

## Facing unprecedented drying of the Central Andes? Precipitation variability over the period AD 1000–2100

This content has been downloaded from IOPscience. Please scroll down to see the full text.

2015 Environ. Res. Lett. 10 084017

(<http://iopscience.iop.org/1748-9326/10/8/084017>)

View [the table of contents for this issue](#), or go to the [journal homepage](#) for more

Download details:

IP Address: 84.75.79.3

This content was downloaded on 17/08/2015 at 22:03

Please note that [terms and conditions apply](#).

## Environmental Research Letters



## LETTER

## Facing unprecedented drying of the Central Andes? Precipitation variability over the period AD 1000–2100

## OPEN ACCESS

## RECEIVED

20 February 2015

## REVISED

3 July 2015

## ACCEPTED FOR PUBLICATION

20 July 2015

## PUBLISHED

17 August 2015

Content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](#).

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Raphael Neukom<sup>1,2</sup>, Mario Rohrer<sup>3</sup>, Pierluigi Calanca<sup>4</sup>, Nadine Salzmann<sup>1,5</sup>, Christian Huggel<sup>1</sup>, Delia Acuña<sup>6</sup>, Duncan A Christie<sup>7,8</sup> and Mariano S Morales<sup>9</sup>

<sup>1</sup> Department of Geography, University of Zurich, Winterthurerstrasse 190, CH 8057 Zurich, Switzerland

<sup>2</sup> Oeschger Centre for Climate Change Research and Institute of Geography, University of Bern, Erlachstrasse 9a, 3012 Bern, Switzerland

<sup>3</sup> Meteodat GmbH, Technoparkstr. 1, 8005 Zurich, Switzerland

<sup>4</sup> Agroscope, Institute for Sustainability Sciences ISS, Reckenholzstrasse 191, 8046 Zurich, Switzerland

<sup>5</sup> Department of Geosciences, University of Fribourg, Chemin du Musée 190, CH 1700 Fribourg, Switzerland

<sup>6</sup> Servicio Nacional de Meteorología e Hidrología del Perú (SENAMHI), Jr Cahuide 785, Jesús María, Lima 11, Perú

<sup>7</sup> Laboratorio de Dendrocronología y Cambio Global, Instituto de Conservación Biodiversidad y Territorio, Universidad Austral de Chile, Valdivia, Chile

<sup>8</sup> Center of Climate and Resilience Research (CR)<sup>2</sup>, Chile

<sup>9</sup> Instituto Argentino de Nivología, Glaciología y Ciencias Ambientales, CONICET, Mendoza, Argentina

E-mail: [neukom@giub.unibe.ch](mailto:neukom@giub.unibe.ch)

**Keywords:** climate change, South America, Central Andes, precipitation, climate projections, paleoclimate

Supplementary material for this article is available [online](#)

**Abstract**

Projected future trends in water availability are associated with large uncertainties in many regions of the globe. In mountain areas with complex topography, climate models have often limited capabilities to adequately simulate the precipitation variability on small spatial scales. Also, their validation is hampered by typically very low station density. In the Central Andes of South America, a semi-arid high-mountain region with strong seasonality, zonal wind in the upper troposphere is a good proxy for interannual precipitation variability. Here, we combine instrumental measurements, reanalysis and paleoclimate data, and a 57-member ensemble of CMIP5 model simulations to assess changes in Central Andes precipitation over the period AD 1000–2100. This new database allows us to put future projections of precipitation into a previously missing multi-centennial and pre-industrial context. Our results confirm the relationship between regional summer precipitation and 200 hPa zonal wind in the Central Andes, with stronger Westerly winds leading to decreased precipitation. The period of instrumental coverage (1965–2010) is slightly dryer compared to pre-industrial times as represented by control simulations, simulations from the past Millennium, ice core data from Quelccaya ice cap and a tree-ring based precipitation reconstruction. The model ensemble identifies a clear reduction in precipitation already in the early 21st century: the 10 year running mean model uncertainty range (ensemble 16–84% spread) is continuously above the pre-industrial mean after AD 2023 (AD 2028) until the end of the 21st century in the RCP2.6 (RCP8.5) emission scenario. Average precipitation over AD 2071–2100 is outside the range of natural pre-industrial variability in 47 of the 57 model simulations for both emission scenarios. The ensemble median fraction of dry years (defined by the 5th percentile in pre-industrial conditions) is projected to increase by a factor of 4 until 2071–2100 in the RCP8.5 scenario. Even under the strong reduction of greenhouse gas emissions projected by the RCP2.6 scenario, the Central Andes will experience a reduction in precipitation outside pre-industrial natural variability. This is of concern for the Central Andes, because society and economy are highly vulnerable to changes in the hydrological cycle and already have to face decreases in fresh water availability caused by glacier retreat.

## Introduction

Sustainable management of water resources, long-term planning of water allocation and adaptation to climatic and environmental changes (e.g. Lynch 2012, IPCC 2014) requires knowledge of past, present and future variability and trends in hydroclimatic variables at the regional scale. However, at this scale, trends in observed (Hartmann *et al* 2013, Salzmann *et al* 2013) and future (e.g. Buytaert *et al* 2010, Deser *et al* 2012) water supply are highly uncertain or even unknown for many regions of the globe. Principal reasons for this lack of knowledge are the sparse distribution and often low quality of meteorological measurements, and the inability of climate models to simulate small-scale processes. While numerical and statistical down-scaling approaches have helped improving regional predictions, even the sign of future trends in water availability remains difficult to estimate for many areas of the globe, in particular high mountain areas (Buytaert *et al* 2010, Deser *et al* 2012).

Here, we focus on possible future changes in precipitation in the Central Andes of South-America, a high elevation semi-arid region with a strong seasonality and little precipitation outside the summer season (Garreaud *et al* 2003, 2009, Garreaud 2009).

The precipitation regime of the Central Andes is characterized by a complex interplay of local orographic effects with large-scale circulation (Garreaud and Aceituno 2001, Garreaud *et al* 2003, Vuille and Keimig 2004), and the main moisture source for the region is the Easterly influx from the Amazon Basin (Garreaud 1999, Falvey and Garreaud 2005). The instrumental precipitation record in the Central Andes is relatively short. Most station data do not extend beyond 1960, and many stations have incomplete and inhomogeneous data series (Schwarb *et al* 2011). Assessments of this instrumental database indicate no spatially consistent trends in instrumental precipitation (figure S1 in the supplementary material SM, available at [stacks.iop.org/ERL/10/084017/mmedia](http://stacks.iop.org/ERL/10/084017/mmedia); Vuille *et al* 2003, Salzmann *et al* 2013, Seiler *et al* 2013a), unlike temperature records that show a steady increase during the last decades (Vuille *et al* 2015). Owing to the complex dynamic influence on local climate and the distinct, small-scaled topographic features of the Andes, general circulation models (GCMs) have very limited ability to adequately simulate precipitation variability and trends in the Central Andes (Minvielle and Garreaud 2011, Seiler *et al* 2013b) and also in the Amazonian source region of the moisture (Joetzjer *et al* 2013, Yin *et al* 2013).

More reliable is the simulation of the mid and upper tropospheric flow, as shown by comparing the model output with global reanalyses (Minvielle and Garreaud 2011, Thibeault *et al* 2012). This is comforting, because zonal wind at the 200 hPa pressure level has been shown to be a good proxy for precipitation in the Central Andes (Vuille 1999, Garreaud and

Aceituno 2001, Garreaud *et al* 2003, Vuille and Keimig 2004, Minvielle and Garreaud 2011, Thibeault *et al* 2012). In fact, the amount of moisture received from the East in the Central Andes is strongly influenced by the strength of zonal winds and the dynamics of the Bolivian High in the upper troposphere (Lenters and Cook 1997, Vuille 1999, Garreaud and Aceituno 2001, Garreaud *et al* 2003, Vuille and Keimig 2004). Increased (decreased) Westerly upper level flow reduces (enhances) the upwards transport of moist air masses from the Amazon region leading to reduced (enhanced) precipitation in the Central Andes (Garreaud *et al* 2003).

This relationship between Central Andes precipitation and upper level zonal flow has been widely assessed and demonstrated in the literature using a range of instrumental, reanalysis and climate model data (Vuille 1999, Garreaud and Aceituno 2001, Garreaud *et al* 2003, Vuille and Keimig 2004, Minvielle and Garreaud 2011, Thibeault *et al* 2012). While the temporal stability of this relationship is difficult to assess using the short instrumental data, modeling studies indicate that it remains valid on intra-seasonal to glacial-interglacial time scales (Garreaud *et al* 2003) as well as in future projections (Minvielle and Garreaud 2011). For a detailed description of the mechanisms influencing precipitation in the Central Andes and the role of zonal wind we refer to Garreaud (2009) and Garreaud *et al* (2009) and the references provided therein.

