# The tilt of the velocity ellipsoid in the Milky Way disk

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#### ABSTRACT

Accurate determination of the local dark matter density is important for understanding the nature and distribution of dark matter in the universe. This requires that the local velocity distribution is characterised correctly. Here, we present a kinematic study of 16,276 SDSS/SEGUE G-type dwarf stars in the solar neighbourhood, with which we determine the shape of the velocity ellipsoid in the meridional plane. We separate our G-dwarf stars based on their [Fe/H] and [ $\alpha$ /Fe] abundances and estimate the best-fitting Milky Way model independently for each sub-sample using a maximum-likelihood method that accounts for possible contaminants.

We show that the different subpopulations yield consistent results only when we allow the velocity ellipsoid in the disk to be tilted, demonstrating that the common assumption of decoupled radial and vertical motions in the disk is incorrect. Further, we the find that the tilt angle  $\alpha$  of the velocity ellipsoid increases with height |z| from  $5 \pm 2^{\circ}$  at 0.5 kpc to  $14 \pm 3^{\circ}$  at 2.0 kpc, consistent with pointing toward the Galactic centre at an angle  $\tan(\alpha) \simeq |z|/R$ . We also confirm earlier findings that the subpopulations behave almost isothermally both radially and vertically, about 39 (20) km s<sup>-1</sup> for the chemically-young, metal-rich disk stars to about  $60 \text{ km s}^{-1}$  (48 km s<sup>-1</sup>) for the chemically-old, metal-poor disk stars.

We conclude that the coupling between radial and vertical motion captured in the velocity ellipsoid tilt cannot be ignored when considering dynamical models of the solar neighbourhood. In a subsequent paper, we will develop a new modelling scheme informed by these results and make an improved determination of the local dark matter density.

**Key words:** galaxies: velocity dispersion – galaxies: dark matter – galaxies: kinematics and dynamics – galaxies: velocity ellipsoid

### 1 INTRODUCTION

The concordance cosmological model is based on collisionless dark matter particles, of yet unknown nature, which cannot be detected directly, but which interact through gravity. Various direct detection experiments aim to uncover the nature of these particles, in particular their mass, but, since the signal will depend strongly on their distribution in the Solar neighbourhood, the local dark matter density needs to be measured independently and accurately (e.g. Peter 2011). Such a local measurement is also essential to constrain the overall dark matter distribution in the Milky Way as good measurements of the Galactic rotation curve exist but these do not allow the separation of luminous and dark matter due to the so-called disk-halo degeneracy (e.g. Dutton et al. 2011).

The traditional approach adopted to measure the local dark matter density is through the vertical force, i.e., the derivative of the gravitational potential away from the Galactic disk plane, inferred from a population of stars with observed vertical number density profile and vertical velocity dispersion profile (e.g. Kuijken & Gilmore 1989). Recent surveys such as the Sloan Extension for Galactic Understanding and Exploration (*SEGUE*; Yanny et al. 2009) make it possible to extract robust vertical density and dispersion profiles even for chemically different subpopulations, providing independent tracers of the same gravitational potential. However, even with many thousands of stars the uncertainties on the dark matter density are still substantial and systematic differences between studies remain even if similar data sets are being used (e.g. Zhang et al. 2013).

Most investigations of the local dark matter density to date have used the vertical Jeans equation, which relates the gravitational potential directly to observable vertical profiles without having to specify the phase-space distribution function of the tracers. Unfortunately, the inference of the vertical profiles is often based on taking statistical moments of discrete data within a certain bin, which not only implies loss of information, but is also very sensitive to interlopers. Moreover, the motions of stars in the vertical and radial directions are typically coupled, however often a simple approximation is adopted or the coupling is neglected altogether.

This radial-vertical coupling is reflected in the tilt of the velocity dispersion ellipsoid with respect to the Galactic mid-plane.

