

Volume 232

David M. Whitacre *Editor*

Reviews of Environmental Contamination and Toxicology

 Springer

Reviews of
Environmental Contamination
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VOLUME 232

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ISSN 0179-5953 ISSN 2197-6554 (electronic)
ISBN 978-3-319-06745-2 ISBN 978-3-319-06746-9 (eBook)
DOI 10.1007/978-3-319-06746-9
Springer Cham Heidelberg New York Dordrecht London

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Foreword

International concern in scientific, industrial, and governmental communities over traces of xenobiotics in foods and in both abiotic and biotic environments has justified the present triumvirate of specialized publications in this field: comprehensive reviews, rapidly published research papers and progress reports, and archival documentations. These three international publications are integrated and scheduled to provide the coherency essential for nonduplicative and current progress in a field as dynamic and complex as environmental contamination and toxicology. This series is reserved exclusively for the diversified literature on “toxic” chemicals in our food, our feeds, our homes, recreational and working surroundings, our domestic animals, our wildlife, and ourselves. Tremendous efforts worldwide have been mobilized to evaluate the nature, presence, magnitude, fate, and toxicology of the chemicals loosed upon the Earth. Among the sequelae of this broad new emphasis is an undeniable need for an articulated set of authoritative publications, where one can find the latest important world literature produced by these emerging areas of science together with documentation of pertinent ancillary legislation.

Research directors and legislative or administrative advisers do not have the time to scan the escalating number of technical publications that may contain articles important to current responsibility. Rather, these individuals need the background provided by detailed reviews and the assurance that the latest information is made available to them, all with minimal literature searching. Similarly, the scientist assigned or attracted to a new problem is required to glean all literature pertinent to the task, to publish new developments or important new experimental details quickly, to inform others of findings that might alter their own efforts, and eventually to publish all his/her supporting data and conclusions for archival purposes.

In the fields of environmental contamination and toxicology, the sum of these concerns and responsibilities is decisively addressed by the uniform, encompassing, and timely publication format of the Springer triumvirate:

Reviews of Environmental Contamination and Toxicology [Vol. 1 through 97 (1962–1986) as Residue Reviews] for detailed review articles concerned with any

aspects of chemical contaminants, including pesticides, in the total environment with toxicological considerations and consequences.

Bulletin of Environmental Contamination and Toxicology (Vol. 1 in 1966) for rapid publication of short reports of significant advances and discoveries in the fields of air, soil, water, and food contamination and pollution as well as methodology and other disciplines concerned with the introduction, presence, and effects of toxicants in the total environment.

Archives of Environmental Contamination and Toxicology (Vol. 1 in 1973) for important complete articles emphasizing and describing original experimental or theoretical research work pertaining to the scientific aspects of chemical contaminants in the environment.

Manuscripts for Reviews and the Archives are in identical formats and are peer reviewed by scientists in the field for adequacy and value; manuscripts for the Bulletin are also reviewed, but are published by photo-offset from camera-ready copy to provide the latest results with minimum delay. The individual editors of these three publications comprise the joint Coordinating Board of Editors with referral within the board of manuscripts submitted to one publication but deemed by major emphasis or length more suitable for one of the others.

Coordinating Board of Editors

Preface

The role of *Reviews* is to publish detailed scientific review articles on all aspects of environmental contamination and associated toxicological consequences. Such articles facilitate the often complex task of accessing and interpreting cogent scientific data within the confines of one or more closely related research fields.

In the nearly 50 years since *Reviews of Environmental Contamination and Toxicology* (formerly *Residue Reviews*) was first published, the number, scope, and complexity of environmental pollution incidents have grown unabated. During this entire period, the emphasis has been on publishing articles that address the presence and toxicity of environmental contaminants. New research is published each year on a myriad of environmental pollution issues facing people worldwide. This fact, and the routine discovery and reporting of new environmental contamination cases, creates an increasingly important function for *Reviews*.

The staggering volume of scientific literature demands remedy by which data can be synthesized and made available to readers in an abridged form. *Reviews* addresses this need and provides detailed reviews worldwide to key scientists and science or policy administrators, whether employed by government, universities, or the private sector.

There is a panoply of environmental issues and concerns on which many scientists have focused their research in past years. The scope of this list is quite broad, encompassing environmental events globally that affect marine and terrestrial ecosystems; biotic and abiotic environments; impacts on plants, humans, and wildlife; and pollutants, both chemical and radioactive; as well as the ravages of environmental disease in virtually all environmental media (soil, water, air). New or enhanced safety and environmental concerns have emerged in the last decade to be added to incidents covered by the media, studied by scientists, and addressed by governmental and private institutions. Among these are events so striking that they are creating a paradigm shift. Two in particular are at the center of everincreasing media as well as scientific attention: bioterrorism and global warming. Unfortunately, these very worrisome issues are now superimposed on the already extensive list of ongoing environmental challenges.

The ultimate role of publishing scientific research is to enhance understanding of the environment in ways that allow the public to be better informed. The term “informed public” as used by Thomas Jefferson in the age of enlightenment conveyed the thought of soundness and good judgment. In the modern sense, being “well informed” has the narrower meaning of having access to sufficient information. Because the public still gets most of its information on science and technology from TV news and reports, the role for scientists as interpreters and brokers of scientific information to the public will grow rather than diminish. Environmentalism is the newest global political force, resulting in the emergence of multinational consortia to control pollution and the evolution of the environmental ethic. Will the new politics of the twenty-first century involve a consortium of technologists and environmentalists, or a progressive confrontation? These matters are of genuine concern to governmental agencies and legislative bodies around the world.

For those who make the decisions about how our planet is managed, there is an ongoing need for continual surveillance and intelligent controls to avoid endangering the environment, public health, and wildlife. Ensuring safety-in-use of the many chemicals involved in our highly industrialized culture is a dynamic challenge, for the old, established materials are continually being displaced by newly developed molecules more acceptable to federal and state regulatory agencies, public health officials, and environmentalists.

Reviews publishes synoptic articles designed to treat the presence, fate, and, if possible, the safety of xenobiotics in any segment of the environment. These reviews can be either general or specific, but properly lie in the domains of analytical chemistry and its methodology, biochemistry, human and animal medicine, legislation, pharmacology, physiology, toxicology, and regulation. Certain affairs in food technology concerned specifically with pesticide and other food-additive problems may also be appropriate.

Because manuscripts are published in the order in which they are received in final form, it may seem that some important aspects have been neglected at times. However, these apparent omissions are recognized, and pertinent manuscripts are likely in preparation or planned. The field is so very large and the interests in it are so varied that the editor and the editorial board earnestly solicit authors and suggestions of underrepresented topics to make this international book series yet more useful and worthwhile.

Justification for the preparation of any review for this book series is that it deals with some aspect of the many real problems arising from the presence of foreign chemicals in our surroundings. Thus, manuscripts may encompass case studies from any country. Food additives, including pesticides, or their metabolites that may persist into human food and animal feeds are within this scope. Additionally, chemical contamination in any manner of air, water, soil, or plant or animal life is within these objectives and their purview.

Manuscripts are often contributed by invitation. However, nominations for new topics or topics in areas that are rapidly advancing are welcome. Preliminary communication with the editor is recommended before volunteered review manuscripts are submitted.

Summerfield, NC, USA

David M. Whitacre

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Heavy-Metal-Induced Reactive Oxygen Species: Phytotoxicity and Physicochemical Changes in Plants

Muhammad Shahid, Bertrand Pourrut, Camille Dumat,
Muhammad Nadeem, Muhammad Aslam, and Eric Pinelli

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D.M. Whitacre (ed.), *Reviews of Environmental Contamination and Toxicology*

Volume 232, Reviews of Environmental Contamination and Toxicology 232,

DOI 10.1007/978-3-319-06746-9_1, © Springer International Publishing Switzerland 2014

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1 Introduction

Environmental contamination by hazardous environmental pollutants is a widespread and increasingly serious problem confronting society, scientists, and regulators worldwide (Debenest et al. 2010; Hajeb et al. 2011; Nanthi and Bolan 2012; Shahid et al. 2013a). Among these pollutants, the heavy metals, are a loosely-defined group of elements that are similar in that they all exhibit metallic properties, and have atomic masses >20 (excluding the alkali metals) and specific gravities >5 (Rascio and Navari-Izzo 2011). This group mainly includes transition metals, some metalloids, and the lanthanides and actinides. Heavy metals can be toxic to plants, animals and humans, even at very low concentrations. Heavy metals are natural components of the earth's crust and are present in different concentrations at different sites (Shahid et al. 2012a).

Heavy metal environmental pollution has occurred since ancient times, although their impact became worse during the industrial revolution from increased metal production and from development of new technologies that utilized these metals (Arshad et al. 2008; Nasim and Dhir 2010; Uzu et al. 2010; Vuai and Tokuyama 2011; Pourrut et al. 2011a, 2013; Bai et al. 2011; Tak et al. 2013; Shahid et al. 2013b) (Fig. 1). Unlike organic chemicals, the majority of heavy metals cannot be easily metabolized into less toxic compounds. These metals have long residence times in soils (Radwan et al. 2010; Ahmad and Ashraf 2011; Shahid et al. 2012b), and may continue to exert harmful effects on the environment over long periods

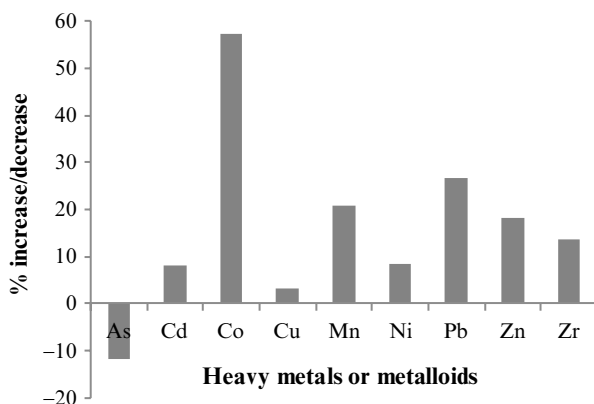


Fig. 1 Percent increase or decrease in annual production of heavy metals and metalloids during the period 2007–2011 [obtained from USGS (2012)]

(Giaccio et al. 2012), thereby representing a potential continuing threat to humans (Kerin and Lin 2010; Uzu et al. 2011a, b; Luo et al. 2012; Zhao et al. 2012; Foucault et al. 2013) and the environment (Schreck et al. 2011; Hunt et al. 2012).

The chemical, biological and physiological effects of heavy metal exposure to plants are of growing concern, because of their potential to accumulate therein and ultimately enter the food chain (Whiteside et al. 2010; Sarma et al. 2011; An et al. 2012; Schreck et al. 2012). The toxic impact of heavy metals on plants have been widely studied (Krzyszowska et al. 2010; Martínez-Fernández et al. 2011; Ahmad et al. 2011a; Evangelou et al. 2012; Hu et al. 2012; Shahid et al. 2013c), and different aspects thereon have been addressed in literature reviews (Pourrut et al. 2011b; Anjum et al. 2012).

Results of previous studies have shown that excessive accumulation of heavy metals in plant tissue can decrease root length, plant biomass, seed germination and chlorophyll biosynthesis (Singh et al. 2010). Inside the cell, heavy metals affect photosynthesis, respiration, mineral nutrition, enzymatic reactions and many other physiological factors (Pourrut et al. 2011b). A rather frequent and common effect of heavy metal toxicity in plants is increased production of reactive oxygen species (ROS). The production of ROS results from the interaction of heavy metals with electron transport activities, particularly in the chloroplast and mitochondrial membranes. The increased production of ROS can disrupt the redox status of cells, resulting in oxidative stress to exposed cells, leading to membrane dismantling, biological macromolecule deterioration, ion leakage, lipid peroxidation and DNA-strand cleavage (He et al. 2011; Carrasco-Gil et al. 2012; Chen et al. 2012). However, the toxic effects of heavy-metal-induced ROS on plant macromolecules vary and depend on the duration of exposure, stage of plant development, concentration of heavy metals tested, intensity of plant stress and the particular organs studied.

To prevent heavy-metal-induced ROS injuries, plants have developed various defense mechanisms by which they can transform ROS into less-toxic products (Tang et al. 2010; Álvarez et al. 2012). These mechanisms include: prohibiting metal entrance into plants, increased root excretion of metals, limiting toxic metal accumulation in sensitive tissue, chelation by organic molecules, metal binding to the cell wall and sequestration in vacuoles. These mechanisms help plants to sustain their cellular redox state and mitigate the damage caused by oxidative stress (Tang et al. 2010). The majority of these defense mechanisms depend on metabolic mediation of natural compounds such as phytochelatins (PCs), reduced glutathione (GSH), carotenoids and tocopherols, and enzymatic antioxidant systems including catalase (CAT and EC 1.11.1.6), superoxide dismutases (SOD and EC 1.15.1.1), ascorbate peroxidase (APX, EC 1.11.1.11), peroxidase (POD, EC 1.11.1.7), guaiacol peroxidase (GPX, EC 1.11.1.7), glutathione reductase (GR, EC 1.6.4.2), monodehydroascorbate reductase (MDHAR, EC 1.6.5.4) and dehydroascorbate reductase (DHAR, EC 1.8.5.1). The increased levels of these metabolic intermediary compounds and of antioxidant enzymes lead to increased stress tolerance against heavy-metal-induced ROS (He et al. 2011).

Considerable progress has been made in recent years in understanding how different plants respond physiologically to heavy-metal- and metalloid-induced stress. Despite this progress, information is limited on how these plant traits are regulated or are induced. How plants respond physiologically to heavy-metal-induced stress

varies with plant species, metal type and species, and exposure conditions. Additionally, the mechanisms by which heavy metals induce oxidative stress and the different ways in which plants may respond to ROS are not completely elucidated. Therefore, predicting when, or how much heavy-metal-induced ROS production will occur, and how plants will detoxify these ROS are very important steps for improving our ability to assess risks or improve phytoremediation performance. With this in mind, it is our objective in this literature review to summarize key aspects of how plants are affected by heavy-metal-induced ROS production. In particular, we address (1) how plant exposure to heavy metals generates ROS, (2) what the toxic effects of ROS are to plant macromolecules such as DNA, proteins, carbohydrates and lipids, and (3) how plants defend themselves against, and eliminate ROS by enzymatic and non-enzymatic mechanisms.

2 What Are ROS?

“Reactive oxygen species” are generally regarded to exist when the following are present: (1) oxygen-derived free radicals such as hydroxyl (HO^\bullet), superoxide anion ($\text{O}_2^{\bullet-}$), peroxy (RO_2^\bullet), and alkoxy (RO^\bullet) radicals, or (2) oxygen-derived nonradical species such as hydrogen peroxide (H_2O_2), organic hydroperoxide (ROOH) and singlet oxygen ($^1\text{O}_2$) (Corpas et al. 2011; Circu and Aw 2010). Although all of these oxygen-based toxic species are ROS, all ROS are not oxygen radicals. ROS are basically short lived, unstable and chemically very reactive molecules, possessing unpaired valence shell electrons (Wang et al. 2010).

3 ROS Production in Plant Metabolism

3.1 *Natural Production of ROS in Plants*

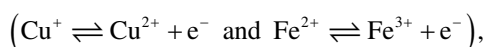
Under aerobic conditions, the generation of ROS is an inevitable aspect of life (Jaspers and Kangasjärvi 2010; Kovacic and Somanathan 2010; Swanson and Gilroy 2010; Wei et al. 2011; Foyer and Noctor 2012). Plant organelles such as mitochondria, chloroplasts and peroxisomes are considered to be major sources of ROS production in plant cells (Karuppanapandian et al. 2011a; del Río 2011; Borisova et al. 2012; Minibayeva et al. 2012; Pucciariello et al. 2012). In sun- or artificial-lighting conditions, peroxisomes and chloroplasts are the main sources of ROS (Foyer and Noctor 2003). However, in darkness, plant mitochondria are considered to be the main site of ROS production (Foyer and Noctor 2003). The main sites of ROS production are the complex I and the complex III of the mitochondrial electron transport chain (Barranco-Medina et al. 2007). It is believed that almost 2% of the O_2 consumed by mitochondria is used to generate H_2O_2 (Becana et al. 2000). In the apoplast, ROS are produced as a consequence of NADPH oxidase activity (Achard et al. 2008; Weyemi and Dupuy 2012; Potocký et al. 2012).

During non-stressed cellular metabolism, O_2 is reduced to H_2O . During this process, ROS such as $O_2^{\cdot-}$, H_2O_2 and OH^{\cdot} are produced as by-products, either by electron transfer or energy transfer reactions (Pucciariello et al. 2012; Borisova et al. 2012). The single electron reduction of O_2 generates the anion superoxide ($O_2^{\cdot-}$). Superoxide is believed to be the precursor of most ROS and acts as a mediator in oxidative chain reactions. This anion is short-lived, which is easily dismutated to H_2O_2 . In contrast to $O_2^{\cdot-}$, H_2O_2 is highly stable and diffusible and is capable of inactivating cell molecules, even at a very low concentration. The main threat imposed by $O_2^{\cdot-}$ and H_2O_2 lies in their ability to generate highly reactive OH^{\cdot} radicals (Møller et al. 2007; Bhatt and Tripathi 2011). In the presence of Fe, H_2O_2 and $O_2^{\cdot-}$ interact in a Haber–Weiss reaction, which produces OH^{\cdot} (Minibayeva et al. 2012). The OH^{\cdot} is considered to be the most reactive ROS, owing to its ability to start radical chain reactions, which are considered to be responsible for producing toxic effects in plants (Mittler et al. 2004; Jones et al. 2011). Under normal conditions, an optimal ROS level is maintained by antioxidant enzymes.

3.2 Heavy-Metal-Induced Production of ROS in Plants

When exposed to heavy metals, plants are known to produce increased quantities of ROS (Table 1). This phenomenon is regarded to be among the earliest of biochemical changes, when plants are subjected to heavy metals stress (Jasinski et al. 2008; Yadav 2010; Grover et al. 2010; Lushchak 2011; Opdenakker et al. 2012). A serious imbalance occurs from the production and elimination of ROS, and this imbalance leads to dramatic physiological challenges to the plant that we call “oxidative stress” (Morina et al. 2010; Kováčik et al. 2010). Metals, such as Cu, Fe, Pb, Cd, Cr, As, Hg, Cr and Zn, all have the ability to induce the formation of ROS (Duquesnoy et al. 2010; Vanhoudt et al. 2010a, b; Márquez-García et al. 2011; Körpe and Aras 2011).

However, the phenomenon of ROS production is different for redox-active and redox-inactive metals (Pourrut et al. 2008; Opdenakker et al. 2012). Redox-active metals such as Fe and Cu catalyze Haber–Weiss/Fenton reactions:



in which H_2O_2 is broken down into OH^{\cdot} at neutral pH (Valko et al. 2006; Sahi and Sharma 2005) (Fig. 2). In contrast, redox-inactive metals, such as Pb, Cd, As, Hg, Ni and Zn inhibit enzymatic activities as a result of their affinity for $-SH$ groups on the enzyme (Mishra et al. 2006; Cuypers et al. 2011; Pourrut et al. 2011b). Redox-inactive metals form covalent bonds with protein sulfhydryl groups because of their electron-sharing affinities. Inactivation of enzymes results from the interaction of heavy metals with proteins, either at the catalytic site or elsewhere. Heavy metals, especially Pb, can also inactivate enzymes by binding to functional groups ($COOH$) present in proteins (Gupta et al. 2009, 2010). Moreover, displacement of essential cations by heavy metals from specific enzyme binding sites disrupts the ROS balance in cells, and results in ROS overproduction. For example, Zn, which acts as co-factor for many enzymes, can be replaced by heavy metals, causing enzyme

Table 1 Heavy-metal-induced reactive oxygen species (ROS) production in different plant species

Heavy metals	ROS	Plant species	References
Al	OH [•] , H ₂ O ₂ , O ₂ ⁻ NO [•]	<i>Hordeum vulgare</i>	Achary et al. (2012)
		<i>Secale cereale</i>	He et al. (2012)
		<i>Triticum aestivum</i>	
As	NOO [•] , H ₂ O ₂ , O ₂ ⁻	<i>Oryza sativa</i>	Singh et al. (2009)
Cd	H ₂ O ₂ H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ H ₂ O ₂ H ₂ O ₂ OH [•] , H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ NO [•] , H ₂ O ₂ , O ₂ ⁻ OH [•] , H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻ NO [•] H ₂ O ₂ O ₂ ⁻ H ₂ O ₂ O ₂ ⁻ H ₂ O ₂ O ₂ ⁻ H ₂ O ₂ O ₂ ⁻	<i>Arabidopsis thaliana</i>	Martínez-Peñalver et al. (2012)
		<i>Chlorella vulgaris</i>	Piotrowska-Niczyporuk et al. (2012)
		<i>Gracilaria dura</i>	Kumar et al. (2012)
		<i>Brassica juncea</i>	Ahmad et al. (2011b)
		<i>Medicago sativa</i>	Antolín et al. (2010)
		<i>Ipomoea batatas</i>	Kim et al. (2010)
		<i>Alocasia macrorrhiza</i>	Liu et al. (2010a)
		<i>Solanum nigrum</i>	Deng et al. (2010)
		<i>Brassica juncea</i>	Guan et al. (2009)
		<i>Pisum sativum</i>	Rodríguez-Serrano et al. (2009)
		<i>Ceratophyllum demersum</i>	Aravind et al. (2009)
		<i>Triticum aestivum</i>	Singh et al. (2008)
		<i>Arabis paniculata</i>	Qiu et al. (2008)
		<i>Triticum aestivum</i>	Groppa et al. (2008)
		<i>Vicia faba</i>	Lin et al. (2007)
		<i>Mytilus galloprovincialis</i>	Koutsogiannaki et al. (2006)
		<i>Nicotiana tabacum</i>	Olmos et al. (2003)
		<i>Lupinus luteus</i>	Kopyra and Gwózdź (2003)
		<i>Pisum sativum</i>	Romero-Puertas et al. (2002)
		<i>Oryza sativa</i>	Shah et al. (2001)
Cu	H ₂ O ₂ H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ H ₂ O ₂ NO [•] , H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻	<i>Pisum sativum</i>	Turchi et al. (2012)
		<i>Arabidopsis thaliana</i>	Martínez-Peñalver et al. (2012)
		<i>Matricaria chamomilla</i>	Kováčik et al. (2010)
		<i>Ipomoea batatas</i>	Kim et al. (2010)
		<i>Medicago sativa</i>	Antolín et al. (2010)
		<i>Lycopersicon lycopersicum</i>	Wang et al. (2010)
		<i>Withania somnifera</i>	Khatun et al. (2008)
		<i>Cucumis sativus</i>	Shi and Zhu (2008)
Mn	H ₂ O ₂ , O ₂ ⁻	<i>Hypnum plumaeforme</i>	Sun et al. (2010)
		<i>Thuidium cymbifolium</i>	
Ni	H ₂ O ₂ , O ₂ ⁻	<i>Brachythecium piligerum</i>	
		<i>Vicia faba</i>	Shahid et al. (2012a, b, c, d)
Pb	H ₂ O ₂ H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻ O ₂ ⁻ H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ OH [•] , H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ H ₂ O ₂ O ₂ ⁻ H ₂ O ₂ H ₂ O ₂ , O ₂ ⁻ H ₂ O ₂ O ₂ ⁻	<i>Chlorella vulgaris</i>	Piotrowska-Niczyporuk et al. (2012)
		<i>Vallisneria spiralis</i>	Wang et al. (2010)
		<i>Spinacia oleracea</i>	Wang et al. (2010)
		<i>Triticum aestivum</i>	Yang et al. (2010)
		<i>Hypnum plumaeforme</i>	Sun et al. (2010)
		<i>Thuidium cymbifolium</i>	
		<i>Brachythecium piligerum</i>	
		<i>Alocasia macrorrhiza</i>	Liu et al. (2010a)
		<i>Medicago sativa</i>	Antolín et al. (2010)
		<i>Sedum alfredii</i>	Liu et al. (2008)
		<i>Vicia faba</i>	Pourrut et al. (2008)
		<i>Elsholtzia argyi</i>	Islam et al. (2008)
		<i>Sedum alfredii</i>	Huang et al. (2008)
		<i>Oryza sativa</i>	Chen et al. (2007)
		<i>Lupinus luteus</i>	Kopyra and Gwózdź (2003)
Zn	H ₂ O ₂ H ₂ O ₂ O ₂ ⁻	<i>Pisum sativum</i>	Turchi et al. (2012)
		<i>Ipomoea batatas</i>	Kim et al. (2010)
		<i>Mytilus galloprovincialis</i>	Koutsogiannaki et al. (2006)

O₂⁻, superoxide anion; HO[•], hydroxyl; H₂O₂, hydrogen peroxide; NO[•], nitric oxide; NOO[•], nitrogen dioxide

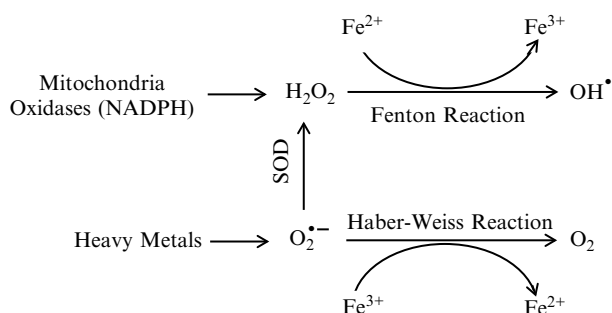


Fig. 2 The Haber–Weiss and Fenton reaction pathways; SOD= Superoxide Dismutase [modified from Kehrer (2000)]

inhibition and oxidative stress. Heavy metals are also capable of depleting GSH inside plant cells (Pourrut et al. 2011b, 2013; Lee et al. 2012). When this happens, heavy metals deplete the major antioxidants that exist within cells, which disrupts the ROS balance. Heavy metals also enhance ROS production via binding and consuming GSH and its derivatives directly, which are required to scavenge any ROS generated (Lee et al. 2003). In addition, plasma-membrane-bound NADPH oxidase is involved in heavy-metal-induced oxidative stress (Sagi and Fluhr 2006; Pourrut et al. 2008, 2013; Weyemi and Dupuy 2012; Potocký et al. 2012). Plasma membrane-bound NADPH oxidases can utilize cytosolic NADPH to generate $O_2^{\bullet-}$, which is quickly dismutated to H_2O_2 by SOD (Pourrut et al. 2008). The ROS formed by the NADPH oxidase exists outside the plasma membrane, where the pH is normally lower than that inside the cell (Sagi and Fluhr 2006). Heavy-metal-induced ROS generation via NADPH oxidase was reported in Cd-treated *Pisum sativum* (Rodríguez-Serrano et al. 2006), Ni-treated *Triticum durum* (Hao et al. 2006) and Pb-treated *Vicia faba* (Pourrut et al. 2008). Moreover, Ca^{2+} and protein kinases have also been reported to have a role in heavy-metal-induced ROS production by activating NADPH oxidase (Yeh et al. 2007; Sahi and Sharma 2005; Pourrut et al. 2013).

4 Roles of ROS in Plant Metabolism

Traditionally ROS were considered to be toxic by-products of aerobic metabolism, but several recent reports clarified the essential roles of ROS in living organisms (Bailly et al. 2008; Rai et al. 2011; Bartoli et al. 2012; Swanson et al. 2011). These essential roles include:

- Plant metabolic defense under stress (Juan et al. 2010; Shin et al. 2011; Rai et al. 2011; Gémes et al. 2011),
- Plant disease resistance (i.e., bacterial and viral) (Jaspers and Kangasjärvi 2010; Shin et al. 2011; Kranner et al. 2010; Rai et al. 2011),

- Plant signal transduction that controls programmed cell death (Pitzschke and Hirt 2006; Blokhina and Fagerstedt 2010; Gill and Tuteja 2010; Rai et al. 2011; Corpas et al. 2011),
- Plant growth regulation (e.g., cell wall loosening) (Kranter et al. 2010; Šírová et al. 2011; Arasimowicz-Jelonek et al. 2011),
- Regulation of photorespiration and photosynthesis (Edreva 2005; Gill and Tuteja 2010),
- Initiating mitogen-activated protein kinase cascades (Jaspers and Kangasjärvi 2010),
- Regulation of root physiology (root hair development, root cell wall loosening and stiffening) (Foreman et al. 2003),
- Regulation of stomatal movement (Yu et al. 2009; Gill and Tuteja 2010),
- Regulation of the cell cycle (Mittler et al. 2004; Gadjev et al. 2008; Gill and Tuteja 2010),
- Fruit ripening and senescence (Karuppanapandian et al. 2011a, b), and
- Alleviation of seed dormancy (Oracz et al. 2009; Kranter et al. 2010; Whitaker et al. 2010; Roach et al. 2010).

The role of H₂O₂ as a signaling molecule, when it intervenes to defend against heavy metal stress has gained considerable attention in recent years. H₂O₂ can mediate the activities of protein kinases, protein phosphatases and transcription factors (Opdenakker et al. 2012). Protein kinases can regulate gene transcription by repressing or activating transcription factors (Pandey and Somssich 2009). Several authors have reported that ROS and protein kinases are activated, in response to heavy metal exposure. Yeh et al. (2007) reported the induction of kinases via ROS production from Cu²⁺ and Cd²⁺ stress. Moreover, cadmium exposure is reported to have induced protein kinase transcripts via the accumulation of ROS in *Zea mays* (Wang et al. 2010) and *Arabidopsis thaliana* (Liu et al. 2010). However, very little is known about the mechanisms and the exact signaling pathways that operate behind these processes in plants that are under heavy metal stress.

5 Toxic Effects of Heavy-Metal-Induced ROS on Macromolecules in Plants

Heavy-metal-induced ROS can elicit widespread damage to plants, examples of which are enzyme inhibition, protein oxidation, lipid peroxidation and DNA and RNA damage (Martínez Domínguez et al. 2009; Cuyper et al. 2011). It has been reported that the indirect effect of heavy metals on plants macromolecules via ROS production is more toxic and rapid than the direct effect (Pourrut et al. 2011b). Reactive oxygen species are involved in the early steps of heavy-metal-induced toxicity to plants, and hence act as initiators of heavy metal toxicity (Shahid et al. 2012c; Martínez-Peñalver et al. 2012).

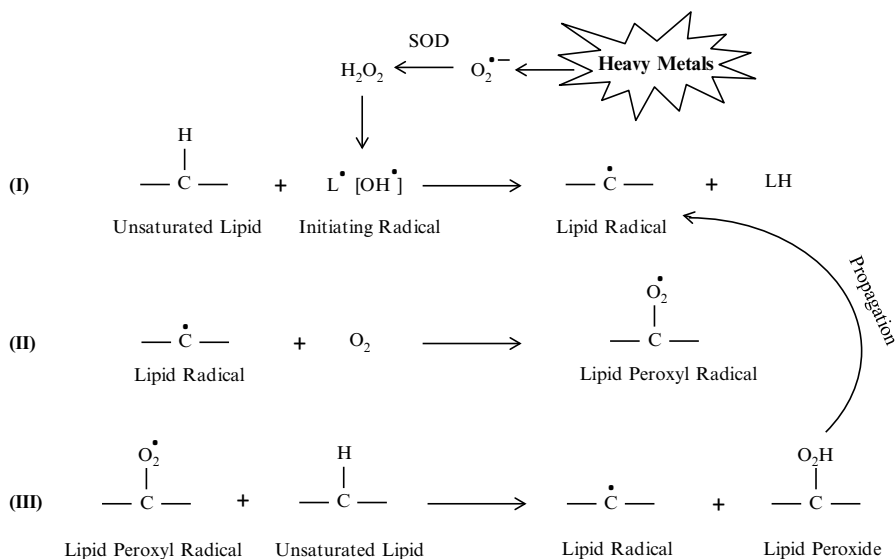


Fig. 3 Depictions of the possible mechanisms by which metals induce lipid peroxidation. The mechanism of heavy-metal-induced lipid peroxidation is initiated most likely via OH^\bullet . The process involves three distinct stages: initiation, progression and termination [modified from Bhattacharjee (2005)]

5.1 Lipid Peroxidation

Lipids are very important cellular components that play vital roles in various biological processes, such as providing energy for cellular metabolism, building cell membranes, and maintaining organelle and cell integrity and composition (Wallis and Browse 2002; Xiao and Chye 2011). Inside the plant, plasma cell membranes are the primary target of heavy metal action (Cuypers et al. 2011). Heavy metals are known to cause lipid peroxidation via ROS production (Fig. 3) (Cuypers et al. 2011; Wahsha et al. 2012; Márquez-García et al. 2012; Chen et al. 2012). Lipid peroxidation causes deterioration of cell membranes, and is one of the most harmful effects induced in plants by heavy-metal exposure (Pourrut et al. 2013). Lipid peroxidation may result from increased lipoxygenase activity, which initiates the formation of oxylipins (Porta and Rocha-Sosa 2002). Lipoxygenase has been reported to play an important role in heavy-metal-induced oxidative stress in *Gracilaria dura*, *Lessonia nigrescens* and *Arabidopsis thaliana* (Smeets et al. 2008; Kumar et al. 2012; Vanhoudt et al. 2011).