Minvielle and Garreaud (2011) and Thibeault *et al* (2012) used 11 GCMs from the CMIP3 simulation effort to identify an increase in 200 hPa zonal wind and, accordingly, a decrease of 10%–30% in Central Andes precipitation towards the end of the 21st century as compared to present-day conditions. For the past, a tree-ring based precipitation reconstruction of the Southern Central Andes covering the last 700 years revealed a persistent drying trend since the 1930s (Morales *et al* 2012). While this suggests that future trends are consistent with the tendency observed in the recent past, the relation between past and future changes in the precipitation regime of the Central Andes has not been addressed in a comprehensive manner as of to date.

Here, we use paleoclimate proxy data, instrumental measurements from South-Eastern Peru and a 57-member ensemble of the last generation (CMIP5; Taylor *et al* 2012) of GCMs to analyze past, present and future precipitation conditions in the Central Andes. To put projections for the late 21st century in the context of natural variability, we first assess the ability of GCMs to hindcast Central Andes precipitation in the observational and pre-industrial periods. To do so we compare GCM outputs with instrumental precipitation measurements, reanalysis data of upper tropospheric Westerly flow, oxygen isotope data from an Andean ice core record and a regional tree-ring based precipitation reconstruction. Simulations of

present and late 21st century climate are then compared to natural pre-industrial conditions on inter-annual to multi-decadal time-scales to put future changes in mean precipitation, the occurrence of dry years and precipitation variability in perspective.

## Data and methods

An overview of all datasets used in this study is presented in table 1.

To quantify precipitation variability in the Central Andes during the observational period, we use a composite of three meteorological stations from South-Eastern Peru (location indicated with a green circle in figure 1): ‘Chinchayllapa’ (72.73°W/14.92°S, 4497m a.s.l.), ‘La Angostura’ (71.65°W/15.18°S, 4256m a.s.l.) and ‘Orcopampa’ (72.34°W/15.26°S, 3801m a.s.l.). Monthly precipitation totals covering 1965–2010 (all years AD) were obtained from the Servicio Nacional de Meteorología e Hidrología del Perú (SENAMHI). Previous work has extensively demonstrated the strong and stable relationship between upper-level Uwind and precipitation across the Central Andes (Vuille 1999, Garreaud and Aceituno 2001, Garreaud *et al* 2003, Vuille and Keimig 2004, Minvielle and Garreaud 2011, Thibeault *et al* 2012). For our study, we therefore considered data quality more important than spatial coverage for the selection of representative climate data. The above-mentioned three stations were selected because they offer comparatively long and complete time series that are highly inter-correlated ( $r > 0.46$ ) and are in short distance to each other, which allows cross-checking of the data. Because precipitation magnitudes strongly differ among the stations, precipitation data are standardized to a mean of zero and unit standard deviation over the period 1965–2010 and then averaged to form a single composite time series.

During the dry season, water use in the Central Andes is largely depending on water that precipitated during the wet summer season and had been stored in natural reservoirs such as snow and ice. Throughout the entire year, agriculture, industry and drinking water availability predominantly depend on the moisture input from the austral summer period. For this reason, we focus our attention on December to February (DJF) seasonal precipitation sums. On average, 55% of annual precipitation occurs during the DJF season at the selected stations. figure S2 shows the standardized instrumental DJF precipitation data.

To quantify upper tropospheric zonal wind, we use three global reanalysis datasets: ERA 40 (Uppala *et al* 2005), NCEP-NCAR (Kalnay *et al* 1996) and MERRA (Rienecker *et al* 2011). Results reported in the main text are based on ERA 40, but results are similar for NCEP-NCAR and MERRA (figures S3 and S4). We use the zonal wind component at the 200 hPa level (Uwind) as explanatory variable, with positive/

negative Uwind values representing Westerly/Easterly flow. To obtain single, representative time series for the Central Andes, we spatially averaged DJF Uwind over the domain 67°–77°W/10°–20°S (indicated by the gray rectangle in figure 1). Results using an alternative domain based on the magnitude of the Uwind-precipitation correlation are presented in the SM (figures S5–S10).

To put recent precipitation variability into a long-term context, we use ice core  $\delta^{18}\text{O}$  values from Quelccaya ice cap (white asterisk in figure 1) extending back to the year 470 (Thompson *et al* 1985, 2006). While the interpretation of the climatic signal in isotope data from the tropical Andes remains complex, summer precipitation is documented to be a key factor driving  $\delta^{18}\text{O}$  variability in the Quelccaya record (Vimeux *et al* 2009). As a second paleoclimate record, we use the precipitation reconstruction of Morales *et al* (2012) from the Southern Central Andes covering the period 1300–2006 (white diamond in figure 1). The reconstruction is based on a composite of 353 tree-ring width measurements from *Polylepis tarapacana* and explains 55% of regional annual rainfall variability (Morales *et al* 2012). These two datasets are currently the only publicly available long-term, annually resolved precipitation records for the Central Andes (Neukom and Gergis 2012).

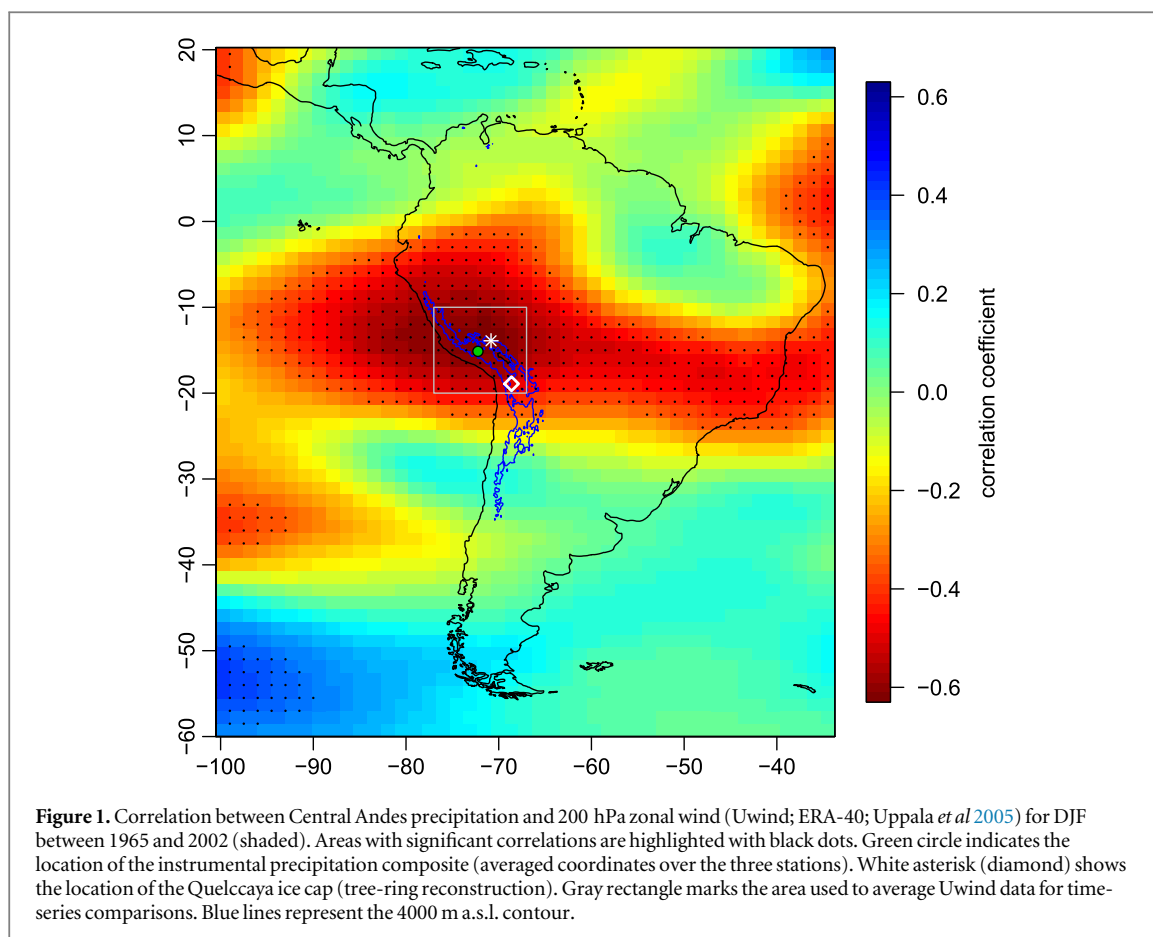
In conjunction with the observational data, we use GCM data from the CMIP5 simulation effort (Taylor *et al* 2012). From the CMIP5 data repository, we selected all simulations disposing of complete data for Uwind for all of the following experiments: pre-industrial control (‘picontrol’), ‘historical’ (1850–2005), and, concerning the future, RCP2.6 scenario (representative concentration pathways; 2006–2100; Vuuren *et al* 2011) and RCP8.5 scenario (2006–2100). RCP2.6 (RCP8.5) is the most optimistic (pessimistic) greenhouse gas emission scenario used in IPCC AR5 (IPCC 2013). With this, we obtained an ensemble of 57 simulations from 23 different models (‘full’ ensemble; table 1; list of all simulations in table S1). Out of this dataset, we use a second, smaller ensemble of eight simulations from six different models, which additionally have data for the ‘past1000’ experiment (‘past1000 ensemble’; 1000–1850; table 1 and table S2).

