

## Review Article

## Emotion processing and regulation in bipolar disorder: a review

Townsend J, Altshuler LL. Emotion processing and regulation in bipolar disorder: a review.

Bipolar Disord 2012; 00: 000–000. © 2012 The Authors.

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**Objectives:** Bipolar disorder (BP) is characterized by a dysfunction of mood, alternating between states of mania/hypomania and depression. Thus, the primary abnormality appears to be an inability to regulate emotion, the result of which is emotional extremes. The purpose of this paper is to review the current functional magnetic resonance imaging (fMRI) literature on adult patients with BP using emotion processing or regulation paradigms.

**Methods:** A search was conducted on PubMed using the keywords: *bipolar disorder, fMRI, mania, bipolar depression, bipolar euthymia, emotion, and amygdala*. Only those studies that were conducted in adult patients using an emotion activation task were included in the final review.

**Results:** Using tasks that assess neural functioning during emotion processing and emotion regulation, many fMRI studies have examined BP subjects during mania and euthymia. Fewer fMRI studies have been conducted during depression, and fewer still have included the same subjects in multiple mood states. Despite these limitations, these studies have demonstrated specific abnormalities in frontal–limbic regions. Using a variety of paradigms, investigators have specifically evaluated the amygdala (a structure within the limbic system known to be critical for emotion) and the prefrontal cortex (PFC) (a region known to have a regulatory function over the limbic system).

**Conclusions:** These investigations reveal that amygdala activation varies as a function of mood state, while the PFC remains persistently hypoactivated across mood states. Emotional dysregulation and lability in mania and depression may reflect disruption of a frontal–limbic functional neuroanatomical network.

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doi: 10.1111/j.1399-5618.2012.01021.x

Key words: amygdala – bipolar disorder – emotion processing – emotion regulation – functional neuroimaging – vPFC

Received 27 September 2011, revised and accepted for publication 22 March 2012

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2 Emotion processing involves the detection and evaluation of salient stimuli as well as the regulation of one's emotional (affective) response to these stimuli (1). Models of emotional processes include a number of components, such as: (i) attention to and perception of information that could potentially elicit emotional responses, (ii) subsequent emotional arousal to such stimuli, and (iii) the regulation of that arousal via several potential mechanisms (i.e., altering attention or perception of stimuli and arousal responses and/or using cognitive reappraisal or other cognitive or

behavioral strategies to modulate arousal level). Dysregulated emotional responses can lead to pathological mood states, including those seen in bipolar disorder (BP) (2, 3). BP is distinguished primarily by acute dysfunctional mood states, alternating between mania [BP type I (BP-I)] or hypomania [BP type II (BP-II)], and depression. This characteristic mood lability suggests a possible dysfunction in neural networks involved in emotion processing and regulation. BP exacts a tremendous cost not only to the patient, family, and friends, but also to society. The World Health

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1 Organization regards BP as one of the leading  
2 causes of disability throughout the world (4). With  
3 the high direct and indirect financial (5) and  
4 emotional costs of BP, it is imperative to better  
5 understand and delineate its underlying causes for  
6 the ultimate purpose of improved diagnosis and  
7 treatment.

8 As BP is primarily a disorder of mood, many BP  
9 functional magnetic resonance imaging (fMRI)  
10 studies utilize tasks involving emotion processing  
11 and regulation. The inability to regulate emotion is  
12 the primary abnormality of BP, the result of which  
13 is the characteristic acute mood states of the  
14 disorder. Using a variety of paradigms, many  
15 neuroimaging studies specifically investigated the  
16 amygdala, a structure within the limbic system  
17 known to be critical for emotion, and the  
18 prefrontal cortex (PFC), a region known to have  
19 a regulatory function over the limbic system. Most  
20 fMRI studies examine BP subjects while euthymic,  
21 while fewer fMRI studies have been conducted on  
22 subjects during mania and depression, and fewer  
23 still include the same subjects in multiple mood  
24 states. Despite these limitations, structural and  
25 functional imaging studies have demonstrated  
26 specific abnormalities in frontal–limbic regions  
27 and suggest that abnormalities in these circuits  
28 may result in the emergence of the manic and  
29 depressive symptoms seen in BP (6, 7). This review  
30 will focus only on the fMRI literature on emotion  
31 and emotion regulation in adults with BP. There  
32 exists considerable controversy on the childhood  
33 and adolescent diagnosis of BP, and such discus-  
34 sion is beyond the scope of this review. Emotional  
35 dysregulation in mania and depression may reflect  
36 disruption of a functional neuroanatomical net-  
37 work with potential structural correlates, and this  
38 network will be the main focus of this review.

### 40 **Healthy functioning**

41 The amygdala, insula, anterior cingulate cortex  
42 (ACC), medial PFC (mPFC), and ventral lateral  
43 PFC (vlPFC) are considered key neural substrates  
44 of an emotion processing and regulation circuit (1).  
45 Neuroimaging studies have demonstrated a role for  
46 the amygdala and insula in normal emotion  
47 processing, and for the medial and lateral regions  
48 of the vlPFC in mood regulation (8, 9) and  
49 associative emotional memory functions (10, 11).

