

## Association between polymorphisms in NOS3 and KCNH2 and social memory

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*Submitted to Journal:*  
Frontiers in Neuroscience

*Specialty Section:*  
Neurogenomics

*ISSN:*  
1662-453X

*Article type:*  
Original Research Article

*Received on:*  
23 Jul 2015

*Accepted on:*  
06 Oct 2015

*Provisional PDF published on:*  
06 Oct 2015

*Frontiers website link:*  
[www.frontiersin.org](http://www.frontiersin.org)

*Citation:*  
Henningsson S, Zettergren A, Hovey D, Jonsson L, Svärd J, Cortes DS, Melke J, Ebner NC, Laukka P, Fischer H and Westberg L (2015) Association between polymorphisms in NOS3 and KCNH2 and social memory. *Front. Neurosci.* 9:393. doi:10.3389/fnins.2015.00393

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Provisional



1 **Abstract**

2

3 Social memory, including the ability to recognize faces and voices, is essential for  
4 social relationships. It has a large heritable component, but the knowledge about the  
5 contributing genes is sparse. The genetic variation underlying inter-individual  
6 differences in social memory was investigated in an exploratory sample ( $n=55$ ),  
7 genotyped with a chip comprising approximately 200,000 single nucleotide  
8 polymorphisms (SNPs), and in a validation sample ( $n=582$ ), where 30 SNPs were  
9 targeted. In the exploratory study face identity recognition was measured. The  
10 validation study also measured vocal sound recognition, as well as recognition of  
11 faces and vocal sounds combined (multimodal condition). In the exploratory study,  
12 the 30 SNPs that were associated with face recognition at  $p_{uncorrected} < 0.001$  and located  
13 in genes, were chosen for further study. In the validation study two of the SNPs  
14 showed significant associations with recognition of faces, vocal sounds, and  
15 multimodal stimuli: rs1800779 in the gene encoding nitric oxide synthase 3 (*NOS3*)  
16 and rs3807370 in the gene encoding the voltage-gated channel, subfamily H, member  
17 2 (*KCNH2*), in strong linkage disequilibrium with each other. The uncommon alleles  
18 were associated with superior performance, and the effects were present for men only  
19 ( $p < 0.0002$ ). The exploratory study also showed a weaker but significant association  
20 with (non-emotional) word recognition, an effect that was independent of the effect  
21 on face recognition. This study demonstrates evidence for an association between  
22 *NOS3* and *KCNH2* SNPs and social memory.

23

Provisional

## 1 Introduction

2  
3 Social memory refers to the ability to recognize the identity of previously encountered  
4 individuals, an ability essential for successful social interactions. While in rodents this  
5 skill is based on olfactory and pheromonal signals, in humans it is based mainly on  
6 the identification of faces and voices (Belin et al., 2011).

7  
8 Face recognition ability, the most investigated facet of social memory in humans,  
9 varies considerably in the population (Kennerknecht et al., 2006; Russell et al., 2009)  
10 and has been reported to be highly heritable (Wilmer et al., 2010), indicating that  
11 some of the inter-individual variation in face recognition ability can be explained by  
12 genetic factors. For prosopagnosia – characterized by serious impairments in face  
13 recognition (Hecaen and Angelergues, 1962; Benton and Van Allen, 1972) – an  
14 autosomal dominant inheritance has even been suggested (Kennerknecht et al., 2006).

15  
16 To date, the knowledge about which molecules are involved in social memory is  
17 sparse. Sex differences with regard to the ability to recognize faces and the  
18 mechanism for processing faces (Fischer et al., 2007) have provided some evidence  
19 for involvement of molecules related to sex differentiation. So far, rodent studies have  
20 put forward oxytocin (Ferguson et al., 2000, 2001), vasopressin (Le Moal et al.,  
21 1987), estrogen (Choleris et al., 2003) and nitric oxide (Mutlu et al., 2011) as crucial  
22 players for social memory, suggesting related genes to be candidates in humans  
23 (Skuse et al., 2014).

24  
25 Domain-specificity has been suggested for recognition of faces, as compared to object  
26 recognition (Rezlescu et al., 2014; Weigelt et al., 2014). In line with this, face  
27 memory deficits have been shown often to be associated with a tendency to process  
28 faces as if they were any other object (Arkush et al., 2013; Langdell, 1978; Spezio et  
29 al., 2007; Harms et al., 2010; Rutherford et al., 2007; Adolphs et al., 2008; Boucher  
30 and Lewis, 1992; McPartland et al., 2011).