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In turn, this tilt is related to the shape of the gravitational potential, but also depends on the phase-space distribution function. Only in the case of a Stäckel potential can the shape of the gravitational be directly constrained from the tilt of the velocity ellipsoid (e.g. Binney & McMillan 2011). Even so, aside from measuring the local dark matter density, the velocity ellipsoid is also important for constraining dynamical heating processes (e.g. Fuchs & Wielen 1987), including those that might have led to the thickened Milky Way disk (e.g. Liu & van de Ven 2012; Bovy et al. 2012a). The velocity ellipsoid also enters directly into the asymmetric drift correction of the azimuthal to circular velocity (Dehnen & Binney 1998). Finally, deviations from axisymmetry due to, for example, spiral structure are encoded in the velocity ellipsoid components (Binney & Tremaine 2008).

Previous measurements of the local velocity ellipsoid, and in particular its tilt, have been either over a broad range in height (e.g. Siebert et al. 2008; Carollo et al. 2010; Casetti-Dinescu et al. 2011) and/or with very large error bars (e.g. Smith et al. 2012). These limitations are partly driven by the limited availability of large samples of stars with reliable photometric and kinematic measurements. For this study, we use a large and well-characterized sample of *SEGUE* G-type dwarf stars. The method used to extract the velocity moments also plays an important role, so we introduce a discrete likelihood method that explicitly accounts for interlopers and uses a Bayesian inference of the velocity moments.

We describe the G-dwarf sample and kinematic extraction method in Section 2 and construct vertical Jeans models for chemically different subpopulations in Section 3. Even though they are tracers of the same gravitational potential, the inferred value of local dark matter density varies substantially, which we believe mainly to be a consequence of the invalid assumption of decoupled vertical and radial motion. In Section 4, we indeed confirm that the tilt of the velocity ellipsoid for each subpopulation is non-zero and similarly pointing toward the Galactic center. In Section 5, we discuss how this strongly-improved measurement of the velocity tilt provides important constraints on dynamical models of the Milky Way disk. In the Appendix A, we show that our measurements in the meridional (R, z)-plane under the assumption of axisymmetry are affected neither by motion in the azimuthal direction nor by a slight non-zero vertical and radial mean velocities.

Throughout we adopt 8 kpc for the Sun's distance to the Galactic center, and 220 km s<sup>-1</sup> for the circular velocity of the local standard of rest (LSR) (Kerr & Lynden-Bell 1986). We adopt for the Sun's peculiar velocity relative to the LSR the common values of (10.00, 5.25, 7.17) km s<sup>-1</sup> in the radial, azimuthal and vertical direction, respectively (Dehnen & Binney 1998).

#### 2 LOCAL STELLAR KINEMATICS

We briefly introduce the sample of G-type dwarf stars and kinematic extraction algorithms we use to probe the dynamics in a local volume of about 1 kpc in radius around the Sun and from about 0.5 to 2.5 kpc away from the mid-plane.

#### 2.1 SEGUE G-type dwarf stars

The data used in this paper are the same as the *SEGUE* G-type dwarf data used in Liu & van de Ven (2012) to which we refer for further details. In brief, of the wide variety of stars covered by *SEGUE* (Yanny et al. 2009), we focus on the G-type dwarf stars as they are abundant and have been targeted for spectroscopy with

minimal selection biases. Among possible stellar tracers of the disk dynamics, G dwarfs are the brightest with main-sequence life-times long enough to validate the assumption of dynamical equilibrium. Moreover, their rich metal-line spectrum enables reliable line-of-sight velocities, metallicities [Fe/H], and abundances [ $\alpha$ /Fe], with typical uncertainties for S/N>15 of 2–5 km s<sup>-1</sup>, 0.2 dex, and 0.1 dex respectively (Lee et al. 2011). We augment our kinematic data with proper motions from the USNO-B survey, which are good to 1–5 mas yr<sup>-1</sup>, while distances based on the photometric colour-metallicity-absolute-magnitude relation of Ivezić et al. (2008) have relative errors of ~ 10%.