In the ‘picontrol’ experiment, external climate forcing (solar variability, volcanic eruptions, greenhouse gas and aerosol concentrations, land use/land cover changes and orbital changes) is kept constant at pre-industrial levels. This means that all climatic changes in this experiment can be allocated to internal climate system variability. Hence, ‘natural pre-industrial variability’ in Uwind (and other variables) can be defined based on the range of simulated values within the ‘picontrol’ runs. In contrast, the ‘past1000’ experiment (and subsequent ‘historical’ and RCP runs) uses transient forcing based on reconstructions (and future scenarios) of the individual forcing factors. Hence, the

**Table 1.** Overview of the datasets used in this study.  $n$  is the internal replication of ensembles/composites. The last column indicates, for which figures the datasets were used. More details about the model simulations are provided in tables S1 and S2.

Dataset name	Time period	Variable	Resolution	$n$	Forcing	Figure
<i>Observational datasets</i>						
Instrumental precipitation	AD 1965–2010	precipitation (mm)	monthly (DJF)	3		1, 2(a)
ERA 40 reanalysis	AD 1958–2002	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	1		1, 2(b), 4
Quelccaya ice core	AD 470–2002	$\text{d}^{18}\text{O}$ [‰]	annual	2 <sup>a</sup>		2(a), 3
Tree-ring reconstruction	AD 1300–2006	precipitation [% w.r.t. 1982–2000]	annual (November–October)	353		2(a), 3
<i>GCM experiments</i>						
Pre-industrial control ('picontrol')	251–2112 years	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	57	'frozen' at pre-industrial level	2(b), 5, 7
'Past1000'	AD 1000–1850	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	8	transient	2(b), 3, 5
'Historical'	AD 1850–2005	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	57	transient	2(b), 3, 6, 7
RCP2.6	AD 2006–2100	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	57	transient	3, 5, 6, 7
RCP8.5	AD 2006–2100	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	57	transient	3, 4, 5, 6, 7
<i>GCM spliced</i>						
'Full' ensemble RCP2.6: 'historical' and RCP2.6	AD 1850–2100 and 'picontrol'	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	57	transient	3, 5, 6, 7
'Full' ensemble RCP8.5: 'historical' and RCP8.5	AD 1850–2100 and 'picontrol'	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	57	transient	3, 4, 5, 6, 7
'Past 1000' ensemble RCP2.6: 'past1000' and 'historical' and RCP2.6	AD 1000–2100 and 'picontrol'	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	8	transient	5
'Past 1000' ensemble RCP8.5: 'past1000' and 'historical' and RCP8.5	AD 1000–2100 and 'picontrol'	Uwind ( $\text{m s}^{-1}$ )	monthly (DJF)	8	transient	3, 5

<sup>a</sup> Composite of two cores covering the period AD 488–1984 and AD 1540–2002, respectively (Thompson *et al* 2006).



range of simulated values in the ‘past1000’ experiment represents internally and externally forced ‘natural’ variations over the past period AD 1000–1850 including pre-industrial anthropogenic influence. The ‘past1000’ ensemble allows a long-term comparison of simulated Uwind with the paleoclimate proxy data over the past 700 years and to estimate the ‘real’ (transient) pre-industrial conditions experienced in the Central Andes. In contrast, the ‘full’ ensemble is much larger in size but only allows to quantify pre-industrial conditions based on the control runs.

In the ‘full’ ensemble (‘past1000’ ensemble), the ‘historical’ and RCP scenario (‘past1000’, ‘historical’ and RCP scenario) records of the individual simulations were spliced together to form a long time series covering 1850–2100 (1000–2100). The ‘picontrol’ runs are used to assess pre-industrial versus future conditions and for comparison with the ‘past1000’ run. Uwind data from the GCMs were also aggregated to DJF values and averaged over the domain  $67^{\circ}$ – $77^{\circ}$  W/ $10^{\circ}$ – $20^{\circ}$  S.

We first compare the reanalysis and paleoclimate records to the instrumental data to assess their quality as precipitation predictors. Second, we compare the distributions of the paleoclimate proxy data and model simulations over the observational and pre-industrial periods to assess whether the relative occurrence of average and dry years is similar in the different datasets and thus to evaluate the skill of the GCMs in

simulating long-term changes in Central Andes precipitation. Third, we calculate the long-term evolution of Uwind in our model ensemble and compare past, present and future conditions. ‘Natural’ pre-industrial conditions are quantified based on the pre-industrial control runs for the large 57-member ensemble and based on the 1000–1850 period for the ‘past1000’ ensemble and the paleoclimate proxy data. Present versus future conditions are compared using the periods 1971–2000 and 2071–2100, respectively.

To quantify past and future precipitation levels, all Uwind and paleoclimate proxy data are normalized to the instrumental composite. This is achieved by scaling each record individually to the mean and standard deviation of the (previously normalized) instrumental precipitation data over the common period of overlap.

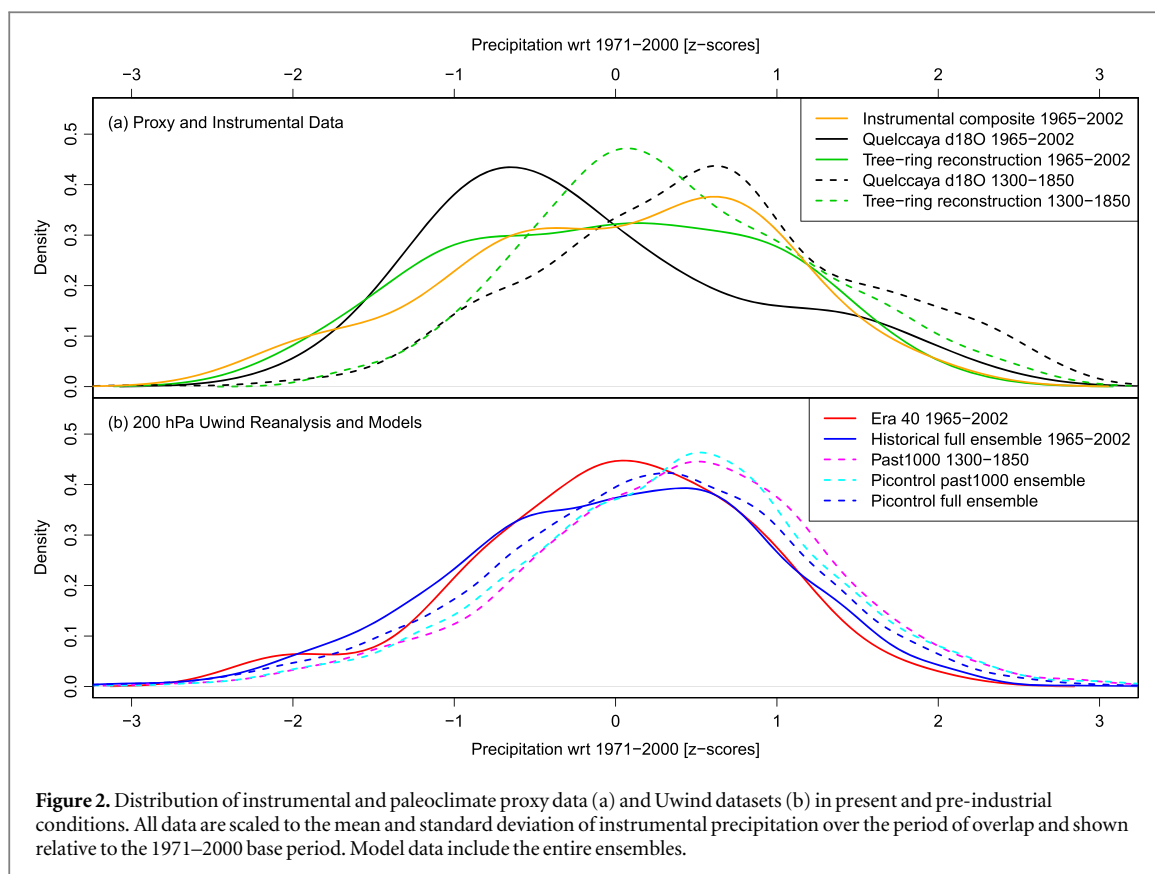
The definition of ‘dry years’ in this study is based on the 5th percentile of precipitation and on the 95th percentile of Uwind (due to its negative relationship with precipitation).

