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#### Multi-modal particle manipulator to enhance bead-based bioassays 2

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## ABSTRACT

By sequentially pushing micro-beads towards and away from a sensing surface, we show that ultrasonic radiation forces can be used to enhance the interaction between a functionalised glass surface and polystyrene micro-beads, and identify those that bind to the surface by illuminating bound beads using an evanescent field generated by guided light.

The movement towards and immobilisation of streptavidin coated beads onto a biotin functionalised waveguide surface is achieved by using a quarter-wavelength mode pushing beads onto the surface, while the removal of non-specifically bound beads uses a second quarter-wavelength mode which exhibits a kinetic energy maximum at the boundary between the carrier layer and fluid, drawing beads towards this surface. This has been achieved using a multi-modal acoustic device which exhibits both of these quarter-wavelength resonances. Both 1-D acoustic modelling and finite element analysis has been used to design this device and to investigate the spatial uniformity of the field.

We demonstrate experimentally that 90% of specifically bound beads remain attached after applying ultrasound, with 80% of non-specifically bound control beads being successfully removed acoustically. This approach overcomes problems associated with lengthy sedimentation processes used for beadbased bioassays and surface (electrostatic) forces, which delay or prevent immobilisation. We explain the potential of this technique in the development of DNA and protein assays in terms of detection speed and multiplexing.

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#### <del>4</del>8 1. Introduction

51 Bead-based assays are becoming increasingly important in microfluidic sensor systems with the advantage that the bead sur-52 face forms a disposable sensing element and a high bead surface 53 area coupled with active mixing. These assays may be realised 54 using magnetic beads held in devices using magnetic forces [1], 55 56 however acoustic radiation forces can be used to manipulate a 57 wider range of beads, including magnetic and non-magnetic beads, as they rely on acoustic properties only. The approach also allows 58 finer control over bead position. Despite the advantages that 59 acoustically enhanced bioassays could bring, it remains a challenge 60 to move beads consistently to the detection area and in a uniform 61 pattern. Thus a thorough understanding of the 2-D nature of the 62 63 acoustic field in such a system is needed to ensure that beads can be suitably controlled. In this paper we investigate the axial 64 65 acoustic field distribution using a 1-D acoustic impedance transfer

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model [2], and also finite element analysis to model the lateral variation of the field. Thus the uniformity and suitability of the 2-D field may be judged. To aid this assessment a simulation of force potential,  $\langle \phi(r) \rangle$ , is used to provide a means of predicting bead migration.

### 2. Device design

### 2.1. General

In order to enhance bead-based assays, it is proposed that 73 acoustic radiation forces are used to (a) push beads to the detection 74 surface and (b) discriminate between beads that bind to the sur-75 face and those that do not by subsequently repelling unbound 76 beads. Fig. 1 illustrates two acoustic modes which provide these 77 two functions. Firstly, Fig. 1a shows a "to reflector" quarter-wave 78 mode, used to move beads to the detection surface and secondly 79 Fig. 1b shows a "to carrier" quarter-wave mode, used to force 80 beads off the surface. In both cases, there must be sufficient radia-81 tion force at the wall to enhance binding and to overcome surface 82

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2

P. Glynne-Jones et al. / Ultrasonics xxx (2009) xxx-xxx



Fig. 1. Multi-modal function of device showing force on beads, final location and pressure field for (a) "to reflector" mode; beads and immobilised bio-molecules move up to waveguide surface whereupon functionalised beads  $(\bigcirc)$  will attach to the reflector surface, and (b) "to carrier" mode; unbound beads (•) are pulled away and towards the carrier layer surface.

forces, which dictates where pressure nodes and antinodes are 83 positioned in relation to the surface (noting that radiation force 84 85 is typically zero at these nodal points). Fig. 1 therefore also indi-86 cates how the "to carrier" nodal points have been positioned some 87 way into the reflector surface to ensure that beads are forced onto 88 the surface.

#### 89 2.2. Transfer impedance 1-D model

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The final design was arrived at through successive iterations. investigating the behaviour as predicted by a 1-D transfer impedance model [2] implemented in MATLAB. This represents the structure as a layered resonator with layers of infinite lateral extent, thus ignoring any lateral acoustic modes.

