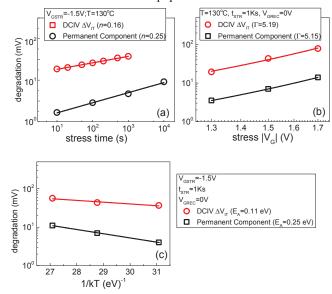


Fig.11 Comparison of permanent (P) component (= $\Delta V_{TT}$ ) to DCIV measured and corrected  $\Delta V_{TT}$  versus (a) stress time, (b) stress V<sub>G</sub> and (c) stress T. The estimation using P component grossly differs from DCIV based estimate.

#### III. FEATURES OF HOLE TRAPPING-DETRAPPING

# A. Impact on stress:

Fig.12 (a) shows measured  $\Delta V_T$  at fixed stress time versus T for an extended T range. Experimental results clearly show non-Arrhenius behavior in the full T range, but measured data can be fitted using two separate Arrhenius dependencies, with higher and lower  $E_A$  respectively at higher and lower range of T. Higher  $E_A$  at higher T is due to the  $\Delta V_{IT}$  domination of  $\Delta V_T$ , as  $\Delta V_{IT}$  has higher  $E_A$  than  $\Delta V_{HT}$ . Note that  $E_A$  for the higher T part is similar to DCIV data as  $\Delta V_{HT}$  contribution is relatively small. However, the contribution from  $\Delta V_{IT}$  starts to get suppressed as T is reduced below 0°C, and at lower T,  $\Delta V_{HT}$  dominates  $\Delta V_T$ , causing reduction in  $E_A$ . Also note,  $E_A$  for the lower T part matches well with  $E_A$  shown in Fig.9 (d). Process B devices are used in these experiments, having relatively higher  $\Delta V_{HT}$  contribution than process A devices, to highlight the predominant role of  $\Delta V_{HT}$  at lower T.

Fig.12 (b) shows the time evolution of measured  $\Delta V_T$  over an extended T range. The power-law time exponent *n* reduces gradually with the reduction in T below 0°C. This is also fully consistent with gradual dominance of  $\Delta V_{HT}$  contribution as T is reduced, and with the saturation of  $\Delta V_{HT}$  at longer stress time. Therefore, the lower time constant for hole trapping and the associated low T activation  $E_A$  are independently verified.

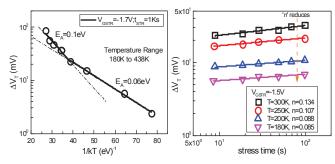


Fig.12 (a) Temperature dependence of measured  $\Delta V_T$  at fixed stress time for extended T range. Lines are Arrhenius model fit to data, separately done at higher and lower T ranges. (b) Time evolution of measured  $\Delta V_T$  for different T, over extended T range. Reduction in  $E_A$  and *n* at lower T are consistent with the hole trapping features.

Fig.13 (a) plots the T activation of UF measured  $\Delta V_T$  while Fig.13 (b) plots the UF measured power-law time exponent *n* versus V<sub>GSTR</sub> for process A and B devices. Process B shows lower E<sub>A</sub> and lower *n* compared to process A devices. Note that process B has ~2X higher pre-existing hole trap density and hence relatively higher  $\Delta V_{HT}$  contribution than process A devices [24]-[26]. Since  $\Delta V_{HT}$  saturates at longer stress time and has lower E<sub>A</sub>, higher contribution from  $\Delta V_{HT}$  reduces the *n* and E<sub>A</sub> of overall  $\Delta V_T$  for process B devices as shown. It is important to remark that for both process A and B devices, the overall  $\Delta V_T$  is still dominated by the  $\Delta V_{TT}$  subcomponent.

Therefore, it is unequivocally established that longer time  $\Delta V_{T}$  is dominated by  $\Delta V_{IT}$  while  $\Delta V_{HT}$  saturates,  $\Delta V_{IT}$  shows higher  $E_A$  than  $\Delta V_{HT}$ , and DCIV based isolation scheme D is consistent with e-NMP model and can explain NBTI process dependence. As mentioned before, these features (see Table-I, Set-A) have been used to develop the comprehensive model framework [24]-[26].