The line-of-sight velocities and proper motions of the stars are transformed into the three velocity components along cylindrical coordinates, namely radial velocity  $v_R$ , azimuthal or rotational velocity  $v_{\phi}$ , and vertical velocity  $v_z$ . Taking into account the errors in line-of-sight velocities, proper motions and distances, the resulting uncertainties in the velocity components in cylindrical coordinates are on average  $10 \text{ km s}^{-1}$ . At the furthest distances of  $\sim 3 \text{ kpc}$ , the velocity error can increase to  $40 \text{ km s}^{-1}$ , but no biases are introduced as the velocity error remains smaller than the intrinsic velocity dispersion of the stars.

We focus our analysis on vertical gradients, so that to avoid biases due to radial gradients we concentrate on the Solar cylinder with stars between 7 and 9 kpc from the Galactic center. In the end, the sample then consists of a total of 16,276 stars between 0.5 and 2.5 kpc away from the mid-plane.

#### 2.2 Velocity ellipsoid in the meridional plane

We treat the Milky Way disk as an axisymmetric system in a steady state, so that the potential  $\Phi(R, z)$  and the distribution function do not vary with azimuth  $\phi$  or time. From Jeans (1915), we then know that the distribution function depends only on isolating integrals of the motion: energy  $E = \frac{1}{2} (v_R^2 + v_{\phi}^2 + v_z^2) + \Phi(R, z)$ , angular momentum  $L_z = Rv_{\phi}$ , and a third integral  $I_3$  whose form is not generally known. However, in the absence of resonances,  $I_3$  is invariant under the change  $(v_R, v_z) \rightarrow (-v_R, -v_z)$ , from which it follows that the mean velocity is in the azimuthal direction  $(\overline{v_R} = \overline{v_z} = 0)$  and the velocity ellipsoid is aligned with the rotation direction  $(\overline{v_R v_{\phi}} = \overline{v_{\phi} v_z} = 0)$ .

The remaining second velocity moment  $\overline{v_R v_z}$  then quantifies the coupling between the radial and vertical motions, and, in combination with the radial and vertical velocity dispersion,  $\sigma_R$  and  $\sigma_z$ yields the tilt of the velocity ellipsoid. We extract the latter velocity moments from the observed radial and vertical velocities,  $v_R$  and  $v_z$ , but do not need to consider the observed azimuthal velocities  $v_{\phi}$ , if the Milky Way disk is axisymmetric locally. In Appendix A, we show that excluding or including the azimuthal velocities yields consistent results for  $\sigma_R$ ,  $\sigma_z$  and  $\overline{v_R v_z}$ . Thus, we exclude the azimuthal velocities from the remainder of the current analysis; this is particularly convenient because it is well known that the distribution in  $v_{\phi}$  is non-Gaussian.

The distribution in  $v_R$  and  $v_z$ , on the other hand, is well described by a bi-variate Gaussian. However,  $\overline{v_R}$  and  $\overline{v_z}$  are observed to be mildly non-zero especially closer to the mid-plane (Williams et al. 2013), in line with deviations from axisymmetry due to spiral structures (Faure et al. 2014), Even so, in Appendix A, we show that, at the heights 0.5 < |z|/kpc < 2.5 probed by the G dwarfs, the deviations are so small that they do not affect the inferred second velocity moments. So to decrease the statistical uncertainty on particular  $\overline{v_R v_z}$  and, hence, on the subsequent tilt angle measurement, we set  $\overline{v_R} = \overline{v_z} = 0$  for the remainder of the paper.

The only non-zero velocity moments are, thus, second moments  $\sigma_R$ ,  $\sigma_z$ ,  $\overline{v_R v_z}$ . To determine these velocity moments for a subset of stars (typically selected, in this paper, to have similar heights, metallicities and  $\alpha$ -element abundances), we use a maximum likelihood approach, which we discuss below.

