

# Serotonin transporter gene polymorphism (5-HTTLPR) and emotional response to auditory hallucinations in schizophrenia

Received 3 October 2004; Reviewed 16 January 2005; Revised 29 January 2005; Accepted 9 February 2005

The serotonin transporter (5-HTT) has a crucial function in the regulation of serotonin (5-HT) reuptake in presynaptic neurons. 5-HT is a major modulator of emotional behaviour and circadian rhythms. In addition to its neurotransmitter role, it is also an important regulator of morphogenetic activities during early brain development as well as during adult neurogenesis and plasticity (Murphy et al., 2001).

In humans, transcriptional activity of the 5-HTT gene is modulated by a polymorphic repetitive element (5-HTTLPR), generated by a 44-bp deletion, located upstream of the transcriptional start site with two principal alleles, a 484-bp, 14 repeats, denoted as short (*s*) and a 528-bp, 16 repeats, denoted as long (*l*). It has been established that, the *s* allele has lower transcriptional activity than the *l* allele and restricts 5-HTT availability (Lesch et al., 1996). Multiple lines of evidence suggest that the 5-HTT gene-linked polymorphic region (5-HTTLPR) is related with several psychiatric pathologies particularly anxiety-related traits (Lesch et al., 1996).

Recently, the anxiety provoked by auditory hallucinations (AH) has become an important object of study. For example, some studies have pointed out that the main effect of antipsychotic medication and cognitive therapy would be to reduce the anxiety triggered by voices rather than the experience of the voices per se (Shergill et al., 1998). Although some studies have centred on 5-HTTLPR in schizophrenia, results have been contradictory as far as hallucination is concerned (Golimbet et al., 2004; Malhotra et al., 1998). To our knowledge, there are no genetic studies about the emotional response to AH.

We used a two-step approach: first, a comparison between schizophrenic patients and healthy controls in order to evaluate differences in the 5-HTTLPR allele frequency and investigate the association of 5-HTTLPR with the diagnosis of schizophrenia;

secondly, we focused on the possible involvement of 5-HTTLPR in the emotional response to AH.

A total of 158 patients meeting DSM-IV criteria for chronic schizophrenia (109 males and 49 females), with a clinical history of AH and 138 blood donors as controls were investigated. These subjects were of a similar ethnic group from Valencia, Spain. Subjects with a psychiatric history or presence of perceptual abnormalities were not considered as controls. Neither group showed significant differences in sex and age. Although the excess of males in our sample is a limitation of our study, sex was not a significant variable in our exploratory analyses (data not shown).

Every patient was on antipsychotic treatment at the time of evaluation: 23.4% of patients ( $n=37$ ) were treated with first-generation antipsychotics, 32.3% of subjects ( $n=51$ ) were treated with second-generation antipsychotics and 44.3% ( $n=70$ ) were given combined treatment (first- and second-generation antipsychotics). Diagnosis was made by medical file review using the Item Group Checklist of the Schedules for Clinical Assessment in Neuropsychiatry and was further confirmed by two of the authors (J.S., E.J.A.). Individuals were aged  $38.4 \pm 11.6$  yr (mean  $\pm$  s.d.) and were in-patients and outpatients at the Psychiatric Unit of the Valencia University Hospital.

AH were assessed using the Psychotic Symptom Rating Scale (PSYRATS) for auditory hallucinations (Haddock et al., 1999). This is a standardized scale for the evaluation of 11 different parameters for AH: frequency, duration, location, loudness, belief re-origin, amount of negative content, degree of negative content, amount of distress, intensity of distress, disruption and grade of control. The study was approved by the Ethical Committee of the Medical Faculty, University of Valencia.

Genomic DNA was extracted from the peripheral blood of patients with schizophrenia and controls according to the standard procedure. 5-HTTLPR genotype was determined by PCR as previously described (Bayle et al., 2003) with few modifications. The amplified products were then analysed on 2% agarose gel stained with ethidium bromide. We

Address for correspondence: Professor J. Sanjuan, Unidad de Psiquiatría, Facultad de Medicina Blasco Ibañez 15, 46010 Valencia, Spain.