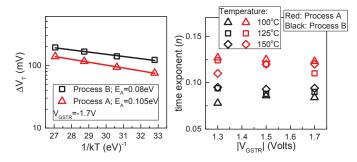


Fig.13. (a) T activation of UF measured  $\Delta V_T$  and (b) stress  $V_G$  dependence of UF measured long-time power-law time exponent *n* for process A and B devices. Lower  $E_A$  and *n* for process B are consistent with the hole trapping features.

#### B. Impact on recovery:

Fig.14 shows UF measured time evolution of  $\Delta V_T$  recovery for various V<sub>GSTR</sub>, V<sub>GREC</sub>, stress T and t<sub>STR</sub>, as well as model prediction using the comprehensive framework [24]-[26]. As discussed before, TTOM enabled RD model is used for  $\Delta V_{IT}$ . The e-NMP model is used to predict  $\Delta V_{HT}$  (shown in bottom panels), and this is unlike earlier reports [24]-[26] that used empirical equations. The relative contributions from  $\Delta V_{IT}$  and  $\Delta V_{HT}$  at the beginning of recovery are determined from the decomposition of stress results. Note that the e-NMP model shows small time constant for the hole detrapping process at lower V<sub>GREC</sub>, while a fraction of holes do not detrap at larger V<sub>GREC</sub>. This is consistent with the empirical calculations used in [24]-[26]. Note, a large non-recoverable  $\Delta V_{HT}$  is obtained at higher  $|V_{GREC}|$  as a large fraction of traps remain above the Fermi level in this recovery condition. It is also important to remark that the larger number of e-NMP model parameters can be adjusted to achieve large time constants for recovery. However, when the parameters are optimized to predict both the stress and recovery experiments, the e-NMP model cannot support large time constants. Refer to [40] for details.

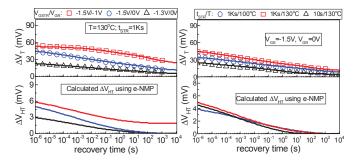


Fig.14. Time evolution of UF measured  $\Delta V_T$  recovery for different stress and recovery bias (Left) and stress time and temperature (Right) and prediction by RD + TTOM + e-NMP model. RD + TTOM calculate  $\Delta V_{IT}$  recovery, e-NMP calculates  $\Delta V_{HT}$  recovery. Symbols: measured data, lines: model. The e-NMP model is consistent with smaller time constant for hole detrapping.

Fig.15 (a) shows  $\Delta V_T$  recovery time evolution in multiple small area devices from UF measurements. Step like recovery is observed, whenever a hole gets detrapped from pre-existing traps, an electron gets captured in generated interface traps, or an interface trap gets passivated. The mean can be predicted by the composite model framework as shown in the bottom panel, with TTOM enabled RD model for  $\Delta V_{\rm IT}$  and e-NMP for  $\Delta V_{\rm HT}$  subcomponents. Once again, e-NMP simulations do not exhibit large time constants, and this contradicts previous assertions (Set-B, Table-I).

Small hole detrapping time constant is verified using Time Dependent Defect Spectroscopy (TDDS) measurement plots shown in Fig.15 (b). In TDDS study, a small area device is repetitively stressed and the recovery traces are measured by UF method [44]. Step like recovery is observed, which is due to hole detrapping from pre-existing traps, electron capture in generated interface traps, or passivation of interface traps. In Fig.15 (b), stress conditions are suitably adjusted (lower T, short stress time) and process B samples are used to ensure  $\Delta V_{HT}$  domination of  $\Delta V_T$  at the end of stress. Therefore, the

recovery steps are primarily due to hole detrapping, showing low time constants. This verifies the consistency of e-NMP model simulation for hole detrapping during recovery. Once again, this contradicts previous assumptions of long recovery due to hole detrapping (Set-B, Table-I). Lower time constant for hole detrapping is consistent with previous results from different gate insulator processes and device dimensions, see [24]-[26] for a detailed discussion.