#### 2.3 Extracting velocity moments

Consider a dataset of N stars where the *i*th star has velocity vector  $v_i$  and uncertainty matrix  $\Delta_i$ . Now suppose that the velocity distribution in the disk may be modelled as a multivariate Gaussian *j* of rank *n* with mean  $\mu_j$  and variance  $\Sigma_j$ . We wish to know what is the likelihood that star *i* came from the disk distribution predicted by Gaussian *j*, which can be written as

$$\mathcal{L}_{ij}^{\text{disk}} = \mathcal{L}\left(\boldsymbol{v}_{i} \left|\boldsymbol{\mu}_{j}, \boldsymbol{\Sigma}_{j}, \Delta_{i}\right.\right)$$
$$= \frac{1}{\left(2\pi\right)^{\frac{n}{2}} \left|\boldsymbol{\Sigma}_{j}'\right|^{\frac{1}{2}}} \exp\left(-\frac{1}{2}\left(\boldsymbol{v}_{i} - \boldsymbol{\mu}_{j}\right)^{T} \boldsymbol{\Sigma}_{j}'^{-1}\left(\boldsymbol{v}_{i} - \boldsymbol{\mu}_{j}\right)\right)$$
(1)

where  $\Sigma'_j = \Sigma_j + \Delta_i^2$  results from the convolution of the intrinsic variance of the Gaussian and the observed uncertainties. Here,  $\mu_j$  and  $\Sigma_j$  are unknown parameters that we wish to determine.

Our dataset is also contaminated by Milky Way halo stars, which we assume to have a Gaussian velocity distribution with a mean of zero and variance  $\Sigma_{halo}$ . We also need to consider the likelihood of observing star *i* given the halo population, which we write as

$$\mathcal{L}_{i}^{\text{halo}} = \mathcal{L}\left(\boldsymbol{v}_{i} | \boldsymbol{\Sigma}_{\text{halo}}, \Delta_{i}\right)$$
$$= \frac{1}{\left(2\pi\right)^{\frac{n}{2}} \left|\boldsymbol{\Sigma}_{\text{halo}}'\right|^{\frac{1}{2}}} \exp\left(-\frac{1}{2}\boldsymbol{v}_{i}^{T}\boldsymbol{\Sigma}_{\text{halo}}'^{-1}\boldsymbol{v}_{i}\right).$$
(2)

where  $\Sigma'_{halo} = \Sigma_{halo} + \Delta_i^2$  results from the convolution of the variance of the halo distribution and the observed uncertainties. Schönrich et al. (2011) measured dispersions  $\sigma_{R,halo} = 157 \pm 10 \text{ km s}^{-1}$  and  $\sigma_{z,halo} = 75 \pm 8 \text{ km s}^{-1}$ , where  $\sigma_{R,halo}^2$  and  $\sigma_{z,halo}^2$  are the diagonal elements of  $\Sigma_{halo}$ . We adopt these values for our analysis and assume that the off-diagonal elements are zero.

If we assume that a (small) fraction  $\epsilon_j$  of the stars are halo stars – and so fraction  $(1 - \epsilon_j)$  are disk stars – then the total likelihood of star *i* is given by

$$\mathcal{L}_{ij} = (1 - \epsilon_j) \, \mathcal{L}_{ij}^{\text{disk}} + \epsilon_j \mathcal{L}_i^{\text{halo}} \tag{3}$$

The halo fraction  $\epsilon_j$  will be another free parameter in our models. The total likelihood of model j is the product of the model likelihoods for each star

$$\mathcal{L}_j = \prod_{i=1}^N \mathcal{L}_{ij}.$$
(4)

The best model is that which maximises  $\mathcal{L}_j$ .

In general, our free parameters are  $\mu_j$ ,  $\Sigma_j$  and  $\epsilon_j$ . However, as we discussed in Section 2.2, we can assume that all components of  $\mu_j$  and a number of elements of  $\Sigma_j$  are zero. So, in practice, we have only four free parameters for each model  $j: \sigma_R$ ,  $\sigma_z$ ,  $\overline{v_R v_z}$  and  $\epsilon$ . In order to efficiently sample our parameter space as we search for the best model, we use a Markov Chain Monte Carlo (MCMC) analysis; we use the EMCEE package developed by Foreman-Mackey et al. (2013), which is an implementation of the affine-invariant MCMC ensemble sampler by Goodman & Weare (2010). Our MCMC chains use 100 walkers and run for 600 steps. We consider the first 500 steps as the burn-in phase that finds the region of parameter space where the likelihood is highest. The final 100 steps then constitute the post-burn phase that explores the high-likelihood region.