## Results

### Relationship between instrumental precipitation and regional Uwind

Our data show a significant negative correlation between instrumental precipitation and regional Uwind confirming the findings of earlier studies.





**Figure 2.** Distribution of instrumental and paleoclimate proxy data (a) and Uwind datasets (b) in present and pre-industrial conditions. All data are scaled to the mean and standard deviation of instrumental precipitation over the period of overlap and shown relative to the 1971–2000 base period. Model data include the entire ensembles.

Shading in figure 1 shows the spatial correlations of precipitation from the instrumental composite with gridded ERA-40 200 hPa Uwind during DJF over the overlap period 1965–2002. Significant ( $p < 0.05$ ) negative correlations are found over a latitudinal band between 12°S and 20°S and extending northward nearly to the equator between 65°W and 85°W. Strongest correlations are found slightly North of the location of the instrumental precipitation stations and a few degrees West. A time series comparison of instrumental precipitation and area averaged Uwind is provided in figure S2. The two time series correlate with  $r = -0.58$ ,  $p < 0.01$ . This confirms that about a third ( $r^2 = 0.33$ ) of interannual precipitation variability in the Western part of the Central Andes can be explained by the strength of the zonal flow in the upper troposphere (Minvielle and Garreaud 2011). The relationship is stable across different reanalysis datasets and over the observational period (figures S3 and S4) confirming independent stability-assessments based on model data (Garreaud et al 2003, Minvielle and Garreaud 2011). The relationship between our instrumental composite and Quelccaya  $\delta^{18}\text{O}$  ( $r = -0.34$ ,  $p = 0.03$ ) and the tree-ring reconstruction ( $r = 0.34$ ,  $p = 0.03$ ) is also significant over the short period of overlap 1965–2002 (figure S2).

### Comparison of present-day and pre-industrial conditions

Having confirmed the relationship between Uwind and precipitation in the Central Andes, we next

**Table 2.** Changes between pre-industrial and present-day conditions in DJF precipitation reconstructed by Uwind in model simulations,  $\delta^{18}\text{O}$  in the Quelccaya ice core and tree-ring data. For the model data, the ensemble median is indicated, as well as the 16–84% uncertainty range in brackets. Unit is standardized precipitation w.r.t. 1971–2000 (z-scores). ‘Past1000’: 1000–1850; ‘piconrol’: duration of control run.

	Present-‘past1000’	Present-‘piconrol’
<i>Mean</i>		
CMIP5—‘full’		−0.15 [−0.34,0.04]
CMIP5—‘past1000’	−0.29 [−0.50,−0.18]	−0.21 [−0.54,0.01]
Quelccaya $\delta^{18}\text{O}$	−0.72	
Tree-ring recon	−0.43	
<i>Standard deviation</i>		
CMIP5—‘full’		0.03 [−0.05,0.12]
CMIP5—‘past1000’	0.03 [−0.06,0.13]	0.04 [−0.06,0.17]
Quelccaya $\delta^{18}\text{O}$	−0.11	
Tree-ring recon	0.02	
<i>5th percentile</i>		
CMIP5—‘full’		−0.15 [−0.54,0.22]
CMIP5—‘past1000’	−0.22 [−0.51,0.03]	−0.24 [−0.51,0.11]
Quelccaya $\delta^{18}\text{O}$	−0.26	
Tree-ring recon	−0.56	

compare present and pre-industrial conditions in the different datasets. Figure 2 shows the distributions of the different rainfall predictors in the observational and pre-industrial periods. The proxy data indicate a clear shift towards drier conditions between the periods 1300–1850 and 1965–2002, while the widths

of the distributions remain similar (see also table 2). For the model simulations (figure 2(b)) the differences between the two periods are of the same sign, but smaller in magnitude. Table 2 summarizes the changes for the mean values, standard deviations and dry years and confirms that all datasets agree in terms of the sign of the changes. Quelccaya  $\delta^{18}\text{O}$  and the tree-ring reconstruction show a stronger decrease in mean precipitation compared to the 'past1000' simulations, but the uncertainty range of the 'past1000' ensemble shows a very coherent picture (all values below zero). All datasets suggest that there was no significant change in the standard deviations between the two periods, indicating a relatively robust behavior of interannual precipitation variability. The  $\delta^{18}\text{O}$  and 'past1000' Uwind data suggest a similar shift of the 5th percentile towards lower values (around one quarter of the present-day instrumental standard deviation). For the tree-ring data, this change is even larger ( $-0.56$  standard deviation units). This shows that the fraction of dry years, as defined by the 5th percentile during pre-industrial conditions, has increased. Figure 2 and table 2 also show that in general, the estimates of pre-industrial conditions are very similar in the 'past1000' and 'picontrol' simulations. However, the magnitude of the changes in the mean values between pre-industrial and present day obtained from comparing 'historical' with 'picontrol' is smaller than estimated from comparing 'historical' with 'past1000'. This should be valid also for the comparison between future conditions and pre-industrial baseline, implying that our assessment of future conditions based on the 'picontrol' simulations (see below) can be considered as conservative.

### Future projections of Uwind

Figure 3 shows the temporal evolution of simulated Uwind until 2100 relative to the pre-industrial baseline. Comparison of the proxy data and the 'past1000' simulations shows a larger pre-industrial low frequency variability in the natural proxies compared to simulated Uwind, particularly for Quelccaya  $\delta^{18}\text{O}$ , which is consistent with previous comparisons of proxy and model data (Laepfle and Huybers 2014). The figure confirms the very similar increase (decrease) in simulated Uwind and  $\delta^{18}\text{O}$  (tree-ring reconstructed precipitation) between 1850 and 2002. This suggests that the model simulations realistically capture the changes between pre-industrial and present-day conditions. The increase in Uwind continues towards the future and the 10 year running mean of the ensemble median remains consistently above the pre-industrial average after 1921 for both the lowest (RCP2.6) and highest (RCP8.5) emission scenarios. The increase gets stronger after the end of the historical period (2005) but remains constant after ca. 2050 for RCP2.6. The 16–84% ensemble uncertainty range (see e.g. Hawkins *et al* 2014) of the 10 year running mean

remains constantly above the pre-industrial mean after 2023 (2028) until 2100 in the RCP2.6 (RCP8.5) scenario. This indicates that differences to natural average conditions will be experienced within the next 15 years.

The spatial pattern of the projected changes in Uwind is illustrated by arrows in figure 4. The increase in zonal wind strength is consistent across the entire Central Andes region, with strongest changes in the Southern part of the domain.