The phenomenon of lipid peroxidation is most common in polyunsaturated fatty acids and involves three distinct stages: initiation, progression and termination (Pourrut et al. 2011b; Bhattacharjee 2012). Reactive oxygen species are the most common initiators of lipid peroxidation in living cells. These ROS remove

the hydrogen atom from a methylene group ($-\text{CH}_2-$), thus, giving rise to peroxy radicals (Grover et al. 2010; Singh et al. 2010). The ROS-induced initiation of lipid peroxidation varies with stress condition and cell type. Under normal conditions, lipid peroxidation in green plant tissues is generally initiated by $\text{O}_2^{\cdot-}$, a non-radical electrophilic by-product of light capture in photosystem II (PSII) (Triantaphylidès and Havaux 2009). Heavy metals are known to inhibit PSII, and thus increase $\text{O}_2^{\cdot-}$ production in leaves, which leads to increased lipid peroxidation (Triantaphylidès et al. 2008; Triantaphylidès and Havaux 2009; Farmer and Mueller 2013). In chlorophyll-lacking tissues, lipid peroxidation is started by OH^{\cdot} , a radical produced by Fe- or Cu-catalysed degradation of H_2O_2 (Farmer and Mueller 2013). Although $\text{O}_2^{\cdot-}$ and H_2O_2 are capable of initiating the reactions that are responsible for lipid peroxidation, only OH^{\cdot} is sufficiently reactive, especially in the presence of transition metals such as Cu or Fe (Bhattacharjee 2005; Pourrut et al. 2013). One electron redox cycle results in the formation of peroxy and alkoxy radicals (Karuppanapandian et al. 2011a). The fatty acid radical formed is not very stable. In an aerobic environment, oxygen reacts with the fatty acid, thereby creating another unstable peroxy-fatty acid radical. Once initiated, ROO^{\cdot} groups are capable to continue the peroxidation chain reaction by receiving a hydrogen atom from neighbouring polyunsaturated fatty acids (Bhattacharjee 2005; Karuppanapandian et al. 2011a). The resulting lipid hydroperoxide is a highly unstable molecule and decays into several reactive species such as lipid epoxides, aldehydes (malonyldialdehyde), lipid alkoxy radicals, alkanes and alcohols (Bhattacharjee 2005). The cycle continues from the presence of fatty acid side chains that are in close proximity to plant membranes, which facilitates autocatalytic propagation of lipid peroxidation.

Generally lipid peroxidation causes: (1) increased membrane leakiness to substances that do not normally cross membranes, other than via specific channels, (2) decreased membrane fluidity, which makes it easier for phospholipids to be exchanged between the two halves of the bilayer, and (3) damage to membrane proteins that inactivate receptors, enzymes, and ion channels. Several studies revealed toxic effects from lipid peroxidation in plants (Yamauchi and Sugimoto 2010; Farmer and Mueller 2013). Some recent studies reported that heavy metal toxicity to different physiological processes occurs via ROS-induced lipid peroxidation (Shahid et al. 2013d). The by-products of lipid peroxidation also strongly affect photosynthetic reactions. For example, acrolein, linolenic acid-13-ketotriene and 12-oxo-phytodienoic acid are well known to induce toxic effects on PSII (Alméras et al. 2003). Exogenous acrolein is reported to deplete chloroplast glutathione pools (Mano 2012). Lipid peroxidation also causes covalent modification of plant proteins due to the binding of electrophilic lipid fragments with proteins (Farmer and Mueller 2013). This covalent binding occurs when nucleophilic atoms (e.g., S or N) bind to the β -carbon of α,β -unsaturated carbonyl groups. Nowadays, increased attention is being given to the damaging effects of lipid peroxidation products, which can be monitored by using of transgenic approaches (Mano 2012).

5.2 DNA Damage

Heavy-metal-induced genotoxicity in plant cells is a complex phenomenon, and the mechanisms behind this process are not yet well understood (Aina et al. 2004; Tuteja et al. 2009; Cuyper et al. 2011; Zhu et al. 2011; Shen et al. 2012). According to some authors, heavy-metal-induced DNA damage is not direct but occurs indirectly through ROS production (Gichner et al. 2006; Gupta and Sarin 2009; Barbosa et al. 2010; Hirata et al. 2010, 2011). Heavy-metal-induced DNA damage has been reported in several plants, examples of which are, *Trifolium repens* (Aina et al. 2004), *Cannabis sativa* (Aina et al. 2004), *Allium cepa* (Barbosa et al. 2010), *Vicia faba* (Marcato-Romain et al. 2009a; Pourrut et al. 2011c), *Boletus edulis* (Collin-Hansen et al. 2005), and *Nicotiana tabacum* and *Solanum tuberosum* (Gichner et al. 2006).

Among ROS, OH[•] is the most reactive entity in damaging all components of the DNA molecule (Jones et al. 2011). Reactive oxygen species interactions with DNA results in: damage to cross-links, base deletions, base modifications, strand breaks and damage to pyrimidine dimers (Tuteja et al. 2001; Gastaldo et al. 2008). Among these affected DNA sites, base deletion is the most frequent DNA damage induced by either heavy metals, ionizing radiation or ultra violet radiation (Gastaldo et al. 2008). DNA has four different potential sites to which metals may bind, i.e., the ribose hydroxyls, the negatively charged phosphate oxygen atoms, the exocyclic base keto groups and the base ring nitrogens (Oliveira et al. 2008). Most transition metal ions interact in a complex way with DNA: more than two different sites are generally involved. Heavy metals generally bind directly to the bases, with the N7 atom of purines or N3 of pyrimidines and indirectly to the phosphate groups (Anastassopoulou 2003). In vitro studies indicated that heavy metals like Cd, Cr, Cu, Hg, Pb and Zn interact with DNA, particularly at sulfhydryl groups and the phosphate backbone (Sheng et al. 2008). Moreover, heavy metals may alter gene expression (Rossman 2000) and they appear to interact with Zn-fingers, which bind tetrahedrally to cysteine (Cys) thiolates and/or histidine imidazole groups to maintain the DNA three-dimensional structure (Witkiewicz-Kucharczyk and Bal 2006). DNA damage can occur either from replication errors, induction of signal transduction pathways, induction of transcription, cell membrane destruction and/or genomic instability (Cooke et al. 2003). In plants and other living organisms, damage inflicted on DNA and repair mechanisms generally occur concomitantly, making these processes both complex and difficult to independently assess (Gastaldo et al. 2008).

When ROS interact with DNA, oxidized bases are frequently generated (Hirano and Tamae 2010). Among the different forms of oxidative DNA damage, effects with 8-oxoguanine has been most extensively investigated (Hirano and Tamae 2010), and is also an event that may lead to neoplastic transformation (Bal and Kasprzak 2002). Using a plasmid-relaxation assay, Yang et al. (1999) demonstrated that Pb and Cd promoted DNA strand-breakage and formed 8-hydroxydeoxyguanosine (8-OHdG) adducts in DNA. Recently, Hirata et al. (2011) showed As- and Cr-induced translesion DNA synthesis due to their increased affinity for DNA containing 8-OHdG.

Heavy-metal-induced damage to DNA may also result in the production of micronuclei, which produce chromosome breaks or mitotic anomalies that require passage through mitosis to be recognisable (Marcato-Romain et al. 2009b). According to Johnson (1998), heavy metals are capable of interfering with the spindle apparatus of dividing cells to produce DNA damage. Cenkci et al. (2009) described Pb-induced genotoxicity, using a random amplified polymorphic DNA (RAPD) profile, in *Brassica rapa* exposed to 0.5 to 5 mM concentrations of lead nitrate. Radić et al. (2011) demonstrated damage to DNA (estimated by tail extent moment) in *Lemna minuta* exposed to heavy metals from industrial wastewater. Recently, Shahid et al. (2011) reported the Pb-induced production of micronuclei in *Vicia faba* root tips via ROS production. More recently, Pourrut et al. (2011b) demonstrated a close link between oxidative stress induced by Pb, DNA strand breaks and micronuclei formation in *Vicia faba* root tips.

5.3 Protein Damage

Heavy metals may also cause toxic effects in the structure of plant proteins (Tan et al. 2010; Luque-Garcia et al. 2011). Protein synthesis is the primary target of ROS damage in plants (Nishiyama et al. 2011). This heavy-metal-induced change in protein quantity or quality can occur via several mechanisms, e.g., binding of the metal ions to free thiols and other functional groups of proteins, replacement of Zn and other essential metal ions by free heavy metal ions in metal-dependent proteins, etc. Whatever the location of heavy metal-induced ROS, they generally interact with proteins that contain sulfur-containing amino acids and thiol groups. Proteins are more susceptible to heavy metal ions during the process of folding, than are proteins that have already reached their native state (Sharma et al. 2008).

Heavy-metal-induced ROS also cause a quantitative reduction in total protein content of cells (Mishra et al. ; Garcia et al. 2006). This quantitative decrease in total protein content results from various heavy metals effects: they modify gene expression (Kovalchuk et al. 2005), increase ribonuclease activity (Gopal and Rizvi 2008), consume amino acids to scavenge ROS (Gupta and Sinha 2009), and reduce free amino acid content (Gupta et al. 2009) that is linked to alteration in nitrogen metabolism (Chatterjee et al. 2004). Heavy metal ions form complexes with proteins by binding with $-\text{COOH}$, $-\text{NH}_2$ and $-\text{SH}$ groups (Tan et al. 2010). As a result, these modified biological molecules cannot function properly as a result of their structural modification, and this produces cell malfunction. When heavy metals bind to these active groups of proteins, they inactivate different enzyme systems, or alter protein structure, which is related to the catalytic properties of enzymes. Reactive oxygen species do oxidize the following protein amino acid side groups: Cys, Met, His, Arg, Lys, Pro, Tyr and Trp. Cadmium treatment raised the carbonylation level from 4 to 5.6 nmol/mg protein in *Pisum sativum* plants (Romero-Puertas et al. 2002). Most of these reactions are irreversible, although in the specific case of thiol-group oxidation, enzyme-catalyzed re-reduction is possible (Rouhier et al. 2006).

Recent findings suggest that protein oxidation events are most likely to occur in proteins that are extremely close to the site of ROS production. Certain metal ion co-factors, such as Fe-S, are particularly susceptible to oxidation. Heavy metal exposure to plants not only causes a quantitative change to protein content, but also may alter the qualitative composition of cell proteins. The protein composition of root cells in *V. faba* seedlings was altered when exposed to Pb (Beltagi 2005), and this can result from the modification in transcriptome profile of numerous enzymes such as: cysteine proteinase, isocitrate lyase, arginine decarboxylase and serine hydroxymethyltransferase (Kovalchuk et al. 2005).

Heavy metals also may produce indirect effects on protein functioning that curtails protein synthesis or inhibits protein functioning (Pena et al. 2008). For example, the plant proteolysis system helps to regulate protein processing and intracellular protein levels, and removes abnormal or damaged proteins from the cell (Buchanan et al. 2000). The proteolytic system is mainly localized inside certain organelles, e.g., cytoplasm and the nucleus (Rawlings 2004). Cadmium has been reported to cause oxidation of the proteasome in *Zea mays* (Pena et al. 2007) and *Helianthus annuus* plants (Pena et al. 2006). This enhancement of the proteasome activity prevents accumulation of oxidatively damaged proteins in the cell (Pena et al. 2007).

5.4 *Damage to Plant Carbohydrates*

Carbohydrates are ubiquitous energy sources, and are key macromolecules for their role in plant metabolism and structure (Guan-fu 2011; Dong et al. 2011). Carbohydrates are the major products of photosynthesis and act as transport molecules in plant growth, development and storage (Couée et al. 2006). They are involved in response mechanisms to different stressors, osmotic adjustment, and nutrient and metabolic signaling molecules (Hummel et al. 2009). They also help to maintain plasma membrane integrity (Guan-fu 2011), feed the NADPH-producing metabolic pathways involved in ROS scavenging, and interact with plant hormone signaling through molecules such as the auxins and cytokinins (Rolland et al. 2002), gibberellin, abscisic acid and ethylene (Price et al. 2004). Heavy metals are known to affect plant sugar content through ROS-induced oxidative stress. Interaction between soluble sugar content and ROS cause pollen abortion in *Triticum aestivum* (Lehner et al. 2008) or decreased pollen viability in *Oryza sativa* (Guan-fu 2011), which might be due to the interplay between programmed cell death and ROS. Any expression of sugar transporter genes that are induced by heavy metal stress may reduce the oxidant damage caused by overproduction of ROS (Nguyen et al. 2010). Glucose is reported to enhance cellular defences against cytotoxicity of H₂O₂ in plants, and enhances plantlet survival (Averill-Bates and Przybytkowski 1994). Under intense oxidative stress conditions, ROS affects the structure of carbohydrates (Zadák et al. 2009). When thus affected, plant defense mechanisms are weakened and plant macromolecules (including glucose) become vulnerable to heavy metal toxicity.

5.5 Interference with Signalling

Heavy metals interfere with cell signalling via mechanisms that are poorly understood. Effects of heavy metals on cell signalling may be direct as a result of the interaction of metals with proteins, or indirect from the formation of metal-induced ROS. It has been proposed that heavy-metal-induced dysregulation of signalling events play a key role in the response of heavy metal toxicity as well as in damage development. Metals affect the gene expression, transcription and activation of numerous signalling proteins, including growth factor receptors, G-proteins and tyrosine kinases (Harris and Shi 2003). In plants, several studies have shown that heavy metals (Cu, Zn, Pb and Cd) intervene with mitogen kinase signalling cascades. Mitogen-activated protein kinase (MAPK) pathways incorporate various signalling stimuli, and specific elements are also activated by ROS (Zhang and Klessig 2001). These MAPKs are rapidly activated in *Medicago sativa* by an excess of Cu (Jonak et al. 2004). However, Cd exposure activates MAPKs in *Medicago sativa* after a considerable delay (Jonak et al. 2004). The titer of jasmonic acid, salicylic acid and ethylene increases in plants after exposure to heavy metals (Pál et al. 2005), which then enhances H₂O₂ generation (Zawoznik et al. 2007) and interferes with cell signalling. Romero-Puertas et al. (2007) explained how the redox component scheme works, and explained how signalling molecules positively or negatively adjust the expression of antioxidant genes during long-term Cd stress in *Pisum sativum*.

6 Plant Heavy-Metal Tolerance Mechanisms

To survive, plants have to constantly cope with stress. Certain plants (especially heavy metal hyperaccumulator plants) operate well even under extreme ROS production situations that are caused by heavy metal toxicity. In fact, plants have evolved an array of defense mechanisms to combat oxidative damage, for the purpose of restricting cell injury and tissue dysfunction (Shulaev et al. 2008; Benekos et al. 2010; Ruan et al. 2011). Such defense mechanisms act separately or simultaneously in plants to scavenge any ROS over-production. However, what specific plant defense mechanism are active, and the efficiency of it, depends on the plant species, plant maturity, type of metal involved, and the level and duration of exposure.

Generally, stress-tolerant plants better defend themselves against ROS than do stress-susceptible species (Liu and Pang 2010). Hyperaccumulator plants are efficient at detoxifying and sequestering heavy metals, which enable them to accumulate high metal levels in their shoot tissues, without suffering phytotoxic effects (Rascio and Navari-Izzo 2011). Such preferential heavy metal detoxification/sequestration does occur in specific plant structures, such as the epidermis (Freeman et al. 2006), trichomes (Küpper et al. 2000) and even the cuticle (Robinson et al. 2003), where they cause toxicity to the photosynthetic apparatus, if not detoxified.

6.1 Primary Heavy-Metal Tolerance Mechanisms

Heavy metals mainly enter plants from soil through the roots (Uzu et al. 2009; Tang et al. 2010). Heavy metals, especially Pb, are adsorbed onto the root surface before uptake and become bound to carboxyl groups of mucilage uronic acid or to the polysaccharides of the rhizoderm cell surface (Seregin et al. 2004; Pourrut et al. 2011b). Such binding of heavy metals to exchange sites at the root surface is a commonly employed plant strategy to limit heavy metal absorption into root cells; the entrapment occurs in the apoplast by binding the metals to exuded organic acids or anionic groups of cell walls (Jiang and Liu 2010). In response to heavy metal toxicity, root thickness can increase, and thereby increase the amount of metal adsorbed onto the root surface; when this occurs, the consequence is to reduce metal penetration into roots (Krzyszowska et al. 2009, 2010). Probst et al. (2009) observed increased cell wall thickness of *Vicia faba* as an ultrastructural alteration caused by a high metal level. Liu et al. (2004) and Andrade et al. (2004) reported similar increases in cell wall thickness, respectively, in shoots of *Vicia faba* that were exposed to Cu or Cd, and in marine macroalgae exposed to Cu. Such increases are believed to be associated with enhanced peroxidase activity (Liu et al. 2004; Probst et al. 2009). This enzyme catalyzes lignin synthesis (Arduini et al. 1995) and is generally produced in higher plants exposed to heavy metals (Prasad 1996). Probst et al. (2009) observed high amounts of electron-dense particles of metals (Pb and Zn) on the surface, and within the cell walls of *Vicia faba* roots. Similar Pb deposits were shown to exist along plasma membranes of *Sesbania* root cells by Sahi and Sharma (2005). Krzyszowska et al. (2009) reported reduced penetration of Pb into the plasma membrane in *Funaria hygrometrica* from increased cell wall thickness, as a result of Pb binding with JIM5-P, within the cell wall. However, Pb bound to JIM5-P can be remobilized by endocytosis (Krzyszowska et al. 2010). It has been reported in several studies that Pb is adsorbed onto roots in many plant species: *Vigna unguiculata* (Kopittke et al. 2007), *Brassica juncea* (Meyers et al. 2008), *Festuca rubra* (Ginn et al. 2008), *Lactuca sativa* (Uzu et al. 2009) and *Funaria hygrometrica* (Krzyszowska et al. 2010). The degree of adsorption of metals onto plant root surface varies with the physico-chemical properties of rhizosphere soil, and plant and metal type (Saifullah et al. 2009; Pourrut et al. 2011b). The adsorption of metals onto root surfaces reduces their entrance into plants, which is considered to be beneficial in the case of vegetables (Pourrut et al. 2011b).

Another defense mechanism plants adopt is to reduce the translocation of heavy metals to aerial plant parts. Most of the heavy metals absorbed by plants are sequestered in plant root cells. In root cells, toxic metals are detoxified by complexation with organic acids, amino acids or sequestered into vacuoles (Rascio and Navari-Izzo 2011; Pourrut et al. 2011b). Such complexation restricts the transfer of heavy metals towards aerial plant parts, thus protecting leaf tissues, and particularly the metabolically active photosynthetic cells from heavy metal damage (Rascio and Navari-Izzo 2011). Increased sequestration of heavy metals in root cells is achieved

by several mechanisms: they precipitate as insoluble salts in intercellular spaces (Meyers et al. 2008), they are immobilized by negatively charged pectins within the cell wall (Arias et al. 2010), they accumulate in plasma membranes (Jiang and Liu 2010), or are sequestered in the vacuoles of rhizodermal and cortical cells (Kopittke et al. 2007). Many researchers have reported that >90% of heavy metals present accumulate in plant root cells of many plant species. Examples are: *Vigna unguiculata* (Kopittke et al. 2007), *Pisum sativum*, *Phaseolus vulgaris* and *Vicia faba* (Pourrut et al. 2011a), *Arabidopsis thaliana* (Vanhoudt et al. 2010a) *Avicennia marina* (Yan and Lo 2011), *Sedum alfredii* (Gupta et al. 2010), *Allium sativum* (Jiang and Liu 2010), *Lolium perenne* (Jia et al. 2011), *Oryza sativa* (Hu et al. 2011), *Erica andevalensis* (Mingorance et al. 2012) and *Chrysopogon zizanioides* (Danh et al. 2011). The phenomenon of increased amounts of metals being restricted to accumulating in roots is more common to Pb than to other heavy metals.

6.2 Secondary Heavy-Metal Tolerance Mechanisms

When plants take up high levels of heavy metals, toxicity is prevented only if the plants have a strong sink adequate for storing the toxic metals (Wojas et al. 2010; Hassan and Aarts 2011). By having such sinks, plants can evade the toxic effects of these metals. Vacuolar sequestration is an important feature that maintains plant metal homeostasis, and detoxifies heavy metals (Maestri et al. 2010). The hyperaccumulator plants have the ability to limit negative effects of metals by sequestering and/or binding them to molecules or plant structures. Heavy metals are detoxified in aerial parts of hyperaccumulators plants as a result of ligand binding or entrapment by vacuoles (Rascio and Navari-Izzo 2011). Vacuolar transporters partly fulfil this role, by contributing to the partitioning of metals into the vacuole (Martinoia et al. 2007).

The vacuole is the final destination for practically all toxic substances. There are several pathways by which metals are sequestered vacuoles. Genomic sequencing analysis has identified various families of transporters that are involved in heavy metal homeostasis in plants (Klatte et al. 2009; Chaffai and Koyama 2011). These transporter families include ATP-binding cassettes (ABC), heavy metal ATPases (HMAs), Zrt/Irt-like protein (ZIP), cation exchangers (CAXs), natural resistance-associated macrophage (NRAMP) and cation diffusion facilitators (CDF) (Grotz and Gueriot 2006; Hall and Williams 2003). Among these, CDF ABC and NRAMP have been identified as being critical for heavy metal tolerance (Hanikenne et al. 2005; Chaffai and Koyama 2011).

Metallothioneins (MTs) and phytochelatins are the best characterized and important metal-binding ligands in plant cells (Rea 2012). Phytochelatins are small, heavy-metal-binding polypeptides that have the general structure of (γ -Glu-Cys) n Gly ($n=2-11$). Phytochelatins belong to different classes of cysteine-rich heavy metal-binding protein molecules. Heavy metals are capable of stimulating the production of PCs, and activating the enzyme phytochelatin synthase (PCS) (Vadas and Ahner 2009; Jiang and Liu 2010). The synthesis of PCs is catalyzed

non-translationally by PCS, which is activated by metal ions such as Cd, Pb, Zn, and Cu (Andrade et al. 2010; Ogawa et al. 2011). In plants, these natural chelators bind and transport heavy metals to cell vacuoles (Israr et al. 2011). The transport of the metal-PC complex to vacuoles is thought to be facilitated by ABC transporters (Prévéral et al. 2009; Park et al. 2012), which for *Oryza sativa* seedlings, are encoded by OsPDR5/ABCG43 (Oda et al. 2011). PCs bind and transport heavy metals by forming mercaptide bonds with them (Verbruggen et al. 2009; Semane et al. 2010). Generally, PCs bind metals in the cytosol, and the resulting PC-metal complex is sequestered in vacuoles (Ogawa et al. 2011), thereby reducing the concentration of free metal ions in the cytosol. In this way, these natural ligands inhibit ROS production that results from heavy metal interactions with the delicate redox system. In in-vivo studies, Yadav (2010) reported that PCs were involved in the cellular detoxification and accumulation of heavy metals as a result of their ability to form stable metal-PC complexes. Gisbert et al. (2003) reported that the induction and over-expression of a *Triticum aestivum* gene encoding phytochelatin synthase (TaPCS1) significantly increased uptake and tolerance of *Nicotiana glauca* to Pb and Cd.

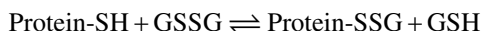
Glutathione (GSH; γ -glutamylcysteine-glycine), a sulfur containing tri-peptide, is among the most important and critical of the low molecular weight biological thiols. Glutathione protects plants from heavy metal toxicity by quenching metal-induced ROS (Vanhoudt et al. 2010a; Seth 2012; Noctor et al. 2012). Glutathione reacts nonenzymatically with a series of ROS by forming thiyl radicals (Halliwell and Gutteridge 1999). Thiyl radicals may generate $O_2^{\cdot-}$, which can be neutralized by SOD/CAT enzymes. It is worth noting that GSH also reacts with the lipid peroxidation metabolite 4-hydroxy-2-nonenal (Wonisch et al. 1997), and plays a role in the initial resistance against malondialdehyde, another highly toxic lipid peroxidation product (Turton et al. 1997).

Moreover, it is a substrate for PC biosynthesis, and certain related proteins play a key role in detoxifying heavy metals (Huang and Wang 2010; Ogawa et al. 2011). It is noteworthy that metals do not directly activate PCS activity, but rather, a GSH-metal complex is formed, (i.e., in which the metal binds to a thiol group), which activates PCS (Na and Salt 2010). Glutathione synthesis is catalyzed by two ATP-dependent enzymes, γ -glutamylcysteine synthetase (GSH1) and glutathione synthetase (GSH2). Heavy metal exposure can induce different GSH genes, such as glutathione synthetase, glutamyl cysteine synthetase, glutathione peroxidase and glutathione reductase. A deficiency of GSH affects defense gene expression and the hypersensitive response in plants (Dubreuil-Maurizi et al. 2011). Glutathione is reported to enhance proline accumulation in heavy-metal-stressed plants, a role that is correlated with reduced damage to membranes and proteins (Liu et al. 2009). Generally, PCs and GSH are simultaneously stimulated in plants to detoxify heavy metals. However, Gupta et al. (2010) reported the induction of GSH alone for detoxification of heavy metals in *Sedum alfredii*. The enhanced production of GSH does not always increase plant tolerance or detoxify heavy metals to reduce plant stress (Xiang et al. 2001). Therefore, GSH alone may not be adequate to resist heavy-metal stress in plants (Noctor et al. 1998; Yadav 2010).

Glutathione also plays an important indirect role in detoxifying heavy metals via activating the PCS enzyme. Once sufficient GSH levels are achieved during heavy metal stress, PCS become active and catalyzes the formation of PC–metal complexes (Yadav 2010). PCS are activated when a heavy metal and two GSH molecules form a thiolate complex (Cd–GS₂ or Zn–GS₂). Activation of PCS also results in the transfer of one γ -Glu-Cys moiety to a free GSH molecule or to a previously synthesized PC (Singla-Pareek et al. 2006). Depletion of GSH may result from its consumption for PCs synthesis (Mishra et al. 2006), or from direct binding with heavy metal ions (Andra et al. 2009a, b).

6.3 Glutathionylation

The thiol group of the amino acid cysteine is extremely vulnerable to ROS (oxidative damage), due to its high sensitivity to oxidation. To protect proteins from oxidation, plant cells have developed a tolerance mechanism, glutathionylation, which results in a reversible posttranslational modification of protein thiols (Michelet et al. 2006; Zaffagnini et al. 2012a). During glutathionylation, the protein thiols are oxidized to various reversible products, such as S-glutathionylation, sulfenic or sulfinic acids, and intra- or inter-protein disulfide bonds (Li and Zachgo 2009). The reaction mechanism of glutathionylation involves an exchange of a thiol/disulfide between GSSG and a protein thiol as following:



Several proteomic studies have demonstrated the glutathionylation of a number of chloroplast proteins under oxidative stress conditions (Ito et al. 2003; Zaffagnini et al. 2007, 2012a, b). The glutathionylation reaction is generally supported by ROS such as H₂O₂ under stress conditions (Zaffagnini et al. 2012b). In the absence of a glutathionylation reaction, the thiol group of cysteine could be oxidized to irreversible forms, i.e., sulfinates and sulfonates (Poole et al. 2004). In this way, the reaction of GSH with thiol groups of cysteine (glutathionylation) protects proteins from possible damage by ROS on redox signaling, although it has yet to be completely elucidated and is currently under extensive investigation (Zaffagnini et al. 2012a).

A number of redoxactive enzymes are known to intervene in the glutathionylation process. Examples, on which we elaborate below, are the peroxiredoxins (PRDXs) (Dietz 2003; Zaffagnini et al. 2012a), glutaredoxins (GRXs) (Xing et al. 2006; Meyers et al. 2008), thioredoxins (TRXs) (Buchanan and Balmer 2005; Zaffagnini et al. 2012a), and protein disulfide isomerases (Alergand et al. 2006). These redoxactive enzymes, together with a various redox-active target proteins defend proteins from irreversible oxidation especially under oxidative stress conditions (Ströher and Dietz 2006; Meyers et al. 2008; Zaffagnini et al. 2012a).

Peroxioredoxin (PRDXs) comprises a family of thiol-based peroxidases found in organisms ranging from bacteria to mammals (Abbas et al. 2008; Bhatt and Tripathi 2011; Anjum et al. 2012; Djuika et al. 2013). Though the roles of PRDXs have not yet been completely elucidated, their role in heavy-metal-induced ROS detoxification is evident (Matamoros et al. 2010; Abbas et al. 2013). The proteomic analysis of maize roots (Requejo up-regulation of PRDXs under heavy metal stress. These enzymes usually catalyze the reduction of H_2O_2 and other hydroperoxides (ROOH) with help from reduced thioredoxins, to yield thioredoxin disulfide, water, and the corresponding alcohol (Dietz 2011; Deponte 2013; Djuika et al. 2013; Randall et al. 2013). Bhatt and Tripathi (2011) described the reaction mechanism of PRDXs-induced decomposition of $O_2^{\cdot-}$ to H_2O . They summarized the entire process in three steps: peroxidation, redox dehydration and reduction as reported by Aran et al. (2009). The reaction starts as a nucleophilic attack of the protein thiol on the peroxide, resulting in the release of an alcohol and concomitant oxidation to a sulfenic acid (RSOH), which starts the catalytic cycle (Ellis and Poole 1997). The thiol group of Cys attacks RSOH, resulting in the release of H_2O and formation of a disulfide bridge. The catalytic cycle is stopped by a complementary reduction system, which results in catalytically active PRDXs (Aran et al. 2009; Bhatt and Tripathi 2011). Peroxioredoxin with CAT and other peroxidases are reported to take part in signal transduction by controlling the intracellular H_2O_2 concentration (Randall et al. 2013; Poynton and Hampton 2013). In plants, PRDXs have four subgroups (1-Cys PRDX, 2-Cys PRDX, PRDX II and PRDX Q) that are based on the number and position of the conserved cysteine residues, genome-wide analysis of plants and their subunit composition (Rouhier et al. 2001; Rouhier and Jacquot 2002; Poynton and Hampton 2013).

Thioredoxin (TRXs) is a family of antioxidant redox proteins (12.4 kDa) that facilitate the reduction of other proteins through the exchange of thiol/disulfide (Lemaire et al. 2003). For example, thioredoxins act as hydrogen donors for thioredoxin peroxidases or peroxiredoxin, which are involved in the removal of H_2O_2 (Verdoucq et al. 1999; Behm and Jacquot 2000). The reaction mechanism involves the reduction of the oxidized disulfide form of thioredoxin by NADPH and thioredoxin reductase (TRR). Depending on the primary sequence and sub-cellular localization, plants have six subgroups/types (TRXs f, m, x, y, h, and o). These subgroups have different sub-cellular compartmentalization and function. Thioredoxin-x, -y, -z, and NTRc are reported to act as electron donors to various antioxidant enzymes such as the glutathione peroxidases, methionine sulfoxide reductases and peroxiredoxins (Tarrago et al. 2009; Chibani et al. 2010).

However, it is not always evident that ROS detoxification by antioxidant enzymes requires electrons from the glutaredoxin or thioredoxin systems (Culotta et al. 2006; Benabdellah et al. 2009). It is reported that in GSH deficient cells, TRXs are over-produced to compensate for GSH shortage (Pócsi et al. 2004). Examination of the redox state of TRXs and GRXs in mutant plants showed that TRXs are independent of the GSH/GRX system (Trotter and Grant 2003). Still the interaction of TRXs,

GRXs and GSH in redox-dependent regulation, based on disulfide/dithiol exchange reactions under stress conditions (overproduction of ROS), is not well established in plants.

Glutaredoxins (GRXs) are oxidoreductases that catalyze the reversible reduction of disulfide bonds and participate in antioxidant defence by reducing various enzymes such as peroxiredoxins, dehydroascorbate, and methionine sulfoxide reductase (Buchanan and Balmer 2005; Li and Zachgo 2009). Glutaredoxins are oxidized by substrates, and reduced non-enzymatically by GSH. In the dithiol mechanism, electrons are transferred from NADPH to GR, then to GSH, and from there to GRXs. Finally, GRXs reduce target proteins by dithiol-disulfide exchange reactions in a manner similar to TRXs. The plant glutaredoxin family contains more than 30 members that are localized in different cell compartments (Couturier et al. 2009; Zaffagnini et al. 2012b). Almost thirty different GRXs isoforms have been identified in *A. thaliana*. They are subgrouped in six classes based on their redox-active center (Xing et al. 2006). Each class contains a variant of the active site motif and peculiar functional properties (Rouhier et al. 2006). GPXs appears to be involved in detoxifying H₂O₂ (Foyer and Noctor 2005, 2009) as well as lipid and phospholipid hydroperoxides (Avery and Avery 2001). GRXs also participate to reduce the oxidized cysteines, providing evidence of GRXs role in oxidative stress signaling (Michelet et al. 2006).

6.4 Nitrogen Metabolism

Nitrogen metabolism plays an important role in plant responses to heavy metal toxicity (Lea and Azevedo 2007; Andrade et al. 2010). Various nitrogenous metabolites, such as polyamines, amino acids and amino acid-derived molecules can bind to and scavenge heavy-metal-induced ROS (Kovac et al. 2009; Radić et al. 2010). When plants are exposed to high heavy metals levels, it is reported that some plant amino acids (e.g., proline or histidine), scavenge ROS (Sharma and Dietz 2006; Fariduddin et al. 2009).

Huang and Wang (2010) suggested that free prolines help protect certain plant enzymes, osmoregulation and help to stabilize the sub-cellular components and structures. Proline has been reported to accumulate in plants under heavy metal stress conditions, an indication that its increased presence provides a protective or a regulatory role (Sharma and Dietz 2006). Metal-tolerant plants contain higher constitutive proline levels, even in the absence of excess metal ions, than do non-tolerant plants (Sharma and Dietz 2006; Huang and Wang 2010). Increased levels of proline correlate with enhanced metal tolerance in plants, and as a result, some researchers believe it to act as an antioxidant in metal-stressed cells (Gupta and Sarin 2009; Huang and Wang 2010). One of the proposed roles of proline is to reduce free radical levels that are generated from toxicity events. In this regard, proline acts in a manner that is similar to GSH, ascorbic acid or tocopherol. Heavy metals interfere with N metabolism to cause toxicity that alters the composition of amino acid in plants (Callahan et al. 2007).

6.5 Antioxidant Enzymes

One of the most efficient mechanisms that plants use to protect themselves is to detoxify any free radicals that are present. Such detoxification prevents cell injury and tissue dysfunction and is accomplished in plant cells via activation of antioxidants enzymes such as SOD, CAT, POD, APX, GR, DHAR and MDHAR (Table 2, Fig. 4) (Lomonte et al. 2010; Mou et al. 2011; Vanhoudt et al. 2011; Lyubenova and Schröder 2011; Cestone et al. 2012; Opdenakker et al. 2012; Shahid et al. 2013d). Previous results have shown that high levels of antioxidant enzymes can increase stress tolerance to heavy-metal-induced stress conditions. Many researchers have also reported that antioxidant enzymes are activated in different plant species to scavenge the ROS that are produced by heavy metal toxicity (Gonnelli et al. 2001; Kim et al. 2010; Kafel et al. 2010; Martínez Domínguez et al. 2010; He et al. 2011).