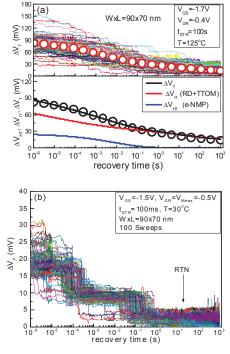


Fig.15. (a) Time evolution of UF measured  $\Delta V_T$  recovery in multiple small area devices, both individual (lines) and mean (symbols) traces are shown (top panel). Mean recovery (symbols) predicted by RD + TTOM + e-NMP model (lines, bottom panel). (b) Multiple TDDS recovery traces in small area device. Results confirm smaller time constant for hole detrapping process.

## IV. TRAP GENERATION KINETICS

Previous sections have established that  $\Delta V_{HT}$  saturates at long time while  $\Delta V_{TT}$  evolves in time and dominates  $\Delta V_{T}$ . In this section, the predictive capabilities of the TTOM enabled RD [24], [25] and GSHR [35], [36] models for interface trap generation will be verified against DC and AC stress data.

#### A. Prediction of DCIV measurements:

Fig.16 shows the time evolution of DCIV measured and corrected (for delay and bandgap)  $\Delta V_{IT}$  at different  $V_{GSTR}$  and T for DC stress. The RD and GSHR model predictions are shown as lines. Model parameters are adjusted to predict the measured data at lowest  $V_{GSTR}$  and T, and then kept constant to predict higher stress conditions. Note that the RD model has only 3 adjustable parameters and can successfully predict measured data, refer to [25] for details<sup>2</sup>. However, the GSHR model shows saturation at higher  $V_{GSTR}$  and T and therefore

is not compatible with the measured data. Note, it is possible to readjust GSHR model parameters to predict measured data at any particular  $V_{GSTR}$  and T. However, the model would fail to predict data as  $V_{GSTR}$  and T are varied.

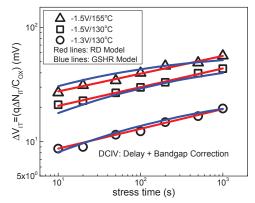


Fig.16. Time evolution of  $\Delta V_{TT}$  (from delay and bandgap corrected DCIV), along with RD and GSHR model prediction for different stress  $V_G$  and T. RD model can while GSHR model cannot predict the measured data.

Fig.17 compares DCIV measured (and corrected) powerlaw time exponent n (at long stress time) to that from RD and GSHR model simulations at different V<sub>GSTR</sub> and stress T. DC stress is used. The measured n is independent of  $V_{GSTR}$  and T  $(n \sim 0.16)$ , which is predicted by the RD model. Note, a slight reduction in measured n at higher V<sub>GSTR</sub> and T is due to the field reduction effect (as increased  $\Delta V_T$  reduces the oxide field at longer stress time). However, GSHR model prediction of n is drastically different from measurements. Simulated nreduces slightly at higher  $V_{GSTR}$ , but the reduction is drastic at higher T. Note that field reduction is not invoked in either RD or GSHR simulations; therefore, reduction of *n* especially at higher T is an inherent feature of the GSHR model. The large number of GSHR model parameters can be easily adjusted to predict *n* at any particular  $V_{GSTR}$  and T. However, it is very challenging for the GSHR model to predict n across different V<sub>GSTR</sub> and T (using a consistent set of parameters) as shown.

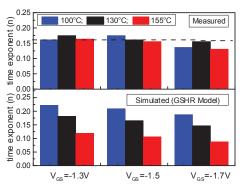


Fig.17. Measured (DCIV, both delay and bandgap corrected, top panel) and GSHR model simulated (bottom panel) power-law time exponent (*n*) for different stress  $V_G$  and temperature. The RD model value is shown as dotted line in the top panel. RD model can while GSHR model cannot predict the measured data.

Fig.18 plots T activation of DCIV measured and corrected (delay and bandgap)  $\Delta V_{IT}$  for different  $V_{GSTR}$  for DC stress.