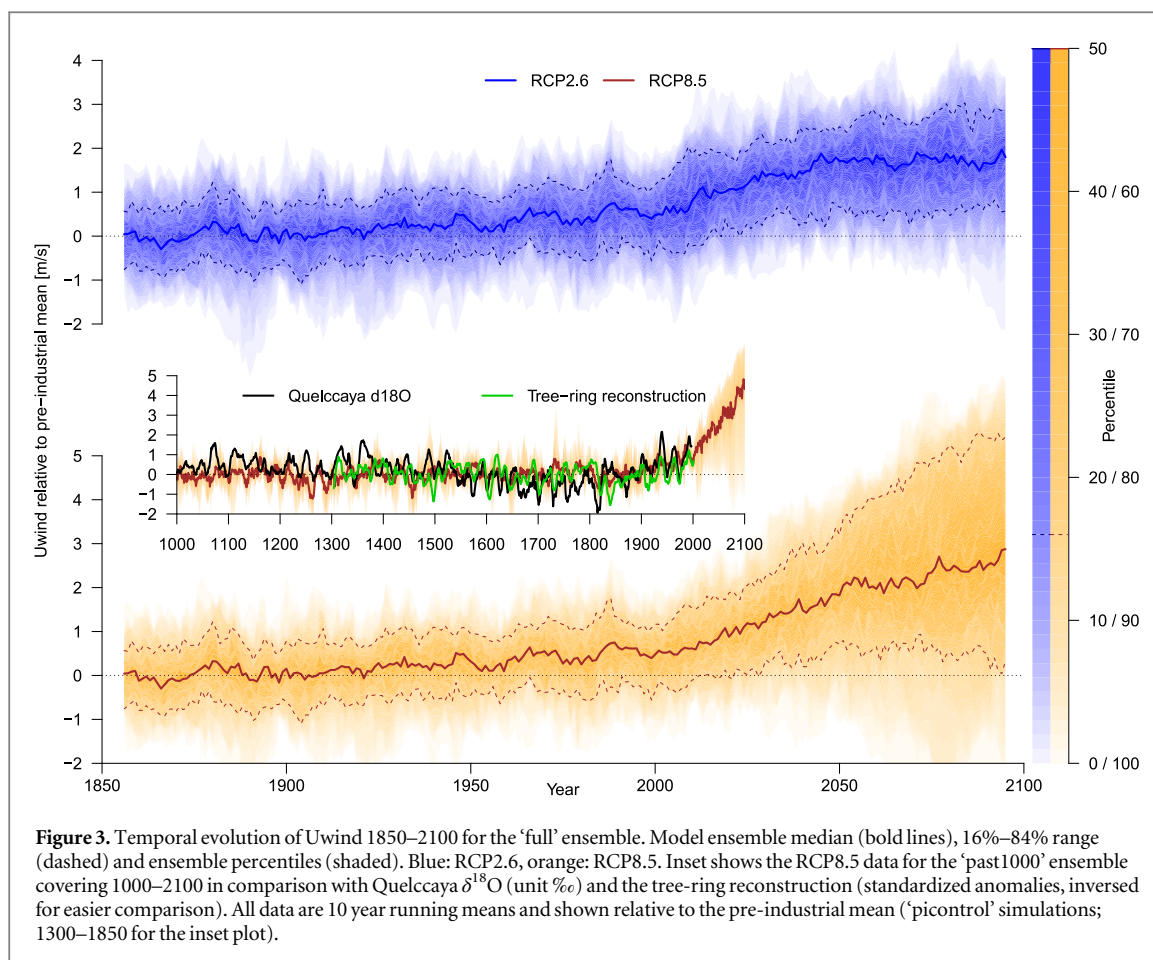
Figure 5 compares the mean Uwind over 2071–2100 to the range of 30 year averages in the 'picontrol' experiment for each model simulation. In 47 of the 57 simulations the 2071–2100 means are above the 95% range of the 'picontrol' data for both the RCP2.6 and RCP8.5 scenarios, hence suggesting that average conditions at the end of the 21st century will exceed natural pre-industrial variability. Two models (FGOALS-g2, simulation no.31 and IPSL-CM5A-LR, simulations no. 41-44) out of 23 simulate a significant decrease in Uwind in RCP8.5 leading to relatively large ensemble spread (see also figure 3). On average, 2071–2100 Uwind is 2.2 (1.4) standard deviations above the pre-industrial mean in RCP8.5 (RCP2.6). The results are confirmed by the 'past1000' simulations (figure 5), where seven out of eight simulations indicate a significant increase in Uwind for both scenarios suggesting that 2071–2100 conditions will be unprecedented over the last Millennium.

The projected increase in Uwind illustrated by figures 3–5 suggests drier average conditions in the Central Andes towards the end of the 21st century.

### Future evolution of dry year occurrence

Next, we examine the future evolution in the occurrence of dry years. Figure 6(a) shows the fraction of model simulations exceeding their individual threshold (95th percentile of Uwind in 'picontrol') in each year. In RCP8.5, this fraction remains consistently above 5% (the expected value in natural pre-industrial conditions) after 2010, confirming the exceedance of pre-industrial conditions already relatively early in the century. While the fraction increases continuously in the RCP8.5 scenario, it stabilizes after ca. 2050 in RCP 2.6. In 2071–2100, the annual average fraction of simulations with dry conditions is 17% (RCP2.6) and 29% (RCP8.5). Figure 6(b) illustrates the fraction of dry years in the 1971–2000 and 2071–2100 periods. In RCP8.5 the ensemble median fraction of dry years increases to 20% in 2071–2100 meaning a projected increase of the occurrence of dry years by a factor of 4 relative to pre-industrial conditions. The ensemble spread is considerable for RCP8.5, with an interquartile range covering 7% to 50%. In other words, one out of four simulations indicates a chance of 50% for a year to be dry during 2071–2100. However, the (16–84%) uncertainty range of simulations encloses the defined





**Figure 3.** Temporal evolution of Uwind 1850–2100 for the ‘full’ ensemble. Model ensemble median (bold lines), 16%–84% range (dashed) and ensemble percentiles (shaded). Blue: RCP2.6, orange: RCP8.5. Inset shows the RCP8.5 data for the ‘past1000’ ensemble covering 1000–2100 in comparison with Quelccaya  $\delta^{18}\text{O}$  (unit ‰) and the tree-ring reconstruction (standardized anomalies, inverted for easier comparison). All data are 10 year running means and shown relative to the pre-industrial mean (‘picontrol’ simulations; 1300–1850 for the inset plot).

threshold for dry years (red dotted line). For the RCP2.6 scenario both the median increase in dry years and ensemble spread are noticeably smaller than for RCP8.5.