95 This is sufficiently accurate, however, to arrive at a suitable 96 multi-modal design. The transducer representation used by Hill 97 et al. [2] was replaced by a KLM circuit model [3] extending the 98 operating frequency of the model beyond the first resonance. For 99 each design iteration, the average acoustic energy density (see 100 equations below) in the fluid layer versus frequency is inspected; 101 this allows all the resonances supported by that configuration to be identified and examined to determine their resultant acoustic 102 103 force potential. Of the modes described in Fig. 1, the reflector quar-104 ter-wave mode is found to be the most sensitive to geometry, so it was easier to start the process with a design that easily supported 105 this mode, with subsequent changes aiming to enhance the 106 strength of the carrier layer quarter-wave mode. 107

108 These design iterations produced the final design depicted in Fig. 2, whose layer thicknesses are recorded in Table 1. The cham-109 110 ber floor is formed from macor, a machinable ceramic, with a PZT transducer (a 10 mm diameter disc of PZT26, Ferroperm) glued 111 (Epotek 301 epoxy) to the reverse side. The walls of the fluidic 112 113 chamber (chamber 5 mm in diameter) are formed by a moulded 114 PDMS gasket to prevent the steel spacer coming into fluidic contact 115 with the sample, and also to reduce the strength of lateral acoustic modes that cause variations in the radiation force across the width 116 117 of the device. The roof of the chamber (the acoustic reflector) is 118 formed by a sheet of BK7 glass. Fluidic connections are supplied 119 via a PMMA manifold which connects to the fluidic chamber via



Fig. 2. Photograph of particle manipulator device showing the main fluid chamber capped by a glass slide.

Table	1	
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Layer	Material	Thickness (um)	Density (kg/m <sup>3</sup> )	Sonic velocity (m/s)
Transducer Carrier	PZ26 Alumina	1000 650	7700	4529 10,520
Fluid Reflector	Water BK7 glass <sup>a</sup>	162 1762 <sup>a</sup>	1000 2500	1480 5872

<sup>a</sup> Thickness and properties chosen for optical detection system.

ports in the macor layer, with PDMS gaskets to seal the connection. 120 An aluminium clamp holds the assembly together. The ultrasonic 121 transducer is powered by an RF-amplifier (ENI 240L) driven by a sine-wave from a signal generator (TTi TG1304).

Troughs in the resulting modelled impedance data are indica-124 tive of a resonance and clearly show two modes (Fig. 3), the peaks in the acoustic energy density in the fluid confirm that they are resonances directly associated or coupled with the fluid layer. These 127 correspond to the carrier guarter-wave and reflector guarter-wave modes which are located at 1.57 and 1.70 MHz respectively. The smaller energy density associated with the reflector quarter-wave mode is indicative of the design challenge that this mode presents. 131

#### 2.3. Finite element (FE) model

In order to gain a more detailed understanding of the behaviour of the device, including lateral forces, an axisymmetric finite ele-134 ment model was implemented using the ANSYS package.

### 2.3.1. Construction of model

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The modelling of lateral fields has been described by Neild et al. [4], Manneberg et al. [5], Lilliehorn et al. [6], Hagsater et al. [7] and Townsend et al. [8], and this work extends that of Townsend, incorporating a finite element representation of the transducer and using the results to visualise the force distribution in three-dimensions. Even in layered resonator designs which are most suited to a one-dimensional approach, significant lateral variations in acoustic radiation forces can be observed [8], which finite element analysis could be used to model.

A full verification of this finite element approach is beyond the 146 scope of this paper, but Fig. 4 represents some initial verification: it 147 compares the transfer impedance model to a finite element model 148 with boundary conditions set to mimic layers of infinite extent. 149 The finite element model is based in ANSYS [9], and uses a strip 150 of FLUID29 (2-D harmonic acoustic) elements; carrier and reflector 151 layers are represented by 2-D structural solid elements, PLANE42; 152 and the PZT by the piezoelectric formulation of the 2-D Coupled-153

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**Fig. 3.** MATLAB 1-D model results for (a) magnitude of electrical impedance and (b) acoustic energy density within the fluid layer. Dashed lines correspond to predicted resonant modes at 1.572 MHz. and 1.700 MHz.



**Fig. 4.** Predicted acoustic energy density for 1-D system and comparison between FE and transfer impedance models.

Field Solid PLANE13. Varying the parameters of the transmission 154 line model, it is found that for the parameters considered here, 155 the air beyond the reflector and transducers has little effect on 156 the energy density profile, and is not included in the ANSYS model. 157 The acoustic fluid elements are not formulated to allow any fluid 158 damping in the fluid body, which makes predictions of acoustic 159 160 amplitudes less accurate, particularly for half-wave devices where the fluid damping is important, however in the future, other ele-161 ment types such as FLUID79 which can include fluid damping will 162 163 be explored in the future. All materials data is taken from manufacturers' data tables. The figure contains two maxima in the time 164 averaged fluid energy density corresponding to the 'to carrier' 165 and 'to reflector' quarter-wave modes. It can be seen that there is 166 167 good agreement between the two types of model.