<sup>&</sup>lt;sup>2</sup> All RD model simulations shown in this section are performed using same parameter set. Refer to [25] for details.

The RD and GSHR model predictions are shown as lines. Measured data exhibit similar  $E_A$  across different  $V_{GSTR}$ , and can be predicted by the RD model. However, GSHR model cannot predict measured data, and the simulated  $E_A$  reduces at higher  $V_{GSTR}$ . Once again, this is due to the strong saturation shown by GSHR simulation at longer time and higher stress T, which is an inherent feature of this model. Note that the large number of GSHR model parameters can be adjusted to predict  $E_A$  at a particular  $V_{GSTR}$ . However, the model cannot predict  $E_A$ , with a fixed parameter set, across all  $V_{GSTR}$ .

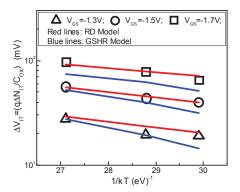


Fig.18. T dependence of measured  $\Delta V_{IT}$  (from DCIV after delay and bandgap corrections) at different stress V<sub>G</sub>. The RD and GSHR model calculations are also shown. Symbols: measured data, lines: model calculation. RD model can while GSHR model cannot predict the measured data.

## B. Prediction of DC and AC stress:

Fig.19 shows the time evolution of DCIV measured  $\Delta V_{IT}$  (corrected for delay and band-gap) and UF measured  $\Delta V_T$  for DC and AC stress. For AC stress, measurements are done at the end of pulse off phase (Mode-B) [24].  $\Delta V_{IT}$  is calculated using the RD and GSHR models for both DC and AC stress.  $\Delta V_T$  for DC stress is calculated using the RD plus e-NMP and GSHR plus e-NMP models.  $\Delta V_T$  for AC stress is calculated using the TTOM enabled RD plus e-NMP and the GSHR plus e-NMP models. The model parameters are adjusted to predict DC stress at a single  $V_{GSTR}$  and T, and then used to predict the AC stress. Measured data can be predicted using the RD model framework, while the GSHR framework is inconsistent with experimental results. Note that the inherent saturation of GSHR model is stronger for AC stress. The capability of the

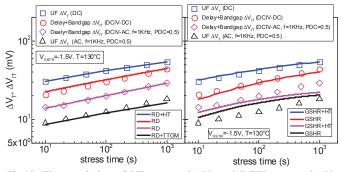


Fig.19. Time evolution of UF measured  $\Delta V_T$  and DCIV measured  $\Delta V_{TT}$  (delay and bandgap corrected) for DC and AC stress, and prediction by RD and RD + TTOM (Left) and GSHR (Right) model. Symbols: measured data, lines: model calculation. RD model can while GSHR model cannot predict the measured data.

RD framework is verified by prediction of measured data at different  $V_{GSTR}$  and T for both DC and AC stress, and at different PDC and *f* for AC stress, without adjusting any model parameters. These results have already been discussed in earlier reports [24], [25], [42].

Fig.20 plots the PDC and f dependence of measured  $\Delta V_{IT}$ and  $\Delta V_T$  at fixed stress time for Mode-B AC stress.  $\Delta V_{IT}$  and  $\Delta V_{T}$  are respectively obtained from time evolution of delay and bandgap corrected DCIV and UF measured data. RD and TTOM enabled RD models (along with e-NMP) respectively predict measured  $\Delta V_{IT}$  and  $\Delta V_T$  for different duty cycle and frequency. The framework can predict the "S" shaped PDC dependence, the absence of kink (for  $\Delta V_{IT}$ ) and its presence (for  $\Delta V_T$ ) near 100% PDC, and the frequency independence of  $\Delta V_{IT}$  and  $\Delta V_T$ . In contrast, the GSHR model (along with e-NMP) cannot predict AC duty cycle and frequency data. It is important to remark that identical model parameters have been used for DC and AC stress for both frameworks. Hence, Fig.16 through Fig.20 clearly show the predictive capability of RD based framework, while the GSHR based framework faces significant challenges to predict the experimental data. This limitation of GSHR model has hitherto not reported, as it was never used to predict stress data under wide range of DC and AC stress conditions.