Tel.: +34 963983379 Fax: +34 963864767

E-mail: julio.sanjuan@uv.es

**Table 1.** Differences in genotype distribution of 5-HTTLPR related with dimensions of auditory hallucinations in the PSYRATS scale

	l/l (n=34)	l/s (n=76)	s/s (n=48)	$\chi^2$ <sup>a</sup>	p
Total score	15.1 (16.3)	16.4 (15.0)	22.7 (15.3)	6.5	0.04*
Frequency	1.5 (1.7)	1.7 (1.7)	2.1 (1.6)	3.1	0.22
Duration	1.3 (1.6)	1.6 (1.7)	2.1 (1.7)	4.9	0.09
Location	1.5 (1.6)	1.5 (1.7)	2.1 (1.7)	5.4	0.07
Loudness	1.2 (1.3)	1.3 (1.2)	1.8 (1.4)	5.7	0.06
Belief re-origin	1.2 (1.5)	1.7 (1.7)	2.2 (1.7)	6.2	0.04*
Amount of negative content	1.3 (1.7)	1.5 (1.6)	2.0 (1.7)	4.1	0.13
Degree of negative content	1.3 (1.7)	1.6 (1.6)	1.9 (1.6)	2.5	0.28
Amount of distress	1.3 (1.6)	1.2 (1.5)	2.0 (1.7)	7.3	0.03*
Intensity of distress	1.3 (1.7)	1.2 (1.4)	2.0 (1.7)	7.4	0.02*
Disruption	1.4 (1.6)	1.4 (1.5)	2.1 (1.4)	6.2	0.04*
Grade of control	1.8 (1.9)	1.8 (1.8)	2.5 (1.8)	5.5	0.06

PSYRATS, Psychotic Symptom Rating Scale.

<sup>a</sup> Kruskal–Wallis test; \*  $p < 0.05$ . Standard deviations in parentheses.

observed 14- and 16-repeat fragments corresponding to *s* and *l* alleles.

Allelic distribution in patients with schizophrenia and controls was then compared using a contingency  $\chi^2$  test and  $\chi^2$  test for Hardy–Weinberg equilibrium.

While the *s* and *l* allele frequency in the control group is representative of European populations, patients with schizophrenia exhibited a higher frequency of the *s* allele (43.8% vs. 54.4% respectively,  $z = 2.57$ ,  $p < 0.01$ ). Both populations (patients and controls) met the Hardy–Weinberg equilibrium ( $\chi^2 = 0.00041$  and  $0.0143$  respectively). The relationship between the polymorphism and the clinical measurements of AH is shown in Table 1. We found significant associations between the *s* allele and total PSYRATS score and also with the following items: belief re-origin, amount of distress, intensity of distress, and disruption.

The excess of the short allele among schizophrenic patients could be a true positive association but also a sample bias since all of them had suffered from AH and our data support a role for this allele in the pathogenesis of AH.

Studies on the role of the 5-HTTLPR in schizophrenia have so far been inconsistent. Whereas Malhotra et al. (1998) reported a relationship between the l/l genotype and hallucinations in a cohort of neuroleptic-free patients, Golimbet et al. (2004) could not replicate this finding but, in contrast, found an association between the s/s genotype and depressive symptoms. Similarly, our data strongly suggest that

patients carrying the *s* allele experienced enhanced emotional responses to AH. Significant PSYRATS items in our data, particularly the amount of distress, the intensity of distress and disruption in life are key elements of a negative emotional response to these phenomena. The link between the *s* allele and various syndromal dimensions associated with schizophrenia is further supported by Bayle et al. (2003), who concluded that the occurrence of the *s* allele is a risk factor for violent suicidal behaviour. Moreover, a recent study provided evidence of a 5-HTTLPR-related differential excitability of the amygdala to emotional stimuli (Hariri et al., 2002).