50 The amygdala plays a key role in signaling  
51 danger and, more generally, signaling the emo-  
52 tional salience of sensory information to the rest of  
53 the brain in preparation for an appropriate  
54 response (12). Functional neuroimaging studies  
55 in healthy subjects, using neuropsychological

paradigms that involve simple viewing of emotion  
stimuli, reliably activate the amygdala (13–15).  
Most emotion studies of healthy subjects use  
emotional faces as stimuli and demonstrate robust  
bilateral amygdala activation in response to faces  
with both positive and negative valence (for a  
review, see 16), with the strongest amygdala  
activation occurring during the viewing of fearful  
faces. The amygdala is activated by valenced  
stimuli and may serve to strengthen the portrayal  
of emotional stimuli in sensory representation  
areas of the occipital and temporal cortex (17,  
18). Through neuronal projections to the brain-  
stem and hypothalamus, amygdala activation stim-  
ulates the autonomic nervous system (ANS) and  
the hypothalamic–pituitary–adrenal (HPA) axis.  
This neural activity promotes physical and behav-  
ioral responses to the stimuli. The actions of the  
fast-acting ANS and slower-acting HPA system  
enable an adaptive modulation of the stress  
response (19). Thus, the amygdala plays an essen-  
tial role in both the perception of emotional stimuli  
and the subsequent arousal reactions to such  
incoming information. As such, it has been studied  
extensively in healthy populations and in patients  
with mood disorders. **3**

Emotion regulation involves the initial steps of  
emotion processing (i.e., attention and perception  
of information eliciting emotional arousal) and the  
subsequent mechanisms of modulating emotional  
arousal. fMRI studies that involve modifying  
emotional arousal, regardless of the mechanism  
employed, typically reduced amygdala activation  
and activated the vlPFC and other frontal regions  
(13–15, 20). The vlPFC has been implicated in  
processing emotional salience (21) and motivation  
(22), and plays a role in integrating emotional  
information and regulating the intensity of emo-  
tional responses (21, 23). Emotion regulation may  
occur via pathways between the vlPFC and auto-  
nomic systems that govern visceral responses  
associated with affective stimuli (24). The vlPFC  
has been implicated in other behavioral (response)  
inhibition studies and may serve a broader role in  
inhibiting undesirable or inappropriate states or  
actions (25). Dysfunction in this region may  
provide a mechanism for understanding the failure  
to modulate a region's underlying affect, such as  
the amygdala. **4**

Tasks that require simple emotion processing (as  
in the case of passive viewing) show greater  
amygdala activation than tasks that require emo-  
tion regulation (15, 26, 27). Emotion regulation  
can generally be seen as the alteration of ongoing  
emotional responses through processes like altered  
attention via the ACC or through implicit or

explicit emotion regulation via frontal lobe activation (28, 29). The frontal lobe connections to amygdala and other limbic structures may be inhibitory in nature, allowing for a dampening or reduction of amygdala activation and, in turn, perhaps a dampening of emotional arousal. fMRI studies of emotion regulation in healthy subjects demonstrate engagement of frontal regions, including the vIPFC and ACC (27, 29, 30), and down-regulation of limbic regions by way of vIPFC activation (15, 28).

One commonly used technique to regulate emotion is cognitive reappraisal, wherein subjects recruit cognitive resources to consciously reframe the context of salient emotional stimuli to modulate their emotional response. In behavioral studies, downregulation of emotion via cognitive reappraisal has been shown to decrease physiological arousal (31–33) and subjective reports of distress (34). Emotion regulation studies using cognitive reappraisal in healthy subjects demonstrate reliable activation in the vIPFC, pre-supplementary motor area (pre-SMA), and less frequently in the ACC (34–39). Specifically, during emotion downregulation using cognitive reappraisal, healthy subjects show increased activation in the vIPFC, mPFC, and ACC (34, 40). These regulation studies also demonstrate reduced activity in the amygdala during cognitive reappraisal, consistent with the vIPFC's putative role in inhibiting limbic activity.

The regions implicated in emotion regulation studies have extensive anatomical connections with the amygdala (23, 24, 41). The amygdala has extensive reciprocal connections with the frontal lobe, with direct connections to the mPFC and vIPFC (42). Modulatory input from cortical brain regions, including the mPFC and vIPFC, dampen amygdala activation in the wake of emotionally arousing events (12). Human (43) and non-human primate (44) anatomical studies show extensive reciprocal connections between the amygdala and PFC. Neurochemical studies in animals suggest that this inhibitory connection between the amygdala and PFC is modulated via GABAergic interneurons (45, 46). Prior studies of non-human primates have demonstrated a range of cortical regions, including the vIPFC, mPFC, insula, and temporal lobe, with reciprocal connections to the amygdala (47, 48). The mPFC, with dense reciprocal connections to the amygdala (49), may serve to modulate amygdala activity or, more specifically, to regulate emotional response to salient stimuli. The insula is another region commonly implicated in emotion processing and also has extensive anatomical connections and shows significant

effective connectivity with the amygdala, ACC, and vIPFC (50–52). Sad and disgusted faces are known to preferentially activate the insula in healthy subjects (16), and this region may be recruited during the emotional response to potentially distressing interoceptive stimuli (53).

In addition to studies investigating anatomical connections between the amygdala and frontal regions, functional and effective connectivity studies have demonstrated specific changes in connectivity as a response to emotional stimuli. Studies of healthy subjects report significant effective connectivity between the amygdala, vIPFC, insula, and ACC (52), and significant negative functional connectivity between the amygdala and frontal regions (15, 27). Researchers have found increased functional connectivity between the amygdala and regions mediating autonomic activity, such as the ACC and brainstem, during stress induction (54). These studies suggest an antagonistic relationship (i.e., negative connectivity) between the amygdala – which attributes emotion salience to stimuli – and regions of the PFC and ACC, which are involved in attention and cognition regulation. Thus, the amygdala can be seen as part of an adaptive system that increases the salience of emotional information (12). A counteractive system involving the PFC may regulate the impact of emotional stimuli, especially when such limbic activity interferes with current task demands (18). As such, the effects of a stressor may relate to activation of the autonomic stress response by the amygdala (54). Prolonged amygdala activation (or diminished frontal modulation) during the recovery period following exposure to emotion stimuli may relate to the development of psychopathology. An overload in stress may impact the feedback circuit between the amygdala and vIPFC and mPFC, and contribute to the pathogenesis of mood and anxiety disorders. This amygdala–ACC–mPFC and amygdala–vIPFC connectivity in healthy subjects is balanced to reflect emotion regulation through cognitive control, and such a balance may be disrupted in psychiatric populations (55). The decoupling of these regions has been demonstrated in relation to emotion dysregulation (2) and remains to be fully explored, specifically in BP.