Fig. 1 illustrates the output from an MCMC run on a typical subset of our kinematic data (around 500 stars). The left-hand panels show the evolution and eventual convergence of the MCMC chain. The coloured points show the values sampled by the walkers at each step with the colours representing the likelihood of the model (red high and blue low). The solid lines show the means of the walker values and the dotted lines show the  $1\sigma$  dispersions of the walker values. All of the parameters converge tightly. The right-hand panels show the post-burn parameter distributions. The scatter plots show the two-dimensional distributions of the parameters, again with points coloured according to their likelihoods (red high and blue low). The ellipses show the  $1\sigma$ ,  $2\sigma$  and  $3\sigma$  regions of the covariance matrix for the post-burn parameter distribution, projected into each 2D plane. The crosses mark the means of the parameter distributions. The histograms show the one-dimensional distributions of the parameters; the solid black lines represent gaussians with the same mean and standard deviation. The histogram panels also give the one-dimensional mean and uncertainty for each of the parameters.

#### **3 VERTICAL JEANS MODEL**

We use dynamical models to link observable quantities (such as stellar number density  $\nu$  and velocity dispersion  $\sigma$ ) with quantities that we wish to know but are not able to measure directly (such as mass density  $\rho$  and potential  $\Phi$ ).

Different stellar populations will have different spatial distributions ( $\nu$ ) and different kinematics ( $\sigma$ ) due to differences in their ages and in their origins. Nevertheless, they feel the same underlying density that gives rise to the same underlying potential. So, in theory, if we use the observed kinematics of a number of populations independently to find the best-fit density distribution in the solar neighbourhood, all populations should return the same answer. However, in practice, we will only obtain consistent results from the different populations if the assumptions we make in the modelling are correct.

Our goal here is to assess the validity of the assumption that the radial and vertical motions of stars in the Milky Way disk are decoupled. As such, we first select two sub-samples of G-dwarf stars based on their [Fe/H] metallicities and  $[\alpha/Fe]$  abundances. Then we model the local mass density independently for the two sub-samples, assuming that the vertical and radial motions are decoupled, and test the agreement of the two best-fit models.

#### 3.1 Gravitational potential

The total mass density in the solar neighbourhood has contributions from both luminous and dark matter. Jurić et al. (2008) calculated photometric parallax distances for ~48 million stars selected from the SDSS to determine the 3-dimensional number density distribution of the Milky Way. Using a sub-sample of nearby M-dwarfs, they found that the solar neighbourhood mass density is best described as two exponential disks: a thin disk with density  $\rho_{\text{thin}}$  and a thick disk with density  $\rho_{\text{thick}}$ , where the fraction of thick disk stars relative to thin disk stars in the plane at the solar radius  $R_{\odot}$ is f = 0.12. The thin disk component has a vertical scale height  $h_{\text{thin}} = 300$  pc and the thick disk component has a vertical scale



**Figure 1.** *Left:* Parameter evolution in a typical MCMC run. The points show the values visited by the walkers at each step and are coloured by likelihood from red (high) to blue (low). The solid lines show the means at each step and the dotted lines show the dispersions. All parameters converge quickly and tightly. *Right:* Post-burn parameter distributions from a typical MCMC run. The scatter plots show the projected two-dimensional distributions of the parameters, with the points coloured by likelihood (red high and blue low). The crosses indicate mean values and the ellipses encompass the  $1-3\sigma$  regions. The histograms show the projected one-dimensional parameter distributions with lines representing gaussians with the same mean and standard deviation. We also give the one-dimensional mean and uncertainty for each of the parameters. We do not see significant correlations between the parameters.

