

Bathymetry Determination from High Resolution Satellite Imagery Using Ensemble Learning Algorithms in Shallow Lakes: Case Study El-Burullus Lake

Hassan Mohamed, Abdelazim Negm, Mohamed Zahran, and Oliver C. Saavedra

Abstract—Determination of bathymetric information is key element for near off shore activities and hydrological studies such as coastal engineering applications, sedimentary processes and hydrographic surveying. Remotely sensed imagery has provided a wide coverage, low cost and time-effective solution for bathymetric measurements. In this paper a methodology is introduced using Ensemble Learning (EL) fitting algorithm of Least Squares Boosting (LSB) for bathymetric maps calculation in shallow lakes from high resolution satellite images and water depth measurement samples using Eco-sounder. This methodology considered the cleverest sequential ensemble that assigns higher weights as Boosting for those training sets that are difficult to fit. The LSB ensemble using reflectance of Green and Red bands and their logarithms from Spot-4 satellite image was compared with two conventional methods; the Principal Component Analysis (PCA) and Generalized Linear Model (GLM). The retrieved bathymetric information from the three methods was evaluated using Echo Sounder data. The LSB fitting ensemble resulted in RMSE of 0.15m where the PCA and GLM yielded RMSE of 0.19m and 0.18m respectively over shallow water depths less than 2m. The application of the proposed approach demonstrated better performance and accuracy compared with the conventional methods.

Index Terms—Bathymetry, PCA, GLM, least square boosting.

I. INTRODUCTION

Accurate bathymetric information is so important for coastal science applications, shipping navigations and environmental studies of marine areas [1]. Mapping underwater features as rocks, sandy areas, sediments accumulation and coral reefs needs up to date water depths information [2], [3]. Water depths data are essential also for accomplishing sustainable management [4], bathymetric information constitutes a key element hydrological modeling, flooding estimation and degrading or sediments removing [5], [6].

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Sonar remains the primary method for obtaining discreet water depth measurements with high accuracy [7]. Single beam sonar on survey vessel can acquire single point depths along sparsely surveying scan lines up to 500 m depths. Multi-beam side scan sonar improves the scanning with wide swath coverage below the vessel scan line resulting better resolution of the resulting sounding [8]. Although these methods gives high accuracy with about 8 cm in 200 m water depths and high spatial resolution of 6 m, they have many limitations. These methods are time consuming, expensive, have low coverage areas and not appropriate for some places as shallow areas with depths less than 3 m [9].

Airborne LIDAR measurements represent another method for accurate water depths detection especially in the last years. LIDAR systems are fast, accurate and appropriate alternative solution for difficult shallow aquatic areas [10]. Some of these LIDAR systems can reach 70 m depths and 20 cm vertical accuracy [11]. Despite of the accuracy of these systems they are limited in coverage compared to satellite images and high costing of operation [12].

Remote Sensing Multi-spectral satellite images are considered the feasible alternative method for bathymetric estimation [9]. These images precede the LIDAR methods in their wide coverage, low costs, high spatial resolution and suitability for shallow areas. Starting in 1978 with areal images over clear shallow waters Lyzenga developed the first empirical methods for estimating bathymetry [13]. In the following years many satellites were launched with progressive improvements in their spatial and spectral resolutions. Landsat was the first satellite used for bathymetric applications [14], [15] followed by IKONOS [16], and Quick Bird [17], [18]. Recently a new version of high resolution satellite images were used for detecting water depths as instance Spot images [12] and Worldview-2 [19].

Various algorithms were proposed for water depths estimation from optical satellite images depending on the relationship between image pixel values and water depths samples [2]. Lyzenga proposed a methodology depending on the physical Lambert-Beer law of attenuation. A log-linear relationship between corrected image reflectance values and water depths can be used for detecting bathymetric information in certain area. The theory depends on removing the sun-glint and water column effect from images. The resulted differences in reflectance values will be due to changes in water depths [8]-[10].

However this assumption may not be correct for heterogeneous complex areas with different conditions in atmosphere and sun-glint [20]. This method was applied with other satellite images with some improvements in the following years as Landsat [21], Quickbird [22] and [9].

Some researchers try to improve this methodology through dividing the area into zones of penetration then calculating the depths in these zones using Hierarchical Markov chain algorithms [23] or splitting the water column into attenuation levels according to turbidity using stratified genetic algorithm [24].