### Mania

Functional imaging studies during mania have been limited, no doubt because of difficulties in having manic patients remain still during scanning. Activation studies published to date have primarily assessed frontal–amygdala activity using paradigms known to activate the amygdala in healthy

subjects (15, 56–58). As an enlarged amygdala (59–61) and heightened activation (62) have been reported in some subjects with BP, many fMRI studies utilized emotion paradigms, such as those involving emotional faces or scenes known to activate the amygdala (15), in order to assess emotion reactivity and frontal–amygdala circuitry during mania. Table 1 reviews the current fMRI literature on adult subjects with BP while performing a variety of task involving emotion.

One of the most consistent findings in mania is heightened limbic activation in response to emotional stimuli, primarily emotional faces. A number of studies specifically found increased left amygdala activation compared to healthy subjects during simple emotion processing (i.e., viewing) of emotional faces (63), emotional scenes (64), positive pictures (65), and during implicit, but not explicit, emotion processing (66). Another study, using patients in a variety of mood states, also found increased left amygdala activation in response to fearful faces (62), stimuli known to activate the amygdala most reliably (16). This increased left amygdala response during mania

correlated positively with Young Mania Rating Scale (YMRS) scores (65). These studies are interesting in light of several structural MRI studies that reported an enlarged amygdala in subjects with BP (59, 60), although not all structural studies in BP have found amygdala enlargement (67). Although there is considerable convergence in amygdala findings, particularly in the left amygdala, at least one study found no significant differences in overall amygdala activation between manic and healthy subjects (68). This may have been due to the relatively small sample size ( $n = 10$ ) or the use of sad faces only in this study. However, manic subjects did exhibit a decreased load response in the bilateral amygdala when examining sad faces of increasing intensity (68), suggesting that the intensity of the emotional stimuli may contribute to why not all studies in mania found amygdala hyperactivation. Another study found hyperactivation of the left amygdala in manic subjects irrespective of the valence of the stimuli, but found hypoactivation of the right amygdala only during negative emotion scenes (but not other stimuli) (64). Of the ten emotion studies

Table 1. Amygdala and prefrontal cortex results of functional magnetic resonance imaging emotion studies in mania

Study	N	Paradigm	Method	Amygdala	vIPFC
Elliot et al. 2004 (71)	M = 8 HS = 11	Emotional Go/NoGo (happy and sad words)	3T Whole-brain	NS	↓ L/R
Lennox et al. 2004 (68)	M = 10 HS = 12	Faces (happy, sad)	3T Whole-brain	NS <sup>a</sup>	NS
Altshuler et al. 2005 (63)	M = 9 HS = 9	Faces (negative): match	3T ROI: amygdala	↑ L	↓ L/R
Chen et al. 2006 (66)	M = 8 D = 8 HS = 8	Faces (fear, sad, happy): implicit and explicit processing	3T Whole-brain	↑ Implicit ↓ Explicit	NS
Foland et al. 2008 (27)	M = 9 HS = 9	Faces (negative): match and label	3T Whole-brain PPI	↑ L	↓ L/R
Killgore et al. 2008 (72)	M = 13 HS = 13	Faces (fear): passive view	1.5T Whole-brain ROI: amygdala	NS	↓ R
Berpohl et al. 2009 (65)	M = 10 HS = 10	IAPS	1.5T Whole-brain	↑ L	NS
Chen et al. 2010 (74)	M = 12 E = 9 HS = 12	Faces (happy, sad, disgust, fear, surprise, anger): rate intensity	1.5T ROI: amygdala, OFC	—	↑ R
Van der Schot et al. 2010 (69)	M = 12 E = 18 D = 12 HS = 18	Faces (neutral, happy, fearful): masked and unmasked	1.5T ROI: amygdala, OFC	↓ L/R	↓ L/R
Strakowski et al. 2011 (64)	M = 40 HS = 36	CPT-END: IAPS	4T ROI: amygdala, vIPFC	↑ L ↓ R <sup>b</sup>	↓ L/R

vIPFC = ventral lateral prefrontal cortex; M = manic subjects; HS = healthy subjects; D = depressed subjects; E = euthymic subjects; IAPS = International Affective Picture Set; CPT-END = Continuous Performance Task with Emotional and Neutral Distracters; ROI = region of interest; PPI = prepulse inhibition; OFC = orbitofrontal cortex; NS = not significant; L = left; R = right.

<sup>a</sup>Amygdala increases as load increase in healthy subjects, but not in manic subjects.

<sup>b</sup>No main group effect. Interaction effects with healthy subjects emotion cues > manic emotional cues.



in mania, only two (66, 69) found decreased amygdala activation in manic subjects compared to healthy subjects. In one of these studies, Chen et al. (66) did report increased amygdala activation in manic compared to euthymic BP subjects. Both of these studies had relatively modest sample sizes ( $n = 8$  and  $n = 12$ , respectively) and utilized both positive and negative valenced faces. These varied results suggest that the nature of amygdala abnormalities observed during mania may depend, in part, on the valence and salience of the stimuli used. Future studies that utilize more standardized paradigms may help to alleviate some of the ambiguity in the literature.