height  $h_{\rm thick} = 900$  pc. We adopt this as the stellar density distribution for our analysis<sup>1</sup>. Dark matter also makes a contribution  $\rho_{\rm dm}$  to the local density distribution; as the radial extent of our data is small and the vertical extent is less than 2 kpc, we can assume that this is constant throughout the region of interest. Thus the total mass density in the solar neighbourhood is given by

$$\rho_{\odot}(z) = \rho(R_{\odot}, z) = \rho_{\text{thin}}(R_{\odot}, z) + \rho_{\text{thick}}(R_{\odot}, z) + \rho_{\text{dm}}$$
(5)

where the thin and thick disk densities are given by

$$\rho_{\rm disk}\left(R_{\odot}, z\right) = \rho_{\rm disk}\left(R_{\odot}, 0\right) \exp\left(-\frac{z}{h_{\rm disk}}\right) \tag{6}$$

and where  $\rho_{\rm disk}$   $(R_{\odot}, 0)$  is the density of the disk component in the plane at the solar radius.

Recalling that we know the local normalisation fraction f of the thick disk relative to the thin disk in the plane

$$f = \frac{\rho_{\text{thick}} \left( R_{\odot}, 0 \right)}{\rho_{\text{thin}} \left( R_{\odot}, 0 \right)},\tag{7}$$

then

$$\rho_{\odot}(z) = \rho_0 \left[ \exp\left(-\frac{z}{h_{\text{thin}}}\right) + f \exp\left(-\frac{z}{h_{\text{thick}}}\right) \right] + \rho_{\text{dm}} \quad (8)$$

where  $\rho_0 = \rho_{\text{thin}} (R_{\odot}, 0)$ .

The potential generated by this density distribution can then be calculated via Poisson's equation

$$\nabla^2 \Phi = 4\pi G \rho_{\odot}. \tag{9}$$

We are not able to measure  $\Phi$  directly. Instead, we use dynamical models to predict the observable quantities generated in a given potential, then we compare the values we actually observe with those we predict. For our present study, we use the Jeans equations to carry out the dynamical modelling.

Under the assumption of axial symmetry, the vertical first moment Jeans equation in cylindrical polars is

$$\frac{1}{R}\frac{\partial}{\partial R}\left(R\,\nu\,\overline{v_Rv_z}\right) + \frac{\partial}{\partial z}\left(\nu\,\sigma_z^2\right) + \nu\frac{\partial\Phi}{\partial z} = 0. \tag{10}$$

If we assume that the velocity ellipsoid is aligned with the cylindrical coordinate system (and hence that radial and vertical motions can be decoupled) then  $\overline{v_R v_z} = 0$ . Our sample is restricted to the solar neighbourhood and we assume that all stars are at the solar radius  $R_{\odot}$ . Hence, the vertical Jeans equation becomes

$$\frac{\mathrm{d}}{\mathrm{d}z}\left(\nu\,\sigma_z^2\right) + \nu\frac{\mathrm{d}\Phi}{\mathrm{d}z} = 0. \tag{11}$$

As we can see, we are actually interested in the first derivative of the potential here, which we calculate from equations 8 and 9 as

$$\frac{\mathrm{d}\Phi}{\mathrm{d}z}(z) = 4\pi G \rho_0 \left\{ h_{\mathrm{thin}} \left[ 1 - \exp\left(-\frac{z}{h_{\mathrm{thin}}}\right) \right] + f h_{\mathrm{thick}} \left[ 1 - \exp\left(-\frac{z}{h_{\mathrm{thick}}}\right) \right] \right\} + 4\pi G \rho_{\mathrm{dm}} z.$$
(12)

Finally, we need the tracer number density  $\nu$  and the vertical velocity dispersion  $\sigma_z$ ; both of which we are able to calculate from observations. Note that different stellar populations may have different number density profiles and different dispersion profiles due to differences in their origins, however they all orbit within the same potential. This point is key to our analysis. By applying these models to multiple stellar populations independently, we can obtain multiple independent estimates for the potential of the system. If the assumptions we have made in the modelling are correct – principally that the radial and vertical motions may be decoupled – and equation 11 is a good representation of reality, then the estimates of the potential should be in good agreement. However, if the potential estimates we recover do not agree, then we can conclude that our assumptions were incorrect.