Stumpf [16] proposed another approach using band ratios. Their theory assumes that the effects of different heterogeneous water areas will be the same for two bands and so the ratio between their reflectance values can be used to estimate water depths. Although this theory needs less parameters and less affected with bottom type it does not have sound physical foundation and needs pre coefficients selected with trial and error by the user. Su [2] try to calibrate the parameters for the non-linear inversion model proposed by Stumpf [16] automatically using the Levenberg-Marquardt optimization algorithm.

Martin [25] and Noela [12] used the principal component analysis (PCA) for detecting water depths from satellite images. The principal component of the log transformed reflectance was linearly correlated with water depths samples.

The methodology proposed in this research uses Least Squares Boosting fitting ensemble for estimating water depths in shallow waters. The influencing bands for bathymetry after removing atmosphere and sun glint corrections and their logarithms are used as input data in the ensemble. The proposed approach reduces the water depth measurement requirements, saves time, costs and difficulties of field surveying. The methodology was applied using SPOT-4 imagery of EL-Burullus Lake in Egypt and compared with two other conventional methods. Achieved results were evaluated using Echo-Sounder bathymetric data for the same area.

II. METHODOLOGY

A. Study Area and Available Data

The study area considered in this research consists of El-Burullus Lake. It is one of the largest Egyptian northern lakes connected to the Mediterranean Sea with a total area of 410 km² [26]. It's a shallow lake with a maximum depth of 2 m. The shallowest part of the lake is the eastern sector with depths from 0.75m to 1 m [27]. Fig. 1 illustrates the study area.

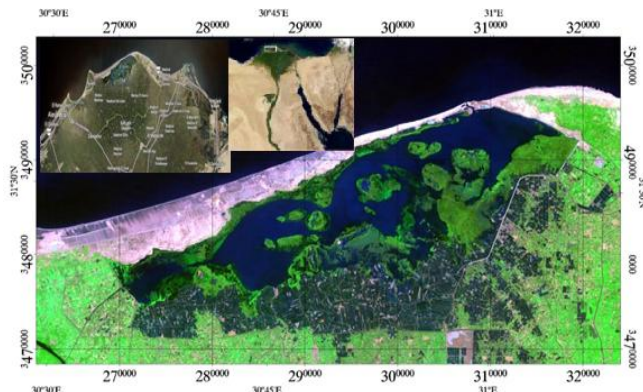


Fig. 1. The study area (El-Burullus Lake, Nile-Delta, Egypt).

A pan-sharped SPOT-4 HRG-2 satellite image with four

multispectral bands is used for detecting bathymetry for the study area. The four bands are green (0.5–0.59 μm), red (0.61–0.68 μm), near-infrared (0.78–0.89 μm) and short-wave infrared (1.58–1.75 μm). The image has 10 m spatial resolution and was acquired on July 1st, 2012 in Fig. 2.

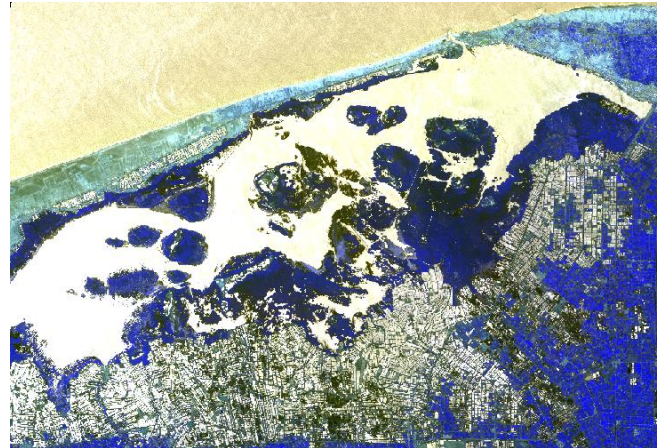


Fig. 2. The SPOT-4 satellite image of the study area (July 1st, 2012).

In-situ depth measurements of bathymetry were acquired by Echo-Sounder instrument in Fig. 3.