Several neuroimaging studies demonstrated attenuated vIPFC function and/or heightened amygdala activation in manic compared to healthy subjects (58, 63, 66, 70, 71). fMRI studies of mania specifically probing emotion processing demonstrate hypoactivation of the vIPFC during the processing of negative faces (63), fear perception (72), masked positive and negative faces (69), emotional and neutral cues (64), and negatively captioned pictures (73). In fact, of the ten studies of emotion in mania, six found evidence of hypoactivation of the vIPFC (27, 63, 64, 69, 71, 72), three found no group differences (65, 66, 68), and only one found increased vIPFC activation in manic subjects (74). While the previous studies utilized negative emotional stimuli, it is important to note that the one study of mania that found increased vIPFC activation used both positive and negative emotional faces (74). This result is of interest because studies of healthy comparison subjects found engagement of the vIPFC during the processing of negative (i.e., fearful and angry) but not positive (happy) faces (16). As for the three studies that failed to report any group differences in the vIPFC, it is difficult to draw any specific conclusions since all three studies had relatively modest sample sizes ( $n \leq 10$ ) and may have been underpowered to detect such differences.

Increased amygdala activation and decreased negative connectivity between the vIPFC and amygdala (27, 75) and between the amygdala and ACC (76) have also been reported during mania. The amygdala has extensive reciprocal connections to the frontal lobe, including direct connections to the mPFC, including the ACC and the orbitofrontal cortex (OFC) (42). There is a striking convergence of studies using not only emotion paradigms, but also response inhibition paradigms, which suggests an attenuation of vIPFC function during mania (58, 71). Furthermore, hypoactivation of other frontal regions, including the dorsolateral PFC (dlPFC) and mPFC, have also been reported

during mania (62, 72). Extensive anatomical connectivity exists between the vIPFC, amygdala, cingulate, and other frontal regions (23, 24, 41). Ventral prefrontal areas are also connected with the temporal polar and entorhinal temporal cortex and so have connections to the limbic cortex (42, 77). Thus, it is not surprising to see abnormalities in these same regions during mania.

Finally, one study of manic patients found increased left insular activation in response to sad faces (68). The presence of an attenuated vIPFC response and a heightened amygdala and insula response suggests another aspect to the alterations in prefrontal–limbic circuits seen in mania. Studies of healthy subjects reported significant effective connectivity between the amygdala, insula, ACC, and vIPFC (52). Dysfunction in the vIPFC could help to explain a failure to appropriately modulate other limbic brain regions and perhaps result in a range of intensity of mood shifts to hypomania and mania in patients with BP.

### Depression

Patients with BP spend the majority of their time in episodes of depression, not mania (78–80). As bipolar depression is associated with high levels of morbidity and mortality across the life span, efforts aimed at identifying biological mechanisms that contribute to this phase of the illness are imperative. Functional neuroimaging studies of patients with unipolar depressive disorder have begun to reveal dysregulated neuroanatomical circuits associated with this syndrome (2, 81). Similar studies in patients with bipolar depression are more limited.

Relatively few fMRI studies have been performed in patients with BP during the depressed phase using emotion processing paradigms known to activate limbic structures (66, 70, 73, 76, 82–84), with the latter two studies using subjects in different mood states (see Table 2 for a summary of the amygdala and vIPFC fMRI findings in patients with BP while depressed). Unlike studies in mania, there was greater variability in the activation patterns reported in bipolar depressed versus healthy comparison samples. In a study involving positive- versus neutral-captioned pictures, distinct patterns of regional activation were found with bipolar depressed patients, showing increased right-sided subcortical activation (basal ganglia, thalamus, hypothalamus, and left amygdala) compared to healthy subjects (73). A trend of left amygdala hyperactivation was observed in another study using sad faces as stimuli, but this amygdala hyperactivation was not statistically significant or was not seen for other emotion

Table 2. Amygdala and prefrontal cortex results of functional magnetic resonance imaging emotion studies in bipolar depression

Study (depressed)	N	Paradigm	Method	Amygdala	vIPFC
Malhi et al. 2004 (73)	D = 11 HS = 10	Captioned pictures (negative, neutral, positive): passive view	1.5T Whole-brain	↑ L	↓ <sup>a</sup>
Chen et al. 2006 (66)	M = 8 D = 8 HS = 8	Faces (fear, sad, happy): implicit and explicit processing	3T Whole-brain	NS	NS
Altshuler et al. 2008 (82)	D = 11 HS = 17	Faces (negative): match	3T Whole-brain	NS	↓ L/R
Almeida et al. 2010 (84)	D = 15 E = 15 HS = 15	Faces (fear, happy, sad): label	3T ROI: amygdala	NS <sup>b</sup>	N/A
Van der Schot et al. 2010 (69)	M = 12 E = 18 D = 12 HS = 18	Faces (neutral, happy, fearful): masked and unmasked	1.5T ROI: amygdala, OFC	NS	↓ L/R

vIPFC = ventral lateral prefrontal cortex; D = depressed subjects; HS = healthy subjects; M = manic subjects; E = euthymic subjects; ROI = region of interest; OFC = orbitofrontal cortex; L = left; R = right; NS = not significant; N/A = not applicable.

<sup>a</sup> Decrease observed in another region of the inferior frontal gyrus (Brodmann's area 9) in the negatively valenced condition.

<sup>b</sup> Trend level increased amygdala in bipolar depressed group.

stimuli (84). The remaining studies of emotion processing in bipolar depression did not consistently find limbic hyperactivation. Using a paradigm to assess implicit versus explicit facial emotion recognition, one study found that bipolar depressed subjects tended to overactivate fronto-striato-thalamic regions, but not the amygdala, in response to fearful faces (66). A more recent study using masked fearful and happy faces found no significant differences between bipolar depressed and healthy subjects in the amygdala, but did find frontal hypoactivation, including of the vIPFC, in bipolar depressed subjects (69). This decreased frontal lobe activation in bipolar depressed subjects was found in other studies using a variety of emotion paradigms (69, 73, 82) and represents a more consistent finding in bipolar depression.