Plant species display different levels of tolerance to heavy metal exposure (Shahid et al. 2012d), and the enzymes in these plants display varying behavior when under heavy metal stress. Most of these antioxidative enzymes are electron donors and react with free radicals to form innocuous end products, such as water. The process involves the binding of these ROS to active enzyme sites, and then conversion to non-toxic and inactive products. Among these enzymes, SOD is a key one for defending plants against ROS. The catalytic properties of SOD were first detected by McCord and Fridovich (1969). SOD is responsible for dismutation of the two superoxide radicals to H_2O_2 and O_2 . In this way, SOD maintains $O_2^{\cdot-}$ at a steady state level (Gao et al. 2010; Deng et al. 2010; Andrade et al. 2010; Cestone et al. 2012). An increase in SOD activity could be either direct through the action of heavy metal ions on SOD, or indirect through an increase in $O_2^{\cdot-}$ levels (Chongpraditnun et al. 1992; Shahid et al. 2013d). When SOD appears, it generally does so in response to the production of heavy-metal-induced H_2O_2 , which can form lipid peroxides by direct or indirect action by lipoxygenase-mediated lipid peroxidation (Deng et al. 2010). An increase in SOD activity may result from enhanced formation of $O_2^{\cdot-}$ or from de novo synthesis of enzyme proteins (Verma and Dubey 2003; Yılmaz and Parlak 2011). Catalase is generally present in mitochondria and peroxisomes, where it decomposes H_2O_2 to H_2O and O_2 (Hermes-Lima 2005; Tang et al. 2010; Shahid et al. 2013d). Another enzyme class responsible for degrading H_2O_2 are the PODs, which are capable of reducing H_2O_2 to H_2O . Guaiacol peroxidase is present in vacuoles, the cell wall, cytosol and extracellular spaces. POD is considered to be a marker of heavy metal toxicity, having broad specificity for phenolic substrates and higher affinity for H_2O_2 than CAT (Radwan et al. 2010). Guaiacol peroxidase consumes H_2O_2 to generate phenoxy compounds that are polymerized to produce cell wall components such as lignin (Mishra et al. 2006; Pourrut et al. 2011b).

Enzymes of ascorbate–glutathione cycle, APX and GR, are located mainly in chloroplasts, other cellular organelles and the cytoplasm, where they are involved in controlling the cellular redox status, especially under heavy metals stress conditions (Singh et al. 2010). Ascorbic acid is a primary and secondary antioxidant. APX utilizes ascorbate to reduce H_2O_2 to H_2O and O_2 (Mittler 2002; Triantaphylidès and Havaux 2009). During this process, ascorbate is oxidized to monodehydroascorbate.

Table 2 The antioxidant enzyme systems different plants use to defend themselves against heavy-metal-induced ROS

Heavy metals	Enzymes	Plant species	References
Ag	SOD, CAT	<i>Potamogeton crispus</i>	Xu et al. (2010b)
Al	SOD, CAT, APX, GPOX	<i>Hordeum vulgare</i>	Achary et al. (2012)
	SOD, POD	<i>Hordeum vulgare</i>	Guo et al. (2007)
As	SOD, GR, SDH	<i>Aspergillus niger</i>	Mukherjee et al. (2010)
	SOD, POD, APX, CAT	<i>Zea mays</i> , <i>Vicia faba</i>	Duquesnoy et al. (2010)
	APX, MDHAR, DHAR, SOD, GST	<i>Typha latifolia</i>	Lyubenova and Schröder (2011)
Cd	SOD, POD, SOD	<i>Carassius auratus</i>	Chen et al. (2012)
	SOD, APX, GR	<i>Gracilaria dura</i>	Kumar et al. (2012)
	APX, MDHAR, DHAR, GR, GST	<i>Helianthus annuus</i>	Nehnevajova et al. (2012)
	SOD, CAT, APX, GR	<i>Solanum lycopersicum</i>	Cherif et al. (2011)
	APX, MDHAR, DHAR, SOD, GST	<i>Typha latifolia</i>	Lyubenova and Schröder (2011)
	SOD, APX, CAT, GR	<i>Brassica juncea</i>	Ahmad et al. (2011b)
	SOD, POD, CAT	<i>Medicago sativa</i>	Xu et al. (2010a)
	POD, CAT	<i>Amaranthus hybridus</i>	Zhang et al. (2010)
	GSH, GST	<i>Brassica juncea</i>	Szőllősi et al. (2009)
	SOD, POD	<i>Hordeum vulgare</i>	Guo et al. (2007)
Cr	GPX, APX, CAT, GR	<i>Zea mays</i>	Mallick et al. (2010)
	APX, SOD, POD	<i>Lycopersicum esculatum</i>	Nayek et al. (2010)
Cu	APX, MDHAR, DHAR, GR, GST	<i>Helianthus annuus</i>	Nehnevajova et al. (2012)
	SOD, CAT, APX	<i>Pisum sativum</i>	Turchi et al. (2012)
	SOD, APX, GR	<i>Sesbania drummondii</i>	Israr et al. (2011)
	GPX, CAT	<i>Phaseolus vulgaris</i>	Bouazizi et al. (2010)
	SOD, POD, CAT	<i>Vetiveria zizanioides</i>	Xu et al. (2009)
	SOD, POD, APX, CAT	<i>Withania somnifera</i>	Khatun et al. (2008)
	SOD, GPX, CAT	<i>Datura stramonium</i>	Boojar and Goodarzi (2007)
		<i>Malva sylvestris</i>	
		<i>Chenopodium ambrosioides</i>	
		<i>Hordeum vulgare</i>	Guo et al. (2007)
Ni	SOD, CAT, APX, GPOX, GR	<i>Brassica juncea</i>	Kanwar et al. (2012)
	SOD, APX, GR	<i>Sesbania drummondii</i>	Israr et al. (2011)
Pb	SOD	<i>Spinacia oleracea</i>	Wang et al. (2010)
	APX, MDHAR, DHAR, SOD, GST	<i>Typha latifolia</i>	Lyubenova and Schröder (2011)
	SOD, APX	<i>Sedum alfredii</i>	Gupta et al. (2010)
	SOD, GPX, APX, CAT, GR	<i>Najas indica</i>	Sing et al. (2010)
	SOD, APX, GR	<i>Sesbania drummondii</i>	Israr et al. (2011)
	APX, SOD, POD	<i>Lycopersicum esculatum</i>	Nayek et al. (2010)
	SOD, CAT, AsA	<i>Zea mays</i>	Gupta et al. (2009)
	APX, GR, GST	<i>Lathyrus sativus</i>	Brunet et al. (2009)
	CAT, APX	<i>Wolffia arrhiza</i>	Piotrowska et al. (2009)
	APX, SOD, POD	<i>Lycopersicum esculatum</i>	Nayek et al. (2010)
Zn	APX, MDHAR, DHAR, GR, GST	<i>Helianthus annuus</i>	Nehnevajova et al. (2012)
	SOD, CAT, APX	<i>Pisum sativum</i>	Turchi et al. (2012)
	SOD, CAT, APX, GR	<i>Solanum lycopersicum</i>	Cherif et al. (2011)
	SOD, APX, GR	<i>Sesbania drummondii</i>	Israr et al. (2011)
	SOD, POD, CAT	<i>Vetiveria zizanioides</i>	Xu et al. (2009)

SOD superoxide dismutase, APX ascorbate peroxidase, GPX guaiacol peroxidase, CAT catalase, GR glutathione reductase, AsA ascorbic acid, GSH glutathione, GST glutathione S-transferase, POD peroxidase, DHAR dehydroascorbate; reductase, MDHAR monodehydroascorbate reductase, ACOX acyl co-A oxidase, SDH succinate dehydrogenase

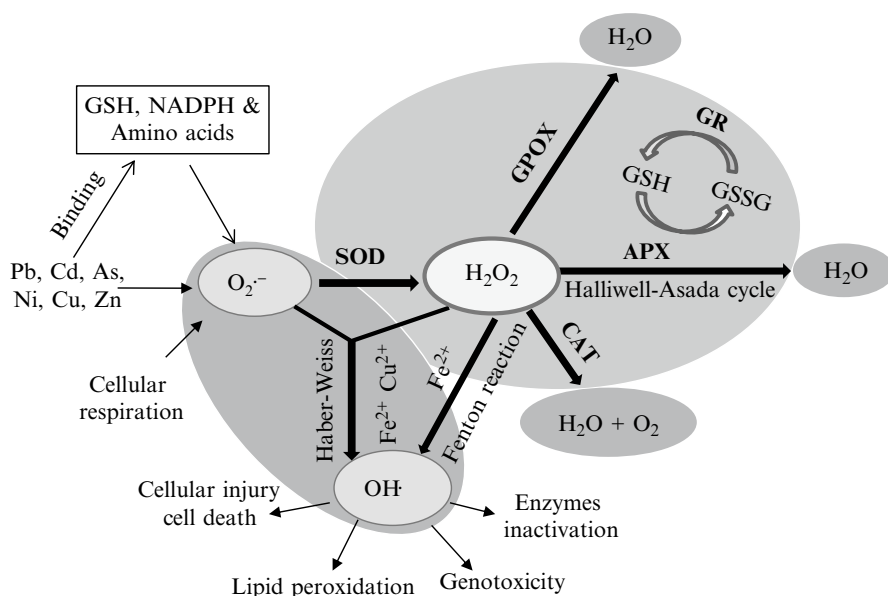


Fig. 4 Schematic representation of heavy-metal-induced oxidative stress. Under normal conditions (*highlighted grey*), $O_2^{\cdot-}$ is produced by cellular respiration. This $O_2^{\cdot-}$ is converted to H_2O_2 by SOD. The H_2O_2 produced is converted to H_2O and O_2 by the combined action of APX, GPOX, CAT and GR. In the presence of heavy metals, the $O_2^{\cdot-}$ and H_2O_2 production is increased. The increased ROS is incompletely converted to H_2O by the antioxidants. As a result, highly toxic HO^{\cdot} is produced by the Haber–Weiss or Fenton reactions. This HO^{\cdot} is the most toxic ROS and is believed to initiate lipid peroxidation, cell death, enzyme inactivation and genotoxicity

The monodehydroascorbate formed can be directly reduced back to ascorbate by monodehydroascorbate reductase (MDHAR), or may first be converted to dehydroascorbate, and then reduced by dehydroascorbate reductase (DHAR). In the process, GSH acts as reductant, which is oxidized to GSSG (oxidized glutathione). When GR activity is induced, the GSH/GSSG ratio remains high, and thus allows GSH to participate in PC synthesis and ROS detoxification (Noctor et al. 1998).

Several previous authors have reported heavy-metal-induced increases in antioxidant enzymes (Table 2). Ali et al. (2011) observed activation of SOD, POD, APX, GR and CAT under Al or Cr stress in *Hordeum vulgare*. Israr et al. (2011) reported a significant increase in enzymatic (SOD, APX, GR) antioxidant levels in *Sesbania drummondii* seedlings, when the seedlings were exposed to Cu, Ni and Zn alone and in combination. Lomonte et al. (2010) reported increased CAT and SOD activity, in response to applying Hg to *Atriplex codonocarpa* for 4 weeks under hydroponic conditions. Radić et al. (2010) also reported increased SOD and POD activity, when *Lemna minor* plants were exposed to Al and Zn. Yadav (2010) observed that the antioxidants CAT, APX and glutathione S-transferase (GST) increased as the Cr concentration increased in *Jatropha curcas*. Shahid (2010) reported a Pb-induced increase in APX, SOD, GPX and GR levels in *Vicia faba* roots and leaves, as did (Choudhary et al. 2010) in *Raphanus sativus* by Cu.

Increased activity of POD and CAT in *Amaranthus hybridus*, in response to Cd toxicity, was also observed by Zhang et al (2010). Singh et al. (2010) reported that the bioaccumulation of Pb by *Najas indica* activated several antioxidant enzymes (e.g., SOD, APX, GPX, CAT and GR). They also reported significantly increased cysteine synthase and glutathione-S-transferase activity. Similar results have been reported for *Phaseolus aureus* and *Vicia sativa* (Zhang et al. 2009). Recently, Shahid (2010) reported the results of a time course experiment (1, 4, 8; 12 and 24 h), in which the Pb-induced activation of antioxidant enzymes (APX, GPOX, SOD and GR), lipid peroxidation and ROS production occurred, after the Pb concentration reached significant levels in roots (after 1 h) and leaves (after 8 h). This suggests that Pb-induced lipid peroxidation, activation of enzymes and production of H₂O₂ are very rapid phenomena. Moreover, the oxidative bursts in roots and leaves coincide with periods of high Pb entrance rates to these tissues (1 and 12 h) (Pourrut et al. 2008).

7 Conclusions and Perspectives

In this review, we have highlighted key results from the previous and particular the recent published literature that addresses heavy-metal-induced physiological changes that occur in plants. Based on the literature cited in this review, we have drawn the following conclusions:

1. The generation of ROS is an inevitable feature of higher plants and other aerobic organisms. These ROS are constantly generated as side-products of certain metabolic pathways, and act to control various essential plant processes. Heavy metal exposure to plants disturbs the delicate balance between ROS production and elimination, leading to an enhanced steady-state ROS level that is called “**oxidative stress**”. A common feature of oxidative stress is damage to proteins, DNA, and lipids. Consequently, it is suggested that metal-induced oxidative stress in cells may partially be responsible for the toxic effects produced by heavy metals.
2. The plant kingdom has evolved a very efficient enzymatic and nonenzymatic defense system that allows ROS-scavenging to protect plant cells from oxidative damage. Retention of heavy metals in the cell wall is the first barrier against heavy metal stress. Heavy metal chelation by PCs, MTs, GSH and amino acids, and subsequent sequestration in vacuoles is another detoxification mechanism in plants. Biochemical tolerance to heavy metals is linked to activation of antioxidant enzymes. These heavy metal tolerance mechanisms may be activated separately or simultaneously, depending on the type and species of metal and plant.
3. ROS-induced toxicity to different plant molecules and the various responses of plants to over production of ROS are often used as bioindicators in risk and environmental quality assessment studies. Such biomarkers are appropriate for use in ecotoxicological studies. To further develop and improve these bioindicators, a better understanding of the processes and mechanisms involved in ROS production, their toxicity and defense mechanisms in the presence of pollutants, such as

heavy metals, are needed. Moreover, all bioindicators are not equally sensitive to different pollutants under different environmental conditions. Therefore, the mechanisms behind ROS production, toxicity and detoxification should be compared to optimize the most sensitive and efficient assays, with respect to environmental conditions like applied metal form and concentration, physico-chemical parameters of medium and metal and plant type.

8 Summary

As a result of the industrial revolution, anthropogenic activities have enhanced the redistribution of many toxic heavy metals from the earth's crust to different environmental compartments. Environmental pollution by toxic heavy metals is increasing worldwide, and poses a rising threat to both the environment and to human health. Plants are exposed to heavy metals from various sources: mining and refining of ores, fertilizer and pesticide applications, battery chemicals, disposal of solid wastes (including sewage sludge), irrigation with wastewater, vehicular exhaust emissions and adjacent industrial activity.

Heavy metals induce various morphological, physiological, and biochemical dysfunctions in plants, either directly or indirectly, and cause various damaging effects. The most frequently documented and earliest consequence of heavy metal toxicity in plants cells is the overproduction of ROS. Unlike redox-active metals such as iron and copper, heavy metals (e.g. Pb, Cd, Ni, Al, Mn and Zn) cannot generate ROS directly by participating in biological redox reactions such as Haber-Weiss/Fenton reactions. However, these metals induce ROS generation via different indirect mechanisms, such as stimulating the activity of NADPH oxidases, displacing essential cations from specific binding sites of enzymes and inhibiting enzymatic activities from their affinity for -SH groups on the enzyme.

Under normal conditions, ROS play several essential roles in regulating the expression of different genes. Reactive oxygen species control numerous processes like the cell cycle, plant growth, abiotic stress responses, systemic signalling, programmed cell death, pathogen defence and development. Enhanced generation of these species from heavy metal toxicity deteriorates the intrinsic antioxidant defense system of cells, and causes oxidative stress. Cells with oxidative stress display various chemical, biological and physiological toxic symptoms as a result of the interaction between ROS and biomolecules. Heavy-metal-induced ROS cause lipid peroxidation, membrane dismantling and damage to DNA, protein and carbohydrates. Plants have very well-organized defense systems, consisting of enzymatic and non-enzymatic antioxidant processes. The primary defense mechanism for heavy metal detoxification is the reduced absorption of these metals into plants or their sequestration in root cells. Secondary heavy metal tolerance mechanisms include activation of antioxidant enzymes and the binding of heavy metals by phytochelatin, glutathione and amino acids. These defense systems work in combination to manage the cascades of oxidative stress and to defend plant cells from the toxic effects of ROS.

In this review, we summarized the biochemical processes involved in the overproduction of ROS as an aftermath to heavy metal exposure. We also described the ROS scavenging process that is associated with the antioxidant defense machinery. Despite considerable progress in understanding the biochemistry of ROS overproduction and scavenging, we still lack in-depth studies on the parameters associated with heavy metal exclusion and tolerance capacity of plants. For example, data about the role of glutathione–glutaredoxin–thioredoxin system in ROS detoxification in plant cells are scarce. Moreover, how ROS mediate glutathionylation (redox signaling) is still not completely understood. Similarly, induction of glutathione and phytochelatins under oxidative stress is very well reported, but it is still unexplained that some studied compounds are not involved in the detoxification mechanisms. Moreover, although the role of metal transporters and gene expression is well established for a few metals and plants, much more research is needed. Eventually, when results for more metals and plants are available, the mechanism of the biochemical and genetic basis of heavy metal detoxification in plants will be better understood. Moreover, by using recently developed genetic and biotechnological tools it may be possible to produce plants that have traits desirable for imparting heavy metal tolerance.

Acknowledgement The authors thank the Higher Education Commission of Pakistan (<http://www.hec.gov.pk>) and the French Society for Export of Educative Resources (SFERE, <http://www.sfere.fr/>) for the scholarship granted to M. Shahid.

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Biological Responses of Agricultural Soils to Fly-Ash Amendment

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D.M. Whitacre (ed.), *Reviews of Environmental Contamination and Toxicology*

Volume 232, Reviews of Environmental Contamination and Toxicology 232,

DOI 10.1007/978-3-319-06746-9_2, © Springer International Publishing Switzerland 2014

1 Introduction

Increased urbanization and industrialization worldwide has resulted in increased releases of solid waste, and enhanced environmental pollution around the globe. There are several categories of solid waste and these include sewage sludge, and municipal solid wastes (Singh et al. 2011). Fly Ash (FA), a coal combustion residue (CCR), is a major type of solid waste. The global dependence on coal as a major source of energy production, especially to produce electricity, has made FA a prime solid waste problem and a growing environmental pollutant. Proven global coal reserves have been estimated at 847 billion tons for the year 2007 (Sarkar et al. 2012). The USA has the largest share of global coal reserves (25.4%), followed by Russia (15.9%), China (11.6%) and India (8.6%) (Sarkar et al. 2012). Since India became independent in 1947, there has been a rapid increase in power generation, largely dominated by coal-based thermal generation constituting about 79% of total production. Energy production has increased from a capacity of 1,362 MW in 1947 to 120,000 MW in 2005. The Indian government plans to increase installed capacity to 300,000 MW by 2017 (Kumar et al. 2005; Vaidya 2009). India, like the United States, Russia and China, possesses abundant coal reserves, and coal-fueled generation of electricity is the common national policy (Singh et al. 2012; Sarkar et al. 2012).

During the combustion of coal several residues are produced. These include FA, bottom ash, flue gas desulphurization waste, fluidized bed boiler waste and coal gasification ash. FA is a residue of coal combustion (CCRs) that enters the flue gas stream. The nature of the FA produced largely depends on the quality and ash content of the coal that is burned. Indian coal is generally of lower grade than imported coals, and thereby has higher ash content (40%; CEA 2011).

The annual production of FA has increased from about 1.0 million metric tons (MT) in 1947 to about 112 MT during 2005. According to estimates from the FA Utilization Programme (FAUP), FA production is likely to reach 225 MT annually by 2017 (Kumar et al. 2005) (Fig. 1). Disposal of such an enormous amount of FA is a massive problem, particularly if it must be deposited in areas that surround thermal power stations. The major portion of FA produced in India is disposed of in ash ponds and in landfills; a minor proportion (<15%) is used to manufacture bricks, ceramics and cements (Pandey et al. 2009). The utilization of FA (3% of the 40 MT produced in 1994), has increased to ~38% of total production (viz., 112 MT) during 2004–05; this proportion is far below the global utilization rate (Dhadse et al. 2008; Singh et al. 2010) (Fig. 1). In India, 49% of FA is utilized in the cement industry, whereas only about 1% is used in the agricultural sector (Singh et al. 2010).

In agriculture, FA is primarily utilized as a soil amendment to buffer the soil pH (Phung et al. 1978). Such amendment improves soil texture (Fail and Wochok 1977; Chang et al. 1977) and soil nutrient status (Rautaray et al. 2003). However, the majority of the FA that is produced remains in ash storage ponds, and these deposits pose risks of several adverse effects to the environment.

In the present review, our aim is to address how FA can be utilized in global agriculture, and to provide the consequences of this use on soil health. Our major focus is

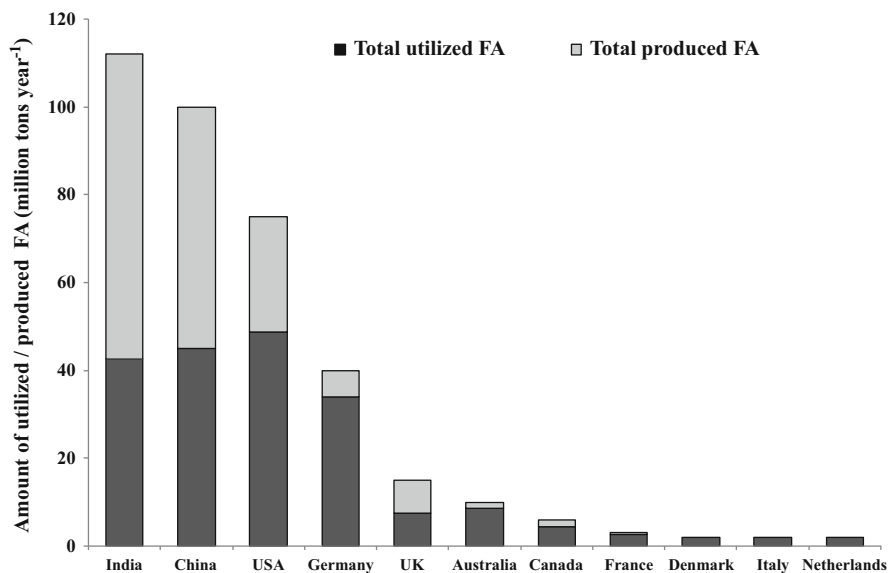


Fig. 1 The amount of FA produced and utilized in different countries. Source: Dhadse et al (2008)

to understand what the biological responses (i.e., physico-chemical, microbial, biochemical, etc.) are to FA-amended agricultural soils, and what effect FA amendment has on agricultural productivity. It is our intent to make this review useful for students and established researchers who work in the areas of soil nutritional dynamics and solid waste amendment. This review should also benefit some policy makers, who face the task of designing better and more sustainable approaches for managing solid waste pollution.

2 Physico-Chemical Properties of Fly Ash (FA)

The physico-chemical properties of FA primarily depend on the nature of the parent coal composition from which it comes, and secondly on the conditions under which the coal is combusted (Karapanagioti and Atalay 2001; Pandey and Singh 2010). Coal is a complex polymeric solid lacking any repeating monomeric units. FA is formed from the mineral matter in coal, and comprises a fine powder consisting of the non-combustible matter in coal, along with a small quantity of carbon that remains from incomplete combustion. FA is the finest of coal ash particles.

Physically, FA is comprised of very fine glass-like particles that are 0.01–100 μm in size (Davison et al. 1974; Jala and Goyal 2006). These FA particles have specific gravities of 2.1–2.6 g m^{-3} (Bern 1976), low to medium bulk density, a large surface area and very light texture. The specific chemical composition of FA depends on the quality of and conditions under which the parent coal was combusted (Jala and

Goyal 2006; Basu et al. 2009; Gupta et al. 2012). Some particles of FA are empty spheres (cenospheres), while others (plerospheres) are filled with small amorphous particles (Hodgson and Holliday 1966). FA constitutes a varied combination of amorphous and crystalline phases (usually considered as ferroaluminosilicate) (Lim and Choi 2014) and has a matrix similar to soil. It also contains about 69% of a fine-earthed fraction (i.e., clay silt) that derives from coal. Hodgson and Townsend (1973) reported that samples of fly-ash-particle fractions contained from 45 to 70% silt and 1 to 4% clay. The bulk density of different fly ashes varies from 1 to 1.8 g cm³, whereas the pH ranges from 4.5 to 12.0, and depends on the S content of the parent coal (Plank and Martens 1974).

Alkalinity is an important FA characteristic, and results from the presence of Ca, Na, Mg and OH, along with certain other trace metals. Kunavanakrit (1993) reported that FA contained a high amount of Ca and Mg, both of which have high pH (11) and a high cation exchange capacity (CEC). The sub-bituminous and lignite coal ashes produce alkaline solutions when mixed with water. The degree of alkalinity depends on the Ca content, since this element is in the highly reactive CaO form, and is a major constituent of the fly-ash-forming Ca(OH)₂ (Hodgson et al. 1982). The characteristics of FA are greatly influenced by the particle size of its components. Particle size also affects the physical properties of fly-ash-amended soil.

Parameters that describe the chemical characteristics of coal include molecular weight, carbon aromaticity, normal aromatic and aliphatic structure and functional groups present. Coal quality is ranked by using several criteria: anthroxylyon content, oxygen content, calorific value, ultimate analysis, fixed carbon content, etc. (Hodgson et al. 1982; Speight 2005). By and large, Indian coals have a high mineral matter %, low S content, high moisture, high ash content (Oliveira et al. 2014) and low calorific value (3,500–4,000 kcal kg⁻¹) (Gupta et al. 2012). The ash content of Indian coal varies between 15 and 30% and the S content is usually <1% (Srivastava 2003; Bhatt 2006). FA consists of approximately 95–99% of Si, Al, Fe and Ca oxides and about 0.5–3.5% of Na, P, K and S and the residual is trace elements.

Ahmaruzzaman (2010) described FA as mainly being composed of Si, Al, and Fe, with a major proportion of Ca, K, Na, Ti, along with other trace elements. Coal FA consists of SiO₂ (49–67%), Al₂O₃ (16–29%), Fe₂O₃ (4–10%), CaO (1–4%), MgO (0.2–2%), and SO₃ (0.1–2%) (Anon 2006; Singh et al. 2010). All metals present in soil are also found in fly ash. In Table 1, we compare the physico-chemical characteristics of FA and soil. The concentration of various elements that occur in FA varies with particle size (Khan and Khan 1996). A listing of elements present in FA includes the following: Si, Ca, Mg, Na, K, Cd, Pb, Cu, Co, Fe, Mn, Mo, Ni, Zn, B, F and Al (Tripathi et al. 2004; Gupta and Sinha 2008), and therefore, all important metals essential for plant growth and metabolism are present except organic C and N. The reason FA lacks any or much N is because it is volatilized from the coal (Singh and Yunus 2000). In contrast, FA has a high concentration of phosphorous (P) (400–8,000 mg P kg⁻¹). Unfortunately, this P is not readily available to plants, which may be due to its active interaction with Al, Fe and Ca present in alkaline FA (Gupta et al. 2012).

Table 1 A comparison of the physico-chemical properties of FA, an agricultural soil, and an FA-amended agricultural soil

Properties	Fly Ash (Tripathi et al. 2004)	Fly Ash (Gupta and Sinha 2008)	Soil (Tripathi et al. 2004)	FA amended soil (20% wt/wt) (Singh (2009) (PhD thesis, unpublished data))
pH	8.80	8.12	8.05	7.86
E. C. (mS cm ⁻¹)	7.61	3.54	0.23	3.477
Organic carbon (%)	1.17	1.7	43.40	0.537
Total nitrogen (%)	0.02	–	2.50	0.117
Total phosphorus (%)	0.14	–	1.06	–
Metals (mg kg ⁻¹)				
K	9,005.00	28,706.00	–	472.96
Na	5,200.00	41,321.00	–	396.74
Fe	4,150.00	20,054.00	2,850.00	1518.26
Zn	82.00	94.70	22.60	–
Cd	42.30	31.23	< 0.002	–
Pb	40.10	26.81	< 0.005	–
B	29.00	–	1.36	–
Ni	204.00	23.44	23.80	–

Several workers have reported the presence of radionuclides in fly ash; however, little information exists as to their impact (Gowiak and Pacynas 1980; Mittra et al. 2005; Papastefanou 2008). Mittra et al. (2005) analyzed the radioactivity (Bq kg⁻¹) of FA and recorded high radioactivity levels of ²²⁶Ra, ²²⁸Ac and ⁴⁰K in soil treated with FA at 40 t ha⁻¹. Moreover, Tadmire (1986) reported the radionuclides of uranium (U) and thorium (Th) series as components of fly ash.

FA is generally rich in toxic heavy metals (e.g., manganese, nickel, lead, etc.) and hazardous organic pollutants (e.g., polycyclic aromatic hydrocarbons, polychlorinated biphenyls, methyl sulphates, chlorinated dioxins and benzofurans (Wheatley and Sadhra 2004). Therefore, using FA in agriculture can result in higher accumulation of such toxic chemicals in food products, which, in turn, could pose human health issues.

3 Biological Responses of Agricultural Soil to FA Amendment

3.1 Physico-Chemical Responses of Soil to FA Amendment

The effect of amending soils with FA has been extensively investigated (Plank and Martens 1974; Elseewi and Page 1984; Jala and Goyal 2006). Kesh et al. (2003) reported FA as a repository of nutrients that assists in reclaiming alkaline and saline soils and improving soil properties. Amending soils with FA affects all soil physical

Table 2 The physico-chemical and biological responses of soil that has been amended with FA

Soil properties	Effect	References
Physical		
pH	Decrease	Pathan et al. (2003), Sinha and Gupta (2005), Gupta and Sinha (2006)
	Increase	Wong and Wong (1990), Jala and Goyal (2006)
Aggregate stability	Increase	Jala and Goyal (2006), Basu et al. (2009), Singh et al. (2010)
Bulk density	Decrease	Page et al. (1979), Singh et al. (2012a), Basu et al. (2009), Gupta et al. (2012)
Water holding capacity	Increase	Campbell et al. (1983), Page et al. (1979), Chang et al. (1977), Jala and Goyal (2006), Basu et al. (2009), Pandey and Singh (2010)
Porosity	Decrease	Page et al. (1979), Pandey and Singh (2010), Gupta et al. (2012)
Chemical		
Toxic elements (Cd, Pb, Ni etc.)	Increase	Gupta and Sinha (2006), Singh et al. (2010), Pandey and Singh (2010)
Fe, Cu, Zn, Mn	Increase	Tripathi et al. (2004), Gupta and Sinha (2006, 2008)
Electrical conductance	Increase	Adriano et al. (1980), Eary et al. (1990)
	Decrease	Gupta and Sinha (2006), Pandey and Singh (2010), Gupta et al. (2012)
Cation exchange capacity (CEC)	Decrease	Sinha and Gupta (2005), Gupta and Sinha. (2006), Jala and Goyal (2006)
Organic carbon / organic matter	Decrease	Gupta and Sinha (2006), Singh et al. (2010), Gupta et al. (2012)
Biological		
Microbial activity	Decrease	Adriano et al. (1978), Wong and Wong (1986), Saffigna et al. (1989)
	Increase	Schutter and Fuhrmann (2001)
Leachability		
Pesticides	Decrease	Konstantinou and Albanis (2000); Singh et al. (2012b, 2013a, b)
Heavy meals	Increase	Natusch and Wallace (1974)

and chemical characteristics such as texture, bulk density, pH, water-holding capacity, electrical conductance (EC) (Chang et al. 1977; Pathan et al. 2003; Singh et al. 2012a) and particle size distribution (Sharma 1989) (Table 2). A gradual increase in the rate of fly-ash amendment (0% 10% 25%, up to 100% v/v) in normal field soils increased water-holding capacity, EC, and pH (Gupta and Sinha 2006, 2009).

Chemical properties of soil are also affected by adding fly ashes, since they are rich in heavy metal content (Singh et al. 2010, 2012a; Gupta and Sinha 2006, 2009) (Table 2). Campbell et al. (1983) reported that adding FA to soil @ 10% (wt/wt) increased the water holding capacity of soil by 7.2 and 413.2 times for fine and coarse sands, respectively. The water holding capacity of sandy soils is improved from the fine textured nature of fly ash; FA amendment is also known to reduce compaction of clay soils (Sharma and Kalra 2006).

FA amendment also increases the amounts of soluble major and minor inorganic constituents of soil, resulting in a higher EC value (Adriano et al. 1980; Eary et al. 1990; Jala and Goyal 2006; Basu et al 2009; Pandey and Singh 2010) (Table 2). The fly ashes from India are primarily alkaline in nature; hence, applying them increases soil pH from the rapid release of Ca, Na, Al and OH^- (Wong and Wong 1990; Sinha and Gupta 2005) (Table 2).