### Future changes of precipitation distribution

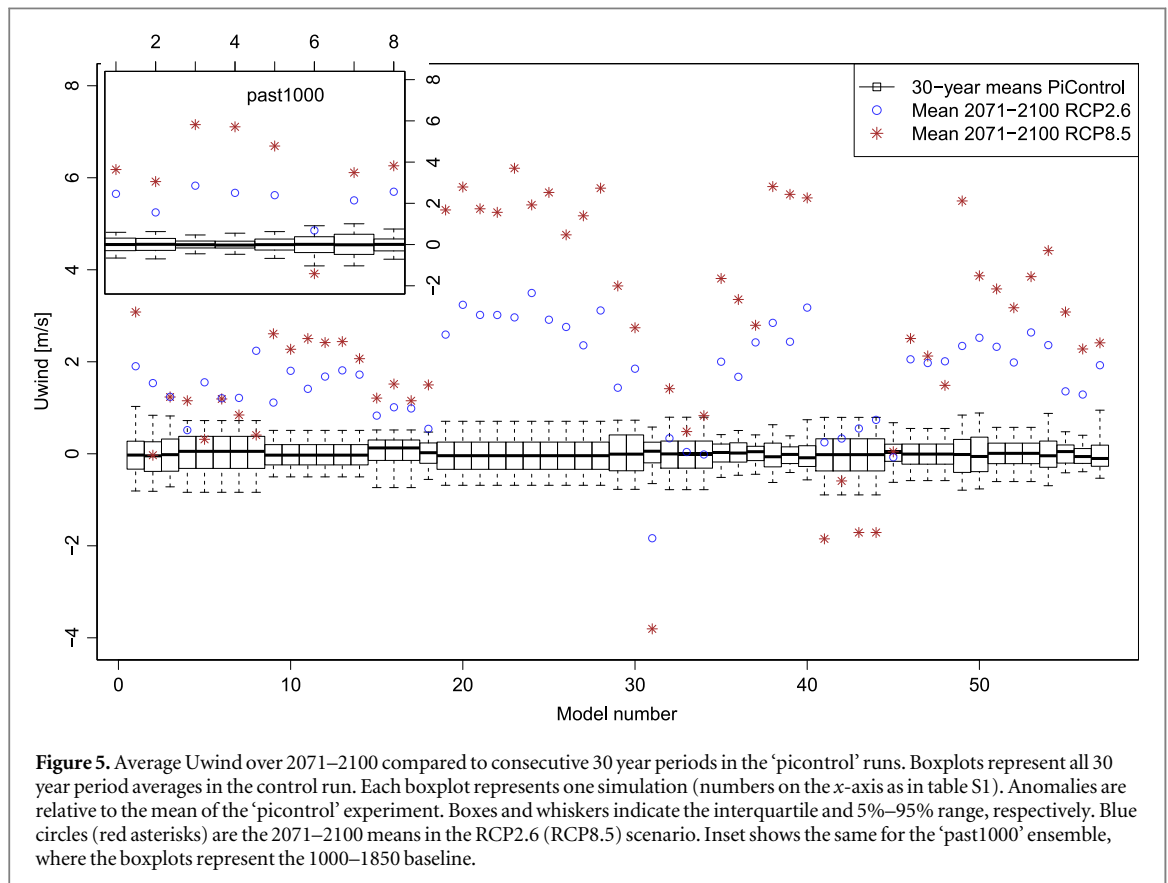
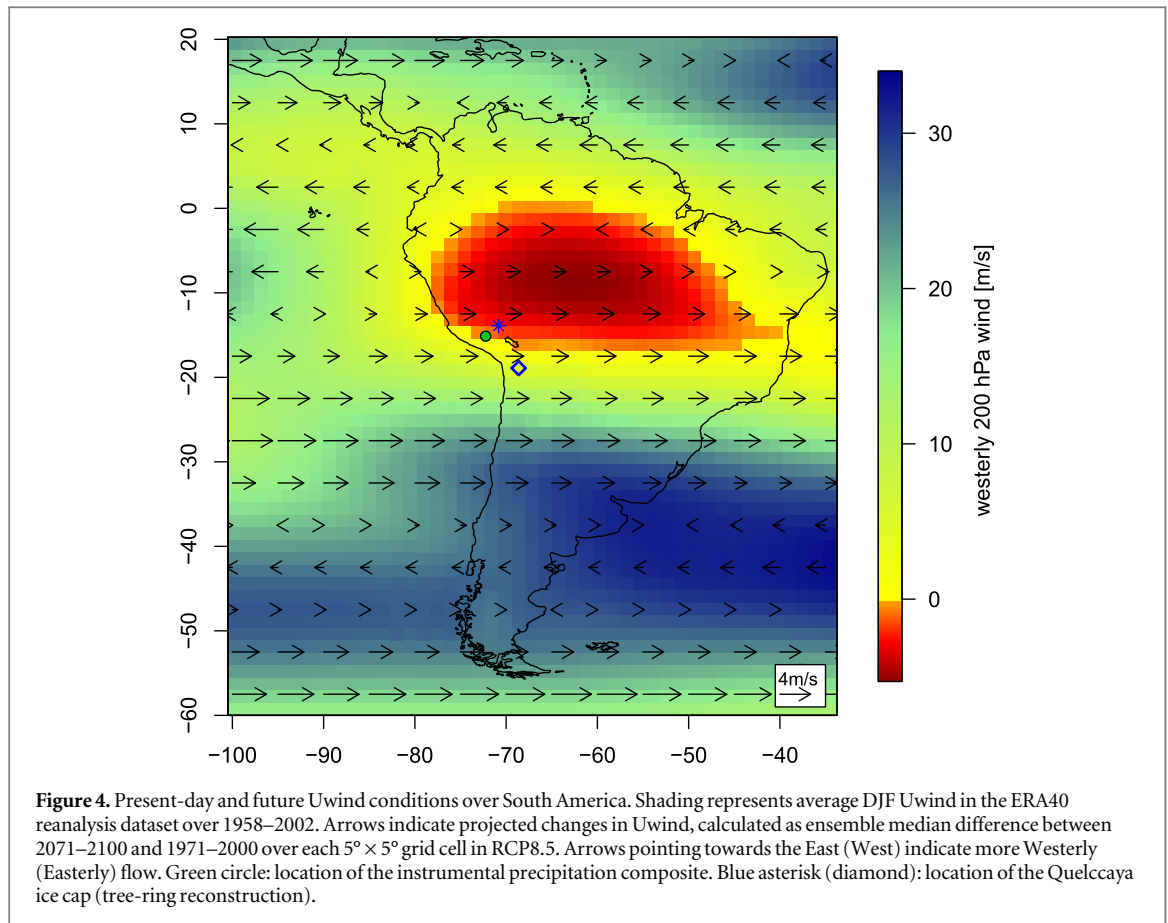
Last, we address the question, how the interannual precipitation distribution will change until the end of the 21st century. Figure 7(a) shows the pre-industrial, present and future precipitation distributions represented by the scaled Uwind values over the ‘full’ ensemble. For both future scenarios there is a shift toward lower  $z$ -scores. For example, the ensemble median average precipitation 2071–2100 in RCP8.5 corresponds to the 18th percentile in ‘picontrol’ (figure 7(b)). Average precipitation and the 5th percentile are projected to decrease by up to one standard deviation of present-day precipitation depending on the emission scenario (figure 7 and table 3). Expressed in units of millimeters, the projected ensemble median RCP8.5 precipitation decrease between present-day (1971–2000) and future (2071–2100) conditions is equivalent to a reduction of 33% (from 412 to 278 mm) for the station of Chinchayllapa, of 19% (483–392 mm) for the station of La Angostura and of 26% (262–193 mm) for the station of Orcocampa. The sign of projected changes in interannual standard deviation is not consistent

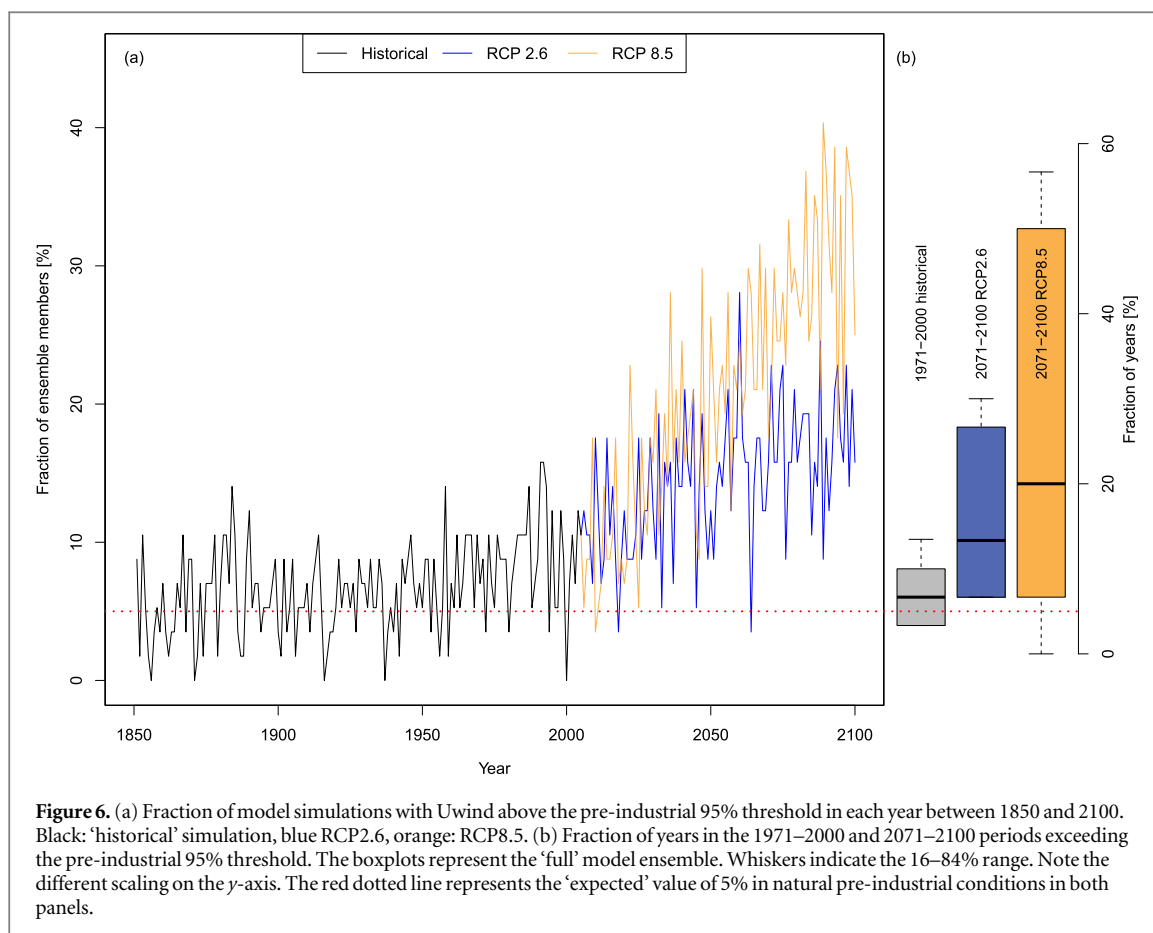
across the model ensemble (table 3), confirming the small long-term changes in precipitation variability identified in table 2. Note that the apparent increase in variability indicated by the density plots in figure 7 is caused by the increased model ensemble spread (figures 3, 6(b) and 7(b)) rather than increased variance within the individual simulations (table 3).

### Discussion

In line with previous studies (Minvielle and Garreaud 2011, Thibeault *et al* 2012), our analysis identified a future drying in Central Andes associated with a strengthening of the upper-tropospheric Westerlies. Here, however, we used updated scenarios and considerably larger model ensembles, and put the future projections into the context of natural pre-industrial variability. In terms of magnitude of the drying, the projected DJF precipitation decrease (19%–33% in RCP8.5) is slightly larger than the 10%–30% identified by Minvielle and Garreaud (2011).