A few studies suggest reduced limbic activation in bipolar depression. Unlike the previous studies, hyperactivation of amygdala was not seen in bipolar depressed subjects while performing a face-matching task. In fact, there was no significant amygdala activation seen in bipolar depressed subjects (82), while reduced activations were seen in bilateral vIPFC [Brodmann's area (BA) 47] and right dIPFC, and increased activation was reported in mPFC (BA10) (82) in response to faces with negative emotions. Using functional connectivity techniques, Versace et al. (83) found increased right and left amygdala-vIPFC connectivity in bipolar depressed subjects compared to healthy subjects while viewing sad faces, but decreased amygdala-OFC connectivity in response to happy faces. These results are consistent with findings in mania that also show reduced amygdala-vIPFC

connectivity (27, 75) and suggest alterations in healthy functional connectivity in both mania and depression. The latter study (75) followed BP subjects from initial manic mood state to the presentation of a depressed mood and found that functional connectivity between the amygdala and frontal region changed as subjects changed mood states. Another study, examining subjects in all three mood states, also found decreased frontal-limbic connectivity while viewing fearful and happy faces (76).

These studies suggest hypoactivation of the frontal lobe, especially the vIPFC, and abnormal connectivity between frontal and limbic structures that may vary as a function of mood state and of the specific emotion stimuli used. Abnormal amygdala activation is reported across many of the studies, but the direction of the finding may be dependent on the specific stimuli used. Significant increased amygdala activation was reported in only one study that utilized negative-captioned pictures (with the pictures themselves designed to evoke no emotional response) (73). Studies using negative emotional faces as stimuli found no significant amygdala differences (66, 69), with one finding a trend level of decreased amygdala activation (82) between bipolar depressed and healthy groups. Given the multiplicity of paradigms used, the small sample sizes used (all studies had  $n \leq 15$ ), and the heterogeneity of results, it is difficult at this time to discern a clear functional neuroanatomical circuit abnormality consistently demonstrated during bipolar depression, apart from frontal hypoactivation and decreased frontal-limbic connectivity. Clearly, more studies are needed, with larger sample sizes.

**Euthymia**

The enduring impairments in euthymic patients provide clues to the brain regions involved in the primary pathology of BP or that are impacted by the presence of the disorder. Studies suggest that patients with BP continue to display mood instability or increased mood reactivity even in the absence of an acute episode (78, 79). An enduring brain region abnormality in euthymia may affect the neural circuit involved in homeostatic mood regulation. This abnormality, in turn, may predispose BP patients to relapse into acute mood states.

Of the 14 studies examining emotion processing in euthymic BP subjects, the majority – nine studies – found no significant differences between euthymic BP subjects and healthy subjects in amygdala

activation (85–90). Table 3 provides a summary of the current fMRI literature of emotion processing and regulation in bipolar euthymia. It is interesting to note that all of the studies that found no significant amygdala differences between euthymic BP and healthy groups employed negative emotional faces as stimuli. Two of these studies examined medication effects and neither found significant effects of medication on amygdala activation in bipolar euthymia (88, 90). By contrast, three studies found increased amygdala activation in the right hemisphere using an emotional Stroop task (91) and an emotional face task (74), and in the left hemisphere using happy faces (92). Finally, two studies found decreased amygdala activation in euthymic BP subjects compared to healthy comparison subjects. One study, using the emotional Stroop task, found decreased left

Table 3. Amygdala and prefrontal cortex (PFC) results of functional magnetic resonance imaging (fMRI) emotion studies in bipolar euthymia

Study (Euthymic)	N	Paradigm	Method	Amygdala	vIPFC
Malhi et al. 2005 (93)	E = 12 HS = 12	Emotional Stroop: (affective versus neutral)	3T Whole-brain, GSR	↓ L	↓ L
Lagopoulos and Malhi 2007 (91)	E = 10 HS = 10	Emotional Stroop: (negative versus neutral)	3T Whole-brain, GSR	↑ R	↓ L/R (PFC)
Malhi et al. 2007 (89)	E = 10 HS = 10	Faces (fear, disgust, neutral): label	3T Whole-brain	NS	↓ L
Wessa et al. 2007 (101)	E = 17 HS = 17	Emotional Go/NoGo: (fear, happy, and neutral faces)	1.5T Whole-brain	NS	NS
Hassel et al. 2008 (88)	E = 19 HS = 24	Faces (happy, fear, neutral): label	3T Whole-brain ROI: amygdala	NS	↓ L/R (PFC)
Robinson et al. 2008 (90)	E = 15 HS = 16	Faces (negative): match and label	3T Whole-brain ROI: amygdala, vIPFC	NS	↑ R
Almeida et al. 2009 (85)	E = 21 HS = 25	Faces (happy, neutral): label	3T Whole-brain DCM: parahippocampus, vmPFC, dIPFC	NS	NS
Hassel et al. 2009 (87)	E = 14 HS = 16	Faces (happy, fear): label	3T ROI: amygdala, dPFC	NS	↓ R
Almeida et al. 2010 (84)	D = 15 E = 15 HS = 15	Faces (fear, happy, sad): label	3T ROI: amygdala	NS	N/A
Chen et al. 2010 (74)	M = 12 E = 9 HS = 12	Faces (happy, sad, disgust, fear, surprise, anger): rate intensity	1.5T ROI: amygdala, OFC	↑ R	↑ R
Surguladze et al. 2010 (92)	E = 20 HS = 20	Faces (happy, fear): label	Whole-brain ROI: amygdala	↑ L <sup>a</sup>	NS
Van der Schot et al. 2010 (69)	M = 12 E = 18 D = 12 HS = 18	Faces (neutral, happy, fearful): masked and unmasked	1.5T ROI: amygdala, OFC	↓ L/R	↓ L/R
Lagopoulos and Malhi 2011 (99)	E = 11 HS = 11	Faces (neutral or disgust): label	3T fMRI, GSR	NS	NS

vIPFC = ventral lateral prefrontal cortex; E = euthymic subjects; HS = healthy subjects; D = depressed subjects; M = manic subjects; GSR = galvanic skin response; ROI = region of interest; DCM = dynamic causal modeling; vmPFC = ventromedial prefrontal cortex; dIPFC = dorsolateral prefrontal cortex; dPFC = dorsal prefrontal cortex; OFC = orbitofrontal cortex; L = left; R = right; NS = not significant; N/A = not applicable.