31  
32 With the aim to identify common genetic variation that influences the mechanism of,  
33 and explain the differences in, social memory, we investigated two independent  
34 samples of healthy men and women. A first exploratory study ( $n=55$ ) measured  
35 performance in recognition of neutrally expressive faces. In this first study, we also  
36 had access to performance on a task measuring word memory, thus enabling analyses  
37 of whether associations with memory deficits were face-specific or rather due to an  
38 effect on general memory. A second, validation study ( $n=582$ ) measured performance  
39 in recognition of faces displaying both neutral and emotional expressions, as well as  
40 recognition of neutral and emotional vocal sounds and recognition in a multimodal  
41 condition where participants saw faces and heard the corresponding sounds  
42 simultaneously. Of the approximately 200.000 single nucleotide polymorphisms  
43 (SNPs) genotyped with a chip (the MetaboChip) in the exploratory study, 30 were  
44 filtered out as associated ( $p<0.001$ ) with the ability to recognize faces, and were  
45 subsequently targeted in the validation study.

## 46 Material and Methods

### 47 *Participants*

48  
49 *Exploratory study.* Social memory performance and genetic data were available for a  
50

1 total of 55 participants, 29 women and 26 men (25 younger: 20-31 years, mean±sd:  
2 25.1±3.4; 12 females; 30 older: 65-74 years, mean±sd: 68.2±2.5; 17 females) (Ebner  
3 et al., 2012). Word memory data was available for 56 participants (29 women, 27  
4 men). All participants were right-handed, native Swedish speakers, had normal or  
5 corrected-to-normal vision, had no contraindications to magnetic resonance imaging  
6 (MRI), had no history of stroke, heart disease or primary degenerative neurological  
7 disorder, no past or present neuropsychiatric diseases, diabetes or neurological  
8 disorders and were free from blood-thinning medication, as assessed by self-reported  
9 medical history. For older adults, a radiologist screened a T1-weighted and a T2-  
10 weighted image and ruled out abnormal levels of atrophy or lesions. All participants  
11 provided written informed consent in accordance with the Declaration of Helsinki.  
12 The study was approved by the regional ethical review board of Stockholm. All  
13 participants were Caucasian, as indicated by self-report.

14  
15 *Validation study.* The validation study included 582 participants for whom both  
16 behavioral and genetic data were available, 223 men (age range: 18-36 years,  
17 mean±sd: 23.4±3.3) and 359 women (age range: 18-34 years, mean±sd: 22.9±3.2). All  
18 participants were right-handed, fluent in Swedish, healthy and had no past or present  
19 psychiatric diseases or substance abuse. All participants provided written informed  
20 consent in accordance with the Declaration of Helsinki. The study was approved by  
21 the regional ethical review board of Stockholm. Ethnicity was assessed by asking  
22 which country parents and grand-parents were born in. Eighty-seven percent (181  
23 men, 309 women) of the participants were Caucasian.

#### 24 25 *Genotyping*

26 *Exploratory study.* The participants were genotyped using the Illumina iSelect  
27 MetaboChip. This chip includes nearly 200.000 SNPs selected from the results of  
28 genome-wide meta-analyses of several metabolism- and cardiovascular-relevant traits.  
29 It was designed to capture genetic variation coupled to type 2 diabetes, coronary  
30 artery disease, and myocardial infarction (Voight et al., 2012). Cardiovascular-relevant  
31 genes are often important for general brain function. The MetaboChip thus also covers  
32 genomic regions of interest for memory and face processing, including those involved  
33 in hormonal functions and neurotransmitter metabolism. Moreover, as the chip covers  
34 most of the genome, further polymorphisms relevant for social memory are covered in  
35 an indirect way by being in high linkage disequilibrium (LD) with those on the chip.

36  
37 *Validation study.* DNA was extracted from saliva samples using OraGene DNA self-  
38 collection kit (DNA Genotek, Inc., Ottawa, ON, Canada). The 30 SNPs that showed  
39 an association with face recognition at  $p < 0.001$  in the exploratory study were  
40 genotyped with KASPar®, a competitive allele-specific polymerase chain reaction  
41 SNP genotyping system using FRET quencher cassette oligos  
42 (<http://www.lgcgenomics.com>). The genotyping success rate was >95% and all of the  
43 SNPs were found to be in Hardy Weinberg equilibrium. The association observed for  
44 the *NOS3* polymorphism (see Results) prompted us to genotype two additional SNPs  
45 in this gene, rs2070744 and rs1799983.