168 For the axisymmetric model shown in Fig. 5, the same element 169 types were used; the additional spacer layer was also represented



Fig. 5. Construction of FE model (ANSYS).

by PLANE42 elements. The dimensions of the model are as listed in 170 Table 1, the radius of the modelled reflector and carrier layer was 171 172 80 mm and the transducer radius 25 mm; the spacer as an annulus 173 of inner and outer radii 50 mm and 80 mm respectively. The region between the fluid and the spacer is physically composed of a PDMS 174 gasket and some air spaces; in order to mimic the energy scattering 175 nature of this region (to acoustic energy from the fluid), the right-176 side of the fluid layer is bounded by a 50% absorbing boundary con-177 dition with the remaining space left as a void in the model, as it is 178 anticipated that the gasket and air will have little effect on the 179 dynamics of the surrounding material. 180

#### 2.3.2. Calculation of force potential

For a harmonically varying sound field, where the fluid particle velocity, u, has amplitude U and fluid pressure, p, has amplitude P, the time averaged kinetic and potential energy densities can be calculated [10] as

$$\overline{E}_{kin}(r)\rangle = \frac{1}{4}\rho_0 U^2,\tag{1}$$

and

$$\overline{E}_{pot}(r)\rangle = \frac{1}{4} \frac{P^2}{\rho_0 c^2},$$
 (2) 190

where  $\rho_0$  is the density of the fluid, and *c* the speed of sound in the fluid.

Post-processing of the FLUID29 u and p output parameters allows these equations to be implemented. The following equations derived by Gor'kov [11] allow the radiation force to be derived from an arbitrary standing wave field and the acoustic potential and energy densities. The acoustic radiation force (a time averaged quantity) is given by [12]

$$\langle F(r) \rangle = -\nabla \langle \phi(r) \rangle, \tag{3}$$

where the force potential,  $\langle \phi(r) \rangle$ , is given by

$$\langle \phi(r) \rangle = -V \left[ \frac{3(\lambda - 1)}{2\lambda + 1} \langle \overline{E}_{kin}(r) \rangle - \left( 1 - \frac{1}{\sigma^2 \lambda} \right) \langle \overline{E}_{pot}(r) \rangle \right], \tag{4}$$

and where  $\lambda$  is the ratio of particle density to fluid density,  $\sigma$  the ratio of speed of sound in the particle to that in the fluid and V the particle volume.

#### 2.3.3. Simulation results

Plotting the acoustic force potential,  $\langle \phi(r) \rangle$ , is a convenient way of visualising the acoustic forces. A particle will tend to move towards regions where  $\langle \phi(r) \rangle$  is low (indicated black in Fig. 6) with a force proportional to the spacings of plotted contour lines.

Fig. 6 shows the force potential in the fluid for three modes, where the left boundary represents the centre of the chamber and right boundary the gasket wall (fluid elements shown in Fig. 5). The frequencies at which these modes appear are listed in Table 2 where they are compared with the 1-D model and experimental results. Fig. 6a and b are both "to carrier" quarter-wave

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P. Glynne-Jones et al. / Ultrasonics xxx (2009) xxx-xxx



Fig. 6. Plots of force potential in fluid layer elements (see Fig. 4) where black indicates agglomeration region for (a) 1.55 MHz "to carrier" quarter-wave, (b) 1.61 MHz "to carrier" quarter-wave and (c) 1.72 MHz "to reflector" quarter-wave.

218 modes, and show strong variation across the width of the device. Fig. 6c shows a "to reflector" guarter-wave, and predicts that the 219 220 forces will be stronger nearer the centre of the chamber. This is ob-221 served in practice, although the pattern of force variation is more 222 complex than the model predicts. This is probably due to poorly 223 matched boundary conditions - both of the energy dissipation of 224 the gasket, and the complex nature of the clamping that is not reflected in the model. The main uses of the model are to provide 225 indications of the type of variation to be expected, and give in-226 227 sights into the causes of these variations. In particular we note that surface and plate-wave type mode shapes have a strong influence 228 on lateral behaviour. In the future we will use the finite element 229 models to develop designs that are less sensitive to geometric vari-230 ations and material parameters to produce manipulation devices 231 232 that produce more uniform action over a chamber area.