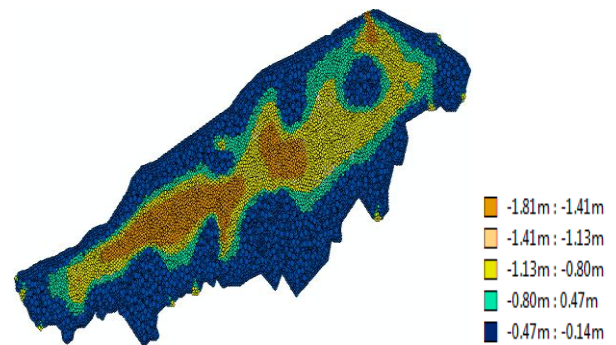


Fig. 3. In-situ depth bathymetry points from Echo-Sounder.

B. Methodology

The following subsections describe the methodology used in this research.

1) Imagery data pre-processing

For detecting bathymetric information from satellite images the radiometric corrected pixel values are firstly converted to spectral reflectance values [28] for all image bands. The required data for this conversion regarding the sensor characteristics in exposure time and the effective band widths for each band are available in the image metadata file. Second, two essential successive steps corrections are applied to the reflectance image; atmospheric correction and Sun-glint correction [9]. The sequence of applying these two corrections is arbitrary. Some researchers start with atmospheric correction followed by sun-glint correction while others reverse this procedure [29]. The following steps summarize the imagery data pre-processing:

Computing theradiance values from image pixel digital numbers using the gain and bias information of sensor bands as follows [29]:

$$L = DN (Gain) + Bias \quad (1)$$

where:

L = Radiance values for each band

DN = digital numbers recorded by the sensor

$Gain$ = the gradient of the calibration

$Bias$ = the spectral radiance of the sensor for a DN of zero.

Both $gain$ and $bias$ values were available in the image metadata file.

Calculating the spectral top of atmosphere reflectance of each pixel value using the radiances computed in Eq.2 [30]:

$$\rho_{AS} = \frac{\pi d^2 L}{E_{sun} \cos \theta_z} \quad (2)$$

where:

ρ_{AS} = the top of atmosphere reflectance

d^2 = the square value of Earth-sun distance correction in atmospheric units

E_{sun} = exoatmospheric spectral solar constant for each band

θ_z = solar zenith angle

The earth-sun distance correction for the acquired imagery date is $d=1.01667$ [31]. E_{sun} for each band of SPOT-4 image and θ_z can be found in the image metadata file.

Applying the atmospheric correction to the spectral reflectance image. According to many researchers the preferred method for bathymetry detection is dark pixel subtraction method [17]. The corrected pixel value can be calculated as follows [9]:

$$R_{ac} = R_i - R_{dp} \quad (3)$$

where:

R_{ac} = corrected pixel reflectance value

R_i = initial pixel reflectance value

R_{dp} = the dark pixel value.

The dark pixel value is so important for depths determination process and influence the accuracy of depth estimation values [16].

Applying the Sun Glint correction to the image resulted from atmospheric correction process. The sun glint correction can be performed by exploiting the advantage of Near-infrared band which does not contain any bottom reflected signals [2]. Thus the other image bands which contain sun glint areas could be related with the Near-infrared band in linear regression relationship [12]-[32]. The de-glintoned pixel value can be easily determined as follows:

$$R_i' = R_i \times b_i (R_{NIR} - Min_{NIR}) \quad (4)$$

where:

R_i' = de-glintoned pixel reflectance value

R_i = initial pixel reflectance value

b_i = regression line slope

R_{NIR} = corresponding pixel value in NIR band

Min_{NIR} = min NIR value existing in the sample.

Choosing of pixel samples has varying dark, deep and has glint values from the imagery water region influencing the accuracy of results [33].

2) Methods

a) PCA correlation approach

PCA or Multi-band Approach can be used for bathymetry detection through correlating in-situ depth measured values

and reflectance of bands with their logarithms. Therefore, it can use multi-band images for getting more accurate water depths [1]. Image bands are transformed through this approach to new uncorrelated bands known as components ordered by the amount of image variation they can elucidate [34]. The first component resulted from PCA can be correlated to water depths regarding the other environmental factors which have less influence on variation [35].

b) GLM correlation approach

The Generalized Linear Model represents least-squares fit of the link of the response to the data. GLM links a linear combination of non-random explanatory variables X as example image bands to dependent random variable Y as instance the water depths values [36]. The mean of the nonlinear observed variable can be fitted to a linear predictor of the explanatory variables a link function using a link function of $g[\mu Y]$ as follow [12]:

$$g[\mu Y] = \beta_0 + \sum_i \beta_i X_i + \sum_{ij} \beta_{ij} X_i X_j \quad (5)$$

where: β_0 , β_i and β_{ij} are coefficients and X_i , X_j are variables combinations.