<sup>a</sup>For intense happy faces only.

1 amygdala activation in a small sample ( $n = 12$ ) of  
 2 euthymic BP women (93); and another study, using  
 3 masked emotional faces, found bilateral amygdala  
 4 hypoactivation (69). From the literature, it appears  
 5 that abnormalities of amygdala activation are  
 6 more consistently found during acute mood states  
 7 than during euthymia.

8 Similar to these fMRI studies, positron emission  
 9 tomography (PET) studies also suggest frontal–  
 10 limbic circuit abnormalities in euthymic BP  
 11 patients. One PET study measured regional blood  
 12 flow while euthymic subjects completed a novel  
 13 motor sequence task (94). Patients displayed a  
 14 unique pattern of widespread limbic network  
 15 activity in response to the new sequence, whereas  
 16 healthy subjects activated a spatial attention  
 17 circuit. Patients failed to allocate attentional  
 18 resources and instead utilized limbic circuitry  
 19 (i.e., suggesting arousal rather than attention) to  
 20 alter their performance on a non-emotional task.  
 21 This pattern of BP subjects showing significantly  
 22 greater engagement of limbic regions in response to  
 23 non-emotional tasks was seen in a number of fMRI  
 24 studies (95–97). This finding was also replicated in  
 25 an fMRI study using medication-free euthymic  
 26 patients (98). These common abnormalities during  
 27 euthymia – of increased limbic activation during  
 28 non-emotional tasks and decreased vLPFC activa-  
 29 tion during emotion processing – suggest enduring  
 30 trait deficits of BP. Thus, euthymic BP subjects  
 31 appear to engage limbic structures similarly during  
 32 emotional tasks, but differ from control subjects by  
 33 activating these same regions even in the absence of  
 34 emotional stimuli.

35 While amygdala function appears normalized  
 36 during emotion tasks, many of the previous studies  
 37 reported abnormalities in frontal functioning dur-  
 38 ing emotion processing in euthymia. Many studies,  
 39 using a variety of emotional stimuli, found  
 40 decreased frontal activation in bipolar euthymia  
 41 (69, 88, 91, 93, 99), most frequently in the vLPFC.  
 42 Decreased activation in the vLPFC during emotion  
 43 processing was also observed in two studies of BP  
 44 subjects in euthymic or depressed mood states  
 45 (100). However, not all studies have reported this  
 46 frontal hypoactivation. Two studies found  
 47 increased activation in the right vLPFC (74, 90) in  
 48 euthymic compared to healthy subjects while  
 49 viewing emotional faces. It is less clear why these  
 50 two studies found frontal abnormalities in the  
 51 opposite direction from those in the majority of  
 52 studies; differences in task design [region of interest  
 53 (ROI) in one versus whole-brain analysis] and  
 54 subjects (small sample size of  $n = 9$  in the other)  
 55 likely contributed to the vLPFC differences between  
 56 these two studies and the other 12.

Decreases in dlPFC activation were also re-  
 ported in a number of these studies (88, 91),  
 perhaps suggesting impairment in frontal regions  
 beyond those predictably activated in studies of  
 emotion in healthy subjects. Furthermore, five  
 studies of emotion in bipolar euthymia found  
 hyperactivation of striatal regions, including the  
 bilateral caudate (99, 101), left (92) and right (87)  
 putamen, and left caudate/putamen (88). Imaging  
 studies using affective induction and emotion  
 regulation paradigms that are designed to identify  
 regions most sensitive to provocation by external  
 stressors may help to elucidate the mechanisms  
 mediating clinical relapse into mania or depression.  
 Neural correlates of these clinical trait or disease  
 diathesis markers are just beginning to be charac-  
 terized.

### Comparison of mood states

Very few neuroimaging studies have directly com-  
 pared BP groups in different mood states using the  
 same scanning protocol and fewer still have com-  
 pared the same subjects while in different mood  
 states. To date, only five studies have directly  
 compared BP groups using the same emotional  
 paradigm (66, 69, 74, 83, 85) and only one used the  
 same subject in two mood states (74). Manic  
 subjects, when compared directly to bipolar  
 depressed subjects, show hyperactivation of the  
 amygdala and left fusiform gyrus, a region impor-  
 tant in the processing of emotional faces, during an  
 implicit emotion processing task (66). Other stud-  
 ies, however, found less amygdala activation in  
 manic compared to euthymic subjects (74) and  
 between manic and depressed subjects (69). Both of  
 these studies included both positive and negative  
 emotional faces. Chen et al. (74), as the only study  
 that compared the same subjects during mania and  
 euthymia, found a significant effect of time  $\times$   
 group only in the left amygdala/hippocampus.  
 From studies of healthy subjects, amygdala acti-  
 vation is most robust during the viewing of fearful  
 and then sad faces (16). As such, the direction of  
 amygdala abnormalities seen in mania may depend  
 on the specific valence of the stimuli used. Future  
 studies that specifically explore these issues are  
 needed, especially using larger sample sizes of  
 subjects in multiple mood states.