Furthermore, it has been remarked on the necessity of studying the neurobiological bases of AH, not as an on–off phenomenon but as a multidimensional one. Our data gives a further step in this approach by suggesting a molecular genetics basis for the emotional response to AH.

Along with cognitive approaches we think that AH can be considered as a stressful event for the patients. Inconsistent findings among studies with this polymorphism could be explained by different gene–environment interactions (Lesch, 2004). Caspi et al. (2003) found a gene  $\times$  environment interaction in which individuals with the *s* allele were more prone to depression in response to life events. Taken together, these findings further support the notion of an interaction between allelic variation of 5-HTT function and emotional response to AH in patients with psychoses.

## Acknowledgements

This study was supported by Spanish grants from Red de Genotipación y Psiquiatría Genética (ISCIII 2003-G03/184) and FISS P.I. 02/0018.

## Statement of Interest

None.

## References

- Bayle FJ, Leroy S, Gourion D, Millet B, Olie JP, Poirier MF, Krebs MO (2003). 5HTTLPR polymorphism in schizophrenic patients: further support for association with violent suicide attempts. *American Journal of Medical Genetics* 119, 13–17.
- Caspi A, Sugden K, Moffitt TE, Taylor A, Craig IW, Harrington H, McClay J, Mill J, Martin J, Braithwaite A, Poulton R (2003). Influence of life stress on depression: moderation by a polymorphism in the 5-HTT gene. *Science* 301, 386–389.
- Golimbet VE, Alfimova MV, Shchebatykh TV, Abramova LI, Kaleda VG, Rogaev EI (2004). Serotonin transporter polymorphism and depressive-related symptoms in schizophrenia. *American Journal of Medical Genetics* 126, 1–7.
- Haddock G, McCarron J, Tarrrier N, Faragher EB (1999). Scales to measure dimensions of hallucinations and delusions: the psychotic symptom rating scale (PSYRATS). *Psychological Medicine* 29, 879–888.
- Hariri AR, Mattay VS, Tessitore A, Kolachana B, Fera F, Goldman D, Egan MF, Weinberger DR (2002). Serotonin transporter genetic variation and the response of the human amygdala. *Science* 297, 400–403.
- Lesch KP (2004). Gene-environment interaction and the genetics of depression. *Journal of Psychiatry & Neuroscience* 29, 174–184.
- Lesch KP, Bengel D, Heils A, Sabol SZ, Greenberg BD, Petri S, Benjamin J, Muller CR, Hame DH, Murphy DL (1996). Association of anxiety-related traits with a polymorphism in the serotonin transporter gene regulatory region. *Science* 274, 1527–1531.
- Malhotra AK, Goldman D, Mazzanti C, Clifton A, Breier A, Pickar D (1998). A functional serotonin transporter (5-HTT) polymorphism is associated with psychosis in neuroleptic-free schizophrenics. *Molecular Psychiatry* 3, 328–332.
- Murphy DL, Li Q, Engel S, Wichems C, Andrews A, Lesch KP, Uhl G (2001). Genetic perspectives on the serotonin transporter. *Brain Research Bulletin* 15, 487–94.
- Shergill SS, Murray RM, McGuire PK (1998). Auditory hallucinations: a review of psychological treatments. *Schizophrenia Research* 32, 137–150.
- Julio Sanjuan<sup>1</sup>, Olga Rivero<sup>2</sup>, Eduardo Jesus Aguilar<sup>3</sup>, Jose Carlos González<sup>1</sup>, Maria Dolores Moltó<sup>2</sup>, Rosa de Frutos<sup>2</sup>, Klaus-Peter Lesch<sup>4</sup>, Carmen Nájera<sup>2</sup>
- <sup>1</sup> Unidad de Psiquiatría, Facultad de Medicina, Hospital Clínico, Universitat de València, Spain
- <sup>2</sup> Departamento de Genética, Facultad de Biología, Universitat de València, Spain
- <sup>3</sup> Sagunto Hospital, Valencia, Spain
- <sup>4</sup> Department of Psychiatry and Psychotherapy, University of Wuerzburg, Germany