In addition to containing heavy-metals, FA also retains trace elements that may contaminate soil (Basu et al. 2009; Singh et al. 2010). The majority of trace metals are released at a pH value of approximately 9 (Ahmaruzzaman 2010). Addition of a minute amount of FA to soils can significantly boost solution pH. As pH increases, there is a decrease in trace metal desorption from FA (Theis and Wirth 1977). Fly ash, because of its hydroxide and carbonate salt content, has the ability to neutralize soil acidity (Pathan et al. 2003). However, using excessive amounts of FA to neutralize soil acidity can result in excessive soil alkalinity, particularly with unweathered fly ashes (Sharma et al. 1989). In fact, some acidic fly ashes are deliberately used for reclaiming alkaline soils (Table 2).

Pandey et al. (2009) studied the influence of amending garden soils with fly ash, in which *Cajanus cajan* L. was planted. The amendment altered accumulation and translocation of hazardous metals into edible plant parts. *Cajanus cajan* L. Plants were grown in containers, in which the concentrations of FA had been altered (0% 25%, 50% and 100% wt/wt). Amendment with FA at ratios from 25 to 100% in this garden soil increased the pH, the particle density, porosity and water holding capacity in comparison to controls from 3.47% to 26.39%, 3.98% to 26.14%, 37.50% to 147.92% and 163.16% to 318.42%, respectively. This amendment also decreased bulk density from 8.94 to 48.89% in the amended soil as compared to non-amended soil (Pandey et al. 2009).

Singh et al. (2012a) reported a decrease in NH_4^+ , NO_3^- , total N, organic carbon (OC), organic matter (OM), available P, and CEC after rice was transplanted to a soil that had been amended with FA (0–20%). Reduced NH_4^+ and NO_3^- content from different levels of FA amendment was also reported by Singh and Agrawal (2010). Lee et al. (2006) reported increased soil pH and increased availability of Si, P, among other mineralogical components, in a Korean paddy field soil that was amended with fly ash; they concluded that FA can be utilized for improving the nutritional balance in a paddy field soil (Lee et al. 2006).

Generally, the bulk density of soil declined with the addition of fly ash, which in turn reduced porosity and increased water holding capacity (Page et al. 1979; Pandey and Singh 2010). Several workers have reported that FA amendment significantly increases the water holding capacity of the amended soil. Although FA itself does not retain water efficiently, amending sandy and loamy soils with it increased water holding capacity by 8% (Chang et al. 1977). Singh and Agrawal (2010) reported a significant improvement in levels of soil nutrients (e.g., Na, K, Ca, Mg, and Fe) when increasing rates of FA were used to amend soils at Varanasi, India. The high boron (B) level in FA restricts its utilization in crop production (Aitken and Bell 1985). However, if the FA is properly weathered the problem with B can

be overcome. FA has a liming effect on soils that increases calcium and hydroxide ion mobility, which in turn enriches bacterial growth (SurrIDGE et al. 2009). However, high levels of toxic heavy metals that can be transferred to soils from adding FA (Page et al. 1979) can hamper normal microbial metabolic processes (Pandey and Singh 2010).

3.2 FA Management and the Soil Biochemical Cycle

Biological indicators are biological species that can be used to monitor environmental or ecosystem health. Biological indicators are often employed to represent some aspect of the living soil and its environment. Such indicators generally respond more rapidly to changes in the soil environment than do physical or chemical indicators (Anderson and Gray 1990; Pascual et al. 2000; Singh et al. 2011). Additionally, biological indicators are sensitive tools for detecting changes in soil conditions that may occur (Singh et al. 2011). Microbes are vital constituents of the soil environment that contribute to the degradation of organic matter and make nutrients more available to other soil organisms. The responses of microbes to the addition of FA have been explored in several studies that we will describe below, although there is a paucity of data for direct effects on the microbes themselves.

In the soil system, soil enzymes play a key biochemical role in organic matter decomposition (Burns 1983; Chròst 1991; Sinsabaugh et al. 1991). Enzymes are critical for catalyzing several reactions that are essential for life processes of soil micro-organisms; these include stabilizing the soil structure, nutrient cycling, decomposition of organic wastes and organic matter formation (Dick et al. 1994). These soil enzymes are continuously being synthesized, accumulated, inactivated and/or decomposed, and therefore play an important function in agriculture, mainly via assisting nutrient cycling (Tabatabai 1994; Dick 1997).

Each and every soil hosts a group of enzymes that perform metabolic processes (McLaren 1975), the presence and titers of which depend on the soil's physico-chemical, microbiological and biochemical properties. Because soil enzymes have such a critical role, they respond so quickly to changes in soil management practices and are easy to measure, knowing more about their function potentially helps in assessing the prevailing biological status and function of soils (Dick 1997; Bandick and Dick 1999). Soil enzymes often significantly affect soil biology, environmental management strategies, and growth and nutrient uptake of plants that inhabit ecosystems.

Soil fungi comprise at least 75–95% of soil microbial biomass, and along with bacteria contribute ~90% of the total energy flux to the organic matter decomposition in soil (Paul and Clark 1996). Soil enzyme activity is especially important for fertility. Soil enzymes are routinely measured to provide a biological index of soil fertility. This index serves as an indicator for several biological processes in soil. In general, the enzymatic activities of soil enzymes are used to reflect outcomes resulting from agricultural cultivation, and the existence of different soil properties, and pedological amendments (Skujins 1978; Ceccanti et al. 1993).

Adding FA to soil stimulates enzyme activity (viz., dehydrogenase, urease and phosphatases, etc.; Pati and Sahu 2004). As mentioned, amending soils with FA adds many elements (e.g., C, K, Ca, Mg, Cu, Zn and Mn), and these elements may alter the chemical and physico-chemical properties of the soils to which they are added (Yeledhalli et al. 2007).

The amount of microbial biomass present is commonly used to characterize the microbiological status of soils (Nannipieri et al. 1990), and to evaluate the effect of soil management practices (Perrott et al. 1992). Soil microbial biomass is a sound indicator of soil health, because such biomass regulates nutrient cycling and acts as a highly labile source of nutrients that are available to plants (Jenkinson and Ladd 1981). Rippon and Wood (1975) attributed increased microbial populations in a soil to the addition of FA. However, higher FA amendment levels sometimes resulted in deposition of excessive amounts of certain toxic elements (e.g., As and B) in soil, and such deposition negatively affected the normal soil microbial dynamics and activity (Lim and Choi 2014). FA amendment of soil may benefit fungi and gram-negative bacteria more than other components of the soil microbial community (Schutter and Fuhrmann 2001).

Soil microbial biomass and dehydrogenase activity were reported to be highest at a FA amendment rate of 10% (wt/wt), because at this rate reasonable levels of nutrients were provided to microorganisms for carrying out various metabolic activities (Wong and Wong 1986; Saffigna et al. 1989). Microbial activity declined when FA was added at levels of more than 10% (Wong and Wong 1986; Saffigna et al. 1989). This decline may have resulted from reduced substrate availability that was associated with accumulation of persistent lignite-derived organic carbon compounds (Rumpel et al. 1998). Gaind and Gaur (2004) reported that *Azotobacter chroococcum*, *Azospirillum brasilense* and *Bacillus circulans* showed their maximum viability when FA alone was applied to soil, whereas *Pseudomonas striata* proliferated most in soil-FA (1:1) applications. Generally, the effects of FA applications on soil aggregation, together with the effects of growing plants on soil microbial diversity may favor plant growth and soil revival. Wong and Wong (1987) found that the application of FA increased microbial respiration in a sandy soil and decreased it in a sandy loam soil. Arthur et al. (1984) concluded that lower rates of FA applied to soil had a modest impact on microbial activity, but higher rates inhibited microorganisms. Schutter and Fuhrmann (2001) reported that amending degraded subsoil with FA caused an increased density of the microbial community.

3.3 FA Management and Soil Microbial Dynamics

As for other major solid wastes, utilization of FA in agriculture has gained popularity worldwide in the past few decades (Singh and Agrawal 2008; Singh et al. 2012). More recently, researchers have studied the effects of FA on soil health, especially the effects on soil–microbial interactions and dynamics (Sarkar et al. 2012). Modern day ‘-omics’ approaches represent state-of-the-art technologies that offer prospects

for a major breakthrough in soil – microbial dynamics. The ‘-omics’ have provided modern day researchers with better tools to identify and evaluate microbial diversity in soil, water and air under diverse environmental conditions (Schneider and Riedel 2010). Integrated genomics and proteomics approaches promise to be swift and effective systems for analyzing and deducing gene function in living organisms at genome (*genomics*), transcript (*transcriptomics*), and protein (*proteomics*) levels (Sarkar et al. 2012; Agrawal et al. 2013). These three approaches are commonly referred as the multi-parallel ‘-omics’ approaches in modern biology (Sarkar et al. 2010; Zargar et al. 2011). Recently, researchers have started to work with ‘genome’ and ‘proteome’ samples that are directly isolated from environment (Sarkar and Agrawal 2012). These sample entities are termed the ‘metagenome’ and the ‘metaproteome’, respectively. The *in-vivo* and *in-vitro* ‘-omics’ approaches have significantly contributed to the evaluation of soil – microbial dynamics in many ecosystems. By using a metagenomics approach Sanapareddy et al. 2009) generated 378,601 sequences by pyrosequencing (by using 454-FLX technology) of DNA samples collected from an activated sludge basin of a wastewater treatment plant in Charlotte, North Carolina, USA. These authors identified a significant number of microbial communities in the sludge basin that might be useful for improving soil health. Wang et al. 2011) employed a metaproteomics approach through in-depth two-dimensional gel electrophoresis (2DGE), coupled with matrix-assisted laser desorption/ionization time-of-flight mass spectrometer (MALDI-TOF/TOF-MS), and identified nearly 122 proteins, constituting a metaproteome of a plant-microbe complex that existed in a crop rhizospheric soil. Other researchers have also utilized ‘-omics’, particularly metagenomics and metaproteomics approaches. Such techniques allow improved discernment of microbial dynamism in soil samples under diverse environmental conditions, and the contributions of microbes to soil health (Schneider and Riedel 2010).

3.4 Other Responses of Soil Health to Fly-Ash Amendment

FA affects aspects of soil health not described above (Ahmaruzzaman 2010) (Table 2). In particular, it is known that FA hinders the normal leaching pattern of metals in soil. The pH, and chemical composition of a soil, as well as the FA used to amend a soil are all important variables that can influence the leaching behaviour of heavy metals (Becker et al. 2013) (Table 2). Amending agricultural soils with FA is known to restrict the normal soil leaching pattern of pesticides, and to boost pesticide retention (Singh et al. 2012b, 2013a, b). Application of FA to soils at the 20–30% level has been reported to detoxify 2, 4-D, alachlor and metolachlor in soil (Albanis et al. 1992, 1998). Konstantinou and Albanis (2000) reported that amending soil with FA up to 25% can immobilize atrazine, propazine, prometryne, molinate, propachlor and propanil herbicides. Singh et al. (2013a, b) reported that FA amendment in soil did not show an adverse effect on weed control efficacy of the herbicides metribuzin and metsulfuron-methyl. Hence, it is conceivable that FA could be used to amend soils in ways to help manage herbicide runoff and leaching losses.

4 Conclusions

Our main conclusions from reviewing the cogent literature on fly ash amendment of agricultural soils and from preparing this review are as follows:

1. Fly ash is a waste product from coal combustion process, and is a potential resource for amending agricultural soils to provide several essential plants nutrients. However, organic C and N are not among these nutrients.
2. When amending agricultural soils with FA, the appropriate methods and amounts used will depend on soil type, nature of the cultivated crop, prevailing climatic conditions and the characteristics of the FA used.
3. FA has a very high affinity for organic pesticides. Therefore, using it as a soil amendment can boost pesticide retention in agricultural soils.
4. Although applying FA in normal agricultural practice may benefit plant nutrition, it has a downside of potentially enhancing contamination by heavy metals in ways that affect ground water, well (drinking) water, and food chain organisms.
5. Harmful effects may result from applying FA to amend agricultural soils. Harm may come from enhanced levels of natural radioactivity (from FA) and from increased levels of toxic heavy metals that could contaminate food or feed. Therefore, care must be taken when FA is to be used as an agricultural soil amendment.
6. FA amendment in agriculture is undoubtedly in its infancy, and requires further study, particularly on dose-response relationships, before it can qualify for large scale application in global agriculture.

5 Summary

The volume of solid waste produced in the world is increasing annually, and disposing of such wastes is a growing problem. Fly ash (FA) is a form of solid waste that is derived from the combustion of coal. Research has shown that fly ash may be disposed of by using it to amend agricultural soils. This review addresses the feasibility of amending agricultural field soils with fly ash for the purpose of improving soil health and enhancing the production of agricultural crops. The current annual production of major coal combustion residues (CCRs) is estimated to be ~600 million t worldwide, of which about 500 million t (70–80%) is FA (Ahmaruzzaman 2010). More than 112 million t of FA is generated annually in India alone, and projections show that the production (including both FA and bottom ash) may exceed 170 million t per annum by 2015 (Pandey et al. 2009; Pandey and Singh 2010). Managing this industrial by-product is a big challenge, because more is produced each year, and disposal poses a growing environmental problem.

Studies on FA clearly shows that its application as an amendment to agricultural soils can significantly improve soil quality, and produce higher soil fertility. What FA application method is best and what level of application is appropriate for any one

soil depends on the following factors: type of soil treated, crop grown, the prevailing agro climatic condition and the character of the FA used. Although utilizing FA in agricultural soils may help address solid waste disposal problems and may enhance agricultural production, its use has potential adverse effects also. In particular, using it in agriculture may enhance amounts of radionuclides and heavy metals that reach soils, and may therefore increase organism exposures in some instances.

Acknowledgement Authors, both BS and RPS, are thankful to UGC, New Delhi (P01/679) as well as Banaras Hindu University, Varanasi for necessary help. AS acknowledges the financial help in the form of DBT-RA from Department of Biotechnology, Government of India, India. AS is also thankful to the Dr. Ganesh Kumar Agrawal, RLABB, Nepal for allowing this collaborative work. CS acknowledges the financial help from DST - PURSE program to Department of Botany, University of Kalyani, from Department of Science and Technology, Govt. of India, India. RPS, PS and MHI, are thankful to University Sains Malaysia, Malaysia for necessary help.

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Oil Palm Biomass as an Adsorbent for Heavy Metals

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1 Introduction

In recent decades, increases in the world's population, unplanned urbanization, industrialization, agricultural activities, and expanded use of chemicals, has contributed to environmental contamination via emission of wastes and pollutants. Wastes (both inorganic and organic) that are produced by human activities have resulted in high volumes of contaminated water, contact with or consumption of which poses health threats to living organisms, including humans (Ahmad et al. 2010, 2012).

Among inorganic pollutants, heavy metals are hazardous pollutants of wastewaters that have become a serious public health concern (Demirbas et al. 2006). Heavy metals harm flora and fauna because they are both toxic and stable; moreover, some of these metals can accumulate in living organisms (Das et al. 2008). The most significant toxic metal ions that pose risks to humans and the environment include Cr, Cu, Pb, Hg, Mn, Cd, Ni, Zn, and Fe (Chatterjee et al. 2010). Duruibe et al. (2007) reported that heavy metals cause adverse health effects, such as gastrointestinal disorders, diarrhea, stomatitis, tremors, hemoglobinuria, ataxia, paralysis, vomiting, and convulsions, although each of these heavy metals exhibits its specific toxicity profile. Wastewater generated from various industrial activities such as battery manufacturing (Ahmaruzzaman 2011), ceramics production (Khraisheh et al. 2004), metal refineries (Chandra Sekhar et al. 2004), pulp and paper production (Sthiannopkao and Sreesai 2009), rubber and plastics manufacture (Srivastava and Majumder 2008), electroplating (Sekomo et al. 2012), smelting (Fu et al. 2012), mining (Ying and Fang 2006), mineral processing and extractive metallurgy (Ahluwalia and Goyal 2007) and metal surface treatment (Karvelas et al. 2003) are contaminated with one or more of these toxic ions. The quantity of these heavy metals that exists in effluents released into the natural environment is often higher than the acceptable level. Hence, heavy metals should be removed or their quantities reduced from effluents by suitable treatment methods before they are discharged into the environment. The industrial sources and health risks of commonly utilized heavy metals are listed in Table 1.

Different treatment methods have been applied to remove heavy metals from wastewaters. Among the common methods are the following: ion exchange (Xing et al. 2007), coagulation/flocculation (Chafi et al. 2011), chemical precipitation (Kurniawan et al. 2006), electrochemical reaction (García-Gabaldón et al. 2006), electro-dialysis (Mohammadi et al. 2004), physisorption (Chen et al. 2012), biosorption (Tsekova et al. 2010), and membrane filtration (Barakat and Schmidt 2010). Each of these methods has been applied to decrease the concentrations of detrimental metal ions in wastewaters. Moreover, each of the methods exhibit limitations, such as high capital or operating costs, low efficiency, and disposal of excess sludge, whereas some of these methods are inappropriate for use by small-scale industries (Kobya et al. 2005).

Ideriah et al. (2012), Ahmed Basha et al. (2008) and Al Aji et al. (2012) studied the advantages and disadvantages of some of these methods, and discovered that precipitation methods are cost effective, but produce high amounts of precipitate

Table 1 Sources of environmental contamination by several heavy metals and their toxic effects

Heavy metals	Sources	Health risks
Lead	Lead batteries, paint, oil, metal, phosphate fertilizer, electronics, wood production, some petrol types, explosive manufacturing, mining activity, automobile emissions, sewage wastewater, sea spray, insecticides, plastic industries, food, beverages, ointments and medicinal concoctions (Khalid et al. 2007)	Dysfunction of kidneys, reproductive system, liver, brain and central nervous system. Reduction in hemoglobin formation, mental retardation, infertility and abnormalities in pregnant women. Anemia, headache, chills, diarrhea, poisoning (Karvelas et al. 2003)
Cadmium	Cadmium–nickel batteries, phosphate fertilizers, pigments, stabilizers, alloys, and electroplating industries (Mortaheb et al. 2009)	Renal disturbances, lung insufficiency, bone lesions, cancer, hypertension (Sankaramakrishnan et al. 2007)
Copper	Mining operations, tanneries, electronics, electroplating, petrochemical industries, and textile mill products (Kazemipour et al. 2008)	Abdominal pain, nausea, vomiting, headache, lethargy, diarrhea, tachycardia, respiratory difficulties, hemolytic anemia, gastrointestinal bleeding, liver and kidney failure and death (Akar et al. 2009)
Mercury	Refineries, coal-fired power plants, mining, chloralkali plants utilizing the Hg-cell process, municipal wastewaters (Urgun-Demirtas et al. 2012)	Neurological and renal disturbances, mental dysfunction, impairment of the nervous system and pulmonary systems and kidney function, and cause chest pain and dyspnea (Zahir et al. 2005)
Manganese	Steel industries, dry battery cells and electrical coils, mining and smelting, pigments and paints, and ceramics (Li et al. 2010)	Damage to brain, liver, kidneys and nervous system (Silva et al. 2010)
Nickel	Stainless steel, super alloys, metal alloys, coins, batteries (Vieira et al. 2010)	Gastrointestinal distress like nausea, vomiting, diarrhoea, damage to lungs and kidney, and cause pulmonary fibrosis, renal edema, and skin dermatitis (Akhtar et al. 2004)
Zinc	Mining, tanneries, painting, car radiator manufacturing, agricultural sources, electroplating, galvanizing plants (Abdelwahab et al. 2013)	Cause abdominal pain, nausea, vomiting, and diarrhea (Pereira et al. 2010)

sludge that requires further treatment. Ion exchange and reverse osmosis efficiently remove heavy metal ions (by approximately 90–95%), but the materials and operational procedures are expensive, and operational problems are often encountered. Electrolysis is an expensive method and requires high energy levels. Commercial activated carbon (CAC) can be applied to remove heavy metals via adsorption, but these adsorbents are very expensive.

More cost-effective and efficient methods and substances are needed to remove heavy metals. Among treatment strategies, adsorption is regarded to be an effective and preferable method for removing heavy metal ions from wastewater, because this method is cost effective and produces high-quality effluent (Oluyemi et al. 2012; Rafatullah et al. 2010; Salleh et al. 2011). Adsorption is a separation process, in which the amount of chemical components being collected (adsorbate) are increased at the surface of a solid (adsorbent) (Yadla et al. 2012). This adsorption process incorporates both physical and chemical actions that involve van der Waals forces, or other actions between an adsorbate and an adsorbent (Wang et al. 2009). Adsorption can function in solid or liquid matrices, and certainly can be used to remove heavy metal ions from polluted aqueous solutions. Adsorption is preferred over other methods because it is rapid, conveniently designed and operated, impenetrable to toxic contaminants, and does not produce hazardous by-products (Qiu et al. 2009). Adsorption is often applied to clean effluents by using low-cost materials.

In this review, we describe the different methods that are used to eliminate heavy metals from wastewaters by using oil palm biomass as a form of low-cost adsorbent.

2 Commercial Adsorbents

The nature and type of adsorbent are important parameters that influence adsorption efficiency. Some of the prominent substances that are commonly used as commercial adsorbents are activated carbon (Mohan and Pittman 2006), activated alumina (Mahmoud et al. 2010), silica gel (Najafi et al. 2011), and zeolite (Egashira et al. 2012). Below, we describe the characteristics of these important adsorbents.

2.1 Activated Carbon

Activated carbon is efficient, adsorbs many chemicals, and is an adsorbent that is particularly important for wastewater treatment (Yin et al. 2008a, b). Activated carbon is produced by dehydration and carbonization in the presence of heat and in the absence of oxygen. Activated carbon contains tiny pores with a large surface area (300–4,000 m²/g). Although activated carbon is put to many uses, it does possess some limiting features: utilizing it entails high cost, requires regeneration after adsorption, and it loses adsorption capability after regeneration (Igwe and Abia 2006; O'Connell et al. 2008; Rafatullah et al. 2013).

2.2 Activated Alumina

Activated alumina is produced by thermally treating hydrous alumina granules. Hydroxyl groups are forced to leave, producing a porous solid structure of activated alumina that has a large surface area (200–300 m²/g). Activated alumina possesses

a surface area that makes it appropriate for removing heavy metals from aqueous solutions, and absorbing organic liquids (e.g., kerosene, gasoline, and oil) from water (Ku and Chiou 2002; Singh and Pant 2004).

2.3 Zeolite

Zeolites are hydrated porous aluminosilicate minerals. These minerals are naturally created from the changes occurring in glass-rich volcanic rocks (tuff) in the sea or in playa lake waters. Zeolites are appropriate adsorbents for removing heavy metal ions from wastewaters, because such adsorbents exhibit favorable properties that include the following: high ion exchange capability, molecular sieving, catalysis, and sorption properties (Ji et al. 2012; Wang and Peng 2010).

2.4 Silica Gel

Silica gel, invented in the 1920s, is a concentration of $\text{Si}(\text{OH})_4$ in siloxane chains. It is produced in three forms: regular-, intermediate-, and low-density gels with surface areas of 750 m^2/g , 300–350 m^2/g , and 100–200 m^2/g , respectively. Such gels are considered to be suitable adsorbents because they remain stable under acidic conditions, exhibit a rapid adsorption capacity, contain a porous structure that has high surface area, and are non-toxic, non-flammable, and not chemically reactive (Fan et al. 2011; Gübbük et al. 2009).

In general, the use of conventional adsorbents increases costs, particularly when high purity adsorbents are used. Therefore, the use of such adsorbents is not commercially economical, and cost is an important when selecting adsorbents. Generally, an adsorbent is regarded to be inexpensive when it is readily available, is environmentally friendly and is cost-effective. Hence, rather than using high-cost adsorbents, researchers are encouraged to produce and use inexpensive adsorbents that are based on natural by-products, such as agricultural wastes, when possible (Bailey et al. 1999; Khan et al. 2008).

In this review, we have searched and summarized the literature that addresses the use of palm oil biomass as a low-cost adsorbent for removing heavy metal contaminants from wastewaters.

3 Agricultural-Waste Adsorbents

Ho (2003) investigated agro-based waste materials as resources to both produce new adsorbents and to modify currently used ones. Previous studies (Basso et al. 2002; Hashem 2007) have demonstrated that agricultural wastes absorb heavy metal

ions and can be used as low-cost adsorbents in wastewater treatment. Such wastes have been used for adsorption tasks because they offer several advantages: they are readily available and exist in abundance, they are cost effectiveness, renewable, require less processing time, offer suitable adsorption capability, are selective for heavy metals, and can easily be regenerated (Elizalde-González et al. 2008). Examples of agricultural or related biomass products that can be used in adsorption applications are: peanut skins (Asubiojo and Ajelabi 2009), hazelnut shells (Bulut and Tez 2007a), peanut hulls (Hashem et al. 2005), corn cobs (Sun and Webley 2010), flamboyant pods (Vargas et al. 2010), coconut husks (Tan et al. 2008), Gular fruits (Rao and Rehman 2010), olive stones (Aziz et al. 2009), sawdust (Bulut and Tez 2007b), and chestnut shells (Vázquez et al. 2009).

Saeed et al. (2005) evaluated the efficiency of papaya wood as an adsorbent to remove heavy metals. The percentages of heavy metals removed within 60 min from a solution containing 10 mg/L of Cu (II), Cd (II), and Zn (II) at pH 5 were 97.8%, 94.9%, and 66.8%, respectively. Babarinde et al. (2006) reported the potential of maize leaves for removing Pb ions from wastewater. Agarwal et al. (2006) investigated the efficiency of *Tamarindus indica* seeds, crushed coconut shells, almond shells, groundnut shells, and walnut shells as inexpensive adsorbents for removing Cr (VI). Among these materials, the Cr (VI) sorption capacity of *T. indica* seed was higher than that of the others; crushed coconut shell exhibited the lowest sorption capacity. Abu Al-Rub (2006) studied the effectiveness of palm tree leaves for removing Zn ions from wastewater and found that sorption by Zn was rapid; 90% of Zn was adsorbed in approximately 10 min. Amarasinghe and Williams (2007) investigated the adsorption of Pb and Cu ions from aqueous solutions by using tea waste. They observed that the rate of Pb adsorption was higher than for Cu over a period from 15 to 20 min. Table 2 presents examples of low-cost adsorbents made from various agricultural wastes that are used to remove heavy metals from wastewater.

In general, agricultural wastes are composed of basic components (e.g., cellulose, hemicellulose, and lignin) that contain various functional groups (Amarasinghe and Williams 2007). Lignocellulosic materials are composed of β -D-glucopyranose units, which is one of the most important components of plant cell walls. Each β -D-glucopyranose units contain one primary hydroxyl group and two secondary hydroxyl groups that are commonly involved in chemical reactions. Functional groups present in lignocellulosic materials bind heavy metals by donation of an electron pair from these groups to form complexes with the metal ions in solution (Demirbas 2008). However, the adsorption capacity and physical stability of unmodified lignocellulosic materials are not suited to adsorbing heavy metals. To improve the adsorption capacity for metals, and to enhance metal ion binding, researchers chemically modify these lignocellulosic materials by integrating them with other sources of functional groups in ways that alter their surface characteristics (Mahmoud et al. 2010).

Table 2 Performance parameters of agricultural waste adsorbents that are used for removing heavy metals

S. No.	Agricultural waste	Adsorbate			Adsorption conditions			References		
		Particle size	Dosage	Metals	Concentration (mg/L)	Contact time (min)	Agitation speed (rpm)		Temp. (°C)	Adsorption capacity (mg/g)
1.	Coconut shell Neem leaves Hyacinth roots Rice straw	250–350 µm	10 g/L	Cu(II)	25	6	300	–	19,8886 17,4886 21,7959 18,3519	Singha and Das (2013)
2.	Mushroom biomass	0.1 mm	10 g/L	Cu(II)	30–100	5	30	150	0.664	Ertugay and Bayhan (2010)
3.	Potato peel	0.2 mm	1.0 g/100 mL	Cu	150	6	120	150	0.3877	Aman et al. (2008)
4.	Waste tea fungal biomass	1–2 mm	0.5 g/L	Cu(II)	254	4	360	200	4.64	Razmovski and Šćiban (2008)
5.	Sugar beet pulp	250 µm	0.1 g/100 mL	Cu (II)	250	4	600	150	28.5	Aksu and Işoğlu (2005)
6.	Lemon peel	0.10–0.07 mm	10.0 g/L	Cr(VI)	0–1,000	6	600	200	22	Bhatnagar et al. (2010)
7.	Parthenium hysterophorus weed	68.5 µm	0.1 g/100 mL	Cr(VI)	10–50	1	420	200	24.5	Venugopal and Mohanty (2011)
8.	Pomegranate husk	≤0.063 mm	0.3 g/100 mL	Cr(VI)	75–150	1	180	200	35.2	Nemr (2009)
9.	Maize bran	<178 µm	–	Cr(VI)	200	2	180	125	312.52	Hasan et al. (2008)
10.	Tea factory waste	0.15–0.25 mm	10 g/L	Cr(VI)	400	2	60	360	54.65	Malkoc and Nuhoglu (2007)
11.	Grapefruit peel	355 µm	4 g/L	Ni	10–200	5	60	180	46.13	Torab-Mostaedi et al. (2013)
12.	Sugarcane bagasse	<1 mm	1 g/100 mL	Ni (II)	10–200	5	120	300	2	Alomá et al. (2012)
13.	Moringa oleifera bark	–	0.2 g/50 mL	Ni	20–200	6	120	300	30.38	Reddy et al. (2011)
14.	<i>Acacia leucocephala</i> bark	–	0.1 g/100 mL	Ni	50–200	5	240	150	294.1	Subbaiah et al. (2009)
15.	<i>Alternanthera philoxeroides</i> biomass	<125 µm	0.25 g/50 mL	Ni (II)	100	6	300	200	9.73	Wang and Qin (2006)
16.	coconut (<i>Cocos nucifera</i> L.) coir dust	50 µm	5 g/100 mL	Zn	5–200	7.5	60	–	17,857	Israel and Eduok (2012)
17.	Sulfured orange peel	0.45 mm	50 mg/10 mL	Zn	50	5–6	120	120	80	Liang et al. (2011)
18.	<i>Coffee husks</i>	–	1 g/50 mL	Zn	50–100	4	–	100	5.6	Oliveira et al. (2008)
19.	<i>Alternanthera philoxeroides</i> biomass	<125 µm	0.25 g/50 mL	Zn (II)	100	6	300	200	18.57	Wang and Qin (2006)
20.	Rice husk ash Neem bark	0.297–0.400	10 g/L	Zn	25	5	240	–	14.30 13.29	Bhattacharya et al. (2006)

(continued)

Table 2 (continued)

S. No.	Adsorbent	Adsorbate			Adsorption conditions			Adsorption capacity (mg/g)	References		
		Particle size	Dosage	Metals	Concentration (mg/L)	pH	Contact time (min)			Agitation speed (rpm)	Temp. (°C)
21.	Sulfured orange peel	0.45 mm	50 mg/10 mL	Pb	100	-	120	120	30	164	Liang et al. (2011)
22.	Olive tree pruning waste	<1,000 mm	10 g/L	Pb	10	5	120	-	25	26.24	Blázquez et al. (2011)
23.	<i>Moringa oleifera</i> tree leaves	0.6–0.85 mm	0.40 g/100 mL	Pb	50	5	120	200	40	209.54	Reddy et al. (2010)
24.	Orange peel	-	0.050 g/25 mL	Pb	50	5.5	180	120	-	476.1	Feng et al. (2011)
25.	Pine bark	150–355 µm	50 mg/10 mL	Pb	50–1,000	4	240	400	-	76.8	Gundogdu et al. (2009)
26.	Grapefruit peel	355 µm	4 g/L	Cd (II)	10–200	5	60	180	-	42.09	Torab-Mostaedi et al. (2013)
27.	Areca catechu	200 µm	0.5 g/100 mL	Cd (II)	20	6	30	120	29	10.66	Chakravarty et al. (2010)
28.	Banana peel	0.250 mm	30 g/L	Cd (II)	50	3	20	100	25	5.71	Anwar et al. (2010)
29.	Mungbean husk	1.0–2.0 mm	0.5 to 100 mL	Cd (II)	50	5	60	150	25	35.41	Saeed et al. (2009)
30.	Parthenium	0.104–0.152 mm	0.5 g/50 mL	Cd (II)	10–100	4	60	100	20	27	Ajmal et al. (2006)
31.	Hazelnut hull	-	0.5 g/100 mL	Fe(III)	20–60	3	60	-	30	13.59	Sheibani et al. (2012)
32.	Orange peel	0.841 mm	0.1 g/100 mL	Fe(III)	30	3	360	-	Room temp.	18.19	Lugo-Lugo et al. (2012)
33.	Green tomato husk	0.075–0.150 mm	100 mg/10 mL	Fe(III)	10	6	-	-	20	19.83	García-Mendieta et al. (2012)
34.	Tamarind bark	-	2 g/50 mL	Fe(III)	20–120	2.5	180	200	25	11.75	Prasad and Abdullah (2009)
	Potato peel									7.87	
35.	Bengal gram husk	-	1 g/100 mL	Fe(III)	20–500	2.5	200	100	-	72.16	Ahalya et al. (2006)
36.	Sugarcane bagasse	-	5 g/L	Hg	76	4	180	700	30	35.71	Khoramzadeh et al. (2012)
37.	Gum karaya (<i>Sterculia urens</i>)	180–300 µm	1 g/L	Hg	10	6	60	200	25	62.5	Vinod et al. (2011)
38.	Garlic (<i>Allium sativum</i> L.) powder	0.02 mm	12.5 g/L	Hg	200×10 ⁻³		360	-	Room temp.	0.6497	Eom et al. (2011)
39.	Peat moss	1.0 m	0.125 g/25 mL	Hg	40–523	6	-	-	25	98.94	Bulgariu et al. (2009)
40.	Leaves of castor tree	125–150 µm	0.25 g/100 mL	Hg	5–100	5.5	120	-	Room temp.	37.2	Al Rmalli et al. (2008)
41.	Green tomato husk	0.075–0.150 mm	100 mg/10 mL	Mn	10	6	-	-	20	15.22	García-Mendieta et al. (2012)
42.	Maize stalks	150 µm	0.1 g/25 mL	Mn	40–1,000	5	90	100	35	16.61	El-Sayed et al. (2011)
43.	Pecan nutshell	250 µm	5.0 g/L	Mn	10–1,000	5.5	360	-	25	85.9	Vagheti et al. (2009)
44.	Black carrot residues	0.250 mm	-	Mn	1,000	5.5	360	-	20	3.871	Güzel et al. (2008)

4 Oil Palm Biomass: Potential Heavy-Metal Adsorbents

Oil palm (*Elaeis guineensis*) biomass is an important and low-cost agricultural waste that exhibits adsorption potential adequate to eliminate heavy metal ions from wastewater (Ibrahim et al. 2010; Ahmad et al. 2011). Oil palm is a tropical tree that originated from Africa. This species has geographically been spread to regions of 42 tropical countries in Africa, the Americas, and Asia. Oil Palm is worldwide covers approximately 27 million acres. Oil palm has been traditionally regarded as an important industrial crop, because it was also utilized for food, in medicine, in woven materials, and in wine over the past 5,000 years. At present, oil extracted from oil palm is used in cooking, cosmetics, pharmaceuticals, and as a bio-fuel (Mohammad et al. 2012). Furthermore, palm oil is one of the largest vegetable oil sources in the world and is a significant economic crop in tropical areas of Africa, America, and Asia, particularly in Southeast Asian countries, such as Indonesia and Malaysia (Kalinci et al. 2011).