#### 46 47 *Tasks in the exploratory study*

48 *Face recognition task.* Face recognition was measured during functional MRI (fMRI)  
49 scanning (see (Ebner et al., 2012) for an fMRI study on this sample). During  
50 incidental encoding (8.4 min), 48 photographs of neutral faces (unique face identities)

1 were presented in pseudo-random order, and interspersed with 24 low-level null  
2 events (black crosses on gray background). Each stimulus was shown for 3.5 s. In  
3 between two faces, a fixation cross appeared on the screen in a jittered fashion (3-4 s).  
4 The pictures were taken from the FACES database (Ebner et al., 2010) and depicted  
5 equal numbers of men and women and younger and older faces. The instruction was  
6 to look at the pictures “as if you watched TV”. After a ten-min retention interval,  
7 during which anatomical images were taken, the two fMRI runs (each 8.4 min) of a  
8 surprise recognition task followed, during which the same 48 faces were presented at  
9 the same rate as at encoding (but in a different, pseudo-randomized order), together  
10 with 48 new distractor faces, randomly interspersed. The task was to indicate whether  
11 a face had been previously seen or not via button press (Gardiner and Richardson-  
12 Klavehn, 2000). D prime ( $d'$ ) was calculated based on participants' responses  
13 (Macmillan and Creelman).

14  
15 *Word recognition task.* Word pair recognition memory was administered using the  
16 category-instance task (Dolan and Fletcher, 1997; Nyberg et al., 2009). Prior to  
17 scanning, participants were presented with pairs of words ( $n=34$ ) consisting of a  
18 category (e.g., “tree”) and an instance of the category (e.g., “pine”). Participants were  
19 instructed to memorize the pairs. Each pair was presented twice and for 2 s. During  
20 fMRI scanning, another list of word pairs was presented (2s/item) with a jittered (1-6  
21 s) inter-stimulus display of a fixation cross. A third of the pairs of this list had been  
22 presented before (old category, old instance;  $n=17$ ), another third were new (new  
23 category, new instance;  $n=17$ ), and the last third were categories that had been seen  
24 before but were paired with new instances (old category, new instance;  $n=17$ ).  
25 Participants again were instructed to memorize the pairs. After a 50 minute retention  
26 interval, during which participants performed other tasks in the scanner, a cued recall  
27 test was administered outside the scanner. During this recall test, participants were  
28 presented with all categories seen during the task and were asked to pair each  
29 category with the instance presented during scanning. Based on these responses, the  
30 sum of correct responses was computed as a measure of word pair recognition  
31 memory.

### 32 *Task in the validation study*

34 *Social memory task.* The social memory task, measuring the recognition of the  
35 identity of faces, vocal sounds and their combination, consisted of an incidental  
36 encoding session and a recognition session. In contrast to the exploratory study, the  
37 validation tasks were not performed in a scanner but measured behaviorally only.  
38 During the encoding session, participants were presented with 24 photographs of  
39 faces, followed by 24 human vocal sounds, followed by 24 multimodal stimuli  
40 (photographs of faces presented together with vocal sounds). The order was  
41 randomized (within the modality) across subjects and no face or voice identity was  
42 presented more than once in each condition. In contrast to the first exploratory study,  
43 the faces and voices expressed anger, disgust, fear, happiness, sadness, or no emotion  
44 (4 stimuli for each condition). The timing for the presentation was self-paced and  
45 participants were asked to indicate with the mouse which emotion was conveyed by  
46 the stimulus in a forced-choice task. The response options were the same as the  
47 expressed emotions. MediaLab software (Jarvis, 2008) was used for stimulus  
48 presentation and recording of responses. The pictures were color photographs from  
49 the FACES database (Ebner et al., 2010) and the sounds were non-linguistic  
50 emotional (e.g., crying, laughter, sighs, screams) from the VENEC database (Laukka

1 et al., 2013). At recognition (6-10 minutes after encoding depending on condition and  
 2 reaction times), the same stimuli, interspersed with the same number of new distractor  
 3 stimuli (24 old, 24 new), were presented. The same identity always expressed the  
 4 same emotion. Participants were asked to indicate (self-paced) whether they  
 5 recognized a stimuli or not, using the remember/know-paradigm (Gardiner and  
 6 Richardson-Klavehn, 2000). Pooling the correct answers (remember and know  
 7 answers) and controlling hit frequency for false alarms (Stanislaw and Todorov, 1999)  
 8 provided four measures of recognition accuracy:  $d'$  faces,  $d'$  vocal,  $d'$  multimodal, and  
 9 the average across presentation modalities,  $d'$  all.