c) Least squares boosting fitting ensemble for bathymetry estimation

Ensemble is a collection of predictors combined with weighted average of vote in order to provide overall prediction that take its guidance from the collection itself [37]. Boosting is considered as one of the most powerful learning ensemble algorithms proposed in the last three decades. It was originally designed for classification but it was found that it can be extended to regression problems [38]. Its an ensemble technique in which learners are learn sequentially with early learners fitting simple models of data and then the data are analyzed from errors. Those errors identifies problems of particular instances of data that are difficult or hard to fit. Later models focus primarily on those instances to try predicting them right. In the end all models are given weights and the set is combined into some overall predictors. Thus boosting is a method of converting a sequence of weak learners into very complex predictors or a way of increasing complexity of primary model. Initial learners often are very simple and then the weighted combination can develop more complex learners in Fig. 4. The basic concept of boosting is developing multiple models in sequence by assigning higher weights as boosting for those training cases or learners that are difficult to be fitted in regression problems or classified in classification problems [39].

The predictive learning problem usually consisting of a random output variable (Y) that may be called a response and a set of random input variables ($X = X_1 \dots X_n$) may be called explanatory. A training sample $(Y_i, X_i)^N$ of known (Y, X) values is used for obtaining an estimation or approximation $F(X)$, of the function $F^*(X)$ for correlating or mapping x to y , in order to minimize the expected value of some specified loss function $L(Y, F(X))$ over the joint distribution of all (Y, X) values [40]:

$$F^* = \arg \min E_Y, X L(Y, F(X)) = \arg \min E_X [E_Y (L(Y, F(X))) | X] \quad (5)$$

For $Y \in R$ (Regression problems) loss functions $L(Y, F)$

regularly include squared-error $(Y - F)^2$ and absolute error $|Y - F|$. $F(x)$ can be restricted to be a member of a parameterized class of functions $F(X; P)$. As example of this process the additive expansions [40]:

$$F(X; (\beta_m, \mathbf{a}_m)^M) = \sum_{m=1}^M \beta_m h(X, \mathbf{a}_m) \quad (6)$$

where:

$h(X, \mathbf{a})$ is generic function a simple parameterized function of the input variables X .

\mathbf{a}_m the parameters which characterized the generic function.

β_m the set of parameters whose joint values identify regression functions. In Boosting case each function of $h(X, \mathbf{a})$ is a regression tree with parameters \mathbf{a}_m as splitting variables. This expansion is included in many approximation methods as Neural Network, Support Vector Machine and Wavelets...etc. [41].

Least-Squares algorithm can be used to minimize any differentiable loss $L(y, F)$ in conjunction with forward

stage-wise additive modeling for fitting the generic function $h(X, \mathbf{a})$ to the pseudo-responses $(F = -g_m(X_i))$ for $i=1 \dots N$. In Least-squares regression the loss function is $L(Y, F) = (Y-F)^2/2$ and the pseudo-response is $\bar{Y}_i = Y_i - F_{m-1}(X_i)$. The following steps illustrate Least-Squares Boosting algorithm [42]:

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 $F_o(X) = \bar{Y}$ 
For m = 1 to M do:
 $\bar{Y}_i = Y_i - F_{m-1}(X_i), i = 1, N$ 
 $(\rho_m, \mathbf{a}_m) = \arg \min_{a, p} \sum_{i=1}^N [\bar{Y}_i - \rho h(X_i; \mathbf{a})]^2$ 
 $F_m(X) = F_{m-1}(X) + \rho_m h(X; \mathbf{a}_m)$ 
end For
end Algorithm
    
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As a result, gradient boosting on squared-error loss produces the normal stage-wise approach of iteratively fitting the current residuals [43].