As expected from the prescribed decrease in greenhouse gas emissions in the RCP2.6 scenarios, projected precipitation remains mostly constant for this scenario during the second half of the 21st century (figures 3 and 5). While interannual variability remains stable for the individual ensemble members (table 3), the ensemble spread increases towards the





end of the 21st century in the RCP8.5 scenario (figures 3, 6 and 7). This indicates some inconsistency between the different models in simulating the magnitude of the drying effect with increasing greenhouse gas emissions.

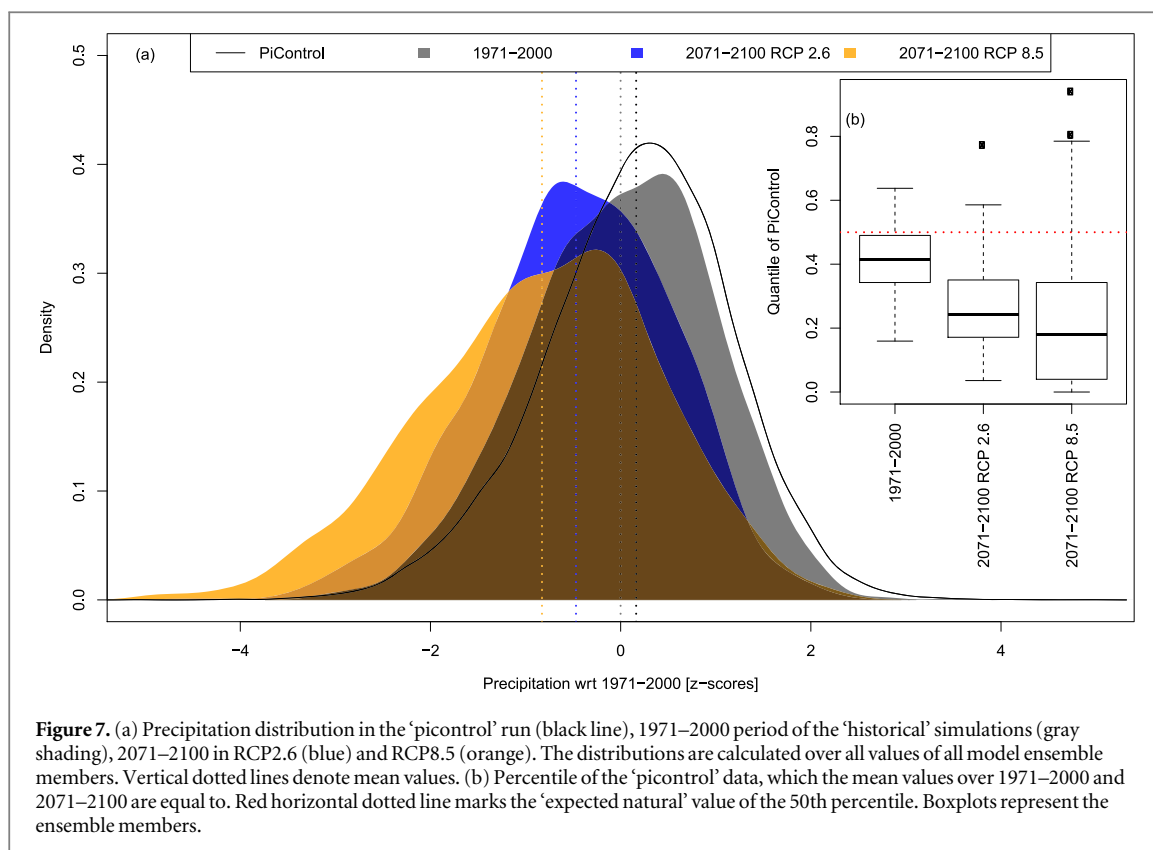
Despite differences in the ability of the individual models to simulate absolute values and spatial patterns of Uwind (Yin *et al* 2013), the identified increase in Uwind is robust across the model ensembles (figures 3 and 5), which is coherent with previous experiments (Minvielle and Garreaud 2011). Moreover, our study confirms earlier results that the precipitation-Uwind relationship is stable over time (Garreaud *et al* 2003, Minvielle and Garreaud 2011). Yet, this large-scale pattern explains only ca. 20%–60% of the total variability in Central Andes precipitation (figures S2, S3 and Minvielle and Garreaud 2011). The remainder is probably controlled by local and regional variability and thus more difficult to simulate and predict, introducing additional uncertainties to the projected trend.

For example, the projected increase in moisture content of the air originating from the Amazon basin under climate changes scenarios (Minvielle and Garreaud 2011, Joetzer *et al* 2013) may be less pronounced than expected. For instance, deforestation (e.g. Costa and Foley 1997, Medvigy *et al* 2011) can lead to decreased water availability, which would counteract the moisture content increase associated with higher evapotranspiration (e.g. Pokhrel

*et al* 2014). In contrast to Uwind projections, the projected moisture content increase exhibits thus a much weaker consensus across the CMIP5 model ensemble and even a decreased agreement compared to CMIP3 (Joetzer *et al* 2013). While recent work suggests that changes in the air moisture content will not affect the wind-driven drying trend in the Central Andes (Minvielle and Garreaud 2011), further inquiry is required to provide quantitative estimates of the interplay between these factors.

Another factor introducing uncertainties concerning the future relation between Uwind and Central Andes DJF precipitation is the El Niño-Southern Oscillation (ENSO), which is documented to strongly influence circulation patterns over the study area (Vuille *et al* 2000, Garreaud and Aceituno 2001). A projected SST increase in the tropical Pacific (IPCC 2014) would lead to stronger Uwind, hence more blocking of the moisture input from the Eastern tropical lowlands and drier conditions on the Central Andes. ENSO may therefore even strengthen the negative relationship between Uwind and precipitation in the future.

Short-term trends in precipitation over the instrumental period exhibit strong differences among the stations in the Central Andes (figure S1). Therefore, the underlying large-scale and long-term trend in precipitation induced by increased Uwind may be superimposed by considerable spatial variability. This



**Table 3.** Projected changes in precipitation statistics expressed as future (2071–2100) minus pre-industrial ('picontrol') values. Ensemble median and 16%–84% range (in brackets) are provided for the 'full' 57-member ensemble. Unit is standardized precipitation w.r.t. 1971–2000 (z-scores).

	RCP2.6	RCP8.5
Mean	−0.66 [−1.02,−0.26]	−0.85 [−1.89,−0.25]
Standard deviation	−0.06 [−0.17,0.13]	−0.14 [−0.30,0.20]
5th percentile	−0.50 [−0.99,0.07]	−1.05 [−1.83,0.24]

complicates quantitative estimates of water availability on the local scale. Changes in seasonality are an additional uncertainty factor for water resources planning.

## Conclusions and outlook

In this paper, we undertook an attempt to put present-day summer precipitation in the Central Andes into a multi-centennial context and link past conditions to the future. Our results confirm earlier findings that upper tropospheric Westerly flow is a suitable predictor of Central Andes precipitation (Vuille 1999, Garreaud and Aceituno 2001, Garreaud *et al* 2003, Vuille and Keimig 2004, Minvielle and Garreaud 2011, Thibeault *et al* 2012). However, we substantially expand this perspective by showing that late 21st century conditions may be unprecedented over the last Millennium and thus pose considerable challenges to Andean societies and ecosystems.

The 57-member model ensemble from the CMIP5 repository suggests a clear tendency towards less precipitation under both an optimistic as well as a pessimistic RCP scenario. Disclosed differences to pre-industrial conditions are relatively small for the instrumental period (1965–2010) but comparatively large already in the early 21st century. Under the assumption of a stable and linear relationship between precipitation and Uwind, a precipitation decrease of 19%–33% is projected for 2071–2100 relative to present-day conditions (RCP8.5). Results further indicate that, compared to pre-industrial conditions, the occurrence of dry years may increase by a factor of 4 by the end of the century. Even under the very conservative RCP2.6 scenario, the Central Andes are expected to experience drier conditions compared to pre-industrial times within the next few decades.

The projected drying is of particular relevance in the semi-arid region of the Central Andes, which is particularly vulnerable to climate change and where human society has already to cope with the socio-economic impacts of the negative trend in precipitation over the past century (Sietz *et al* 2012). Hence, even small changes in water supply can have major socio-economic consequences. Water availability has always been critical in this area (Sietz *et al* 2012) and water scarcity and allocation conflicts have accompanied and challenged societies over centuries. Our study is, to our knowledge, the first to put future precipitation projections in a pre-industrial perspective.

To face the projected decrease in average precipitation and increase in the probability of dry years, water allocation planning, increased water use efficiency, rainfall harvesting and water storage will be crucial elements of climate change adaptation policies for the Central Andes. Additional factors such as the projected increase in temperature, reduced availability of melt water caused in the medium term by glacier retreat, and increased competition for water resources among various economic sectors, are expected to accentuate the challenges associated with the management of water resources in the future (Lynch 2012). We conclude that already for mid-term planning, climate change adaptation and water management are key factors for the future socio-economic development of this region.