Malaysia and Indonesia are among the largest producers of palm oil in the world, and produce approximately 85% of the world's total palm oil (Malaysia 41% and Indonesia 44%). The palm oil industry in Malaysia has expanded rapidly during the past 25 years. This expansion increased the total planted area of oil palm trees from 3.87 million ha in 2004 to 4.17 million ha in 2006 (Sulaiman et al. 2009). In addition, the amount of palm oil produced has increased from 2.5 million tons in 1980 to 17.8 million tons in 2009. Despite growth in area planted, and the oil high production, environmental concerns are increasing about the accumulation of huge quantities of produced biomass wastes (Rupani et al. 2010). Annually, approximately 184 million tons of palm oil residue worldwide, and 53 million tons of oil palm tree residue in Malaysia are generated; these amounts are increasing by ~5% annually (Mohammed et al. 2011).

Large amounts of several components of oil palm biomass are generated and utilized for various purposes. These components include oil and lignocellulosic materials, such as palm pressed fibers (PPF), kernel shells, empty fruit bunch (EFB), oil palm frond (OPF), oil palm trunks, oil palm bark (OPB), palm kernel cake, and palm oil mill effluent (POME) from palm oil production (Uemura et al. 2011). Lignocellulosic oil palm biomass is rich in carbohydrates and contains organic compounds such as cellulose, hemicelluloses and lignin that have numerous natural polymeric materials containing different functional groups that absorb heavy metal ions (Mahmoud et al. 2010). In Table 3, we depict the chemical composition of palm oil biomass.

Table 3 Chemical composition of oil palm biomass

Component	Chemical composition				
	EFB	Frond	Fiber	Trunk	Shell
Cellulose (%)	49.6	25.08	47.6	37.14	27.7
Hemicellulose (%)	18	24.06	25.7	31.8	21.6
Lignin (%)	21.2	18.46	14.1	22.3	44
Ash (%)	2	11.66	1.5	4.3	2.1

Oil palm biomasses can be converted to high-value by-products that can be used as energy sources, erosion control products, soil conditioner, animal feed, fertilizers, as well as in the furniture- and paper-making industries (Radzi bin Abas et al. 2004). Moreover, as we have explained above, palm oil biomass can serve to adsorb heavy metal ions from wastewater.

4.1 *Unmodified Oil Palm Biomass*

Ho and Ofomaja (2005) studied the kinetics and thermodynamics of Pb ion sorption from aqueous solutions of palm kernel fiber, and discovered that the kinetics followed a pseudo-second-order mechanism. Palm kernel fiber adsorbs Pb ions from aqueous solutions via a spontaneous and endothermic process. The activation energy and equilibrium sorption capacity of Pb ions on palm kernel fiber were determined as 13.5 kJ/mol and 49.9 mg/g at 65 °C, respectively. Salamatinia et al. (2007) assessed the sorption capacity of unmodified OPB, OPF, and EFB for Zn and Cu removal from wastewater. In this study, experiments were conducted in a batch system with 250 mL Cu and Zn solutions at 100 mg/L, using between 0.5 and 1.0 g of adsorbent. OPB, OPF, and EFB adsorbed Cu ions more efficiently than did Zn ions. The sorption capacities of the Zn ions by OPF and EFB were 51.5% and 46.0%, respectively. The Cu sorption capacities of OPF and EFB were 54% and 56.5%, respectively. OPB exhibited the lowest rate of Cu ion removal. Hossain et al. (2012) investigated the removal of Cu from water and wastewater by using untreated palm oil fruit shells as the adsorbent. The raw materials were washed, dried, and ground into powder (<75 mm). Results were that the equilibrium sorption capacity of Cu ranged between 28 and 60 mg/g at room temperature at pH 6.5. Palm oil fruit shells effectively acted as bio adsorbents and eliminated Cu ions from the tested wastewater. Chong et al. (2012) studied the application of oil palm shell as a constructed wetland medium and adsorbent to remove Cu (II) and Pb (II). Results indicate that oil palm shell can be used as filter bed media and can be applied in constructed wetlands to eliminate heavy metals, even without agitation. The sorption capacities determined for this adsorbent were respectively 1.756 and 3.390 mg/g for Cu (II) and Pb (II) ions.

Although unmodified biomass have advantages as adsorbents, they also cause certain problems. Such problems include low adsorption capacity, increased chemical oxygen demand (COD) and biological chemical demand (BOD), and increased total organic carbon (TOC) from release of soluble organics within the biomass. These effects of unmodified biomass adsorbents decrease the oxygen content of water and endanger aquatic life (Peng and Sun 2010). To overcome these disadvantages, and to improve adsorption properties, researchers have sought ways to modify these biomass wastes before using them as adsorbents. Modification is generally designed to improve sorption capacity by creating a charged surface and by increasing the heavy-metal-ion binding capacity (Tijani 2011). In Table 4, we summarize what effects of several unmodified oil palm biomass types have on heavy metal adsorption parameters.

Table 4 Performance parameters of unmodified oil palm biomass-based adsorbents for removing heavy metals

S. no.	Adsorbent	Adsorbate			Adsorption conditions			Adsorption capacity (mg/g)	References		
		Particle size	Dosage	Metals	Concentration (mg/L)	pH	Contact time (min)			Agitation speed (rpm)	Temp. (°C)
1.	Natural oil palm pressed fibers	250–500 mm	0.1 g/25 mL	Cu	5–25	6	120	250	Room temp.	2.41	Low et al. (1996)
2.	Palm pressed fibers	0.30–0.85 mm	–	Cu, Ni	50–100 × 10 ⁻³	6	–	–	–	–	Tan et al. (1996)
3.	Oil palm bark	–	0.5 g/250 mL	Cu	100	–	180	150	25	8.3	Salamatinia et al. (2007)
				Zn						6.3	
				Cu						8.6	
				Zn						6.4	
	Oil palm frond		0.5 g/250 mL	Cu						13.8	
				Zn						13	
			1 g/250 mL	Cu						13.5	
				Zn						12.9	
	Empty fruit bunch		0.5 g/250 mL	Cu						13.0	
				Zn						13.2	
			1 g/250 mL	Cu						14.1	
				Zn						12.3	
4.	Oil palm leaves	250–500 μ	0.5 g/50 mL	Cu	1–100	6	240	125	30	11.22	Sulaiman et al. (2010)
5.	Palm oil fruit shells	<75 μm	0.5 g/100 mL	Cu	10	6.5	600	120	Room temp.	59.502	Hossain et al. (2012)
6.	Bornean oil palm shell	6.5–8 mm	1 g/100 mL	Cu	10	4.1	480	150	–	1.756	Chong et al. (2013)
				Pb						3.390	
7.	Palm kernel fiber	50–60 μm	1 g/400 mL	Pb	120	5	–	200	65	49.9	Ho and Ofomaja (2005)

4.2 Modified Oil Palm Biomass

4.2.1 Chemical Modification

Results have shown that chemically modifying biomass improves heavy metal removal and sorption capacity. Biomass can be modified by treating it with different chemical agents (e.g., alkalis, acids, organic compounds, etc.). Such chemical modification increases the level of metal uptake by releasing certain soluble organic compounds within the biomass (Abdullah et al. 2009).

Tan et al. (1993) removed Cr (VI) from wastewater in batch and column systems by treating PPF and coconut husk (CHF). The substrates, after boiling in distilled water, were treated stepwise with 1.5 M NaOH, distilled water, 2 M HNO₃ and distilled water. In the batch system, Cr (VI) was efficiently removed at pH ranges of 1.5 to 3 and 1.5 to 5 by PPF and CHF, respectively. The sorption capacities of PPF and CHF are 14 and 29 Cr/g substrate at pH 2.0, respectively. In the column system, PPF and CHF removed Cr (VI) ions from wastewater at various flow rates and bed depths. These substrates were also used as barriers in landfills to prevent Cr (VI) from leaching. Low et al. (1996) showed that the amount of Cu removed from wastewater by dye-treated oil PPF was higher than that by an untreated PPF. The results obtained from batch and column tests indicated that the use of PPF to remove Cu (II) ions was efficient. The sorption capacities of natural and dye-coated PPFs were 2.41 and 7.71 mg/g, respectively; the sorption capacity of these adsorbents was dependent on pH and Cu ion concentration in the solution. Further, Abia and Asuquo (2008) compared the sorption capacities of modified and unmodified oil palm fruit fibers as adsorbents to remove Pb and Cd ions from wastewater. Chemically modified adsorbents (treated with 0.3 HNO₃) increased the sorption capacities of Pb and Cd to 5.579 and 7.980 mg/g, respectively.

Salamatinia et al. (2006) modified OPF by applying a chemical pre-treatment and then using it to remove Zn and Cu ions from wastewater. Different pre-treatments (e.g., acid, base, steam, and reactive dye) were used to improve the sorption capacity of OPF. OPF treated with a base (1.0 M NaOH) for 45 min at 25 °C showed the highest improvement in heavy metal removal capacity (64%). The effect of base concentration was greater than the effect of treatment time. Abia and Asuquo (2007) compared the effects of unmodified and mercaptoacetic acid-modified oil palm fruit fiber to sorb Cd (II) and Cr (III) from wastewater. The sorption equilibrium of both metals was reached after 1 h. The modified adsorbent exhibited better removal efficiency, because the thiolation reaction influenced adsorbent behavior. In addition, the rate of Cr (III) ion removal by both adsorbents was higher than that of Cd (II) ion removal. The intraparticle diffusion rate constants of Cd (II) ion were 62.04, 67.01, and 71.43 min⁻¹; for Cr (III) these values were 63.41, 65.79, and 66.25 min⁻¹. Akaninwor et al. (2007) analyzed the efficacy of thioglycolic-modified oil palm fiber to remove Fe, Zn, and Mg ions from wastewater. In Southern Point tests, the highest sorption capacities for Fe (II), Zn (II), and Mg (II) were respectively 83.6%, 75.6%, and 50.8%; in Northern Point tests, the highest sorption capacities for Fe

(II), Zn (II), and Mg (II) were 79.1%, 78.3%, and 77.5%, respectively at pH 6. Therefore, the removal efficiency of these ions was influenced by pH and ionic size. The volume of adsorbed Fe (II) was the highest, followed by Zn (II) and Mg (II).

Abdullah et al. (2009) improved heavy metal sorption by treating OPF with 0.1 and 1.1 M NaOH for a maximum of 5 h. The maximum sorption capacities of Zn and Cu removal were 61.5% and 64.0%, respectively, under the following optimum conditions: 1.0 g of OPF treated with 1.0 M NaOH in 250 mL of 100 mg/L Zn and Cu solutions for 45 min. NaOH treatment improved the sorption capacity by increasing the rate of metal binding. Haron et al. (2009) used hydroxamic acid-modified EFB for Cu (II) sorption. The raw material was grafted by treatment with polymethylacrylate and then was treated with hydroxylammonium chloride, thereby decreasing the intensity of the adsorption band from $1,734\text{ cm}^{-1}$ to $1,640\text{ cm}^{-1}$. An absorption band was also obtained at $1,568\text{ cm}^{-1}$, which corresponds to the N–H amide group. Therefore, a new maximum sorption capacity of 74.1 mg/g was obtained at 25 °C and at pH 4 to 6 by a spontaneous and exothermic process. As a result, hydroxamic acid grafted oil palm empty fruit bunch (PHA-OPEFB) can be used as an adsorbent to remove Cu (II) from wastewater. In Table 5, we summarize how different heavy metal ions are adsorbed by chemically modified forms of oil palm biomass.

4.2.2 Thermal Modification (Activated Carbon)

Activated carbon is widely used as an adsorbent to eliminate heavy metals from wastewater, because this substance exhibits good adsorption properties as a result of having numerous tiny pores and a large surface area. When choosing adsorbents cost is important, and using activated carbons commercially generally increases adsorption costs. Therefore, utilizing other more cost-effective adsorbents that are environmentally friendly, such as agricultural wastes, have been investigated. As previously mentioned, researchers have investigated oil palm biomasses an alternative adsorbent, because these materials are great sources of high-quality and low-cost activated carbon.

Wan Nik et al. (2006) utilized shell waste from palm oil trees to produce activated carbon as a heavy metal adsorbent. The activated carbon produced by phosphoric acid-treated raw material was used to adsorb Cu, Pb, Cr, and Cd. This treatment decreased the concentration of inorganic elements and increased the surface area of the activated carbon. The optimum Brunet Elmer Teller (BET) surface area ($1,058\text{ m}^2/\text{g}$) and pore diameter (20.64 nm) were obtained under the following controlled conditions: 30% phosphoric acid concentration and an activation temperature of 500 °C, with a holding time of 2 h. The adsorption capacities of Cr, Pb, Cd, and Cu were 100%, 99.8%, 99.5%, and 25%, respectively. Issabayeva et al. (2006) analyzed the sorption capacity of Pb from wastewater by using a commercially available palm shell activated carbon. This form of activated carbon can be efficiently used as an adsorbent to remove heavy metals, particularly Pb ions, from wastewater with a high adsorption capacity of 95.2 mg/g at pH 5. The effect of adding malonic acid and boric acid on the sorption capacity of Pb ions was also examined. Boric acid

Table 5 Performance parameters of chemically modified oil palm biomass-based adsorbents for removing heavy metals

S. no.	Adsorbent	Adsorbate				Adsorption conditions				Adsorption capacity (mg/g)	References
		Particle size	Dosage	Metals	Concentration (mg/L)	pH	Contact time (min)	Agitation speed (rpm)	Temp. (°C)		
1.	Dye-treated oil palm pressed fibers	250–500 µm	0.1 g/25 mL	Cu	5–25	6	120	250	Room temp.	7.71	Low et al. (1996)
2.	Palm kernel fiber	50–60 µm	1 g/100 mL	Cu	50–250	5.01	60	200	26	–	Ho and Ofomaja (2006a)
3.	Treated oil palm frond	–	1 g/250 mL	Cu, Zn	100	–	30	150	25	–	Abdullah et al. (2009)
4.	Hydroxamic acid modified oil palm empty fruit bunch	100–200 µm	0.1 g/20 mL	Cu	100	4	120	–	25	74.1	Haron et al. (2009)
5.	Palm kernel fiber	50–60 µm	1 g /100 mL	Cu	90.24	5.1	1.5	200	24	20.12	Ofomaja (2010)
6.	Palm pressed fibers	0.30–0.85 mm	0.40 g/L	Cr (VI)	20	2	120	–	–	14	Tan et al. (1993)
7.	Treated oil palm fuel ash	0.5–1.0 × 10 ⁻³ mm	–	Cr	–	6	–	300	25	16.11	Chu and Hashim (2003)
8.	Acetic acid modified oil palm fruit fiber	106 µm	0.5 g/L	Cr (III), Cd	50	–	120	–	28	–	Abia and Asuquo (2007)
9.	Oil palm fruit fiber	106 µm	0.5 g/100 mL	Ni, Pb	50	6.2	120	–	28	–	Abia and Asuquo (2006)
10.	Modified oil-palm fibre	106 µm	1 g/50 mL	Zn, Mn, Fe(III)	–	6	60	–	–	–	Akaninwor et al. (2007)
11.	Modified oil palm fruit fiber	106 µm	0.5 g/100 mL	Pb	50	6.2	60	–	28	5.579	Abia and Asuquo (2008)
12.	Palm kernel fiber	50–60 µm	0.6 g/400 mL	Pb	120	5	20	200	36	7.980	Ho and Ofomaja (2006b)

enhanced the total amount of Pb removed, particularly at pH 5. By contrast, malonic acid decreased adsorption because an aqueous Pb-malonate complex was formed. Iyagba and Opete (2009) used palm kernel shell- and husk-activated carbon as adsorbents in a batch test to remove Cr and Pb from wastewater. The removal rate of Cr and Pb depends on pH, contact time, and adsorbent concentration; the highest removal rates were obtained at an optimum pH of 3 and 5 for Cr and Pb, respectively. Equilibrium times were 90 and 120 min for the activated palm kernel shell and activated palm kernel husk, respectively. The maximum sorption rates for Cr and Pb were 90% and 88%, respectively, and these rates were achieved at an adsorbent loading of 4 g.

Considering adsorbent and method costs as well as adsorption efficiency of heavy metals in industrial wastewater, Nomanbhay and Palanisamy (2005) utilized chitosan-coated acid-treated oil palm shell charcoal to remove Cr ions from polluted industrial wastewater. The adsorption capacity (154 mg Cr/g at 25 °C) of this adsorbent was estimated by using a Langmuir isotherm model under equilibrium conditions. After adsorption was completed, the adsorbent was regenerated with 0.1 M of sodium hydroxide. This adsorbent was technically feasible, environmentally friendly, and highly efficient. Sugawara et al. (2007) used a carbonaceous adsorbent from palm shell to remove Pb^{2+} and Zn^{2+} from wastewater. This adsorbent was prepared by pyrolysis and sulfur impregnation. The pyrolyzed samples with KOH were sulfurized with impregnated H_2S to produce a sulfur-impregnated char exhibiting heavy metal sorption capability. Sulfur impregnation increased sulfur content and enhanced adsorption capacity. Alam et al. (2008) used activated carbon made from empty fruit bunches of oil palm to remove Zn ion from polluted wastewater. The samples were thermally activated at 500, 750, and 1,000 °C for 15, 30, and 45 min. The activated carbon obtained at 1,000 °C for 30 min showed the maximum sorption capacity of 1.63 mg/g, at which 98% of Zn concentration was removed from the wastewater. Wahi et al. (2009) assessed the ability of activated carbon from palm oil EFB to remove Hg, Pb, and Cu from wastewater. The adsorption efficiencies of activated carbon made from EFB for Pb (II), Hg (II), and Cu (II) were 100%, 100% and 25%, respectively. The sorption of these ions by activated carbon of EFB was dependent on the amount of adsorbent and the initial concentration of the metals. Therefore, EFB in the form of activated carbon can be used as an effective adsorbent to remove heavy metals and solve environmental problems caused by high amounts of agricultural wastes.

Granular activated carbon made from palm kernel shell can also be used as an adsorbent to remove Cu, Ni, and Pb ions from industrial wastewater (Onundi et al. 2010). The sorption capacities for Pb, Cu, and Ni were 1.337, 1.581, and 0.130 mg/g, respectively. These values were obtained under the following optimum conditions: pH 5 and 1 g/L of adsorbent. The following equilibrium time was obtained: for Pb, 30 min; for Cu and Ni, 75 min. The proportions of metal ion removal achieved at equilibrium were 100%, 97%, and 55% for Pb, Cu, and Ni: $Pb(II) > Cu(II) > Ni(II)$. Kabbashi et al. (2011) analyzed the adsorption efficiency of an empty-fruit-bunch activated carbon to remove Hg (II) from wastewater. Hg binding was influenced by pH, mixing speed, sorbent concentration and contact time. The sorption capacity of

99.53% was obtained under the following conditions: pH 6.5; mixing speed, 100 rpm; contact time, 70 min; and sorbent concentration, 20 mg. Isa et al. (2008) conducted batch tests with sulfuric acid and heat-treated oil palm fiber to remove Cr(VI) from wastewater. The results showed that the removal efficiency for Cr(VI) was dependent on pH, contact time, initial Cr concentration, and amount of adsorbent used. Oil palm fiber can be used as an inexpensive adsorbent to remove Cr(VI) from wastewater.

Chemical modifications produce increased sorption capacity. Nwabanne et al. (2011) and Nwabanne and Igbokwe (2012) used oil palm empty-fruit-bunch activated carbon and oil-palm-fiber activated carbon in a packed bed column to remove Pb(II) from wastewater. Adsorption efficiency was dependent on initial ion concentration, bed height, and flow rate. Sorption capacity was improved as initial ion concentration and bed height increased, because metals can access more sorption sites under these conditions. By contrast, sorption capacity decreased as flow rate increased, because of decrease time for saturation. Gulnaziya et al. (2012) used commercial untreated palm shell activated carbon (PSAC) and modified PSAC by *Aspergillus niger* and *Bacillus subtilis* to remove Pb ion from wastewater. The experiments were conducted in a batch system at pH 3 to 6 with 20 mg/L to 300 mg/L of Pb. At pH 6, the highest values of Pb uptake were recorded for PSAC-*B. subtilis*, PSAC-*A. niger*, and the original PSAC uptake values were 74, 72, and 65 mg Pb/g, respectively. At pH 3, the lowest uptake values were obtained: 34, 37, and 40 mg Pb/g, respectively. Therefore, biomodification of a PSAC matrix can enhance sorption capacity of Pb ions (90%).

Rahman et al. (2012) assessed the adsorption capacity of chemically-modified activated carbon of palm shell to eliminate Cr, Pb, Cd, and Cu ions from polluted aqueous solutions by using a water filtration column. Palm shells were converted to activated carbon that had a large pore surface area ($1,058 \text{ m}^2/\text{g}^{-1}$) and a large pore size (20.64 nm diameter) under the following optimum conditions: treatment with 20% H_2SO_4 in solution at 24 h in 30% H_3PO_4 solution, and maintained at 500°C for 2 h. The adsorption capacities of this adsorbent were 100%, 99.8%, 99.5%, and 25% for Cr, Pb, Cd, and Cu, respectively. In Table 6 we summarize how different heavy metal ions are adsorbed by oil palm biomass carbonaceous adsorbents.

5 Conclusions

The significant increase in production and use of heavy metals in industry has contributed to environmental pollution as a result of the release of high amounts of contaminated water. This increasing heavy metal pollution of waters threatens human health and the environment. Different methods have been used to remove heavy metals from wastewater for the purpose of improving the quality of water that is ultimately discharged to the environment. Although no single method is completely successful in eliminating heavy metals from water, some adsorption solutions produce high quality effluents at relatively low cost. The nature and type of

Table 6 Performance parameters of thermally modified oil palm biomass-based adsorbents for removing heavy metals

S. no.	Adsorbent	Adsorbate				Adsorption conditions				References	
		Particle size	Dosage	Metals	Concentration (mg/L)	pH	Contact time (min)	Agitation speed (rpm)	Temp. (°C)		Adsorption capacity (mg/g)
1.	Oil palm ash	-	2.5 g/L	Ni (II)	40	5	120	200	25	9.9	Chu and Hashim (2003)
2.	Palm shell activated carbon	0.8–1.0 mm	-	Pb	10–700	5	-	150	27	95.2	Issabayeva et al. (2006)
						3				82.0	
3.	Palm oil empty fruit bunch activated carbon	0.5–1.0 mm	1 g/100 mL	Cu	10–20	4.5	-	150	29–31	0.84	Wahhi et al. (2009)
				Hg						52.67	
				Pb						48.96	
4.	Activated carbon from palm kernel shell	1.68–2.38 mm	1 g/L	Cu	2.0	5	120	100	27	1.581	Onundi et al. (2010)
				Ni						0.130	
				Pb						1.337	
5.	Modified activated carbon from waste palm shell	2.0 mm	-	Cu	100		-	-	-	75.404 × 10 ⁻³	Rahman et al. (2012)
				Cr						-	
				Pb						0.204 × 10 ⁻³	
				Cd						0.455 × 10 ⁻³	
6.	Acid-treated oil palm shell charcoal coated with chitosan	100–150 µm	40 g/L	Cr	20	4	180	200	25	154	Saifuddin and Kumaran (2005)
7.	Empty fruit bunch activated carbon	250 µm	10–30 mg/50 mL	Hg	0.1	6.5	70	100	-	-	Kabbashi et al. (2011)
8.	Sulphuric acid and heat-treated oil palm fiber	-	0.5 g/100 mL	Cr (VI)	20	1.5	360	350	28	-	Isa et al. (2008)
9.	Palm kernel shell	-	2 g/50 mL	Cr, Pb	-	3	90	-	-	-	Iyagba and Opete (2009)
	Palm kernel husk									120	

(continued)

adsorbent used is critical in influencing the ultimate adsorption efficiency achieved. In general, an adsorbent is considered to be good when it is cost effective, available, environmentally friendly, and does not require a lot of processing. The use of palm oil biomasses as adsorbents to remove heavy metals from contaminated water has been studied by numerous researchers. These adsorbents have specific characteristics that offer several advantages that include: low cost, high absorption capability, environmentally friendly, and biodegradable. If processed appropriately, palm oil biomasses are efficient adsorbents that have extraordinary absorption capability for eliminating heavy metals from waste streams.

In this paper, we have reviewed and compared the adsorption efficiency of several different palm oil biomasses for heavy metals. Increasingly, bio adsorbents like palm oil biomasses are being considered as alternatives to replace conventional adsorbents for removing heavy metals from waste streams. In addition, scientists are working to chemically or structurally modify palm oil biomasses to improve their performance characteristics. Results indicate that such modification can improve sorption capacity by creating a charged surface and by increasing the heavy metal ion binding capacity. Although palm oil biomasses (modified and unmodified) represent good alternatives for replacing commercial adsorbents, additional information on their performance is needed if they are going to be useful for applications at the industrial scale. Developing a multipurpose adsorbent that can remove multiple pollutants from industrial effluents is a reasonable future goal, if the proper research work is undertaken and is successful. From our review, we have concluded that more information is specifically needed in the following areas:

- More complex adsorbents capable of treating industrial wastewater must be investigated.
- Detailed regeneration studies must be performed to enhance the understanding of the economic feasibility of using bio adsorbents such as palm oil biomass. To date, few regeneration studies have been reported. Regeneration studies will determine the reusability of adsorbents made from palm oil biomasses and will contribute to their effectiveness.
- In work performed to date, cost information on oil palm biomasses as adsorbents is seldom addressed or reported in publications. Such cost information is urgently needed. Although modified biomasses can enhance the adsorption of heavy metal ions, the expense of chemicals used and methods of modification also have to be taken into consideration if low-cost adsorbents are to be developed.
- The potential of oil palm biomasses as adsorbents for multi-component pollutants must be assessed. Moreover, these materials must be tested under real industrial effluent conditions. Having such data would significantly assist in moving toward the potential commercial use of biomasses to treat and clean industrial pollution.
- Most researchers have studied oil palm biomass adsorption only in small scale batch processes. Research must now be extended to the pilot-plant scale to better assess oil palm biomass as adsorbents feasible for use at the commercial and industrial scale.

6 Summary

Many industries discharge untreated wastewater into the environment. Heavy metals from many industrial processes end up as hazardous pollutants of wastewaters. Heavy metal pollution has increased in recent decades and there is a growing concern for the public health risk they may pose. To remove heavy metal ions from polluted waste streams, adsorption processes are among the most common and effective treatment methods. The adsorbents that are used to remove heavy metal ions from aqueous media have both advantages and disadvantages. Cost and effectiveness are two of the most prominent criteria for choosing adsorbents. Because cost is so important, great effort has been extended to study and find effective lower cost adsorbents. One class of adsorbents that is gaining considerable attention is agricultural wastes. Among many alternatives, palm oil biomasses have shown promise as effective adsorbents for removing heavy metals from wastewater. The palm oil industry has rapidly expanded in recent years, and a large amount of palm oil biomass is available. This biomass is a low-cost agricultural waste that exhibits, either in its raw form or after being processed, the potential for eliminating heavy metal ions from wastewater. In this article, we provide background information on oil palm biomass and describe studies that indicate its potential as an alternative adsorbent for removing heavy metal ions from wastewater. From having reviewed the cogent literature on this topic we are encouraged that low-cost oil-palm-related adsorbents have already demonstrated outstanding removal capabilities for various pollutants.

Because cost is so important to those who choose to clean waste streams by using adsorbents, the use of cheap sources of unconventional adsorbents is increasingly being investigated. An adsorbent is considered to be inexpensive when it is readily available, is environmentally friendly, is cost-effective and be effectively used in economical processes. The advantages that oil palm biomass has includes the following: available and exists in abundance, appears to be effective technically, and can be integrated into existing processes. Despite these advantages, oil palm biomasses have disadvantages such as low adsorption capacity, increased COD, BOD and TOC. These disadvantages can be overcome by modifying the biomass either chemically or thermally. Such modification creates a charged surface and increases the heavy metal ion binding capacity of the adsorbent.

Acknowledgements The authors acknowledge the research grant provided by the Universiti Sains Malaysia under the Short Term Grant Scheme (Project No. 304/PTEKIND/6312008).

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Environmental Fate and Toxicology of Chlorothalonil

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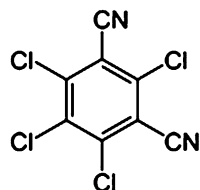
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1 Introduction

The fungicide chlorothalonil (2,4,5,6-tetrachloro-1,3-benzenedicarbonitrile; CAS 1897-45-6; Fig. 1) was introduced in 1965 by Diamond Shamrock Corp. and was first registered in 1966 for use on turfgrass within the United States. An additional registration was granted 4 years later for use on potatoes, marking it the first approved food crop for application (US EPA 1999). It is formulated as concentrates,

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Fig. 1 Chlorothalonil structure



powders, and granules, among other registered formulations. Some of the prominent products containing chlorothalonil as the active ingredient include Bravo[®], Daconil[®] and Sweep[®] (US EPA 1999). These or other chlorothalonil formulations have been applied to crops such as celery, beans, peanuts, and peaches, among others. Within the USA, approximately 34% of the total chlorothalonil applied is used on peanuts, 12% on potatoes and 10% on golf courses (US EPA 1999).

Chlorothalonil is a broad spectrum, non-systemic, organochlorine fungicide and mildewicide. It is principally used to control fungal foliar diseases on various fruits, vegetables, ornamentals and turf (US EPA 1999). Chlorothalonil's success as an anti-fouling paint additive and wood protectant qualified it to replace organotin biocides such as tributyltin; however, once applied, it is slowly released into waterways and potentially contaminates surface water bodies (Sakkas et al. 2002). Although surface waters near marinas in San Diego, CA were monitored for such antifouling residues, none were detected above a detection limit of 10 ng/L (Sapozhnikova et al. 2007). In California, surface and groundwater were monitored for chlorothalonil residues from 1993 to 2000. Of the samples collected (705 total) from USGS water monitoring stations, only one surface water sample contained chlorothalonil at a concentration of 0.29 µg/L (USGS NAWQA; US EPA 2007).

Chlorothalonil has a low water solubility and is moderately persistent in soils, having half-lives ($t_{1/2s}$) up to 19 days. Because of its water solubility, the potential for chlorothalonil to impact groundwater is low; however, it has been found to highly impact aquatic organisms (US EPA 1999). The environmental fate of chlorothalonil was last reviewed in the mid 1990s (Caux et al. 1996). The goal of this paper is to review the relevant literature that has appeared since 1996, focusing on chlorothalonil's chemistry, environmental fate and toxicity.

2 Chemistry

Chlorothalonil is a chloronitrile fungicide (Tomlin 2000), and specifically is a polychlorinated aromatic (US EPA 1999). Technical grade chlorothalonil is an odorless or slightly pungent, colorless crystalline solid. Chlorothalonil is insoluble in water (at 25 °C), but is slightly soluble in kerosene, acetone and xylene, and this compound strongly adsorbs to soil and sediment. Chlorothalonil is denser than water, potentially susceptible to hydrolysis under alkaline conditions, stable against photolysis and is degraded by both aerobic and anaerobic microbes. Additional physiochemical properties of chlorothalonil are presented in Table 1.

Table 1 Physicochemical properties of chlorothalonil

Chemical Abstracts Service registry number (CAS#) ^a	1897-45-6
Molecular Formula ^a	C ₈ Cl ₄ N ₂
Molecular weight (g/mol) ^a	265.9
Density at 20 °C (g/mL) ^a	2.0
Melting point (°C) ^a	252.1
Octanol-water partition coefficient (log K_{ow}) ^b	2.88
Organic carbon normalized partition coefficient (K_{oc}) ^c	5,000
Vapor pressure at 25 °C (torr) ^b	5.72×10^{-7}
Henry's law constant atm m ⁻³ mol ^b	1.4×10^{-7}
Solubility (g/kg) ^a	0.81
Water (mg/L)	<10
Kerosene	20
Acetone	80
Xylene	

^aData from Tomlin (2000)^bCA DPR Risk Characterization Document (2005)^cData from Waltz et al. (2002)

3 Chemodynamics

3.1 Soil

Chlorothalonil has the potential to strongly adsorb to soil and sediment, as indicated by its high K_{oc} constant. Adsorption isotherms on five clay minerals (montmorillonite, Na-bentonite, Ca-bentonite, allophone and kaolinite) and three soils, having an organic carbon content of 1.1, 1.4 and 5.2%, respectively, were investigated by Fushiwaki and Urano (2001). Based on the Freundlich isotherm equation, chlorothalonil had a lower adsorption capacity (k_f values ranged from 70 to 2,000) than did pentachlorothioanisole (k_f values ranged from 4,400 to 30,000). In addition, n -values ranged from 1.3 to 1.8 for each of the soils and clays (Fushiwaki and Urano 2001). Furthermore, the adsorption rate was not linked to organic carbon content; however, it may be influenced by inorganic matter.