Manic subjects, compared to depressed subjects,  
 show hypoactivation in frontal lobe regions,  
 including the vLPFC (66, 69) and dlPFC (69).  
 These same abnormalities are found in BP subjects  
 across mood states, but these studies provide  
 evidence that the most profound hypoactivation  
 is seen during mania and that although frontal lobe

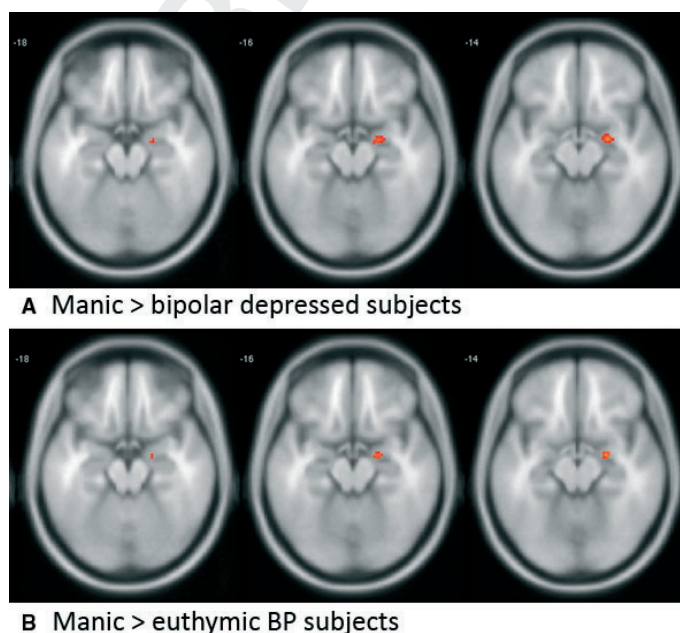


1 activation normalizes during euthymia, it remains  
 2 hypoactive compared to healthy comparison sub-  
 3 jects. This similar pattern of more pronounced  
 4 abnormalities emerging during the acute mood  
 5 states is seen in bipolar depression. Bipolar  
 6 depressed subjects compared to bipolar euthymic  
 7 subjects showed hyperactivation of the left amyg-  
 8 dala to sad faces (85) and of the right amygdala to  
 9 masked emotional faces (69). Bipolar depressed  
 10 subjects, but not bipolar euthymic subjects, also  
 11 showed decreased amygdala–vIPFC functional  
 12 connectivity compared to healthy subjects while  
 13 viewing happy faces (83).

14 Our group, using a well-validated emotional  
 15 faces paradigm in previously published groups of  
 16 manic (63) and depressed (82) BP subjects, per-  
 17 formed direct comparisons of these manic and  
 18 depressed groups, as well as a direct comparison to  
 19 bipolar euthymic subjects. Prior published work  
 20 showed significant amygdala hyperactivation in  
 21 manic compared to healthy subjects. Manic sub-  
 22 jects ( $n = 9$ ) also showed significant amygdala  
 23 hyperactivation compared to both bipolar de-  
 24 pressed ( $n = 11$ ) and bipolar euthymic ( $n = 9$ )  
 25 subjects (Fig. 1). Unfortunately, there were too few  
 26 subjects in the same mood state to provide any  
 27 meaningful analysis, but, clearly, future longitu-  
 28 dinal studies will provide valuable information in the  
 29 state versus trait issues that are raised in BP  
 30 neuroimaging studies.

### 31 Limitations

32 Some of the limitations of the bipolar neuroimag-  
 33 ing literature are general to neuroimaging, while  
 34 others are specific to this patient population.  
 35 Problems with neuroimaging studies, particularly  
 36 with psychiatric populations, include the use of  
 37 relatively small sample sizes that may have under-  
 38 powered the studies. Also, neuroimaging is a  
 39 relatively new science and uses techniques not yet  
 40 perfected, leading to interpretations of data that  
 41 are not yet fully understood (102). As such, careful  
 42 attention to the design of studies, with the limita-  
 43 tion of each specific neuroimaging method in mind  
 44 (i.e., PET studies have poor spatial resolution,  
 45 while fMRI studies have poor temporal resolution)  
 46 is required. For example, the use of global signal  
 47 scaling in many studies has been called into  
 48 question, since use of such a statistical method  
 49 may greatly distort findings in structures related to  
 50 emotional processing. This distortion may contrib-  
 51 ute to conflicting findings (103). In addition, the  
 52 amygdala and vIPFC are regions sensitive to signal  
 53 loss and image distortions from susceptibility near  
 54 the air/tissue borders (104). As such, it is impor-  
 55 tant to recognize that the regions most implicated  
 56 in BP are also the same regions most challenging to  
 image using fMRI. The use of sequences optimized  
 to minimize such artifacts, such as using a short  
 echo time, are essential in emotion studies.



54  
55  
56

Fig. 1. Manic bipolar disorder (BP) subjects ( $n = 9$ ) show significant amygdala hyperactivation compared to (A) bipolar depressed subjects ( $n = 11$ ) ( $x = -24$ ,  $y = -8$ ,  $z = -14$ ) and (B) euthymic BP subjects ( $n = 9$ ) ( $x = -18$ ,  $y = -6$ ,  $z = -16$ ) during an emotional face matching task.

1 Other limitations are present that are inherent in  
2 working with this population. The first and  
3 perhaps most pertinent is the effect of medication  
4 on both the neuroimaging data and the interpretation  
5 of findings. Virtually all studies using BP  
6 populations include patients on a variety of  
7 psychotropic medications, which limits the ability  
8 to compare across groups. Studies that try to avoid  
9 this complication by using unmedicated populations  
10 (98) also limit the ability to generalize their  
11 findings, since these unmedicated patients may be  
12 an unrepresentative subset of BP patients. The  
13 ethics of stopping or delaying medication for  
14 research make it difficult to design a controlled,  
15 double-blind study that could provide more definitive  
16 results. Also, certain medications may affect  
17 blood flow, which is indirectly measured in fMRI  
18 studies (105), may affect activation in select regions  
19 (7), and can change gray matter volume in BP  
20 patients (106). Thus, medication issues must be  
21 considered when reviewing, interpreting, and generalizing  
22 findings from studies. However, it is possible that  
23 future studies could recruit patients as soon as they  
24 present with acute mood systems and carefully monitor  
25 the effects of medication on both mood state and  
26 neural functioning.