## Acknowledgments

The authors acknowledge financial support by the Swiss Agency for Development and Cooperation (SDC) through the Programa de Adaptación al Cambio Climático (PACC) in Peru. RN is supported by the Swiss NSF grant PZ00P2\_154802. DC is supported by FONDAP1511009. MSM is supported by CONICET and IAI (CRN2047). The authors thank the editor and the two anonymous reviewers for their time and comments on this study.

## References

- Buytaert W, Vuille M, Dewulf A, Urrutia R, Karmalkar A and Céleri R 2010 Uncertainties in climate change projections and regional downscaling in the tropical Andes: implications for water resources management *Hydrol Earth Syst. Sci.* **14** 1247–58
- Costa M H and Foley J A 1997 Water balance of the Amazon Basin: dependence on vegetation cover and canopy conductance *J. Geophys. Res. Atmos.* **102** 23973–89
- Deser C, Knutti R, Solomon S and Phillips A S 2012 Communication of the role of natural variability in future North American climate *Nat. Clim. Change* **2** 775–9
- Falvey M and Garreaud R D 2005 Moisture variability over the South American Altiplano during the South American low level jet experiment (SALLJEX) observing season *J. Geophys. Res. Atmos.* **110** D22105
- Garreaud R 1999 Multiscale analysis of the summertime precipitation over the central Andes *Mon. Weather Rev.* **127** 901–21
- Garreaud R and Aceituno P 2001 Interannual rainfall variability over the South American Altiplano *J. Clim.* **14** 2779–89
- Garreaud R, Vuille M and Clement A C 2003 The climate of the Altiplano: observed current conditions and mechanisms of past changes *Palaeogeogr. Palaeoclimatol. Palaeoecol.* **194** 5–22
- Garreaud R D 2009 The Andes climate and weather *Adv. Geosci.* **22** 3–11
- Garreaud R D, Vuille M, Compagnucci R and Marengo J 2009 Present-day South American climate *Palaeogeogr. Palaeoclimatol. Palaeoecol.* **281** 180–95
- Hartmann D L et al 2013 Observations: atmosphere and surface *Climate Change 2013: The Physical Science Basis Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* ed T F Stocker, D Qin, G-K Plattner, M Tignor, S K Allen, J Boschung, A Nauels, Y Xia, V Bex and P M Midgley (Cambridge, United Kingdom: Cambridge University Press) pp 159–254
- Hawkins E et al 2014 Uncertainties in the timing of unprecedented climates *Nature* **511** E3–5
- IPCC 2013 *Climate Change 2013: The Physical Science Basis Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* (Cambridge, United Kingdom: Cambridge University Press)
- IPCC 2014 *Climate Change 2014: Impacts, Adaptation, and Vulnerability: A Global and Sectoral Aspects Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* ed C B Field et al (Cambridge, United Kingdom: Cambridge University Press)
- Joetzier E, Douville H, Delire C and Ciais P 2013 Present-day and future Amazonian precipitation in global climate models: CMIP5 versus CMIP3 *Clim. Dyn.* **41** 2921–36
- Kalnay E et al 1996 The NCEP/NCAR 40 year reanalysis project *Bull. Am. Meteorol. Soc.* **77** 437–71
- Laeppele T and Huybers P 2014 Ocean surface temperature variability: large model–data differences at decadal and longer periods *Proc. Natl Acad. Sci.* **111** 16682–7
- Lenters J D and Cook K H 1997 On the origin of the Bolivian high and related circulation features of the South American climate *J. Atmos. Sci.* **54** 656–78
- Lynch B D 2012 Vulnerabilities, competition and rights in a context of climate change toward equitable water governance in Peru's Rio Santa Valley *Glob. Environ. Change* **22** 364–73
- Medvigy D, Walko R L and Avissar R 2011 Effects of deforestation on spatiotemporal distributions of precipitation in South America *J. Clim.* **24** 2147–63
- Minvielle M and Garreaud R D 2011 Projecting rainfall changes over the South American Altiplano *J. Clim.* **24** 4577–83
- Morales M S, Christie D A, Villalba R, Argollo J, Silva J S, Alvarez C A, Llanabure J C and Soliz Gamboa C C 2012 Precipitation changes in the South American Altiplano since 1300 AD reconstructed by tree-rings *Clim. Past* **8** 653–66
- Neukom R and Gergis J 2012 Southern Hemisphere high-resolution palaeoclimate records of the last 2000 years *Holocene* **22** 501–24
- Pokhrel Y N, Fan Y and Miguez-Macho G 2014 Potential hydrologic changes in the Amazon by the end of the 21st century and the groundwater buffer *Environ. Res. Lett.* **9** 084004
- Rienecker M M et al 2011 MERRA: NASA's modern-era retrospective analysis for research and applications *J. Clim.* **24** 3624–48
- Salzmann N, Huggel C, Rohrer M, Silverio W, Mark B G, Burns P and Portocarrero C 2013 Glacier changes and climate trends derived from multiple sources in the data scarce Cordillera Vilcanota region, Southern Peruvian Andes *Cryosphere* **7** 103–18
- Schwarb M, Acuña D, Konzelmann T, Rohrer M, Salzmann N, Serpa Lopez B and Silvestre E 2011 A data portal for regional climatic trend analysis in a Peruvian High Andes region *Adv. Sci. Res.* **6** 219–26
- Seiler C, Hutjes R W A and Kabat P 2013a Climate variability and trends in Bolivia *J. Appl. Meteorol. Climatol.* **52** 130–46
- Seiler C, Hutjes R W A and Kabat P 2013b Likely ranges of climate change in Bolivia *J. Appl. Meteorol. Climatol.* **52** 1303–17
- Sietz D, Choque S E M and Lüdeke M K B 2012 Typical patterns of smallholder vulnerability to weather extremes with regard to food security in the Peruvian Altiplano *Reg. Environ. Change* **12** 489–505
- Taylor K E, Stouffer R J and Meehl G A 2012 An overview of CMIP5 and the experiment design *Bull. Am. Meteorol. Soc.* **93** 485–98
- Thibeault J, Seth A and Wang G 2012 Mechanisms of summertime precipitation variability in the Bolivian Altiplano: present and future *Int. J. Climatol.* **32** 2033–41
- Thompson L G, Mosley-Thompson E, Bolzan J F and Koci B R 1985 A 1500 year record of tropical precipitation in Ice Cores from the Quelccaya Ice Cap, Peru *Science* **229** 971–3
- Thompson L G, Mosley-Thompson E, Brecher H, Davis M, León B, Les D, Lin P-N, Mashiotta T and Mountain K 2006 Abrupt



- tropical climate change: past and present *Proc. Natl Acad. Sci.* **103** 10536–43
- Uppala S M *et al* 2005 The ERA-40 re-analysis *Q. J. R. Meteorol. Soc.* **131** 2961–3012
- Vimeux F, Ginot P, Schwikowski M, Vuille M, Hoffmann G, Thompson L G and Schotterer U 2009 Climate variability during the last 1000 years inferred from Andean ice cores: a review of methodology and recent results *Palaeogeogr. Palaeoclimatol. Palaeoecol.* **281** 229–41
- Vuille M 1999 Atmospheric circulation over the Bolivian Altiplano during dry and wet periods and extreme phases of the Southern oscillation *Int. J. Climatol.* **19** 1579–600
- Vuille M, Bradley R S and Keimig F 2000 Interannual climate variability in the central Andes and its relation to tropical Pacific and Atlantic forcing *J. Geophys. Res. Atmospheres* **105** 12447–60
- Vuille M, Bradley R S, Werner M and Keimig F 2003 20th century climate change in the tropical Andes: observations and model results *Climate Variability and Change in High Elevation Regions: Past, Present & Future (Advances in Global Change Research)* ed H F Diaz (Dordrecht: Springer) pp 75–99
- Vuille M, Franquist E, Garreaud R, Lavado Casimiro W S and Caceres B 2015 Impact of the global warming hiatus on Andean temperature *J. Geophys. Res. Atmos.* **120** 3745–57
- Vuille M and Keimig F 2004 Interannual variability of summertime convective cloudiness and precipitation in the central Andes derived from ISCCP-B3 data *J. Clim.* **17** 3334–48
- Vuuren D P *et al* 2011 The representative concentration pathways: an overview *Clim. Change* **109** 5–31
- Yin L, Fu R, Shevliakova E and Dickinson R E 2013 How well can CMIP5 simulate precipitation and its controlling processes over tropical South America? *Clim. Dyn.* **41** 3127–43