#### 3. Enhancement of microbead assay 233

This section describes how the multimodal operation can be 234 235 used to assist microbead based assays. In this example, a mixture 236 of 6 µm diameter streptavidin functionalised polystyrene beads 237 (Bangs labs, CP01N) and non-functionalised fluorescent control 238 beads (Invitrogen, P24671, 6 µm diameter, Cy5.5 labelled) are dri-239 ven against a glass reflector which has been functionalised with a PEG-biotin coating. The "to carrier" quarter-wave is then applied 240 to remove the unbound control beads. In a more realistic applica-241 tion, the beads could be replaced by bacteria, and an antibody 242 243 functionalised surface used. The finite element modelling predicts weakening axial forces at the chamber edges and the existence of 244 245 complex lateral variations, particularly for the "to carrier" mode. 246 These variations may also be aggravated by inlet channel geometry which results in asymmetric boundary conditions. However an 247 area of approximately 1 mm<sup>2</sup> was found near the centre of the 248 249 chamber where both the required acoustic modes were reasonably uniform. White light was coupled into the glass reflector through 250 its end face such that an evanescent field was established close 251 to the surface. This evanescent field illuminates any beads in direct 252 contact with the reflector. An epifluorescent microscope was used 253 254 to distinguish between the streptavidin beads and the fluorescent control beads. 255

256 The biotin surface functionalisation was performed as follows: initially the glass slide surface was cleaned by sequentially 257 258 immersing it with ultrasonic agitation in ethanol, isopropanol, then 259 de-ionized water, 10 min at each step, with further rinsing in deionized water. The surface was activated by immersing the slide 260 in a piranha etch for 15 min. Silanization was performed by 261 262 immersing the slide for 3 h in a 0.1% solution of 3-aminopropyl-tri-263 methoxysilane at room temperature. Finally, the slide was soaked 264 for 3 h in a 0.2% solution of biotin-PEG-NHS, Mr 3400 (Creative 265 PEGWorks, USA).

#### Table 2 Frequencies of operation (MHz).

Mode	1-D model (MATLAB)	FE model (ANSYS)	Measured
"To carrier" "To reflector"	1.572 1.700	1.55 and 1.61 1.72	1.508 1.712



Fig. 7. Microscope images of control and streptavidin coated beads located on reflector surface after (a) initial application of "to reflector" quarter-wave and (b) subsequent application of "to carrier" quarter-wave excitation.

Drive voltages were set to 19 Vpp. The strength of the radiation 266 forces were measured using the force balance method described by Martin et al. [13] whereby drive voltage is reduced until the radiation force is just balanced by the sedimentation force. At 19 Vpp, this predicts forces of approximately 4.1 and 10.1 pN for the to reflector and to carrier modes respectively. These values have an estimated error of 8%, associated with the difficulty in 272

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P. Glynne-Jones et al. / Ultrasonics xxx (2009) xxx-xxx

distinguishing between a particle sedimenting very slowly and onestill being held.

275 After applying the "to reflector" quarter-wave, all beads were 276 seen to reach the surface within 1 s of activation (Fig. 7a). After a 90 s delay to ensure bead capture, excitation was switched to the 277 "to carrier" mode (Fig. 7b). Based on the images collected over a 278 series of five repeated experiments, it was found that this removed 279 80% (±9%) of the control beads, while 90% (±6%) of the streptavidin 280 beads remained attached to the surface. A short 0.5 s burst of 281 2 mm s<sup>-1</sup> flow through the chamber was sufficient to dislodge fur-282 ther Cy5.5 labelled control beads, such that 92% of them in total 283 were removed. This compares to the case when no ultrasound 284 was used, where even after 270 s only a small proportion of the 285 beads were seen to be in contact with the waveguide surface, the 286 287 remainder possibly repelled by electrostatic forces. These results 288 show that this technique could provide a new and useful type of rapid assay. It should be noted that no efforts were made to opti-289 mise the surfaces and experimental conditions to reduce non-spe-290 cific binding to a level that would be necessary for practical assays 291 - rather these experiments seek to show proof of concept. 292

#### 293 4. Conclusion

In the device presented, both quarter-wavelength modes have been demonstrated and at frequencies which tally closely with modelled results. These modes have been shown to significantly enhance the immobilisation of functionalised beads, with all beads brought to the surface within 1 s.

A 3-D finite element model incorporating both the piezoelectric 299 and acoustic interactions has been verified against a layered de-300 301 vice. Applying this to an axisymmetric model of the manipulator 302 device we obtain useful insights into the action of the device. It 303 predicts the type of lateral force variations that are observed experimentally, but further work to improve the way boundary 304 conditions and damping are modelled is required before we can 305 306 be confident in the modelled results. The model is sufficiently 307 accurate to be useful in the future for developing designs that

are less sensitive to geometric variations and material parameters, and to produce manipulation devices that produce more uniform action over a chamber area.

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