Patakioutas and Albanis (2002) investigated the trend between adsorption and organic matter (OM) content. Soils of varying OM content and varying concentrations of chlorothalonil (0.1–0.5 mg/L) produced three adsorption isotherm shapes. As soil OM content increased, the shape of the isotherm changed from S- to L- to C-shape and k_f values respectively ranged from 96.3 to 1,356.9 (Patakioutas and Albanis 2002). The results of this study illustrated the strength of OM in immobilizing pesticides.

Chlorothalonil adsorbs most strongly to soils that have high organic matter, silt and clay. It has a low affinity to bind to sand, thus it is moderately to highly mobile in sandy soils (US EPA 1999). To investigate this, Gamble et al. (2000), analyzed the distribution of chlorothalonil among a quartz sand soil. The soil (Simcoe: 90–95% quartz sand) was placed in solution microcosms. After 14 days, 43.3% of the

chlorothalonil remained in solution, 26.2% resided in the labile sorbed state and 30.5% existed as a bound residue. It is thought that the 5–10% non-quartz material was responsible for sorbing the measured bound residues (Gamble et al. 2000).

The half-life of chlorothalonil that had been applied to a low-humic sandy soil was 12 days; 45% of the parent compound had been transformed into one major metabolite hydroxychlorothalonil (van der Pas et al. 1999). Furthermore, movement of this metabolite through the soil was decreased from adsorption, although low concentrations were measured in groundwater (van der Pas et al. 1999). Wang et al. (2009) determined the half-lives for chlorthalonil on both non-sterilized and sterilized non-amended soil (containing sandy loam, sand and clay) to be 8.8 and 19 days, respectively.

To address the possibility of soil runoff, Potter et al. (2001) investigated degradation rates and soil surface residues from peanut plots (Tifton loamy sand) treated with seven successive chlorothalonil applications (1.25 kg/ha; 2-week intervals). Soil residues were highest following the second application, however concentrations decreased as plant canopies obstructed disposition. Half-lives were determined for both chlorothalonil ($t_{1/2} < 1-3.5$ days) and its primary product 4-hydroxychlorothalonil ($t_{1/2} = 10-22$ days); further breakdown products had half-lives 10–20 times longer than chlorothalonil (Potter et al. 2001). Waltz et al. (2002) also confirmed that the known metabolite hydroxychlorothalonil (HC) is more persistent in soil compared to its parent. In summary, chlorothalonil is regarded to remain bound to soil, primarily because it has low water solubility and a high Koc constant.

3.2 Water

Pesticides that are used on turf grasses and other vegetation pose a potential risk of leaching into groundwater. Wu et al. (2002) evaluated chlorthalonil's potential to leach and the distance it travels in soil. Because of its low water solubility, chlorothalonil displayed a negligible tendency to leach in soil, as evidenced by its retention in the upper 0.2 cm thatch layer in soil samples collected before, and 0, 2, 7, 15, 30, 61, 83, and 120 days following treatment (Wu et al. 2002). Armbrust (2001) measured leachate for both chlorothalonil and its degradate, hydroxylchlorothalonil (HC). Of 130 samples analyzed, HC was found in 87% of the samples, but chlorothalonil was detected in only one. Although HC is persistent in soil, the evidence indicates that it is rapidly photodegraded under aqueous conditions and has a half-life of 35 min; hence, HC should not pose a potential risk to surface water (Armbrust 2001).

The potential for chlorothalonil to run off of application sites was simulated by Haith and Rossi (2003). Mean annual runoff concentrations for golf course greens, in three U.S. cities (Boston, Philadelphia and Rochester) were determined to be 0.477, 0.699 and 0.372 mg/L, respectively, whereas for fairways, concentrations were 0.296, 0.450 and 0.256 mg/L, respectively (Haith and Rossi 2003). For both

greens and fairways, these measured concentrations exceeded the aquatic 96-h LC₅₀ values for both the rainbow trout and water flea. The use of chlorothalonil on peanut fields, particularly in U.S. regions that have increased rainfall, increases the potential to contaminate local streams and ponds. However, the presence of increased plant foliage may decrease leaching of this chemical, although the degree of foliar wash-off for chlorothalonil has not been determined (Potter et al. 2001).

3.3 Air

The rate of volatilization of chlorothalonil from water, dry and moist soil is low, as predicted by its having low vapor pressure and Henry's law constant values (Table 1). Because of the low vapor pressure, initial volatilization is slow and volatility loss continues over a longer time period (Leistra and Van den Berg 2007). In general, the volatilization of chlorothalonil can be regarded as negligible and does not represent a significant dissipation route.

Bedos et al. (2010) measured chlorothalonil levels in air shortly after the fungicide was applied to wheat (theoretical application dose of 880 g/ha; application volume of 150 L/ha) in May of 2006. Measurable air concentrations were recorded in the human breathing zone (0.68 m above the soil) following the application. A cumulated volatilization flux, after 31 h, was determined to be 5 g/ha, respectively, which represents an approx. loss of 0.6% of the theoretical application dose. Air concentrations decreased slightly over 6 days (from 28 µg/m³ to 64 ng/m³), and a volatilization flux of 17.5 g/ha was estimated for this compound (Bedos et al. 2010).

4 Environmental Degradation

4.1 Abiotic Processes

4.1.1 Hydrolysis

Chlorothalonil is stable to hydrolysis at pH 5 and 7 (Szalkowski and Stallard 1977; US EPA 1999). However, under basic conditions (pH 9), the compound degrades to form two products: 3-cyano-2,4,5,6-tetrachlorobenzamide and 4-hydroxyl-2,5,6-trichloroisophthalonitrile (Szalkowski and Stallard 1977). Kwon and Armbrust (2006) proposed that the pathway for chlorothalonil degradation in aquatic systems would proceed by reductive dechlorination, oxidative dechlorination/hydrolysis and base hydrolysis (Fig. 2). The US EPA (1999) reported a hydrolysis half-life value for chlorothalonil of 30–60 days.

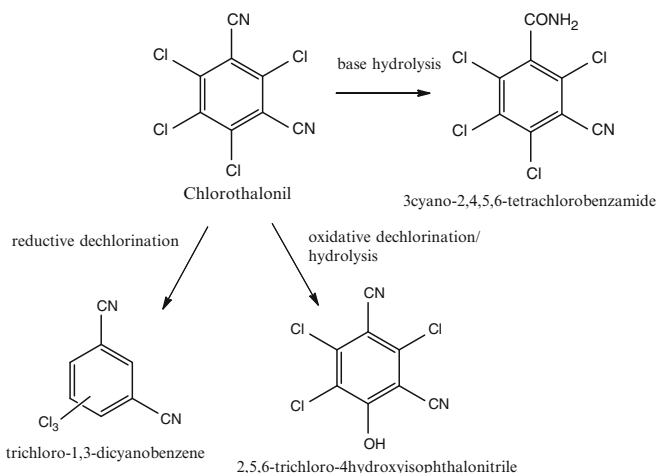


Fig. 2 Proposed aquatic degradation pathway for chlorothalonil (Adapted from Kwon and Armbrust 2006)

4.1.2 Photolysis

Aqueous dissolved concentrations of chlorothalonil absorb sunlight within the wavelength range of 300–340 nm, and direct photolysis represents a major degradation pathway for this fungicide (Leistra and Van Den Berg 2007). Chlorothalonil, exposed directly to light (300–400 nm) photolytically degraded more rapidly in natural waters (DT_{50} =0.21–0.76 days) than in a buffered aqueous system (pH 7; DT_{50} =1.1 days; Wallace et al. 2010). Monadjemi et al. (2011) investigated the photodegradation of chlorothalonil on a simulated plant surface, specifically using paraffin wax (irradiated at wavelengths between 300 and 800 nm). A field-extrapolated half-life of 5.3 days resulted, and suggested that chlorothalonil is susceptible to direct photolysis, in addition to surface penetration. In addition, these authors found the main degradation route was via reductive dechlorination (Monadjemi et al. 2011). Waltz et al. (2002) studied the photodegradation of hydroxychlorothalonil (HC), chlorothalonil's major hydrolytic metabolite. Results were that HC in the water samples exposed to simulated sunlight (via use of lamps) absorbed radiation, and this substance was photolyzed with a $t_{1/2}$ of 33–37 min).

Degradation of chlorothalonil via the Fenton reaction (Fe^{3+}/H_2O_2 ; Fig. 3) was effectively archived by Park et al. (2002). Half-lives were determined under dark ($t_{1/2}$ =77 min) and UV irradiated conditions ($t_{1/2}$ =49.5 min), and results indicate that breakdown was enhanced by increased ferric ion concentrations (dark $t_{1/2}$ =31.7 min and UV $t_{1/2}$ =16.9 min). This reaction proceeds by dechlorination of chlorothalonil.

Penuela and Barcelo (1998) investigated the influence of water quality and photosensitizers (TiO_2 and $FeCl_3$) on the degradation of chlorothalonil, by using a xenon arc lamp and natural sunlight. They found that the $t_{1/2}$ of chlorothalonil in deionized

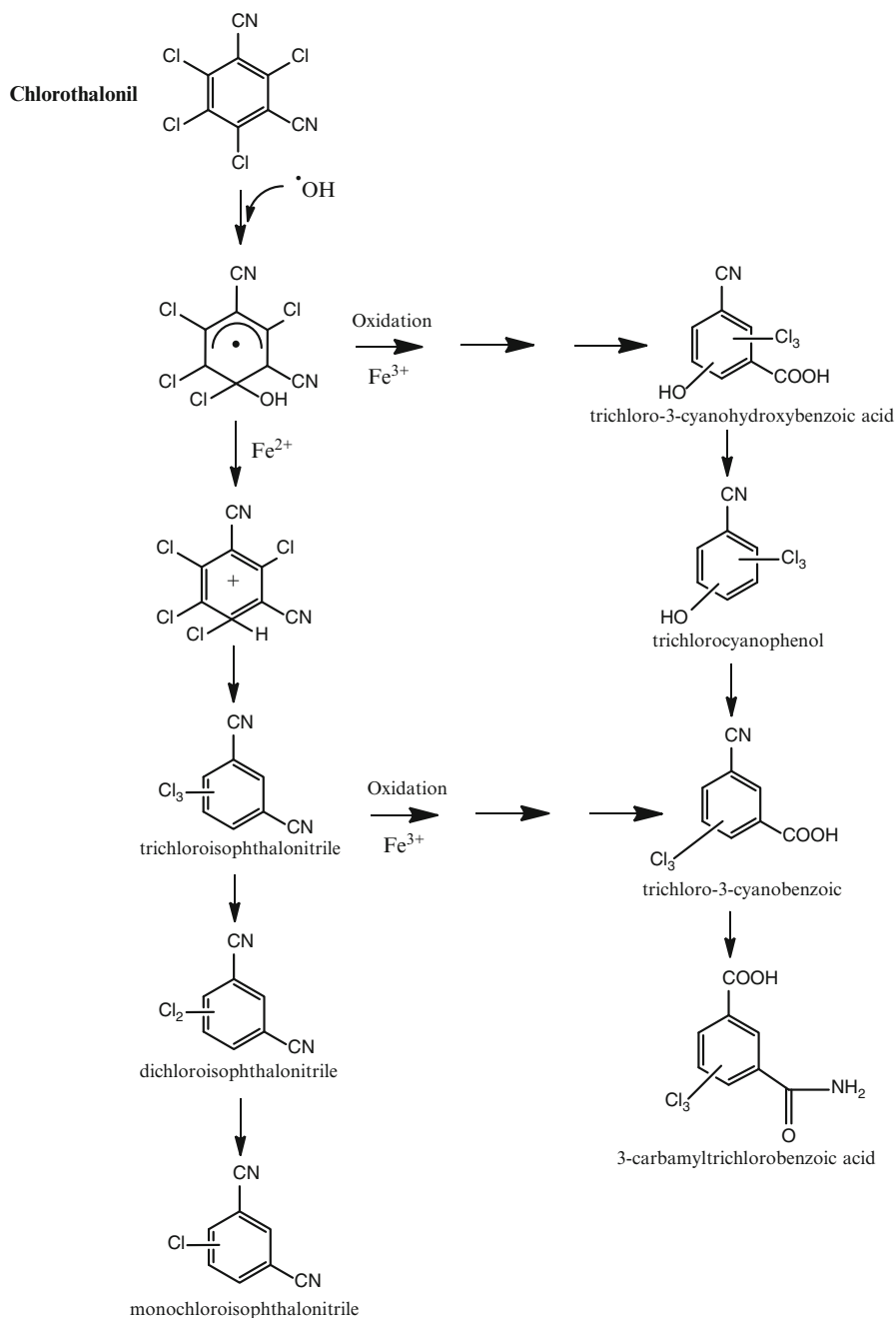


Fig. 3 Proposed breakdown pathway of chlorothalonil treated with Fenton reagent ($\text{Fe}^{3+}/\text{H}_2\text{O}_2$). (Adapted from Park et al. 2002)

water (101.17 h; sunlight) was longer than deionized water irradiated under a xenon lamp (36.86 h); groundwater irradiated with the lamp had a half-life of 0.71 h. Moreover, addition of the photosensitizers decreased half-lives as well; FeCl_3 was a better catalyst under lamp irradiated water ($t_{1/2} = 1.37$ h) than water irradiated by sunlight ($t_{1/2} = 4.24$ h). The results of this study demonstrated that degradation follows first-order kinetics in the presence of catalysts (Penuela and Barcelo 1998).

Studies by Sakkas et al. (2002) also showed that photolysis of chlorothalonil follows pseudo-first order kinetics. The photolytic degradation in waters from a river and a lake was determined to be more rapid (99% loss within 60 h) than in distilled or seawater (67 and 72% loss, respectively), when irradiated under both natural and simulated conditions. Major photoproducts (viz., chloro-1,3-dichlorobenzene, dichloro-1,3-dicyanobenzene, trichloro-1,3-dicyanobenzene and benzamide) were identified in this study (Sakkas et al. 2002). It is thought that the presence of dissolved organic matter (DOM) and other photosensitizers may have enhanced the rate of photodegradation. To investigation, studies which included photosensitizers indicated that increased concentrations of bicarbonate promoted degradation rates, whereas, degradation via carbonate radicals ($\cdot\text{CO}_3^-$) dominated under situations, in which degradation via the hydroxyl radical ($\cdot\text{OH}$) was minimal (Wallace et al. 2010). In summary, direct photolysis of chlorothalonil proceeds rapidly and is enhanced by the presence of photosensitizers.

4.2 Biotic Processes

Microbial digestion is thought to be the primary pathway by which chlorothalonil is degraded (US EPA 1999). Chen et al. (2001) studied the effects of microbes on fungicides in three soil types (Canfield silt-loam Luvisol; pH 6.3; unamended and amended with alfalfa leaves and wheat straw). They found chlorothalonil inhibits microbial activity in the treated soils. In unamended soil, enhanced mineralization and decreased nitrification rates occurred. Mori et al. (1996) evaluated the microbial degradation of chlorothalonil in unfertilized and fertilized (farmyard manure) soil. Microbial activity was enhanced in soil treated with a combination of chemical and farmyard fertilizer and degradation increased as soil pH reached neutrality. Incorporating manure in the soil stimulated the microbes, although they required additional carbon sources (Mori et al. 1996). Wang et al. (2011) studied chlorothalonil's anaerobic degradation in four paddy soils. In these studies, soil pH and total carbon content both highly affected the rate of biodegradation. Results indicate that chlorothalonil was more efficiently degraded under neutral pH (6.3–6.6) conditions and in soil containing 3–4% total carbon (Wang et al. 2011).

Motonaga et al. (1996) identified the gram-negative rod bacterium, TB 1, from chlorothalonil-treated soil. This bacterium transformed more than 75% of chlorothalonil present in the soil into 4-hydroxy-2,5,6-trichloroisophthalonitrile and chloride anion via hydrolysis, rather than via mineralization. Out of 50 identified chlorothalonil degrading bacteria, the TB 1 strain was the only one to produce the

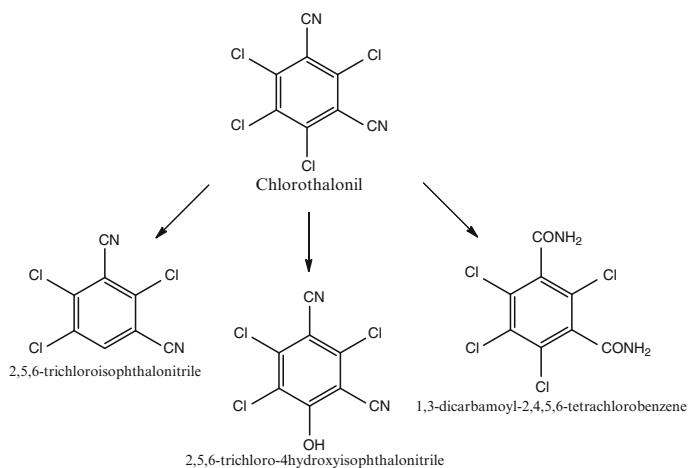


Fig. 4 Proposed microbial degradation pathways for chlorothalonil via dechlorination and hydroxylation (Adapted from Ukai et al. 2003)

hydroxylated metabolite (Motonaga et al. 1996). Zhang et al. (2007) observed the NS1 strain of *Bacillus cereus* to degrade chlorothalonil as a result of cometabolism, and carbon sources enhanced its degradation. Liang et al. (2010) isolated the bacterial strain CTN-11 (identified as an *Ochrobactrum* sp.) from chlorothalonil-contaminated soil. This strain degraded chlorothalonil to undetectable levels within 48 h when exposed to a temperature range of 20–40°C and a pH from 6 to 9. Under anaerobic conditions, hydrolytic dechlorination occurred, producing the more stable hydroxy metabolite (Liang et al. 2010).

The influence of the chlorothalonil chlorine atoms on degradation was examined by Ukai et al. (2003). They found that chlorothalonil degradates appear to contain 3–4 chlorine atoms, and these degradates suppress soil degradation of the parent compound. The two major degradate products (Fig. 4) were 2,5,6-trichloro-4-hydroxyisophthalonitrile and 2,5,6-trichloroisophthalonitrile. Other degradation products were identified by Sato and Tanaka (1987). They also concluded that degradation occurred via dechlorination and partial substitution (Fig. 5). The possible degradation products for chlorothalonil are listed in Table 2.

5 Toxicology

5.1 Mode of Action

The fungicidal activity exhibited by chlorothalonil is attributed to the inactivation of cell sulfhydryl enzymes (Vincent and Sisler 1968; Sherrard et al. 2003). Gallagher et al. (1992) recorded a depletion of glutathione, resulting in the inhibition of

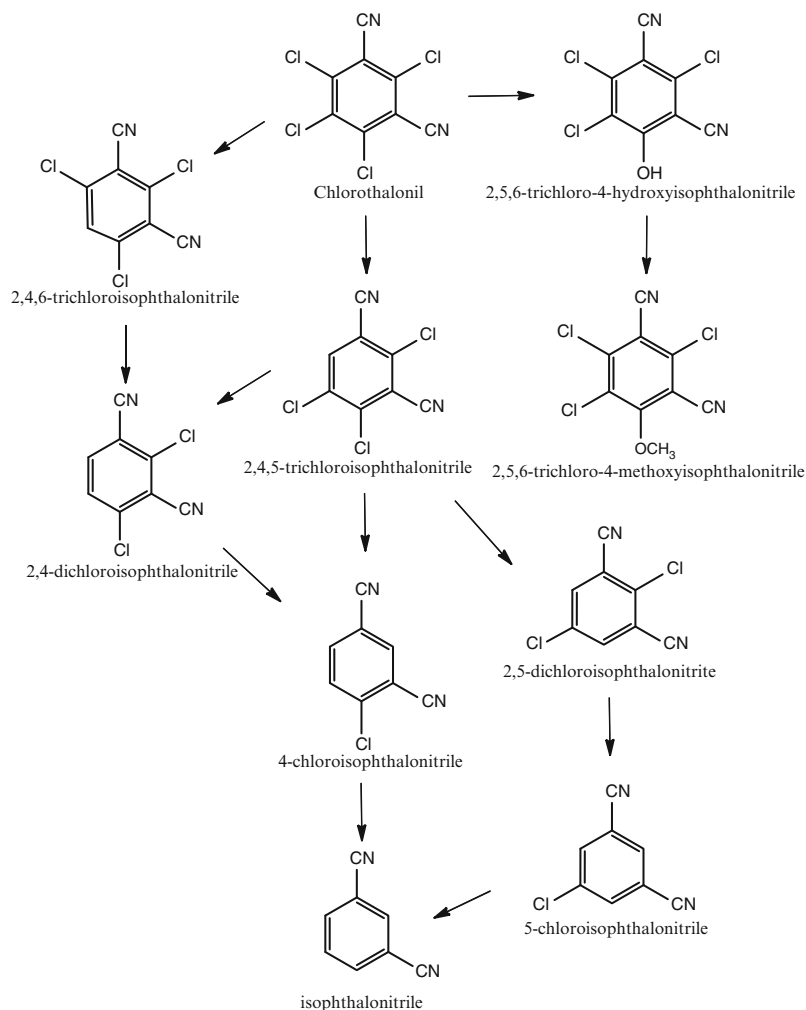


Fig. 5 Proposed soil degradation pathway for chlorothalonil (Adapted from Sato and Tanaka 1987)

Table 2 Possible microbial degradation products^a

Compound name	Soil conditions	Metabolite
4-hydroxychlorothalonil	Aerobic	Major
Methylthiotrichloroisophthalonitrile	Aerobic	Major
3-carbamyl-2,4,5-trichlorobenzoic acid	Aerobic acidic	Major
3-cyano-2,3,4,5,6-tetrachlorobenzoamide	Aerobic acidic	Major
Trichloroisophthalonitrile	Aerobic	Minor
m-phthalonitrile	NA	Breakdown product

^aData from Carlo-Rojas et al. (2004)

Table 3 Toxicity (expressed as 48-h or 96-h LC₅₀ values) of technical grade chlorothalonil to aquatic organisms

Aquatic organism	Scientific name	Concentration (µg/L)
Rainbow trout ^a	<i>Lepomis macrochirus</i>	10.5–76
Fathead minnow ^a	<i>Pimephales promelas</i>	23
Bluegill ^a	<i>Lepomis macrochirus</i>	51–84
Waterflea ^a	<i>Daphnia magna</i>	54–68
Pink Shrimp ^b	<i>Penaeus duorarum</i>	154

^aData from US EPA (2007)^bData from US EPA (1999)

glucose oxidation in exposed channel catfish. A study, in which *Saccharomyces pastorianus* and *Neurospora crassa* were exposed to chlorothalonil confirmed that glucose oxidation was impaired and soluble thiol content was reduced from chlorothalonil treatment (Vincent and Sisler 1968). Tillman et al. (1973) concluded that the mechanism of chlorothalonil's toxic action resembles that of the trichoromethylsulfenyl fungicides. Although many studies have examined chlorothalonil's mode of action, chlorothalonil and other chloronitriles have been categorized as having multiple sites of action; resistance to the fungicide does not develop (FRAC 2013).

5.2 Aquatic Organisms

The potential for chlorothalonil to bioaccumulate in aquatic species is relatively low because it aggressively binds to soils. Yet, exposure from sediment-bound residues is possible. Although it is assumed that bioaccumulation will be minimal, chlorothalonil has been found to be highly toxic to many aquatic species. For example, it is highly toxic to fathead minnow (*Pimephales promelas*) and somewhat less toxic to *Daphnia magna* and pink shrimp (*Penaeus duorarum*; Table 3).

Early life-stages of the freshwater mussel, *L. siliquoidea*, were exposed to selected technical-grade pesticides. Chlorothalonil was more toxic to glochidia (48-h EC₅₀=0.04 mg/L) than to juvenile mussels (96-h EC₅₀=0.28 mg/L), and had higher toxicity than other pesticides such as atrazine and fipronil (Bringolf et al. 2007).

Larval and adult stages of the grass shrimp, *Palaemonetes pugio*, were exposed to a range of chlorothalonil concentrations, and thereafter exhibited increased toxicity with increasing temperature (25° vs. 35 °C) and salinity (20 ppt vs. 30 ppt; DeLorenzo et al. 2009). Furthermore, 96-h LC₅₀ values for larvae were more variable among exposure conditions. Under standard and high salinity conditions 96-h LC₅₀ values were 49.1 and 39.4 µg/L, respectively. In addition, 96-h LC₅₀s for adult shrimp were 156 and 116 µg/L, respectively, under the same conditions. Generally, results show that toxicity increased as exposure length increased from 24 to 96 h (DeLorenzo et al. 2009).

Laboratory and field bioassays were conducted to determine the potential hazard chlorothalonil poses towards aquatic fauna. Rainbow trout (96-h LC_{50} = 69 $\mu\text{g/L}$) was more sensitive than blue mussels (96-h LC_{50} = 5.94 mg/L) and the water flea (48-h EC_{50} = 97 $\mu\text{g/L}$), when exposed under laboratory conditions (Ernst et al. 1991). However, caged organisms, exposed under field conditions (aerially treated pond), were less sensitive, and exposed rainbow trout did not suffer any mortality. Ernst et al. (1991) concluded that environmental factors such as, microbial degradation, dilution and adsorption to suspended matter reduced chlorothalonil's toxicity.

The toxicity and site of chlorothalonil accumulation was investigated by Davies and White (1985). Four fish species (*Salmo gairdneri*, *Galaxias maculatus*, *G. truttaceus* and *G. auratus*) were exposed under flow-through conditions (≤ 0.6 mg/L, 13–16 °C, $[O_2] = 8$ mg/L), and exhibited increased toxicity; 96-h LC_{50} values ranged from 16.3 to 29.2 $\mu\text{g/L}$. In addition, using radiotracers (10 $\mu\text{g/L}$; 96-h) Davies and White (1985) found that ^{14}C -CN labelled chlorothalonil to be highly accumulated within the gall bladder and hind gut of each species.

5.3 Mammals

Groups of pregnant female mice were orally administered chlorothalonil at doses ranging from 0 to 600 mg/kg/day. Although the treatments produced no mortality, signs of toxicity, such as weakness and reduced activity did occur at the 400 and 600 mg/kg/day dose levels (Farag et al. 2006). Also observed at these concentrations was significant embryo lethality and a reduction in live fetuses (Farag et al. 2006). According to the US EPA (1999), chlorothalonil is considered to be practically non-toxic to small mammals, based on having a measured rat LD_{50} of $>10,000$ mg/kg. However, a known degradate, SDS-3701 is much more acutely toxic than the parent compound (viz., possessing an acute female rat LD_{50} of 242 mg/kg). This degradate possesses high chronic oral toxicity towards pregnant rabbits and has a developmental no observable effect level (NOEL) of 33 mg/L, compared to chlorothalonil itself (NOEL = 330 mg/L; US EPA 1999).

Mozzachio et al. (2008) investigated the incidence of pesticide applicators that were both exposed to chlorothalonil and were diagnosed with cancer. They found no direct link to applicators with colon, lung or prostate cancers; approximately 3,600 applicators used chlorothalonil an average of 3.5 days per year. Although animal studies have provided sufficient evidence to classify chlorothalonil as a probable carcinogen, it is not known if it is a human carcinogen or not (Mozzachio et al. 2008).

5.4 Birds

Chlorothalonil is acutely non-toxic to birds when administered orally; LD_{50} values range from $>2,000$ mg/kg-bwt for Japanese quail to $>10,000$ mg/kg-bwt for both mallard and northern bobwhite quail (US EPA 2007). Reproductive effects caused

by dietary exposure have been investigated in bobwhite quail. At the highest dose of 10,000 mg/kg, reproductive impairment occurred and caused effects on general health and hatching survival. Additional studies with Mallard ducks were conducted and decreased egg production was observed (WHO 1996). Although chlorothalonil's toxicity is low to birds, similar to what occurs in mammals, its degradate SDS-3701 is much more toxic. Avian studies have shown that Mallard ducks are the most sensitive bird species to the toxicity of SDS-3701, which has an acute LD₅₀ of 158 mg/kg (US EPA 2007).

5.5 Plants

Chlorothalonil residues that appear on foliar surfaces after application to various crops have been investigated. Putman et al. (2003) used cranberries to evaluate dislodgeable foliar and fruit residues following application of chlorothalonil with and without an adjuvant. Two applications were made: one at 20% cranberry blossom bloom and another at 80% bloom (14 days later). Measured dislodgeable foliar residue concentrations were found to increase with the use of an adjuvant; the estimated half-life for chlorothalonil with and without adjuvant was determined to be 12 and 13 days, respectively. Furthermore, the cranberries were harvested 76 days post-application, and showed fruit residues of chlorothalonil and its metabolites 4-hydroxy-2,5,6-trichloroisophthalonitrile and 1,3-dicarbamoyl-2,4,5,6-tetrachlorobenzene (Putnam et al. 2003).

Not only is chlorothalonil present on foliar surfaces, but it can also cause oxidative stress if taken up by plants. An experiment on upland rice (*Oryza sativa*) was conducted to determine the impact of chlorothalonil application on the plant with or without the presence of arbuscular mycorrhizal fungus (AMF; *Glomus mosseae*). Under both conditions, plant growth was significantly inhibited and the presence of fungi decreased phosphorous concentrations within plant shoots (Zhang et al. 2006). Further investigation showed chlorothalonil to induce oxidative stress, and affect catalase, ascorbic peroxidase, and peroxidase activity (Zhang et al. 2006).

5.6 Fungi

The effectiveness of chlorothalonil as a fungicide has been studied on vesicular arbuscular mycorrhizal (VAM) *Glomus aggregatum* fungi. Chlorothalonil was mixed into Wahiawa silty clay soil (at concentrations ranging from 0 to 200 mg ai/kg soil), and the applied levels decreased VAM colonization with increasing concentrations (Habte et al. 1992). In addition, Habte et al. (1992) noted that chlorothalonil toxicity persisted for 12.5 weeks after initial soil application. Exposure of the VAM *G. intraradices* fungi, at 0.13 mg/L, reduced overall VAM formation

(Wan et al. 1998). They also found the concentration at which growth and development was inhibited by 50% to be 0.05 ± 0.01 mg/L for extraradical mycelial growth and 0.04 ± 0.009 mg/L, respectively, following a 14 days inoculation.

Latteur and Jansen (2002) investigated the ability of 20 fungicides to affect the infectivity of conidia of the fungus *E. neoaphidis*- an insect pathogen. Chlorothalonil (1,250 g ai/ha dose), and four other fungicides inhibited infectivity and prevented mortality to aphids, following their exposure to the fungus. Mueller et al. (2005) observed that chlorothalonil, and 12 other fungicides eliminated the germination of 6 rust fungi (*Puccinia hemerocallidis*, *P. iridis*, *P. menthae*, *P. oxalis*, *P. pelargonii-zonalis*, and *Pucciniastrum vaccinii*) within 24 h, when they were exposed during and after fungicide application; chlorothalonil completely inhibited spore germination within 8 h.

6 Summary

Chlorothalonil is a broad spectrum, non systemic, organochlorine pesticide that was first registered in 1966 for turfgrasses, and later for several food crops. Chlorothalonil has both a low Henry's law constant and vapor pressure, and hence, volatilization losses are limited. Although, chlorothalonil's water solubility is low, studies have shown it to be highly toxic to aquatic species. Mammalian toxicity (to rats and mice) is moderate, and produces adverse effects such as, tumors, eye irritation and weakness. Although, there is no indication that chlorothalonil is a human carcinogen, there is sufficient evidence from animal studies to classify it as a probable carcinogen.

Chlorothalonil has a relatively low water solubility and is stable to hydrolysis. However, hydrolysis under basic conditions may occur and is considered to be a minor dissipation pathway. As a result of its high soil adsorption coefficient this fungicide strongly sorbs to soil and sediment. Therefore, groundwater contamination is minimal. Degradation via direct aqueous or foliar photolysis represents a major dissipation pathway for this molecule, and the photolysis rate is enhanced by natural photosensitizers such as dissolved organic matter or nitrate. In addition to photolysis, transformation by aerobic and anaerobic microbes is also a major degradation pathway. Under anaerobic conditions, hydrolytic dechlorination produces the stable metabolite 4-hydroxy-2,5,6-trichloroisophthalonitrile. Chlorothalonil is more efficiently degraded under neutral pH conditions and in soil containing a low carbon content.

Acknowledgments Support was provided by the Environmental Monitoring Branch of the California Department of Pesticide Regulation (CDPR), California Environmental Protection Agency, under contract No. 10-C0102. The statements and conclusions are those of the authors and not necessarily those of CDPR. The mention of commercial products, their source, or their use in connection with materials reported herein is not to be construed as actual or implied endorsement of such products. Special thanks to Kean Goh for his assistance.

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The Distribution, Fate, and Effects of Propylene Glycol Substances in the Environment

Robert West, Marcy Banton, Jing Hu, and Joanna Klapacz

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1 Introduction

The family of synthetic organic substances known as “propylene glycols” consists of the 1,2-propanediol substance (monopropylene glycol, MPG) and its dimer (dipropylene glycol, DPG), trimer (tripropylene glycol, TPG) and tetramer (tetrapropylene glycol, TePG) forms. The formal identities of these substances are summarized in Table 1. Collectively, these substances are produced on a scale of approximately three million metric tons per year, and are among the most important group of synthetic organic chemicals in commerce today (Chinn and Kumamoto 2011). Produced and used globally, the propylene glycol (PG) substances have functional properties that enable their application in the manufacture of polyester resins and their formulation into functional fluids (*e.g.*, anti-freeze, aircraft anti-icing and de-icing fluids), cosmetics, pharmaceuticals, personal care products, pesticides, liquid detergents, paints and coatings, and foods used for human and animal consumption. The PG substances also have more minor uses as a humectant for tobacco, plasticizers, and solvents used in fragrance, agricultural and ink formulations. Considering the sheer volume consumed in these broad and dispersive applications, a variety of scenarios can be envisioned for their emission to the environment. Thus, there is a need to understand the potential hazards of and exposures associated with the manufacture, transport, use and disposal of products containing or manufactured from the PG substances.