<sup>&</sup>lt;sup>1</sup> Note, we assume that all of our stars are at the solar radius, so we neglect any radial variations in disk density.



**Figure 2.** *Top:*  $[\alpha/Fe]$  abundances and [Fe/H] metallicities of 16,276 SDSS/*SEGUE* G-dwarf stars, binned in 0.025 dex by 0.0125 dex pixels. The pixel colours represent the number counts, as shown by the colour bar. The selection boxes used to extract the two sub-populations we use in this section are shown as red and blue rectangles.  $\alpha$ -element and iron abundances can be used as a proxy for age; the sub-sample with high  $[\alpha/Fe]$  and low [Fe/H] we call the  $\alpha$ -young population and the sub-sample with low  $[\alpha/Fe]$  and high [Fe/H] we call the  $\alpha$ -old population. *Bottom left:* The selection-function-corrected number density profiles of the  $\alpha$ -old sample (red) and  $\alpha$ -young sample (blue). The solid lines are exponential fits with scale heights  $\zeta_h$  indicated. *Bottom right:* Vertical velocity dispersion as a function of height. The  $\alpha$ -old sample (red) is best fit by a model with negligible dark matter (upper dashed line) and  $\alpha$ -young sample (blue) is best fit by a model including dark matter (lower solid line). To aid visual comparison of the models, the upper solid line (lower dashed line) shows the best-fitting  $\alpha$ -young ( $\alpha$ -old) density model using the  $\alpha$ -old ( $\alpha$ -young) tracer density. As the populations orbit in the same underlying potential, they should make consistent predictions about the local dark matter density. These models assume that the radial and vertical motions can be decoupled; the discrepancy in the fits indicates that this assumption is incorrect.

#### 3.2 Tracer populations

The top panel of Fig. 2 shows the  $[\alpha/Fe]$  abundances and [Fe/H] metallicities of the stars in our sample. The stars have been binned into pixels of 0.025 dex by 0.0125 dex and the pixels coloured according to the number of stars in that pixel as shown by the colour bar.  $\alpha$ -element and iron abundances are particularly useful as they can be used as a proxy for age: stars towards the top-left of parameter space as plotted are older, in general, than the stars towards the bottom-right (Loebman et al. 2011). In our sample, there are two clear overdensities: the first occurs at high  $[\alpha/Fe]$  and low [Fe/H],

representing an older population; the second occurs at high [Fe/H] and low [ $\alpha$ /Fe], representing a younger population.

We select two sub-samples centred on these overdensities: the  $\alpha$ -old sample contains stars with  $0.3 < [\alpha/Fe]$  and -1.2 < [Fe/H] < -0.3; the  $\alpha$ -young sample contains stars with  $[\alpha/Fe] < 0.2$  and [Fe/H] > -0.5. These selection boxes are shown in the top panel of Fig. 2, with the  $\alpha$ -old selection shown in red and the  $\alpha$ -young selection shown in blue. For consistency, these colours will be used in all plots comparing results from these two sub-samples.