### 10 Procedure

11 In the exploratory study, associations between nearly 200.000 SNPs and face  
 12 recognition were explored. The most promising variants were further analyzed in the  
 13 validation study with regard to a potential association with the  $d'$  all measure of social  
 14 memory. Post-hoc analyses for significant SNPs included the specific measures  
 15  $d'$  faces,  $d'$  vocal and  $d'$  multimodal. To determine whether the association with  
 16 memory was specific for the social dimension, post-hoc analyses also included the  
 17 word pair recognition task performed by the participants in the exploratory study.  
 18

### 19 Statistical analyses

20 Linear regressions using SNP & Variation Suite v7.7 (Golden Helix, Inc., Bozeman,  
 21 MT, www.goldenhelix.com) were used to determine the significance levels of the  
 22 MetaboChip SNPs with MAF>5% . SPSS (IBM Corp., Version 22.0. Armonk, NY)  
 23 was used for further examination of the *NOS3* and *KCNH2* associations in the  
 24 exploratory study and for the 30 SNPs in the validation study. Linear regression  
 25 models (additive model) were used, treating the heterozygote as the intermediate.  
 26 Multiple testing was controlled for using Bonferroni correction. The significance level  
 27 for the validation study was thus set to  $p=0.00083$  ( $0.05/(30 \text{ SNPs} * 2 \text{ sexes})$ ). Linkage  
 28 disequilibrium (LD) between polymorphisms was assessed by Haploview 4.2 (Barrett  
 29 et al., 2005).  
 30

## 31 Results

### 32 Exploration and validation of associations between SNPs and social memory

33 None of the 200.000 SNPs genotyped in the exploratory study displayed an  
 34 association with face recognition memory that survived correction for multiple testing.  
 35 Thirteen SNPs were significant at a threshold of  $p<0.0001$ , 113 at a threshold of  
 36  $p<0.001$  and 1507 at a threshold of  $p<0.01$ . Of the 113 SNPs showing suggestive  
 37 evidence of association at a level of  $p<0.001$ , those that had a minor allele frequency  
 38 (MAF)>5%, were in Hardy Weinberg Equilibrium, were located in genes, and were  
 39 not in high LD ( $r^2>0.8$ ) with SNPs in the same gene, were filtered out. Table 1 shows  
 40 these 30 SNPs, which were also analyzed in the validation study with regard to their  
 41 association with the  $d'$  all measure. Two of the SNPs, the rs1800779 in *NOS3* and the  
 42 rs3807370 in *KCNH2*, in high LD ( $D'>0.9$ ,  $r^2>0.9$ ) with each other, displayed  
 43 associations that survived correction for multiple testing (see information below).  
 44 None of the other 28 SNPs showed associations that survived correction for multiple  
 45 testing.  
 46

### 47 *KCNH2* and *NOS3* SNPs in the exploratory and validation studies



1 In the exploratory study, two of the 30 SNPs showing associations with face  
 2 recognition ( $d'$  faces-n) at  $p < 0.001$ , were rs1800779 in *NOS3* and rs3807370 in  
 3 *KCNH2* (*NOS3*:  $p = 0.0006$ ,  $\beta = 0.45$ ; *KCNH2*:  $p = 0.0009$ ,  $\beta = 0.43$ ): less common  
 4 homozygotes (GG and AA, respectively) showed more accurate face recognition. The  
 5 effects were similar for men and women (*NOS3* men:  $p = 0.01$ ,  $\beta = 0.49$ , women:  
 6  $p = 0.02$ ,  $\beta = 0.42$ , Figure 1A; *KCNH2* men:  $p = 0.02$ ,  $\beta = 0.45$ , women:  $p = 0.02$ ,  
 7  $\beta = 0.42$ ). There was no significant difference in face recognition performance  
 8 between younger and older participants ( $p = 0.09$ ,  $t_{56} = 1.7$ ), nor between men and  
 9 women ( $p = 0.48$ ,  $t_{56} = -0.7$ ).