Data & prediction function

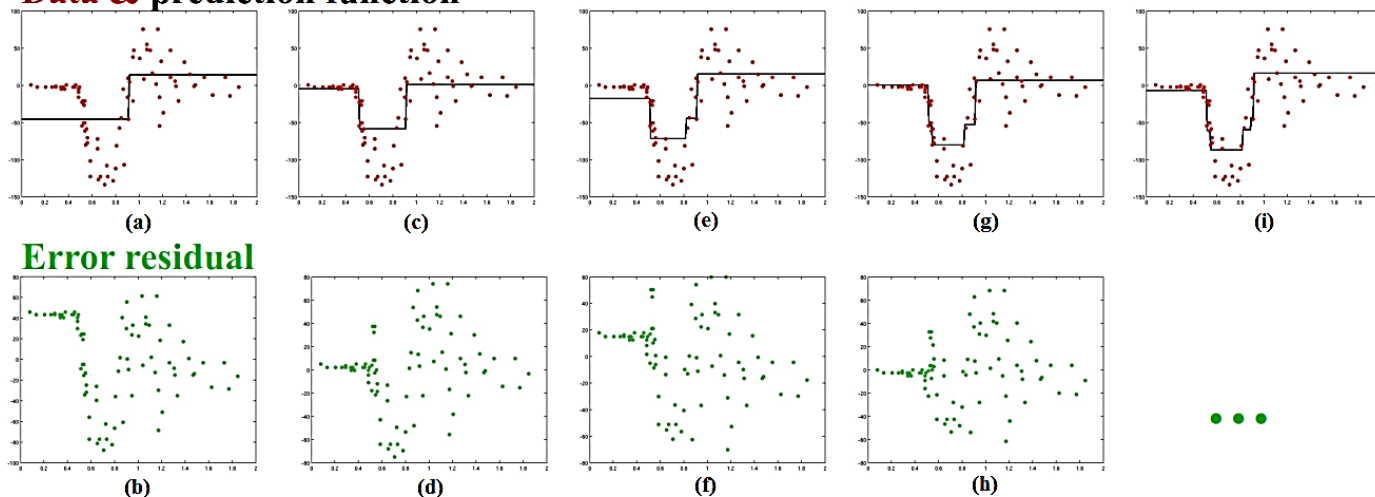


Fig. 4. Boosting example a sequence of learned models and their error residuals where (a) represents single decision model, (b) represents its error residuals and (i) represents sum of five decision models [37].

III. RESULTS AND DISCUSSION

The SPOT-4 multispectral image of the study area was pre-processed for water depths estimation employing two successive steps.

First, image pixel values were converted to radiances then to reflectance utilizing image metadata file values. Second, the atmospheric correction and sun-glint removal were applied to image reflectance values. These two steps were performed in Envi software environment.

The three approaches PCA, GLM and Least Squares Boosting ensemble were applied to the pre-processed Spot-4 multispectral image. A prototype software is developed in Matlab environment to implement the used approaches. The details can be listed as follows:

- 1) The Principle Component Algorithm was applied to the green and red corrected bands and their logarithms. Afterwards, the first principle component (PC_1) was correlated with 3rd order polynomial to the water depths values in the form:

$$Z = -0.648 + 0.08 PC_1 - 0.01 PC_1^2 + 0.003 PC_1^3 \quad (7)$$

with $R^2 = 0.479$ Principle Component fitted continuous model showed in Fig. 5.

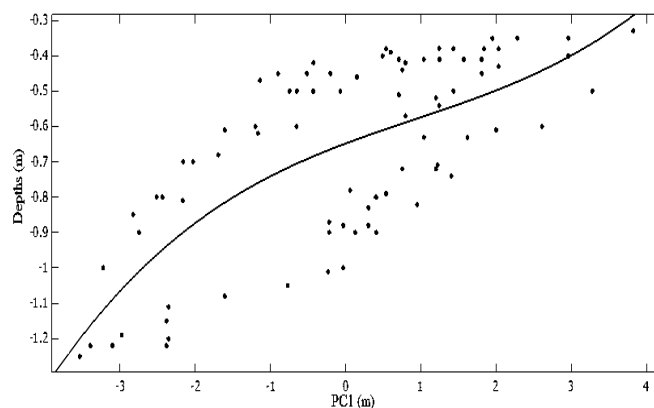


Fig. 5. 3rd order polynomial continuous fitted model using PC1.