The purpose of this review is to summarize and communicate the best-available information to enable assessments of hazard, exposure and risk that are associated with the PG substances over their life cycle stages, which involve direct or diffusive environmental emission. Although various technical mixtures of these PG substances are of commercial and regulatory interest, the distribution, fate of and exposures to these mixtures in the environment are determined by the properties of each individual PG substance, rather than by the composition or properties of the collective mixture.

Table 1 Identity of the propylene glycol substances and associated components

Common name (abbreviated name)	Chemical abstracts services registry		Purity as tested for physico-chemical properties
	Name	Number	
Propylene glycol (MPG)	1,2-Propanediol	57-55-6	99.90%
Dipropylene glycol (DPG)	Propanol, oxybis	25265-71-8	99.77%
	2-Propanol, 1,1,-oxybis-	110-95-8	
	1-Propanol, 2,2'-oxybis-	108-61-2	
	1-Propanol,2-(2-hydroxypropoxy)-	106-62-7	
Tripropylene glycol (TPG)	Propanol, [(1-methyl-1,2-ethanediyl)bis(oxy)]bis-	24800-44-0	≥99.40%
Tetrapropylene glycol (TePG)	1-Propanol,	24800-25-7	≥99.7%
	2-[2-[2-(2-hydroxypropoxy)propoxy]propoxy]-		
	Propanol, [oxybis[(methyl-2,1-ethanediyl)oxy]]bis-	25657-08-3	

A series of polymeric PG substances (*i.e.*, poly(propylene glycol) or PPG) are commercially prepared over a wide range of average molecular weights, and these have equally varied properties that are distinct from those of the MPG, DPG, TPG and TePG homologues. Where available, fate and ecological hazard information is presented here for the low molecular weight polymers having number-average molecular weight (M_n) ≤ 500 g/mol, the components of which may include the MPG–TePG homologues. Therefore, the foci of this review are the physical/chemical, fate and ecotoxicological properties that influence the distribution and exposure of these four individual substances in the environment. A separate review has been recently completed, in which the physical/chemical and toxicological hazards that are associated with potential human exposures to these substances are summarized (Fowles et al. 2013). In that review, the identities, structures, and compositions associated with the commercial PG substances are also detailed, and are therefore not revisited here.

2 Physico-Chemical Properties

The following physico-chemical properties influence the emission, transport, and fate of PG substances in the environment: melting (freezing) point, density, boiling point, vapor pressure, water solubility, octanol-water partition coefficient ($\log P_{ow}$), and organic carbon-normalized partition coefficient ($\log K_{oc}$). Considering that the PG substances have been in commerce for many decades, these and other properties have been measured numerous times for various purposes, and are reported in various secondary reference sources, wherein details of the measurement techniques are often lacking (Brown et al. 1980; Puck and Tamplin 1952; Sullivan 1993; Verschueren 2001; Weast and Astle 1985). Various processes were used to commercially manufacture and isolate these substances, and different processes yield the potential for introduction of different impurities and co-products. Neither the effect of such different impurities and co-products on physical/chemical property measurements, nor the reliability and relevance of these previously-reported properties can be fully ascertained. Therefore, in partial fulfillment of the substance registration requirements under the European Union REACH legislation (Regulation (EC) No 1907/2006 of The European Parliament and of The Council of 18 December 2006), significant effort and expense were undertaken to measure selected physical/chemical properties for highly-purified and characterized samples of the MPG, DPG, and TPG substances. These measurements followed current and globally-accepted standardized test procedures as put forth by OECD (OECD 2012) and the European Community (EC 2008). The measurements relied on laboratory procedures and test substance characterizations performed under the OECD principles of Good Laboratory Practice (OECD 2003), so that the purity of the tested substances and reliability of associated results can be determined. The results of these characterizations are summarized in Table 2, and are expected to represent the most accurate, reliable, and traceable physico-chemical property data available for assessing the environmental distribution and fate of PG substances.

Table 2 Summary of selected physical/chemical properties of the propylene glycol substances

Property	MPG	DPG	TPG	TePG ^a
Molar mass (g/mol)	76.10	134.18	192.26	250.34
Density (g/cm ³ @ 20 °C)	1.03	1.02	1.02	1.02
Freezing point (°C)	<-20 -60 ^a	<-20 -40 ^a	<-20 -45 ^a	-7.3
Boiling point (°C)	184	227	270	312
Vapor pressure (Pa @ 101.3 kPa and 25 °C)	20	1.3	0.26	0.0036
Water solubility	-----Miscible-----			
Henry's law constant (Pa m ³ /mol)	1.3×10^{-3}	1.8×10^{-4}	5.0×10^{-5}	4.7×10^{-7}
Log P _{ow}	-1.07	-0.46	-0.38	-0.35 ^b
Log K _{oc} ^b	-0.49	-0.24	-0.29	-0.51

^aCritically-reviewed and recommended values from the AIChE DIPPR Database (AIChE 2012)

^bEstimated value based on KOCWIN and KOWWIN software (USEPA 2012)

It should be noted that the TePG substance, in its purified commercial form, did not meet the import/production tonnage trigger (>1,000 t/year) for REACH registration in 2010. Other technical mixtures containing TePG often meet the OECD definition of a polymer (OECD 1991), and as such, are not subject to the registration requirements of REACH. Therefore, there was no regulatory need to re-assess the physico-chemical properties of TePG. However, the available measurements of density, freezing point, boiling point, and vapor pressure for this substance have been critically-reviewed under the American Institute of Chemical Engineers (AIChE) Design Institute for Physical Properties Research (DIPPR) program (AIChE 2012), and from this source the recommended values are reported in Table 2. Similarly, the critically-evaluated and accepted property values reported in DIPPR for the MPG, DPG, and TPG substances are in excellent agreement with the most recently-measured values that were determined for REACH registration (Table 2).

2.1 Density (Specific Gravity)

The density of a substance is an important property that can influence how a released substance migrates within and among air, water, and soil. For example, a spillage of bulk liquid to surface water can result in that substance floating, sinking, or remaining suspended in the receiving water body. Pure distilled water has a density of 0.998 g/cm³ at 20 °C (Landolt-Bornstein 1980), whereas water from the open ocean has typical density of approximately 1.025 g/cm³ at the same temperature and at 3.5% salinity (Cox et al. 1970). The PG substances exhibit a very narrow range of densities, from 1.02 to 1.03 g/cm³ at 20 °C, and if spilled to surface waters would tend to float or slowly sink until readily and completely dissolved. For this reason, there are no practical measures for recovering PG substances from surface waters following their bulk spillage.

2.2 *Melting/Freezing Point*

The melting/freezing point indicates whether a substance occurs as a solid or liquid at standard atmospheric pressure (101.325 kPa), and at a given temperature associated with processing, use, or emission. Because each of the PG substances occurs as a viscous liquid at ambient temperature (25 °C), this change in physical state between liquid and solid, which may occur at lower temperatures, is expressed as the freezing point. Measurement of the freezing points for MPG, DPG, and TPG were attempted by using the differential scanning calorimetry (DSC) procedure described in the EC Method A.1 (EC 2008). In this procedure, the heat flow into or out of the sample of test substance is measured as the sample is slowly cooled to a minimum temperature of -20 °C. For each of the MPG, DPG, and TPG substances, an exothermic change of state was not observed for temperatures as low as -20 °C. Therefore, the freezing point for these substances is reported as <-20 °C in Table 2. Critically-evaluated and accepted measurements of freezing point, as reported in the DIPPR database, indicate that this transition to glassy solid (*i.e.*, glass transition temperature) occurs at temperatures as low as -60 °C (MPG) and as high as -7.3 °C (TePG). Therefore, the PG substances, in their pure forms, will occur as flowable viscous liquids at virtually any temperature associated with manufacture, transport, storage or use.

2.3 *Boiling Point*

The boiling point indicates the temperature at which the pure PG substances will change from liquid to gas (vapor) state at standard atmospheric pressure (101.325 kPa). As with the freezing point, this property is used in multimedia models to determine the physical state of a substance at a given ambient environmental temperature. For the MPG, DPG, and TPG substances, the reported boiling points were determined by using the differential scanning calorimetry technique of the EC Method A.2 (EC 2008), which indicates the onset of the endothermic phase transition as temperature of a sample is increased incrementally. The PG substances, having normal boiling points ranging from 184 to 312 °C, will remain in the liquid state at any temperature associated with their use or emission to the environment. It is also important to note that, during boiling point measurements, the thermal decomposition of the PG substances is not observed, which is indicative of their high degree of thermal stability.

2.4 *Vapor Pressure*

The vapor pressure of a substance indicates the fraction of a substance that exists in the vapor phase at a given temperature, and is typically measured and reported for temperatures of 20 or 25 °C. When a substance occurs in a neat liquid form, the vapor pressure indicates the propensity of that substance to volatilize into the

atmosphere. The PG substances exhibit a wide range of measured vapor pressures, from 0.0036 to 20 Pa at 25 °C (Table 2); however, this range of vapor pressure can be characterized as indicating low volatility of the substances in their pure forms. Although butyl acetate is assigned an evaporation rate of 1.0, the most volatile PG substance (*i.e.*, MPG) has a relative evaporation rate of 0.016, and that of the least-volatile (TPG) is 0.0002 (The Dow Chemical Company 2003). These substances are therefore considered to have low evaporation rates, considering that water has a relative evaporation rate of 0.3.

2.5 Water Solubility

The water solubility of a substance determines, in part, the limit to which mass transfer (advection) of that substance can occur when it is dissolved in surface- or ground-water. It also indicates the extent to which wet deposition of the substance vapor or aerosols can occur from the atmosphere. The water-soluble fraction of a substance is most susceptible to degradation reactions, such as biodegradation, hydrolysis, and photolysis. The PG substances are each reported to be miscible with water in all proportions; however, the rate with which the substances will dissolve in water apparently decreases as molecular weight and associated viscosity increases. Although many higher molecular weight glycol substances exhibit inverse solubility (*i.e.*, decreased solubility with increased temperature), aqueous solutions of the PG substances are expected to remain fully dissolved at temperatures up to and including their boiling points. Thus, the distribution, transport, degradation, and toxicity of the PG substances in the environment will not be limited by their water solubility.

2.6 Henry's Law Constant

When a substance is dissolved in water, both the vapor pressure and water solubility of the substance will determine the degree to which it will volatilize to the atmosphere. The quotient of vapor pressure (Pascal) and water solubility (mol/m^3) provides an estimate this volatility from water, as described by Henry's Law Constant ($\text{Pa m}^3/\text{mol}$). Thus, Henry's Law Constant (HLC) indicates the degree to which partitioning of a substance occurs between dissolved and vapor phases for an aqueous solution at a given temperature. The HLC can be directly measured, by determining concentrations of the substance in dissolved aqueous and vapor phases of equilibrated solutions in closed vessels. More often, the HLC is estimated from the quotient of measured or estimated vapor pressure (Pa) and water solubility (mol/m^3). By this estimation method, HLC values for the PG substances range from $1.3 \times 10^{-3} \text{ Pa m}^3/\text{mol}$ (MPG) to $4.7 \times 10^{-7} \text{ Pa m}^3/\text{mol}$ (TePG) at 25 °C. These HLC values indicate that the PG substances are poorly-, to essentially non-volatile, from water. Accordingly, any emissions to surface water or soil will not tend to be volatilized to the atmosphere. Rather, any atmospheric emissions (vapor or aerosol) will tend to be readily deposited to water or soil by wet deposition.

2.7 Octanol-Water Partition Coefficient ($\log P_{ow}$)

The octanol-water partition coefficient, often expressed in its base-10 logarithm form (*i.e.*, $\log P_{ow}$) is among the most important properties describing the fate and distribution of a substance in the environment. When octanol is employed as a surrogate for fatty tissues (*i.e.*, lipids), the $\log P_{ow}$ is highly-correlated with the bioconcentration of substances in aquatic organisms (Dimitrov et al. 2005). When octanol is employed as a surrogate for natural organic matter in soil, sediment, or wastewater treatment bio-solids, the $\log P_{ow}$ is highly-correlated with the organic carbon-normalized adsorption coefficient, or $\log K_{oc}$ (Lyman 1990; Sabljic et al. 2005). The $\log P_{ow}$ values for MPG, DPG, and TPG have been determined for highly-purified forms of these substances, according to EC Method A.8 (EC 2008). In this method, the concentration of the substance (including all structural and stereo-isomers) is determined in both the water and 1-octanol phases of equilibrated and mutually-saturated octanol/water mixtures prepared at three different octanol:water (vol:vol) ratios. The $\log P_{ow}$ values measured as such for the MPG, DPG, and TPG substances range from -1.07 to -0.38 . Because a measured value of $\log P_{ow}$ is not available for the TePG substance, the estimated value of -0.35 is reported, which originates from the widely accepted and validated structure-fragment calculation software KOWWIN v1.68 (USEPA 2012).

From this series of measured and calculated values of $\log P_{ow}$ for the PG substances, it is clear that the addition of each oxypropylene repeat unit to propylene glycol makes a net positive (*i.e.*, hydrophobic) contribution to $\log P_{ow}$ of the higher PG homologues. Although the measured $\log P_{ow}$ values for MPG, DPG, and TPG do not indicate a uniform contribution to $\log P_{ow}$ from the oxypropylene repeat unit, the structure-fragment calculation method indicates a uniform contribution of approximately $+0.14 \log P_{ow}$ units. The calculated $\log P_{ow}$ values for MPG, DPG, TPG, and TePG are -0.78 , -0.64 , -0.50 , and -0.35 , respectively. Regulatory criteria are based on $\log P_{ow}$, and typically are derived from a screening assessment of bioaccumulation potential, where a $\log P_{ow}$ value ≥ 3 is the lowest threshold applied (by the International Maritime Organization) to indicate a potential for bioaccumulation (Moermond et al. 2011). In all cases, whether $\log P_{ow}$ is measured or calculated, very low potentials for bioaccumulation and adsorption to soil, sediment, or wastewater bio-solids are indicated for the PG substances.

2.8 Organic Carbon-Normalized Adsorption Coefficient ($\log K_{oc}$)

As described above, the degree to which organic matter in soil, sediment, and wastewater bio-solids adsorbs non-ionic organic substances is indicated by the organic carbon-normalized adsorption coefficient (*i.e.*, $\log K_{oc}$). For the PG substances, no reported or traceable measured values of $\log K_{oc}$ exist. However, several techniques exist that utilize correlations with $\log P_{ow}$ or molecular connectivity indices to estimate $\log K_{oc}$ values for these substances. The KOCWIN software v2.00 (USEPA 2012) provides estimates that are based on both of these techniques, and is among

the most widely-recognized and accepted tools for estimating $\log K_{oc}$ of non-ionic organic substances. When a reliable measured value for $\log P_{ow}$ exists, the $\log K_{oc}$ estimate is most accurately made by correlation with $\log P_{ow}$. When the $\log P_{ow}$ value is unknown, or the substance possesses ionizable functional groups, the molecular connectivity index (MCI) may serve to provide a more accurate $\log K_{oc}$ estimate. The estimated values of $\log K_{oc}$ for the MPG, DPG, TPG, and TePG substances, resulting from the $\log P_{ow}$ correlation method of KOCWIN, are -0.49 , -0.24 , -0.29 , and -0.51 , respectively (Table 2). Because each estimate is corrected for various structural or molecular features, these estimates do not show a uniform and incremental increase in $\log K_{oc}$ with each additional oxypropylene repeat unit. Substances having a $\log K_{oc}$ value <3 are considered to be poorly adsorbed to sediment and soil (SETAC 1993), such that assessments of their potential toxicity to sediment-dwelling organisms are not typically undertaken. To summarize the foregoing, the PG substances have very low potential for adsorption to soil, sediment, and wastewater bio-solids, and their advection into and through groundwater will not be appreciably attenuated by adsorption.

3 Environmental Distribution

The physico-chemical properties of a substance influence its distribution and fate in the environment, as well as the route by which the substance is emitted to the environment. For example, tetrachloroethylene has high vapor pressure (2,415 Pa) and only moderate water solubility (150 mg/L) at 25 °C (ECHA 2013a), and might be expected to occur primarily in the atmospheric compartment of the environment. However, this substance has widespread occurrence as a groundwater contaminant, because past use and disposal practices resulted in its direct emission to surface soils (Moran and Delzer 2006). Thus, to understand or predict where a substance might reside in the environment, both the physico-chemical properties and modes of emission must be well-understood and considered.

3.1 *Relevant Environmental Compartment(s)*

The Level III fugacity-based multimedia fate and transport model, developed by Don Mackay and colleagues (Mackay 2001), provides a convenient and meaningful approach to identifying the relevant environmental compartments associated with environmental emissions of a substance. This model determines the steady-state concentrations of a substance in the modeled environmental compartments, under various simulated modes and magnitudes of continuous emission. The inputs to this model include physico-chemical properties as discussed above and as summarized in Table 2, known or hypothetical route(s) and magnitude(s) of emission, and estimated degradation half-lives for the substance in the atmospheric, water, soil, and sediment

Table 3 Estimated environmental degradation half-lives used in Level III distribution modeling for the propylene glycol substances

Substance	Second-order reaction rate constant (cm ³ /molecule*s) with OH radical @ 25 °C ^a	Estimated half-life (h)			
		Atmosphere ^b	Soil	Water	Sediment
MPG	1.3 × 10 ⁻¹¹ 1.2 × 10 ^{-11c}	10 10.7 ^c	720	360	720
DPG	(3.1–3.4) × 10 ⁻¹¹	3.7–4.1	720	360	720
TPG	(5.6–5.9) × 10 ⁻¹¹	2.2–2.3	720	360	720
TePG	(7.5–8.1) × 10 ⁻¹¹	1.6–1.7	1,440	720	1,440

^aRate constant estimated from structure fragment correlation method of AOPWIN v1.92a (USEPA 2012)

^bBased on an assumed average hydroxyl radical concentration of 1.5 × 10⁶ molecules/cm³

^cExperimentally-measured value of Atkinson (1986)

compartments (Table 3). The estimated half-lives for the substances in the atmosphere were derived from estimated second-order reaction rate constants, as described in Sect. 4.1. The estimated half-lives in soil, water, and sediment are derived from the demonstrated ready biodegradability (MPG, DPG, TPG) and inherent ultimate biodegradability (TePG) of the substances, their estimated soil adsorption coefficients (log K_{oc}, Table 2), and the corresponding default half-lives recommended under the U.S. EPA High Production Volume Chemicals program (Larson et al. 2000). The Level III model (v2.80.1; CEMC 2004) was used to identify the relevant environmental compartment(s) that are associated with various routes of emission for the PG substances. For each of these four substances, four different emission scenarios were evaluated, with 1,000 kg/h emissions (both individually and simultaneously) to the air, water, soil compartments of the standard “EQC” model environment (Mackay 2001). The resulting predicted distributions of the emitted PG substance in each compartment, and their associated residence times in the total environment, are summarized in Table 4a–d. For each of the four emission scenarios, the predicted percentage of total steady-state mass of PG substance occurring in the air, water, soil, and sediment compartments is given. Moreover, the residence time (day) over which a given molecule of the PG substance occurs in the environment is predicted for conditions under which that molecule is removed from the environment by advection only, and by the combined effects of advection and degradation processes.

The results of the Level III modeling illustrate several key and expected behaviors of the PG substances in the environment. As discussed above, because these substances have low vapor pressures and very high water solubility, they are not expected to reside in the atmosphere, regardless of the route by which they reach the environment. Even if emitted directly to the atmosphere, each PG substance is predicted to be completely deposited to surface water and soil, in the same approximate proportion as exists for the surface areas of water and soil compartments in the simulated environment. Thus, wet deposition of the PG substances would appear to be an important fate process affecting any atmospheric emissions. The simulated emission of these substances directly to surface waters is predicted to result in their

Table 4 Summary of Level III model-predicted environmental distributions and residence times associated with simulated emissions of the propylene glycol substances

Emission scenario	Predicted distribution (%) in:				Residence time (days)	
	Atmosphere	Water	Soil	Sediment	Advection	Total
(a) Monopropylene glycol (MPG)						
1,000 kg/h Atmosphere	0.9	25.9	73.2	0.0	119	19.1
1,000 kg/h Water	0.0	99.9	0.0	0.1	41.7	14.3
1,000 kg/h Soil	0.0	22.2	77.8	0.0	187	29.6
1,000 kg/h atm, water, and soil	0.3	40.9	58.8	0.1	95.3	21
(b) Dipropylene glycol (DPG)						
1,000 kg/h Atmosphere	0.1	25.9	74.0	0.0	155	25.1
1,000 kg/h Water	0.0	99.9	0.0	0.1	41.7	14.3
1,000 kg/h Soil	0.0	22.0	78.0	0.0	190	29.9
1,000 kg/h atm, water, and soil	0.0	39.4	60.5	0.1	105	23.1
(c) Tripropylene glycol (TPG)						
1,000 kg/h Atmosphere	0.0	25.8	74.1	0.0	159	26.7
1,000 kg/h Water	0.0	99.9	0.0	0.1	41.7	14.3
1,000 kg/h Soil	0.0	21.9	78.0	0.0	190	29.9
1,000 kg/h atm, water, and soil	0.0	39.1	60.8	0.1	106.0	23.6
(d) Tetrapropylene glycol (TePG)						
1,000 kg/h Atmosphere	0.0	33.0	67.0	0.1	126	42.9
1,000 kg/h Water	0.0	99.8	0.0	0.2	41.7	21.3
1,000 kg/h Soil	0.0	29.5	70.5	0.0	141	45.4
1,000 kg/h atm, water, and soil	0.0	44.5	55.4	0.1	93.7	36.5

retention in the surface water compartment, with virtually no evaporation to the atmosphere or deposition to sediments. When emitted to soil, the PG substances will become associated almost exclusively with soil pore water, and will have, approximately, a 20–30% runoff to surface waters.

The PG substances are rapidly degraded in air, water, soil, and sediment (as discussed below); hence, their residence times in the environment are expected to be governed by their degradation rate more than by advection. The degradation half-life times (h) input to the model for indirect photolysis of each PG substance in the atmosphere are summarized in Table 3. Degradation half-lives in surface water, soil, and sediment compartments for the PG, DPG, and TPG substances were 360, 720, and

720 h, respectively, as recommended for derivation of biodegradation half-life times from results of readily biodegradability tests (Larson et al. 2000). Similarly, for inherently biodegradable substances, the input half-life times in these media for the TePG substance were derived as 720, 1,440, and 1,440 h for water, soil, and sediment, respectively. As shown in Table 4a–d, the total residence times for all substances and emission scenarios range from 14 to 45 days. When the reactivity of the model is turned “off”, and only advection is allowed to govern the fate and transport in and through the environment, predicted residence times range from 41.7 to 190 days. Using the Level III model in this way illustrates the importance of reactivity (degradation) of the PG substances in governing their environmental fate and transport.

From the Level III modeling, it can be concluded that the surface water environment is of primary interest when addressing the fate and effects of the PG substances, regardless of the mode by which the substances might be emitted. The soil environment is expected to be of interest only when these substances are emitted directly to soil, or are deposited there from continuous atmospheric emissions during their manufacture, transport, or use. Thus, the focus of environmental hazard assessments for these substances should be aquatic and terrestrial organisms at all trophic levels.

3.2 *Environmental Monitoring Data*

Various voluntary and regulatory-mandated programs have been implemented through which the presence of chemical substances, especially those of high hazard and/or production volume, are monitored in samples collected from air, water, soil, sediment, and biota. The results of these environmental monitoring programs can provide a useful check on effectiveness of waste treatment processes, emission controls, and disposal practices that are associated with manufacture, use, and disposal of these substances.

Searches of the published literature, government databases, and internet sources have revealed very little information on detection of the PG substances in the environment, or to monitoring programs that have included the PG substances as target analytes. The OECD SIDS Initial Assessment Reports (SIAR) compiled for PG (OECD 2001a) and TPG (OECD 1994), which have sections that address environmental monitoring information, include no information on detection of these substances in air, water, soil, or sediment. The SIAR report for DPG (OECD 2001b) included reports of detections in drinking water (0.2–0.4 ng/L; Lin et al. 1981), pulp/paper mill wastewater effluent (11 µg/L; Turoski et al. 1983), and ground water (Dunlap and Shew 1976). Because the OECD SIDS program has now concluded without sponsorship of the TePG substance, a similar SIAR report is not available for this substance.

Various local surface- and ground-water monitoring programs have been established at airport facilities that use aircraft de-icing and/or anti-icing formulations, which can contain up to 90% MPG (The Dow Chemical Company 2013). For example, Sills and Blakeslee (1992) reviewed available information on the environmental impact of aircraft de-icing solutions on airport storm water runoff. They found that

groundwater in the perched water table of sandy soil aquifer at the Ottawa International Airport (Canada) contained MPG at levels up to 4 mg/L in June, but declined to non-detectable levels by the fall. These findings verify the expected occurrence of MPG in surface run-off and groundwater that is associated with sites where de-icing and anti-icing formulations are applied. They also demonstrate the expected rapid dissipation (degradation) of the MPG substance, when emission to the surface water and groundwater environment is terminated.

Ongoing government-mandated environmental monitoring programs, which include the PG substances as target analytes, appear to be limited to a single program in Japan that is part of the Japan Ministry of Environment (MOE) environmental survey program for high-production and priority pollutant substances. In 1977 and 1986, the MOE surveyed water, bottom sediment, fish, and air samples collected from around the country for the presence of MPG (Ministry of Environment, Japan 2013). The MPG substance was not detected in any of the six surface water and six sediment samples collected in 1977. During a similar sampling of surface water and sediments in 1986, MPG was detected in 12 of 24 surface water samples, with detected concentrations ranging between 0.2 and 0.8 $\mu\text{g/L}$. Similarly, MPG was detected in 4 of 24 sediment samples, with concentrations ranging between 0.020 and 0.022 $\mu\text{g/g}$ dry wt. Environmental monitoring data are not reported for MPG, or for any of the other PG substances beyond the 1986 campaign, which would indicate that the substances were identified as, and now remain as, low priorities for further investigation.

The Substances in Preparations in Nordic Countries (SPIN) database provides a qualitative assessment of consumer and environmental exposure potentials for chemicals used in consumer products in Norway, Sweden, and Denmark (<http://www.SPIN200.net>). The database indicates that one or more known product uses present the potential for “very probable” exposures of MPG, DPG, and TPG to air, water, soil, and wastewater media. The TePG substance is indicated as having one or several uses, with which only a “low” potential for exposure to wastewater is associated.

An example of the most extensive and relevant environmental monitoring was performed for PG substances by the U.S. EPA, which was associated with application of crude oil dispersants to remediate the 2010 Gulf of Mexico (Deepwater Horizon) oil spill. During the spill response (May to July 2010), an estimated total of 1.84 million gallons of dispersants, including COREXIT® EC9500A,¹ were applied both at the surface and directly at the wellhead on the seafloor (OSAT 2010). One of the ingredients in COREXIT® EC9500 is MPG, which comprises 1–5% (wt/wt) of the dispersant (Nalco Company 2008). It is estimated that approximately 0.7 million pounds of MPG was applied to the Gulf of Mexico oil spill response area. Between early May 2010 and late October 2010, over 17,000 samples were collected of water and sediment in the Gulf of Mexico area to locate oil and/or dispersant-related chemicals associated with the oil spill. Of all samples collected, only six sediment (0.73–1.0 $\mu\text{g/g}$) and two water samples (590 and 660 $\mu\text{g/L}$) contained MPG above the method detection limits of 0.5 $\mu\text{g/g}$ and 500 $\mu\text{g/L}$, respectively (OSAT 2010).

¹ COREXIT® is a registered trademark of Nalco Company

These environmental monitoring programs and associated data, although limited in number and in geographic/temporal scope, indicate that despite the enormous tonnages of MPG used in numerous dispersive applications, the resultant concentration of MPG in environmental media is very low and usually non-detectable. Although very little or no environmental monitoring data are available for DPG, TPG or TePG, the Level III fugacity model results (as illustrated in Table 4b–d) demonstrate that these PG substances would have similar environmental distribution patterns to that of MPG (Table 4a) if they were used in similar amounts and modes of emission. Expected concentrations of these other PG substances in the environment would be even lower than observed or expected for MPG, because they are manufactured and used in lesser tonnages.

4 Environmental Fate Processes

The key processes that affect the persistence of substances in the atmospheric, aquatic, and terrestrial environments include photolysis (both direct and indirect), hydrolysis, and biodegradation. Other fate processes such as adsorption, volatilization, and bioaccumulation can affect the distribution and transport of substances within and among these environmental compartments. The relevance of these fate processes to the PG substances, along with summaries of the rates and extents to which the relevant processes occur, are discussed below.

4.1 Atmospheric Fate/Transport

The vapor pressures and Henry's Law Constants of the PG substances would not indicate significant prospective volatilization of the substances to the atmosphere. However, processing or use of them at elevated temperature, or the use and emission of their formulations directly in the atmosphere (as with aircraft de-icing and anti-icing formulations) could introduce them intermittently to the troposphere. As is illustrated by simulated atmospheric emissions using the Level III fugacity model (Table 4a–d), the fate of the PG substances in the atmospheric environment is governed by a combination of reactive, advective, and depositional processes.

The direct photolysis rate of substances in the atmosphere is governed by the band of wavelengths over which a particular molecule will absorb relevant solar radiation, the probability of a chemical reaction occurring per unit of photons absorbed (*i.e.*, quantum yield), and the intensity (*i.e.*, solar flux) at the relevant wavelengths of absorption. For the PG substances, the UV/VIS absorbance spectra each indicate a minor UV absorbance band over approximately 250–300 nm (data not shown). However, the wavelength band of sunlight that reaches the earth's surface is significantly filtered by ozone, water vapor, etc. in the upper atmosphere, such that irradiation by solar UV light is essentially cut off below 290 nm.

For this reason, direct photolysis of the PG substances is an unimportant fate process in the tropospheric, aquatic, and terrestrial environments.

As for most organic chemicals, the dominant reactive fate process for PG substances in the troposphere is indirect photolytic reaction with photochemically-produced hydroxyl radicals. During daylight hours, sunlight of wavelength <230 nm is absorbed by ozone in the troposphere, and forms a reactive atomic oxygen species. This reactive oxygen radical then reacts with atmospheric water to form highly reactive OH radicals. The reaction of PG substances with OH radical in the vapor phase occurs *via* hydrogen abstraction from the aliphatic $-CH$, $-CH_2$, and $-CH_3$ groups, and *via* reaction with the primary and secondary $-OH$ groups. The products of OH radical reaction with these functional groups are expected to be various mono- and poly-carboxylates (aldehyde, ketone, and carboxylic acids), and ultimately CO_2 .

The kinetics for reaction of MPG vapor with photochemically-generated OH radicals have been evaluated and reported by Atkinson (1986). A second-order reaction rate constant of 1.2×10^{-11} $cm^3/molecule*s$ is reported for a temperature of 25 °C, and as shown in Table 3, is in excellent agreement with the estimated value from the AOPWIN software v1.92a (USEPA 2012). The second-order reaction rate constants for the DPG, TPG, and TePG substances and their associated structural isomers are also summarized in Table 3. Note that differences in atom connectivity among constitutional isomers of DPG, TPG, and TePG substances do not translate to significant differences in predicted rate constants for their reaction with OH radical. These rate constants equate to estimated atmospheric half-lives ranging from 1.6 to 10 h, at an assumed background hydroxyl radical concentration of 1.5×10^6 molecules/ cm^3 and temperature of 25 °C. Substances that have tropospheric half-lives of >2 days are considered to be persistent in the environment by some regulatory authorities, and have potential for long-range transport *via* atmospheric advection (Calamari et al. 2000). Based on these estimated half-lives for indirect photolysis, it is concluded that the PG substances are rapidly degraded when emitted to the atmosphere, and have virtually no potential for long-range transport therein.

4.2 Biodegradation

Biodegradation is one of the most important processes influencing the persistence of organic chemicals in the environment. Several researchers, as described below, have evaluated the biodegradation of various PG substances using various inoculum sources or densities, substrate concentrations, and incubation conditions (Table 5). The biodegradation of these substances has been recently and thoroughly evaluated, using current OECD guidelines for testing of ready biodegradability, and biodegradability in seawater (West et al. 2007). The publication of these results included an in-depth review of current knowledge on metabolic pathways of their biodegradation, and on the physical-chemical and structural features that influence biodegradability. As noted above for the physico-chemical properties of these substances,

numerous screening tests of ready and inherent biodegradability have been conducted over several decades. However, in many cases the important details on identity/purity of the tested substances, as well as those on experimental methods and inocula employed are lacking. The most recent results reported by West et al. (2007) are based on current standardized test methods, were conducted in accordance with GLP guidelines, and utilized thoroughly documented test substances and experimental procedures. Hence, they provide a definitive and reliable basis for assessing the ready biodegradability, biodegradation in seawater, and structure-biodegradability relationships across this family of substances. The results of these studies showed that six of the tested substances (MPG, DPG, TPG, PPG 425, PPG 1000, and PPG 2000) were readily biodegradable, whereas TePG and PPG 2700 were not readily biodegradable, but were inherently biodegradable. Biodegradation half-lives for these eight substances ranged from 3.8 days (PPG 2000) to 33.2 days (PPG 2700) in the ready test, and from 13.6 days (MPG) to 410 days (PPG 2700) in seawater tests. A further compilation of historical test results relating to these parameters is not presented here. Rather, results of selected biodegradation studies conducted in specific aquatic and terrestrial environments, and under aerobic and anaerobic conditions, are summarized below and in Table 5.