10  
 11 In the validation study,  $d'$ all was significantly associated with both polymorphisms in  
 12 men (*NOS3*:  $p = 0.0001$ ,  $\beta = 0.25$ , Figure 1C; *KCNH2*:  $p = 0.0002$ ,  $\beta = 0.25$ ) but not  
 13 in women (*NOS3*:  $p = 0.8$ ,  $\beta = 0.014$ , Figure 1C; *KCNH2*:  $p = 0.75$ ,  $\beta = 0.017$ ). These  
 14 results did not change notably when participants of non-Caucasian origin were  
 15 excluded from the analyses (men: *NOS3*:  $p = 0.0001$ ,  $\beta = 0.28$ ; *KCNH2*:  $p = 0.00008$ ,  
 16  $\beta = 0.29$ ; women: *NOS3*:  $p = 0.85$ ,  $\beta = 0.01$ ; *KCNH2*:  $p = 0.76$ ,  $\beta = 0.02$ ). The  
 17 associations survived correction for multiple testing ( $p$ -values  $< 0.00083$ ; see table 1  
 18 for corrected  $p$ -values). Post-hoc tests showed this effect (in males) to be present for  
 19  $d'$ faces (*NOS3*:  $p = 0.002$ ,  $\beta = 0.20$ ; *KCNH2*:  $p = 0.02$ ,  $\beta = 0.16$ ),  $d'$ vocal (*NOS3*:  
 20  $p = 0.008$ ,  $\beta = 0.18$ ; *KCNH2*:  $p = 0.001$ ,  $\beta = 0.22$ ) and  $d'$ multimodal (*NOS3*:  $p = 0.007$ ,  
 21  $\beta = 0.18$ ; *KCNH2*:  $p = 0.02$ ,  $\beta = 0.16$ ).

22  
 23 To further investigate the importance of *NOS3*, two additional SNPs of known  
 24 functional importance were genotyped. The *NOS3* promoter SNP, rs2070744  
 25 (MAF=0.30), showed effects very similar to the rs1800779, i.e. a significant  
 26 association with  $d'$ all in men ( $p = 0.0002$ ,  $\beta = 0.25$ ), but not in women. As for  
 27 rs1800779, post-hoc tests showed associations with  $d'$ faces ( $p = 0.002$ ,  $\beta = 0.21$ ),  
 28  $d'$ vocal ( $p = 0.008$ ,  $\beta = 0.18$ ) and  $d'$ multimodal ( $p = 0.01$ ,  $\beta = 0.16$ ) in men. Carriers  
 29 of the uncommon CC genotype displayed better social recognition. The exon 8  
 30 rs1799983 (MAF=0.27) showed a weak effect on the  $d'$ all measure in men ( $p = 0.04$ ,  
 31  $\beta = 0.14$ ) that did not survive correction for multiple testing. The rs2070744 showed  
 32 high LD with the *KCNH2* SNP and the *NOS3* 1800779 ( $D' > 0.9$ ,  $r^2 > 0.9$ ), whereas the  
 33 LD between rs1799983 and the other three polymorphisms was low ( $D' < 0.5$ ,  $r^2 < 0.2$ ).  
 34 T-tests revealed that there was no significant difference in any of the measures of  
 35 social memory between men and women ( $p$ -values  $> 0.7$ ).

36  
 37 *NOS3* rs1800779 and *KCNH2* rs3807370 polymorphisms were significantly  
 38 associated with word pair recognition, but only for dominant models, i.e. when  
 39 pooling the uncommon genotype with the heterozygote. Carriers of the uncommon  
 40 allele had better memory than carriers of the common homozygous genotype (*NOS3*:  
 41  $p = 0.02$ ,  $t_{54} = 2.4$ , Figure 1B; *KCNH2*:  $p = 0.03$ ,  $t_{54} = 2.3$ ), an effect driven by men (*NOS3*:  
 42  $p = 0.03$ ,  $t_{25} = 2.3$ ; *KCNH2*:  $p = 0.04$ ,  $t_{25} = 2.1$ ).

43  
 44 The two performance measures of face ( $d'$  faces-n) and word pair recognition in the  
 45 exploratory study did not correlate significantly (all:  $p = 0.08$ , Pearson=0.24; men:  
 46  $p = 0.07$ , Pearson=0.37; women:  $p = 0.58$ , Pearson=0.11). To explore whether the  
 47 genetic effects on face and word memory were dependent, word recognition  
 48 performance was added as covariate to the regression models with  $d'$ faces-n as the  
 49 dependent variable. The addition did not change the results, neither for the *NOS3*  
 50 rs1800779 ( $p = 0.0006$ ,  $\beta = 0.45$  alone in the model;  $p = 0.0006$ ,  $\beta = 0.45$  with word

1 memory performance in the model), nor for the *KCNH2* polymorphism ( $p=0.0009$ ,  
2  $\beta=0.43$  alone in the model,  $p=0.0008$ ,  $\beta=0.44$  with word memory performance  
3 in the model). Also, word pair recognition memory was not a significant predictor in  
4 the models.