- 2) The Generalized Linear Model represents a least-squares fit of the link of the response to the data. As a result a linear combination of green and red corrected bands (GB, RB) and their logarithms (L_G, L_R) were linked to the water depths values by GLM in the form:

$$Z = 1050.8 + 17562 GB - 12903 RB + 5.7432 L_G - 14.6 L_r - 7211.8 GB RB - 5863.1 GB L_G + 9543.7 RB L_r + 8188.8 GB L_r - 7139.7 RB L_G - 72.058 L_G L_r \quad (8)$$

with $R^2 = 0.527$ GLM fitted continuous model showed in Fig. 6.

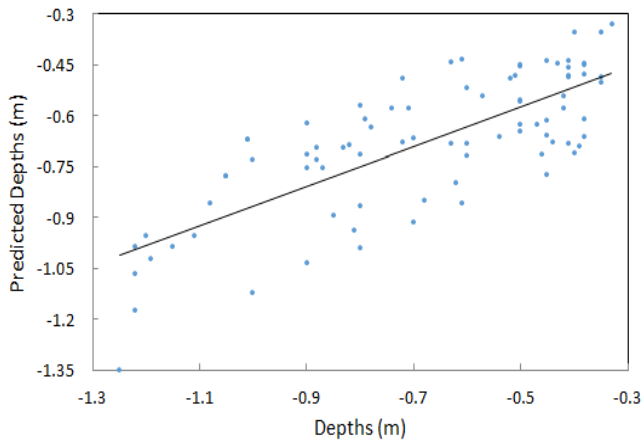


Fig. 6. GLM continuous fitted model. Depths are represented as points and continuous line represents the fitted continuous model.

3) Least Squares Boosting ensemble uses the green and red bands and their logarithms as input values and Water depths as output values. The data set was divided to independent training and testing sets for evaluating the performance quality of ensemble with 75% of data set for learning and 25% for testing. After many trials, the appropriate number of regression trees was determined based on the least RMSE and best R^2 value and was found to be 50 trees.

The best performance was achieved using 50 trees and resulted in $R^2 = 0.618$ in Fig. 7.

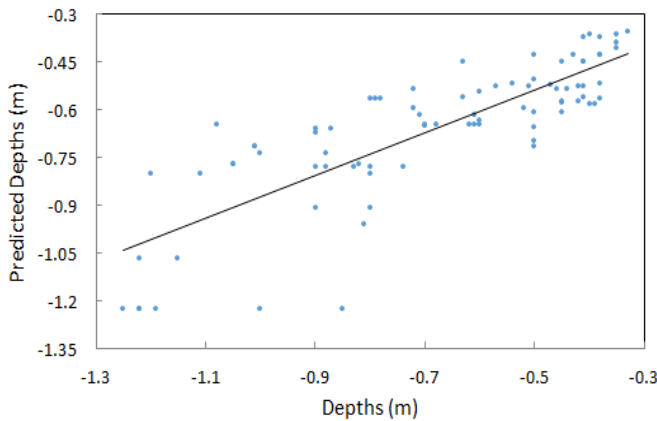


Fig. 7. Least squares boosting continuous fitted model.

Finally the RMSE of all methods was computed using the differences among each model values and actual depths. The results listed in Table I.

TABLE I: THE ROOT MEAN SQUARE ERROR OF DERIVED DEPTHS BY THE THREE METHODS

Methodology	PCA 3 rd Polynomial	GLM	Least Square Boosting
RMSE	0.19 m	0.18 m	0.15 m

These results demonstrate the precedence of the proposed approach with significant outperforming in accuracy

compared to conventional methods. Also reducing the in-situ depths required for water depths estimation.

IV. CONCLUSION

In this research, a methodology was developed using bands corrected from atmospheric and sun-glint systematic errors which influencing bathymetry and their logarithms as an input values in Least Squares Boosting ensemble. To validate the precedence of the proposed methodology to other conventional approaches a comparison was applied with two approaches using SPOT-4 satellite image for EL-Burullus (shallow Lake) with depths less than 2 m. All approaches were tested by data collected using Echo-Sounder for measuring water depths. The first approach; PCA 3rd order polynomial correlation algorithm using the first principle component gave RMSE of 0.19 m. The GLM with reflectance of Green, Red bands and their logarithms yielded RMSE of 0.18 m. The proposed methodology using Least Squares Boosting ensemble with reflectance of Green, Red bands and their logarithms as input values with less observed field measurements resulted in RMSE of 0.15 m which outperformed other conventional methods. It can be concluded that Least Squares Boosting ensemble gives more accurate results than conventional methods for bathymetric determination applications.

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