27 The emotion dysregulation seen in both depression  
28 and mania suggests possible dysfunction of neural  
29 networks involved in emotion regulation and network  
30 interconnectivity. Connectivity among neural networks  
31 involved in emotion regulation remains understudied  
32 in BP, despite the fact that emotion dysregulation is  
33 a defining criterion for the disorder. Future studies  
34 that incorporate functional and structural connectivity  
35 will help to deepen our understanding of the  
36 underlying pathophysiology in BP.

37 Another problem, not specific to neuroimaging,  
38 is the use of heterogeneous populations by including  
39 multiple mood states in a single study without  
40 accounting for state differences (70, 107–109) or  
41 failing to report the mood state (96). A similar  
42 confound arises from combining BP-I/BP-II  
43 patients (110). In addition, many studies either fail  
44 to report the presence/absence of other psychiatric  
45 comorbidities, including substance abuse and  
46 attention-deficit hyperactivity disorder (ADHD),  
47 or fail to take these comorbidities into account  
48 in the analysis. BP subjects may also be heterogeneous  
49 with regard to illness duration, number of prior  
50 acute mood episodes, and past history of psychosis.  
51 These potential confounds make it difficult to  
52 differentiate trait differences from medication and  
53 illness effects on fMRI activation patterns. Nevertheless,  
54 neuroimaging provides a useful and powerful tool to  
55 extend the existing

psychological literature and is essential for linking  
underlying anatomical and functional changes to  
those seen behaviorally.

## Conclusions

Despite the heterogeneity of findings, most studies  
converge with regard to the amygdala and vLPFC.  
In subjects with BP, the amygdala shows *hyperactivation*  
in mania, *variable activation* during depression,  
and *normal activation* during euthymia when  
compared to healthy subjects. The other regions  
that show state-dependent findings include the  
ACC, which shows reduced activation during  
mania (64, 68, 72), and the striatum, which shows  
increased activation during euthymia (87, 88, 92,  
99, 101). These regions are involved in the emotion  
salience network and the response inhibition network,  
respectively, and have been implicated in BP.

The trait-related characteristic seen that endures  
across mood states is hypoactivation of the ventral  
PFC. Reduced vLPFC activation has been reported  
in the literature in manic, depressive, and euthymic  
mood states (111–113). The vLPFC may therefore  
participate in aspects of emotional processing and  
expression that are not exclusively related to a  
specific valence of emotion. Lack of normal  
functioning in this region might result in dysregulation  
of mood to either pole, and dysfunction of the  
vLPFC–amygdala circuit may represent a trait-  
dependent phenomenon of BP. Lesion studies  
support the role of the vLPFC in emotion regulation,  
as impairment is associated with manic and depressive  
symptoms (111, 112). Decreased vLPFC thus  
may be a trait-related characteristic that endures  
across mood states in BP (114) and may participate  
in the modulation of emotion not exclusively related  
to a specific valence of emotion. Both structural and  
functional neuroimaging studies demonstrate  
abnormalities in frontolimbic circuits in both the  
manic and depressive symptoms seen in BP (6, 7).

The vLPFC hypoactivation in subjects with BP  
has been reported not only in emotion regulation  
tasks, but also in other tasks requiring cognitive  
control, including response inhibition studies in  
mania during both emotion (71) and neutral  
contexts (115). Similar hypoactivation of the  
vLPFC is seen during bipolar depression (57) and  
euthymia (57, 91, 116, 117). Chronic vLPFC  
hypoactivation and abnormal modulatory control of  
limbic structures may help to explain the continued  
mood instability and reactivity that BP patients  
exhibit even in the absence of an acute episode (78,  
79). It is possible that dysfunction of the frontal-  
limbic circuit increases the vulnerability of BP  
subjects to lapse into mood episodes, as numerous

studies have shown stressful life events predict relapse into acute mood states in BP (see 117 for a review). This vLPFC hypoactivation may represent a state-independent neural marker of BP, responsible for a range of cognitive and emotional differences in BP subjects compared to their healthy counterparts. The vLPFC may act as a brake on the experience of extreme emotion in mania and as an accelerator in depression. Hypoactivation of this region in BP may leave this region unable to regulate limbic structures when it is needed. vLPFC dysfunction may result in a dysregulation of emotional reactions and the susceptibility of BP subjects to lapse into mania or depression.

#### Future aims

As previously noted, few existing studies involve medication-free subjects. Thus, the issue of medication is a confound in almost all of the existing literature, and future studies are needed to clarify specifically the effects of medication on the various neurocognitive domains measured. Questions also remain as to what extent cognitive dysfunctions are present before illness onset. Longitudinal studies following at-risk subjects might not only help to answer these questions, but also address whether cognitive impairments are stable or progressive, and help us to develop insight into the process underlying the mechanism for switches into acute mood states. Traditional fMRI methods combined with more nascent technologies, such as resting-state neuroimaging, will continue to shed light on trait deficits in BP and help us to define the functional neuroanatomical underpinnings of this complex condition.

#### Disclosures

LLA has received past funding from Forest Laboratories (advisory board honoraria, March 2008), Sepracor (advisory board honoraria, January 2010), and Eli Lilly & Co. (consultant, September 2010). JT does not have any commercial associations that might pose a conflict of interest in connection with this manuscript.

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