## 7 Discussion

9 Advances in the field have proven that the heritability of complex traits such as social  
10 memory is explained by a large number of common genetic variants, all contributing  
11 with very small effects. The attempt to detect new variants and genes using genome-  
12 wide approaches requires very large samples to reach the genome-wide corrected  
13 significance level. We therefore investigated the possibility to validate the most  
14 promising associations between SNPs and behavior from an exploratory study of  
15 many SNPs in a small sample - not surviving correction for multiple testing - in a  
16 larger independent sample. By using this strategy we identified the *NOS3* rs1800779  
17 and *KCNH2* rs380730 polymorphisms, in high LD with each other, as intriguing  
18 contributors to the inter-individual variation in social memory. The associations  
19 survived correction for multiple testing in the considerably larger validation sample.  
20 Furthermore, in the exploratory sample the polymorphisms associated with face  
21 recognition independently of sex, while, in the validation study they were associated  
22 with recognition of identity through faces as well as vocal sounds in men only. The  
23 smaller exploratory study provided evidence for a weaker association also with word  
24 pair memory, an effect that was independent of the effect of social memory.

26 *NOS3* is situated just downstream of *KCNH2* on chromosome 7q36. The two genes,  
27 both expressed in the brain (Judas et al., 1999; Huffaker et al., 2009), encode nitric  
28 oxide synthase 3, i.e., endothelial NOS, and the potassium voltage-gated channel,  
29 subfamily H, member 2, respectively. Endothelial NOS is responsible for the  
30 production of nitric oxide (NO) from L-arginine in the endothelium. NO is  
31 vasodilatory, and regulates cerebral blood flow (Ignarro, 1989; Quyyumi et al., 1995).  
32 It triggers multiple signal transduction pathways and influences synaptic function  
33 including transmitter release (Sagi et al., 2014). NO and NOS3-mediated NO  
34 signaling is known to be involved in hippocampal long-term potentiation (LTP)  
35 (Schuman and Madison, 1991; Dinerman et al., 1994; O'Dell et al., 1994) and has  
36 therefore been investigated in relation to learning and memory. Evidence for an  
37 involvement of NOS in social memory has been provided by rodent studies showing  
38 that NOS inhibitors impair olfactory memory in a social recognition test (Böhme et  
39 al., 1993; Mutlu et al., 2011), as well as in non-social memory (Böhme et al., 1993),  
40 an effect that required inhibition of both endothelial and neuronal NOS (NOS1)  
41 (Mutlu et al., 2011). The current finding thus provides intriguing evidence of a  
42 conserved role for NO in modulating social cognition in humans, comparable to the  
43 conserved role shown for oxytocin (Skuse et al., 2014).

45 Although the uncommon allele of the *NOS3* promoter SNP rs1800779 has been  
46 associated with lower peripheral levels of *NOS3* mRNA and protein (Aminuddin et  
47 al., 2013), its function is, as of yet, not established. However, the other two *NOS3*  
48 polymorphisms genotyped in the validation study, i.e. the rs2070744 and rs1799983,  
49 are known to be functional, the promoter polymorphism rs2070744 (-786T/C)  
50 affecting the expression of the gene (Kittel-Schneider et al., 2014; Nakayama et al.,

1 2000), and the exon 8 rs1799983 (894G/T) implicating an amino acid substitution,  
2 Glu298Asp, that causes a truncation of the protein (Tesauro et al., 1999). In the  
3 present study the effect for rs2070744 was equally strong as the effect for rs1800779.  
4 Therefore, it is plausible that this SNP, in high LD with the rs1800779, is responsible  
5 for the observed association. Functional studies have consistently shown the  
6 uncommon C-allele of rs2070744, here associated with superior social recognition, to  
7 be associated with less gene expression. It has been shown to reduce promoter activity  
8 (Nakayama et al., 2000), to be associated with lower mRNA (Kittel-Schneider et al.,  
9 2014; Venturelli et al., 2005) and NO metabolite levels in blood (Kittel-Schneider et  
10 al., 2014), and with a lack of shear stress-induced *NOS3* expression (Cattaruzza et al.,  
11 2004). In line with our finding of enhanced social memory in carriers of the allele  
12 related to reduced *NOS3* and thus NO production, elevated NO levels in blood have  
13 been negatively correlated with the performance on memory tests in humans  
14 (Talarowska et al., 2012).