MPG has been shown to readily biodegrade in various screening tests employing non-adapted wastewater inocula under aerobic conditions (Kaplan et al. 1982; Price et al. 1974), and like many synthetic organic substances, is more rapidly biodegraded in acclimated systems in which bacteria with prior exposure and adapted metabolic systems exist (OECD 2001a). Kaplan et al. (1982) also demonstrated that MPG disappeared after 9 days under anaerobic conditions, when used as the sole carbon source by sludge from a sewage treatment plant. In simulation tests employing river waters, MPG was found to biodegrade rapidly as well (Gotvajn and Zagorc-Koncan 1999). Complete biodegradation of DPG and TPG was observed in the OECD 302B test of inherent biodegradability (OECD 1994, 2001b) and in the OECD 301E test of ready biodegradability (Zgola-Grzeskowiak et al. 2008); however, <3% biodegradation was observed for both DPG and TPG in the OECD 301C test for ready biodegradability (MITI 1995). This apparent lack of biodegradability in the OECD 301C test is believed to be associated with culturing of the inoculum on glucose and peptone, as discussed by West et al. (2007).

The biodegradation of PPGs has not been extensively studied, and while not directly within the scope of this review, it is worth noting that the rapid and complete biodegradation observed for the oligomeric PG substances is carried through to the polymeric PPG substances having molecular weight of up to ~2,000 g/mol (West et al. 2007). More recent studies showed complete biodegradation of PPG 725 and 40% biodegradation of PPG 425 in the OECD 301E test (Zgola-Grzeskowiak et al. 2007), whereas another study showed primary biodegradation of PPG 425 to an extent of 99% in a 17 days simulation test employing river water (Zgola-Grzeskowiak et al. 2006).

Besides biodegradation in the aquatic environment, the PG substances have also been observed to biodegrade in soil. Fincher and Payne (1962) and Kawai (1987)

Table 5 Summary of biodegradation studies for the propylene glycol substances

Substance	Study type	Ready biodegradability	Aerobic	Result	Reference
MPG	Screening	Ready biodegradability	Aerobic	79% degraded over 20 days (readily biodegradable)	Price et al. (1974)
MPG	Screening	Ready biodegradability	Aerobic	107% degraded over 28 days (readily biodegradable)	West et al. (2007)
MPG	Screening	Inherent biodegradability	Aerobic	100% removal after 4 days	Kaplan et al. (1982)
MPG	Screening	Inherent biodegradability	Anaerobic	100% removal after 9 days	Kaplan et al. (1982)
MPG	Screening	Inherent biodegradability	Aerobic	84–99% removal in 20–24 h	OECD (2001a)
MPG	Simulation	River water	Aerobic	87–100% Removal in 28 days	Gotvajn and Zagore-Koncan (1999)
MPG	Simulation	Seawater	Aerobic	91–96% removal in 64 days	West et al. (2007)
MPG	Simulation	Soil	Aerobic	100% in 12 days	Klecka et al. (1993)
MPG	Simulation	Soil	Anaerobic	Degraded to methane	OECD (2001a)
MPG	Simulation	Anaerobic Digester	Anaerobic	Degraded to methane	Sezgin and Tomuk (2013)
DPG	Screening	Ready biodegradability	Aerobic	<3% removal in 28 days	OECD (2001b)
DPG	Screening	Ready biodegradability	Aerobic	84.4% degraded over 28 days (readily biodegradable)	West et al. (2007)
DPG	Screening	Inherent biodegradability	Aerobic	100% removal in 28 days	OECD (2001b)
DPG	Simulation	Seawater	Aerobic	17–24% removal in 64 days	West et al. (2007)
TPG	Screening	Ready biodegradability	Aerobic	<3% removal in 28 days	OECD (1994)
TPG	Screening	Ready biodegradability	Aerobic	81.9% degraded over 28 days (readily biodegradable)	West et al. (2007)
TPG	Screening	Inherent biodegradability	Aerobic	100% removal in 28 days	Zgola-Grzeskowiak, et al. (2008)
TPG	Simulation	Seawater	Aerobic	34–46% removal in 64 days	West et al. (2007)

TePG	Screening	Ready biodegradability	Aerobic	42–52% removal in 28 days	West et al. (2007)
TePG	Simulation	Seawater	Aerobic	19–31% removal in 64 days	West et al. (2007)
PPG 425	Screening	Ready biodegradability	Aerobic	88.6% degraded over 28 days (readily biodegradable)	West et al. (2007)
PPG 425	Screening	Inherent biodegradability	Aerobic	40% removal in 28 days	Zgola-Grzeskowiak et al. (2007)
PPG 425	Simulation	River water	Aerobic	99% removal in 17 days	Zgola-Grzeskowiak et al. (2006)
PPG 425	Simulation	Seawater	Aerobic	42–57% removal in 64 days	West et al. (2007)
PPG 725	Screening	Inherent biodegradability	Aerobic	100% removal in 28 days	Zgola-Grzeskowiak et al. (2007)
PPG 1000	Screening	Ready biodegradability	Aerobic	93.6% degraded over 28 days (readily biodegradable)	West et al. (2007)
PPG 1000	Simulation	Seawater	Aerobic	33–45% removal in 64 days	West et al. (2007)
PPG 2000	Screening	Ready biodegradability	Aerobic	105% degraded over 28 days (readily biodegradable)	West et al. (2007)
PPG 2000	Simulation	Seawater	Aerobic	26–38% removal in 64 days	West et al. (2007)
PPG 2700	Screening	Ready biodegradability	Aerobic	32–33% removal in 28 days	West et al. (2007)
PPG 2700	Simulation	Seawater	Aerobic	6% removal in 64 days	West et al. (2007)

isolated soil bacteria that were capable of using MPG and DPG as sole carbon sources. Kawai (1987) also showed that such isolates could utilize PPG substances up to PPG 3000. The soil microbe *C. glycolicum* was demonstrated to degrade MPG under anaerobic conditions to acid and alcohol end products (Gaston and Stadtman 1963). *Desulfovibrio*, a sulfate-reducing bacterium isolated from anoxic soil of a rice field, was reported to degrade MPG to acetate in the presence of sulfate with the production of carbon dioxide (Ouattara et al. 1992). Sezgin and Tomuk (2013) studied the applicability of semi-continuous anaerobic (methanogenic) bioreactors to treat MPG wastewaters, such as are generated from surface runoff of aircraft de-icer/anti-icer formulations. They demonstrated essentially 100% removal of chemical oxygen demand (COD) as MPG at reactor feed rates of up to 750 mg/m³/day and sludge age of 20 days. In simulation tests employing soil, MPG was also degraded under both aerobic (Klecka et al. 1993) and anaerobic (OECD 2001a) conditions. Klecka et al. (1993) concluded that the factors influencing the rates of biodegradation of MPG in soils were substrate concentrations, soil types, and ambient soil temperatures: lower glycol concentrations, higher soil organic carbon content, and higher ambient soil temperatures (in the range of -2 to 25 °C) resulted in faster degradation of MPG in soil. The biodegradation rate of MPG in soil was reported to be 2.3 mg/kg soil/day at -2 °C, 27.0 mg/kg soil/day at 8 °C, and 93.3 mg/kg soil/day at 25 °C (Klecka et al. 1993). The ease with which MPG is biodegraded in soil and groundwater, combined with the efficient production of hydrogen during its biodegradation by anaerobic bacteria, has resulted in its growing application to bioremediation of soil and groundwater contaminants (Adrian and Arnett 2007; Jaesche et al. 2006; Jin et al. 2002; Klecka 1996).

According to the studies presented here and elsewhere, the PG substances can be characterized as being rapidly biodegradable by a wide variety of inocula under a wide variety of incubation conditions. It would appear that the biodegradation of the PG substances involves enzymes that possess low specificity and/or high functional redundancy, and the same biodegradation pathways may be operative across this entire family of substances. Mechanisms, or even microorganisms, involved in biodegradation of PG substances might not be highly specialized, and appear to be widespread in the environment. Therefore, the PG substances are expected to rapidly degrade in a variety of environments and have low potential to be persistent in aquatic, terrestrial, and benthic environments.

4.3 Hydrolysis

The molecular structures of the PG substances consist exclusively of aliphatic -C-C-, C-H, -C-O-(ether, alcohol) and OH bonds. None of these molecular bonds are known or expected to be susceptible to hydrolysis under the temperature and pH conditions that are of physiological or environmental relevance. Generally, the aliphatic glycols and associated glycol ethers are regarded as being highly resistant to hydrolysis; however, no definitive study was identified in which this lack of

reactivity for the PG substances was evaluated. The SIAR report for TPG makes reference to a 1993 unpublished study conducted by the Japan Chemicals Inspection and Testing Institute (CITI) according to OECD Guideline 111, wherein the substance was shown to be stable at pH 4, 7, and 9 at 25 °C (OECD 1994). For the purpose of demonstrating this expected lack of hydrolytic reactivity for various product regulatory assessments of structurally-related substances, the hydrolysis of a representative glycol ether (*i.e.*, dipropylene glycol n-propyl ether) has been evaluated as a function of pH, according to OECD Guideline 111: Hydrolysis as a Function of pH (ECHA 2013b). The substance tested possesses all of the same structural features and molecular bonds that are represented across the PG substances. In this study, no degradation of the substance was observed over a 5-days exposure to pH 7 and 9 buffer solutions at 50 °C. Less than 4% degradation was observed under the same conditions at pH 4, and the substance was concluded to be hydrolytically stable. The half-life for hydrolysis of this tested representative substance, and for any of the PG substances by analogy, can be expected to exceed 1 year at 25 °C exposure, within the pH range of 4–9. Thus, hydrolysis is confirmed to be an unimportant fate process for the PG substances.

4.4 Bioaccumulation

Considering their miscibility with water, very low log P_{ow} values, and ability to be readily metabolized in microorganisms and in higher animals, the PG substances are expected to exhibit very low or no potential to bioaccumulate in the aquatic environment, or to biomagnify in the food chain of terrestrial vertebrates. Despite low bioaccumulation potential, the bioconcentration of the DPG and TPG substances have been evaluated in fish, according to OECD Guideline 305: Flow-through test (MITI 1995). The measured fish bioconcentration factor (BCF) for DPG in *Cyprinus carpio* ranged from 0.3 to 4.6 L/kg, and that for TPG in the same species was not measurable (BCF <5.7 L/kg). Propylene glycol substances of higher molecular weight would appear to have the same low potential to bioaccumulate. A PPG substance having a molecular weight of 3,000 g/mol was associated with measured fish BCF values of <7 and <2.2 L/kg, using the same species and similar test procedures to those used for DPG and TPG (CERI 1977). These measured BCF values are consistent with estimated BCF values produced by the US EPA BCFBAF model (v3.01, USEPA 2012), which are based on correlation of BCF with log P_{ow} . Using the log P_{ow} values shown in Table 2, the same BCF value of 3.16 L/kg is estimated for MPG, DPG, TPG and TePG. This BCF value of 3.16 L/kg is the *de minimus* BCF value reported by the BCFBAF model, for substances having log P_{ow} values of <1.0. Considering their physico-chemical properties and rapid degradability, along with measured fish BCF values for representative substances, it is concluded that the PG substances have very low potential to bioaccumulate in aquatic and terrestrial organisms.

5 Ecotoxicity

The PG substances consist of simple molecular structures that are not ionizable, and do not react directly with proteins or other cellular components of tissues. As such, any toxic effects resulting from either acute or chronic exposures to the substances at realistic concentrations would occur *via* a non-specific mode of action referred to as “non-polar narcosis”. This minimum or base-line toxicity of substances is highly-correlated with hydrophobicity (*i.e.*, $\log P_{ow}$) of substances, and can be thought of as the minimum degree of toxic potential likely to be exerted by any organic substance. Substances that exert toxic effects at lower concentrations than predicted from this base-line correlation with $\log P_{ow}$ are likely acting *via* one or more specific (*i.e.*, reactive) modes of action in parallel with narcosis (Roberts and Costello 2003). In the following sections, an overview of available acute and chronic studies with both aquatic and terrestrial organisms is presented, which exemplify the base-line toxicity exhibited by the PG substances.

5.1 Monopropylene Glycol (MPG)

The acute toxicity of MPG toward aquatic and terrestrial species has been well-studied across vertebrate, invertebrate, and plant species associated with both aquatic and terrestrial environments. As can be seen in Table 6a, the acute LC_{50} values for MPG exposures of all fish species tested are $>1,000$ mg/L. The LC_{50} and EC_{50} values associated with acute MPG exposures to clawed frog, all aquatic invertebrates and algae species tested, and lettuce are $>10,000$ mg/L. Overall, MPG is practically non-toxic to aquatic and terrestrial organisms on an acute basis.

Chronic exposure assays of MPG were also conducted with several species of aquatic and terrestrial organisms, and low potential for long-term adverse effects was exhibited. As shown in Table 6a, the 7-days chronic NOEC to fathead minnow and water flea, the 14-days EC_{50} to algae, and the 5-days EC_{25} for lettuce, are all $>10,000$ mg/L. The above data demonstrate that MPG has a very low order of toxicity in the aquatic and terrestrial environments.

5.2 Dipropylene Glycol (DPG)

The acute toxicity of DPG to several aquatic species including fish, frog, water flea, and algae has been determined. No acute toxicity data are available for DPG in terrestrial organisms. As can be seen in Table 6b, the LC_{50} values determined for DPG with fish and frog species tested are all $>1,000$ mg/L. The EC_{50} values for DPG exposures to the water flea and algae are all >100 mg/L. Based on the available data, DPG is also demonstrated to have a very low order of toxicity in the environment.

Table 6a Summary of aquatic and terrestrial toxicity data for monopropylene glycol (MPG)

Species	Endpoint and duration	Result	Reference
Aquatic vertebrates			
Goldfish <i>Carassius auratus</i>	24-h LC ₅₀	>5,000 mg/L	Bridie et al. (1979)
Sheepshead minnow <i>Cyprinodon variegatus</i>	24-h LC ₅₀	63,500 mg/L	USEPA (2000)
Sheepshead minnow <i>Cyprinodon variegatus</i>	48-h LC ₅₀	52,500 mg/L	USEPA (2000)
Sheepshead minnow <i>Cyprinodon variegatus</i>	72-h LC ₅₀	35,900 mg/L	USEPA (2000)
Sheepshead minnow <i>Cyprinodon variegatus</i>	96-h LC ₅₀	23,800 mg/L	USEPA (2000)
Sheepshead minnow <i>Cyprinodon variegatus</i>	96-h LC ₅₀	48,000 mg/L	Mayer and Ellersieck (1986)
Guppy <i>Lebistes reticulatus</i>	48-h LC ₅₀	>10,000 mg/L	Verschuere (2001)
Bluegill sunfish <i>Lepomis macrochirus</i>	96-h LC ₅₀	>10,000 mg/L	USEPA (2006)
Inland Silverside <i>Menidia beryllina</i>	96-h LC ₅₀	>10,000 mg/L	USEPA (2006)
Rainbow trout <i>Oncorhynchus mykiss</i>	24-h LC ₅₀	79,700 mg/L	USEPA (2000)
Rainbow trout <i>Oncorhynchus mykiss</i>	24-h LC ₅₀	50,000 mg/L	Verschuere (2001)
Rainbow trout <i>Oncorhynchus mykiss</i>	48-h LC ₅₀	79,700 mg/L	USEPA (2000)
Rainbow trout <i>Oncorhynchus mykiss</i>	72-h LC ₅₀	51,600 mg/L	USEPA (2000)
Rainbow trout <i>Oncorhynchus mykiss</i>	96-h LC ₅₀	51,600 mg/L	USEPA (2000)
Rainbow trout <i>Oncorhynchus mykiss</i>	96-h LC ₅₀	44,000 ppm	Mayer and Ellersieck (1986)
Rainbow trout <i>Oncorhynchus mykiss</i>	96-h LC ₅₀	42,380 and 37,067 mg/L	USEPA (2000)
Rainbow trout <i>Oncorhynchus mykiss</i>	96-h LC ₅₀	45,600 mg/L	Mayer and Ellersieck (1986)
Medaka <i>Oryzias latipes</i>	48-h LC ₅₀	>1,000 mg/L (static)	Tsuji et al. (1986)
Fathead minnow <i>Pimephales promelas</i>	24-h LC ₅₀	77,800 mg/L	USEPA (2000)
Fathead minnow <i>Pimephales promelas</i>	48-h LC ₅₀	54,000 mg/L	USEPA (2000)
Fathead minnow <i>Pimephales promelas</i>	72-h LC ₅₀	51,400 mg/L	USEPA (2000)
Fathead minnow <i>Pimephales promelas</i>	96-h LC ₅₀	51,400 mg/L	USEPA (2000)
Fathead minnow <i>Pimephales promelas</i>	96-h LC ₅₀	59,900–77,400 mg/L	USEPA (2006)

(continued)

Table 6a (continued)

Species	Endpoint and duration	Result	Reference
Fathead minnow <i>Pimephales promelas</i>	96-h LC ₅₀	54,900 mg/L	Verschueren (2001)
Fathead minnow <i>Pimephales promelas</i>	96-h LC ₅₀	34,060 mg/L	Cornell et al. (2000)
Fathead minnow <i>Pimephales promelas</i>	96-h LC ₅₀	55,770 mg/L NOEC mortality = 52,930	Pillard (1995)
Fathead minnow <i>Pimephales promelas</i>	7-days NOEC growth and mortality	<11,530 mg/L	Pillard (1995)
Fingerling trout <i>Salmo gairdneri</i>	24-h LC ₅₀	50,000 mg/L	Majewski et al. (1978)
Clawed Frog <i>Xenopus laevis</i>	48-h LC ₅₀	18,700 and 24,285 mg/L	USEPA (2000)
Aquatic invertebrates			
Water flea <i>Ceriodaphnia dubia</i>	48-h LC ₅₀	18,340 mg/L NOEC = 13,020 mg/L	Pillard (1995)
Water flea <i>Ceriodaphnia dubia</i>	7-days NOEC	13,020 mg/L (reproduction) 29,000 mg/L (mortality)	Pillard (1995)
Water flea <i>Daphnia magna</i>	24-h LC ₅₀	70,700 mg/L	USEPA (2000)
Water flea <i>Daphnia magna</i>	24-h EC ₅₀ immobilization	>10,000 mg/L	Kuhn et al. (1989)
Water flea <i>Daphnia magna</i>	48-h LC ₅₀	43,500 mg/L	USEPA (2000)
Brine Shrimp <i>Artemia salina</i>	24-h LC ₅₀	>10,000 mg/L	Price et al. (1974)
Mysid shrimp <i>Mysidopsis bahia</i>	24-h LC ₅₀	31,000 mg/L	USEPA (2000)
Mysid shrimp <i>Mysidopsis bahia</i>	48-h LC ₅₀	27,300 mg/L	USEPA (2000)
Mysid shrimp <i>Mysidopsis bahia</i>	72-h LC ₅₀	23,400 mg/L	USEPA (2000)
Mysid shrimp <i>Mysidopsis bahia</i>	96-h LC ₅₀	18,800 mg/L	USEPA (2000)
Mysid shrimp <i>Mysidopsis bahia</i>	96-h LC ₅₀	11,000 ppm	Mayer and Ellersieck (1986)
Harpacticoid copepod <i>Nitocra spinipes</i>	96-h LC ₅₀	>10,000 mg/L	Tarkpea et al. (1986)
Green algae <i>Selenastrum capricornutum</i>	48-h EC ₅₀ Growth rate	34,100 mg/L	USEPA (2000)
Green algae <i>Selenastrum capricornutum</i>	72-h EC ₅₀ Growth rate	24,200 mg/L	USEPA (2000)
Green algae <i>Selenastrum capricornutum</i>	96-h EC ₅₀ Growth rate	19,000 mg/L	USEPA (2000)

(continued)

Table 6a (continued)

Species	Endpoint and duration	Result	Reference
Green algae <i>Selenastrum capricornutum</i>	96-h	IC ₅₀ = 20,690 mg/L IC ₂₅ = 1,516 mg/L LOEC = 126 mg/L NOEC = 37 mg/L	USEPA (2000)
Green algae <i>Selenastrum capricornutum</i>	96-h IC ₂₅	20,800 mg/L	USEPA (2000)
Green algae <i>Selenastrum capricornutum</i>	14-days EC ₅₀ Growth rate	18,100 mg/L	USEPA (2000)
Marine algae <i>Skeletonema costatum</i>	24-h EC ₅₀ Growth rate	31,500 mg/L	USEPA (2000)
Marine algae <i>Skeletonema costatum</i>	48-h EC ₅₀ Growth rate	19,000 mg/L	USEPA (2000)
Marine algae <i>Skeletonema costatum</i>	72-h EC ₅₀ Growth rate	19,300 mg/L	USEPA (2000)
Marine algae <i>Skeletonema costatum</i>	96-h EC ₅₀ Growth rate	19,100 mg/L	USEPA (2000)
Marine algae <i>Skeletonema costatum</i>	14-days EC ₅₀ Growth rate	<5,300 mg/L	USEPA (2000)
Duckweed <i>Lemna minor</i>	96-h	IC ₂₅ = 12,000 mg/L (frond growth) LOEC = 5,000 mg/L (frond growth) IC ₂₅ = 21,882 mg/L (chlorophyll) LOEC = 20,000 mg/L (chlorophyll) IC ₂₅ = 12,000 mg/L (pheophytin) LOEC = 20,000 mg/L (pheophytin)	USEPA (2000)
Toxicity to terrestrial plants			
Lettuce <i>Lactuca sativa</i>	72-h EC ₅₀ Germination	50,540 mg/L	Reynolds (1977)
Lettuce <i>Lactuca sativa</i>	5-days EC ₂₅ (hydroponic)	24,760 mg/L (emergence) NOEC = 4,500 mg/L 9,880 mg/L (root length) 1,190 mg/L (shoot length)	Pillard and Dufresne (1999)
Ryegrass <i>Lolium perenne</i>	5-days EC ₂₅ (hydroponic)	24,210 mg/L (emergence) NOEC = 15,000 mg/L 2,850 mg/L (root length) 3,120 mg/L (shoot length)	Pillard and Dufresne (1999)
Toxicity to other non-mammalian terrestrial species (including birds)			
Domestic Chicken embryo <i>Gallus domesticus</i>	14-days NOEL (chick embryo mortality)	0.05 ml/embryo	Gebhardt and Van Logten (1968)

Table 6b Summary of aquatic and terrestrial toxicity data for dipropylene glycol (DPG)

Species	Endpoint and duration	Result	Reference
Aquatic vertebrates			
Goldfish	24-h LC ₅₀	>5,000 mg/L	Bridie et al. (1979)
<i>Carassius auratus</i>			
Clawed Frog	48-h LC ₅₀	3,181 mg/L	De Zwart and Slooff (1987)
<i>Xenopus laevis</i>			
Aquatic invertebrates			
Water flea	48-h EC ₅₀ immobilization	>100 mg/L	ECHA (2013c)
<i>Daphnia magna</i>			
Aquatic plants			
Algae	72-h EC ₅₀	>100 mg/L	ECHA (2013c)
<i>Desmodesmus subspicatus</i>	Growth inhibition	NOEC >100 mg/L	

5.3 Tripropylene Glycol (TPG)

TPG has been tested in a limited number of aquatic species for acute and chronic toxicity. No toxicity data are available for TPG in terrestrial organisms. As can be seen in Table 6c, the available LC₅₀/EC₅₀ values associated with acute exposures of TPG to fish, water flea, and algae are all >1,000 mg/L. The chronic 21-day NOEC (reproduction and immobility) for water flea is also >1,000 mg/L. Therefore, TPG is considered to be practically non-toxic to fish, daphnids, and algae, and it does not have any remarkable ecotoxicity.

5.4 Tetrapropylene Glycol (TePG) and Higher Oligomers

Due to overlap in their molecular weight, ecotoxicity information for the TePG substance (M_n=250 g/mol) is discussed along with that for low molecular weight PPG substances. Limited acute toxicity data are available for PPG exposures to aquatic organisms. No acute toxicity data are available for terrestrial organisms, and no chronic toxicity data are available for either aquatic or terrestrial organisms. As can be seen in Table 6d, the LC₅₀/EC₅₀ values associated with acute exposures of PPG (M_n=260 g/mol) to fish, water flea and algae are all >100 mg/L. A 3-h EC₅₀ >1,000 mg/L of PPG (M_n=230 g/mol) was also reported for bacterial growth inhibition. These results suggest a very low toxicity of PPGs in the environment.

It is concluded from the available data summarized here that the PG substances do not pose short- or long-term risks to environmental receptors at concentrations that could reasonably be expected to result from typical use and disposal patterns. In standardized tests of acute aquatic toxicity, the maximum recommended (limit) exposure concentration is typically 100 mg/L. According to regulatory classification schemes for acute aquatic toxicity, substances exhibiting E/LC₅₀ values of >100 mg/L are regarded as “practically non-toxic” and are not classified for acute toxic effects. It is therefore important to note that none of the acute tests summarized here for the PG substances resulted in E/LC₅₀ values of <100 mg/L.

Table 6c Summary of aquatic and terrestrial toxicity data for tripropylene glycol (TPG)

Species	Endpoint and duration	Result	Reference
Aquatic vertebrates			
Medaka <i>Oryzias latipes</i>	96-h LC ₅₀	>1,000 mg/L (semi-static)	Environment Agency Japan (1992)
Common carp <i>Cyprinus carpio</i>	Bioaccumulation (OECD 305)	BCF: <5.7 (1 mg/L) BCF: <0.5 (10 mg/L)	MITI (1995)
Aquatic invertebrates			
Water flea <i>Daphnia magna</i>	24-h EC ₅₀ immobilization	>1,000 mg/L (static)	Environment Agency Japan (1992)
Water flea <i>Daphnia magna</i>	21-day NOEC Reproduction and immobility	>1,000 mg/L (semi-static)	Environment Agency Japan (1992)
Aquatic plants			
Green algae <i>Pseudokirchnerella subcapitata</i> (reported as <i>Selenastrum capricornutum</i>)	72-h EC ₅₀ Biomass growth inhibition	>1,000 mg/L NOEC >1,000 mg/L	Environment Agency Japan (1992)

Table 6d Summary of aquatic and terrestrial toxicity data for tetrapropylene glycol (TePG)

Species	Endpoint and duration	Result	Ave. MW (g/mol)	Reference
Aquatic vertebrates				
Zebrafish <i>Danio rerio</i>	96-h LC ₅₀	>100 mg/L (static)	260	ECHA (2013d)
Aquatic invertebrates				
Water flea <i>Daphnia magna</i>	48-h EC ₅₀	105.8 mg/L (static)	260	ECHA (2013d)
Aquatic plants				
Algae <i>Desmodesmus subspicatus</i>	72-h EC ₅₀ (growth rate)	>100 mg/L (static) NOEC = 100 mg/L	260	ECHA (2013d)
Microorganisms				
Activated sludge	3-h EC ₅₀ (respiration rate)	>1,000 mg/L NOEC = 1,000 mg/L	230	ECHA (2013d)

Similarly, substances exhibiting chronic NOEC or EC₁₀ values of >1 mg/L are typically not classified as having potential to cause long-term effects in the environment. Although it might be of interest to examine the potential correlation of acute and chronic effect levels with log P_{ow} values of the PG substances, testing in most cases involved limit concentration exposures (*i.e.*, 100; 1,000; 10,000 mg/L), from which discrete values of E/LC₅₀ and NOEC were not determinable (Tables 6a, 6b, 6c, and 6d). Therefore, it is not possible to determine an approximate ratio of acute:chronic toxicity threshold concentrations from the available ecotoxicological datasets for these substances. Even in the absence of these refined analyses of their

toxicity potentials, the empirical data on these substances clearly indicate that acute and chronic effects are not expected to occur for typical and recommended use and disposal of the products containing them. This, combined with demonstrated rapid and ultimate biodegradability and lack of bioaccumulation potential, leads to the conclusion that the PG substances have low potential for environmental harm.

6 Potential for Endocrine Disruption

The potential for xenobiotic substances to interfere with endocrine modulation in humans and wildlife is a topic of high current interest. As a result of concern for these potential effects from pesticides, persistent organic pollutants, and other substances produced in large volumes, regulatory authorities are requiring evaluations for endocrine disrupting potential of such substances. Searches of the published literature, government databases, and internet did not locate information pertaining to direct assessment or association of endocrine modulating effects for the PG substances. However, considering the widespread and often dispersive uses of these substances, along with the aforementioned sporadic detections of PG and DPG in surface-, ground-, and drinking-water samples, this review of the environmental fate and effects of these substances might be considered incomplete without presenting the following weight of indirect evidence regarding potential for endocrine effects of the PG substances.

MPG is considered by the U.S. Food and Drug Administration to be a Generally Recognized as Safe (GRAS) substance, and as reviewed recently by Fowles et al. (2013), has been extensively tested for potential effects on development and reproduction of mammals. These varied and numerous studies revealed no effects on mammalian reproductive performance, fetal development, or histopathological evidence of endocrine-mediated effects in reproductive toxicity studies with the PG substances. The Center for the Evaluation of Risks to Human Reproduction (CERHR), a division of the National Institute of Environmental Health Sciences (NIEHS), reviewed the potential reproduction/developmental effects of MPG in 2004, and concluded that the substance is “of negligible concern for reproduction/developmental effects” (CERHR 2004). The MPG substance is employed as an excipient in various oral and injectable therapies (both prescription and OTC/herbal) used to manage estrogen, androgen, and thyroid hormone levels in humans.

Finally, evidence from structure-activity relationships can be used to evaluate the affinity that the PG substances and their associated isomers have for binding to the estrogen and androgen receptors. The OASIS TIssue MEtabolism Simulator model (*i.e.*, OASIS TIMES v2.27.5, Laboratory of Mathematical Chemistry of the University of Professor Assen Zlatarov, Bourgas, Bulgaria) employing a heuristic probabilistic algorithm (Mekenyan et al. 2004), was used to estimate the estrogen- and androgen-receptor binding affinity for the PG substances. The major representative isomer for each glycol was used for dipropylene and higher PG oligomers. The TIMES modeling is based on a Common Reactivity Pattern (COREPA)

approach which assesses the impact of three-dimensional molecular conformation distributions and flexibility on stereo-electronic properties of the modeled substances (Mekenyan and Serafimova 2009). The modeling predicted that each of the PG substances and their various associated 3-D molecular conformers would be “not active” with the human estrogen and androgen nuclear receptors. Thus, the modeling found that these substances have no potential for endocrine disruption *via* direct receptor binding agonist or antagonist modes of action. In more general terms, the overall chemical structures of the PG substances are not indicative of endocrine disruption properties, as these compounds lack certain structural features that appear to be important for nuclear binding affinity, such as hydrogen bond donor and acceptor groups associated with single or multiple aromatic rings. Based on the experimental findings and modeling results of receptor binding affinities, the PG substances are not considered to be potential endocrine disruptors, such that they could induce endocrine-modulating effects on humans, fish, or other wildlife.

7 Summary

The propylene glycol substances comprise a homologous family of synthetic organic molecules that have widespread use and very high production volumes across the globe. The information presented and summarized here is intended to provide an overview of the most current and reliable information available for assessing the potential environmental exposures and impacts of these substances across the manufacture, use, and disposal phases of their product life cycles.

The PG substances are characterized as being miscible in water, having very low octanol-water partition coefficients ($\log P_{ow}$) and exhibiting low potential to volatilize from water or soil in both pure and dissolved forms. The combination of these properties dictates that, almost regardless of the mode of their initial emission, they will ultimately associate with surface water, soil, and the related groundwater compartments in the environment. These substances have low affinity for soil and sediment particles, and thus will remain mobile and bio-available within these media.

In the atmosphere, the PG substances are demonstrated to have short lifetimes (1.7–11 h), due to rapid reaction with photochemically-generated hydroxyl radicals. This reactivity, combined with efficient wet deposition of their vapor and aerosol forms, lends to their very low potential for long-range transport *via* the atmosphere. In the aquatic and terrestrial compartments of the environment, the PG substances are rapidly and ultimately biodegraded under both aerobic and anaerobic conditions by a wide variety of microorganisms, regardless of prior adaptation to the substances. Except for the TePG substance, the propylene glycol substances meet the OECD definition of “readily biodegradable”, and according to this definition are not expected to persist in either aquatic or terrestrial environments. The TePG exhibits inherent biodegradability, is not regarded to be persistent, and is expected to ultimately biodegrade in the environment, albeit at a somewhat slower rate.

The apparent ease with which microorganisms and higher organisms can metabolize the PG substances, along with their low $\log P_{ow}$ and very high water solubility values, portends them to have very low potential for bioaccumulation and/or biomagnification in aquatic and terrestrial organisms. These same properties, along with their neutral structures and lack of biological reactivity, are the reasons for which the PG substances exhibit a base-line, non-polar narcosis mode of toxicity. The PG substances have been shown here to be practically non-toxic to essentially every aquatic and terrestrial animal and plant species tested. Collectively, the available wealth of information relating to persistence, bioaccumulation, and eco-toxicity of these substances allows a definitive conclusion of their categorization as not being PBT (*i.e.*, persistent/bioaccumulative/toxic). The PBT screening and categorization of substances on the Canadian Domestic Substances List (DSL) by Environment Canada has formally concluded that each member of this substance family is “not P”, “not B”, and “not T” according to their associated PBT criteria. Similarly, the preceding evaluations of these high production volume substances within the OECD SIDS program concluded that MPG, DPG, and TPG are low priorities for further examination of potential impacts to humans and the environment. More extensive evaluations of potential risks to human health and the environment were recently completed by industry, as required for their registration under the European Union REACH legislation; each evaluation demonstrated that current uses, associated exposures, and controls thereof, will not result in exposures that exceed predicted no effect concentrations in the environment.

Acknowledgements The authors wish to acknowledge the American Chemistry Council Propylene Oxide/Propylene Glycol Panel, and its Director, Mr. Jonathon Busch, for funding and administrative support for preparation of this review. All conclusions presented herein are those of the authors, and not necessarily of the American Chemistry Council or its sponsor companies.

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