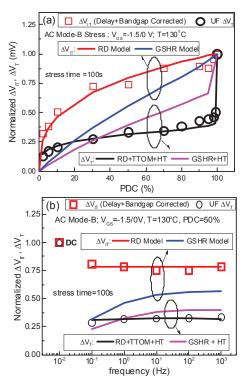


Fig.20 (a) UF measured  $\Delta V_T$  and DCIV measured  $\Delta V_{IT}$  (delay and bandgap corrected) versus duty cycle and (b) frequency, and prediction by RD and RD+TTOM and GSHR model frameworks. Symbols: measured data, lines: model calculation. RD model can while GSHR model cannot predict the measured data.

## C. Prediction of end-of-life degradation:

Fig.21 plots time evolution of UF measured  $\Delta V_T$  at high  $V_{GSTR}$  for short time and at low  $V_{GSTR}$  for long time for DC

stress. The RD (for  $\Delta V_{TT}$ ) plus e-NMP (for  $\Delta V_{HT}$ ) and GSHR (for  $\Delta V_{TT}$ ) plus e-NMP (for  $\Delta V_{HT}$ ) frameworks are used to predict the short time  $\Delta V_T$  at different  $V_{GSTR}$  and the model parameters are obtained. Note that the RD model framework can predict data at various  $V_{GSTR}$  and T and for both DC and AC stress, as shown in detail in [24]-[26]. However as shown above, the GSHR framework cannot predict data at different T and also for AC stress. Hence for simplicity, fixed T DC stress data are used for parameter calibration. The models are then used to predict long time DC stress data at low  $V_{GSTR}$  but identical T. The RD based framework can predict but GSHR framework underestimates long-time  $\Delta V_T$  data as shown. As long-time  $\Delta V_T$  is dominated by  $\Delta V_{TT}$ , the RD model can, while the GSHR model (due to inherent saturation) cannot, be successfully used for estimation of EOL NBTI degradation.

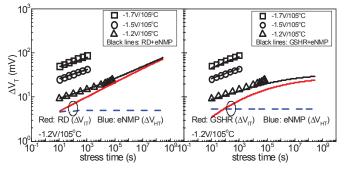


Fig.21. Time evolution of UF measured  $\Delta V_T$  (symbols) at different stress  $V_G$  and for different duration of DC stress. The RD + e-NMP (Left) and GSHR + e-NMP (Right) framework based prediction (lines) are shown. RD model can while GSHR model cannot predict long-time measured data.

#### V. CONCLUSION

Existing NBTI mechanisms and models are benchmarked against diverse DC and AC experimental data in HKMG p-MOSFETs having various gate insulator processes. The often -used P versus R assignment technique, respectively for  $\Delta V_{IT}$ and  $\Delta V_{HT}$ , has been found to be inconsistent with GSHR and e-NMP model simulations. Hence, resulting  $\Delta V_{HT}$  domination of  $\Delta V_T$ , large time constant and large  $E_A$  for  $\Delta V_{HT}$  for stress are not physically justifiable. However, obtained  $\Delta V_{HT}$  from correct DCIV based isolation is much smaller than  $\Delta V_{IT}$ , and the former shows saturation at long stress time and low E<sub>A</sub>, consistent with e-NMP simulations. These features can also predict the gate insulator process dependence of NBTI. The kinetics of  $\Delta V_{TT}$  dominates that of  $\Delta V_{TT}$  at longer time for both DC and AC stress. The TTOM enabled RD model along with e-NMP model can respectively predict the kinetics of  $\Delta V_{IT}$ and  $\Delta V_{HT}$  during DC and AC stress, as well as for recovery after DC stress, for both large and small area devices. The time constant associated with hole detrapping for recovery is shown to be small, and this is verified by TDDS experiments in small area devices. In contrast, the GSHR model cannot predict the  $\Delta V_{IT}$  kinetics during DC and AC stress. The RD model based framework can, but the GSHR based framework cannot, predict long-time degradation for EOL calculation.

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