15  
16 To our knowledge, only one previous study has examined the relationship between  
17 *NOS3* and memory in humans (Solé-Padullés et al., 2004). This previous study  
18 comprised participants with mild cognitive impairment. They showed that carriers of  
19 the uncommon Asp-allele of rs1799983, resulting in a truncation of the protein, had  
20 *lower* memory performance, which is consistent with the relationship between NOS  
21 inhibition and impaired memory in rodents. In contrast, in our sample, the Asp-allele  
22 was weakly (not surviving correction for multiple testing) associated with *enhanced*  
23 social memory.

24  
25 The promoter polymorphisms in *NOS3* are also in high LD with the intron 2  
26 polymorphism in the neighbouring gene *KCNH2*, which thus may be responsible for  
27 the associations reported. The rs380730 is located between two polymorphisms  
28 (rs3800779 and rs1036145) that have been associated with the expression of a  
29 truncated and brain-specific isoform of *KCNH2*, i.e. the ratio of *KCNH2-3.1:KCNH2-*  
30 *1A* (Huffaker et al., 2009). A higher degree of *KCNH2-3.1* expression has been  
31 observed in patients with schizophrenia (Huffaker et al., 2009) and the rs3800779  
32 SNP has been associated with schizophrenia, as well as with low IQ and working  
33 memory performance (Huffaker et al., 2009). A study of 191 Japanese individuals  
34 (Hashimoto et al., 2013) likewise showed an association between rs3800779 and  
35 working memory, as well as attention, but not with either verbal or visual memory (as  
36 assessed by the Rey Auditory Verbal Learning test and the Wechsler Memory Scale-  
37 Revised), nor with social cognition as measured by the Facial Emotion Labeling Test  
38 (Hashimoto et al., 2013).

39  
40 As mentioned in the introduction, face recognition memory involves a face-specific  
41 mechanism (Rezlescu et al., 2014; Weigelt et al., 2014). The potential influence of  
42 variation in *NOS3* or *KCNH2* on social memory appears, however, not to be specific  
43 to this dimension, but also to include word pair recognition memory, as suggested by  
44 the weaker, but significant association in the exploratory study. Recent studies show  
45 overlaps in development and function of face and word recognition (Dundas et al.,  
46 2013; Bukowski et al., 2013), such that those with difficulties in recognizing faces  
47 also show deficits for words and vice versa (Behrmann and Plaut, 2014). Face and  
48 word recognition memory did however not correlate in the exploratory sample, and  
49 the genetic effects on face recognition were independent of word recognition memory,  
50 as the regression coefficient did not change when the latter was included in the model.

1  
2 Except for visual category, differences in the strength of the association for words and  
3 faces in the exploratory study could be due to other dissimilarities between these two  
4 tasks. Firstly, the words were not new to the participants in the same way as the faces  
5 were, because words are already represented in long-term memory from previous  
6 exposures. This is a point that other studies have solved by using famous faces (Nie et  
7 al., 2014). Second, the word pair recognition, but not the face recognition, test  
8 involved the component process of matching the two parts of the pair, the category  
9 and the instance.

10  
11 In the exploratory study, the association between the *NOS3* and *KCNH2*  
12 polymorphisms and face recognition held in both men and women, whereas the larger  
13 validation study only revealed an effect in men. Differences in the characteristics of  
14 the social memory tasks used in the two studies may possibly explain this  
15 discrepancy. The exploratory study included the recognition of the identity of faces of  
16 neutral face expressions, whereas the validation study included faces of several  
17 different emotional expressions. However, a previous study of face recognition in  
18 children showed that emotional expressions did not influence the recognition of face  
19 identity (Krebs et al., 2011). A possible mechanism explaining the sex differences is  
20 suggested by the finding that estrogen induces NO production via NOS activation in  
21 endothelial cells (Nevzati et al., 2015), and that *NOS3* polymorphisms hence may  
22 influence the degree of estrogen-induced production differently in men and women.  
23 Although there was no significant difference in face recognition performance between  
24 men and women in either of the present samples, sex differences have been repeatedly  
25 reported for both face recognition and its underlying neural mechanisms (Fischer et  
26 al., 2007).

27  
28 In conclusion, by using one exploratory sample to isolate a number of promising  
29 polymorphisms and then examining them in a targeted manner in a validation sample,  
30 we have demonstrated an association between polymorphisms in the *KCNH2* and  
31 *NOS3* genes and social memory.

32  
33  
34

1 **Conflicts of interest**

2  
3 The authors declare that the research was conducted in the absence of any commercial  
4 or financial relationships that could be construed as a potential conflict of interest.

5  
6 **Author contribution**

7  
8 Study concept and design: SH, DH, LW. Acquisition, analysis, or interpretation of  
9 data: All authors. Drafting of the manuscript: SH. Critical revision of the manuscript  
10 for important intellectual content: All authors. Final approval of the version to be  
11 published: All authors. Agreement to be accountable for all aspects of the work in  
12 ensuring that questions related to the accuracy or integrity of any part of the work are  
13 appropriately investigated and resolved: All authors.

14  
15 **Acknowledgements**

16  
17 We thank Christina Lindberg for data collection to the validation study. Funding for  
18 the study was provided by grants from the Swedish Research Council (PL; HF; LW).  
19 The funding bodies had no role in the design and conduct of the study, preparation,  
20 review, or approval of the manuscript, and decision to submit the manuscript for  
21 publication.

22  
23  
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38

39

1 **Table 1. Promising SNPs ( $p < 0.001$ ) identified in the exploratory study and**  
 2 **selected for further analyses in the validation study**  
 3

Gene	Polymorphism	MAF Exploratory	MAF Validation	Corr p-value Validation M/F
B7H6	rs61880293	0.07	0.09	ns/ns
BEAN1	rs893198	0.27	0.30	ns/ns
BRE	rs12468596	0.29	0.23	ns/ns
C10orf90	rs11245011	0.19	0.13	ns/ns
DEF8	rs17784583	0.19	0.21	ns/ns
DGKB	rs11767076	0.21	0.29	ns/ns
FBXL17	rs11242664	0.18	0.15	ns/ns
FGF5	rs982804	0.48	0.50	ns/ns
GMDS	rs2569842	0.28	0.28	ns/ns
IGSF11	rs251457	0.39	0.38	ns/ns
KCNH2	rs3807370	0.37	0.29	0.012/ns
LOC344595	rs1283101	0.32	0.41	ns/ns
LPCAT1	rs11133792	0.31	0.23	ns/ns
LPL	rs264	0.14	0.15	ns/ns
MRPL17	rs1567135	0.37	0.46	ns/ns
NOS3	rs1800779	0.38	0.30	0.006/ns
PCSK5	rs6560494	0.42	0.45	ns/ns
PPM1B	rs2054005	0.20	0.23	ns/ns
PRICKLE1	rs1452106	0.44	0.40	ns/ns
PTPRG	rs9856420	0.25	0.24	ns/ns
PVRL2	rs1871047	0.34	0.38	ns/ns
SEPT2	rs12694997	0.30	0.19	ns/ns
SLC22A16	rs2494553	0.07	0.04	ns/ns
SLC26A7	rs10099092	0.08	0.08	ns/ns
SPRED2	rs12612780	0.30	0.33	ns/ns
SYN2	rs62240442	0.08	0.05	ns/ns
TTC39B	rs581080	0.20	0.21	ns/ns
TTC39B	rs643531	0.14	0.13	ns/ns
WHSC1	rs474235	0.14	0.18	ns/ns
ZC3H12D	rs512685	0.15	0.18	ns/ns

MAF: minor allele frequency; ns: nonsignificant; corr: corrected (uncorrected p-value\*60); M: males; F: females

4

1 **Figure legend**

2

3 **Figure 1. Recognition memory for *NOS3* genotypes.** In the exploratory study, face  
4 (A) and word recognition (B) was superior in carriers of the G-allele of the *NOS3*  
5 rs1800779 (M:  $n_{AA}=9$ ,  $n_{AG}=14$ ,  $n_{GG}=3$  for faces and  $n_{AA}=9$ ,  $n_{AG}=15$ ,  $n_{GG}=3$  for words.  
6 F:  $n_{AA}=11$ ,  $n_{AG}=15$ ,  $n_{GG}=3$  for faces and  $n_{AA}=10$ ,  $n_{AG}=15$ ,  $n_{GG}=4$  for words). The  
7 results were similar for the *KCNH2* polymorphism. In the validation study, social  
8 recognition memory (C) was superior in male carriers of the G-allele of the *NOS3*  
9 rs1800779 (M:  $n_{AA}=126$ ,  $n_{AG}=84$ ,  $n_{GG}=14$ . F:  $n_{AA}=166$ ,  $n_{AG}=149$ ,  $n_{GG}=44$ ). The  
10 results were similar for the *KCNH2* polymorphism and the *NOS3* rs2070744  
11 polymorphism. The figure displays mean $\pm$ sd. M = Male, F = Female. See the Results  
12 section for *p*-values.

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Provisional

Figure 1.TIF