We assume that the number density  $\nu$  of stars in each tracer

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population follows an exponential profile such that

$$\nu\left(z\right) = \nu_0 \exp\left(-\frac{z}{\zeta_{\rm tr}}\right) \tag{13}$$

where  $\nu_0$  is the number density in the Galactic plane and the  $\zeta_{\rm tr}$ is the scale height of the tracer population. To determine the scaleheight parameters for each subpopulation, we calculate the number density of stars in a series of height bins and find the best-fitting exponential profile. The number density is highly sensitive to the selection function for SEGUE; to correct for this, we adopt the approach described in Section 3.1.2 of Zhang et al. (2013). The bottom left panel of Fig. 2 shows the logarithm of the corrected number density as a function of vertical distance from the plane for the two subpopulations. The  $\alpha$ -old population is shown in red and the  $\alpha$ -young population is shown in blue. The data are shown as symbols and the best-fit profiles are shown as solid lines. We find a best-fitting scale height of  $\zeta_{\rm tr} = 253 \pm 6$  pc for the  $\alpha$ -young population and  $\zeta_{\rm tr} = 665 \pm 11$  pc for the  $\alpha$ -old population.

#### 3.3 Vertical velocity dispersion

Now that we have a functional form for the tracer density (equation (13)), we can substitute this and the first derivative of the potential from equation (12) into the vertical Jeans equation (11). Rearranging and performing the necessary integration, we obtain a prediction for the vertical velocity dispersion as a function of height

$$\sigma_z^2(z) = 4\pi G \rho_0 \zeta_{\rm tr} \left\{ h_{\rm thin} \left[ 1 - \frac{h_{\rm thin}}{h_{\rm thin} + \zeta_{\rm tr}} \exp\left(-\frac{z}{h_{\rm thin}}\right) \right] + f h_{\rm thick} \left[ 1 - \frac{h_{\rm thick}}{h_{\rm thick} + \zeta_{\rm tr}} \exp\left(-\frac{z}{h_{\rm thick}}\right) \right] \right\} + 4\pi G \rho_{\rm dm} \zeta_{\rm tr} \left(z + \zeta_{\rm tr}\right).$$
(14)

There are two free parameters in this expression: the local thin disk density in the plane  $\rho_0$  and the local dark matter density  $\rho_{dm}$ .

To obtain vertical velocity dispersion profiles for our data, we bin the stars in height and use the maximum likelihood method described in Section 2.3 to calculate the velocity dispersion in each bin. We use 10 bins, with the bin boundaries selected so that each bin contains an equal number of stars. This is done independently for each of our sub-samples. Note that, although we are only interested here in the vertical velocity dispersion  $\sigma_z$ , our maximum likelihood analysis uses all of the data available and fits for the radial dispersion, the covariance and the background fraction<sup>2</sup> as well. The bottom-right panel of Fig. 2 shows the vertical velocity dispersion profiles for our two sub-samples; the  $\alpha$ -young sample is shown in blue and the  $\alpha$ -old sample is shown in red.

We wish to compare the model predictions against our data and determine which ( $\rho_0$ ,  $\rho_{\rm dm}$ ) values provide a best fit to the observed profile for each sub-sample. We do this using a non-linear least squares (NNLS) fit.

We find that the  $\alpha$ -old sample is best described by a model with central disk density  $\rho_0 = 0.12 \pm 0.011 \ M_{\odot} \ pc^{-3}$  and local dark matter density  $\rho_{dm} = 0.0024 \pm 0.0021 \ M_{\odot} \ pc^{-3}$ . This model is shown as dashed lines in the bottom-left panel of Fig. 2. The upper dashed line is plotted using the value of  $\zeta_{tr}$  found to best fit the  $\alpha$ -old sample; as expected, this is an excellent fit to the  $\alpha$ -old

dispersion profile. In order to show the ability of this model to reproduce the  $\alpha$ -young profile, the lower dashed line is plotted using the  $\alpha$ -young  $\zeta_{tr}$ . This is a very poor fit to our  $\alpha$ -young sample.

We find that the  $\alpha$ -young sample is best described by a model with central disk density  $\rho_0 = 0.06 \pm 0.011 \text{ M}_{\odot} \text{ pc}^{-3}$  and local dark matter density  $\rho_{\rm dm} = 0.014 \pm 0.004 \text{ M}_{\odot} \text{ pc}^{-3}$ . This model is shown as solid lines in the bottom-left panel of Fig. 2. Again, we plot this model using both the  $\alpha$ -old  $\zeta_{\rm tr}$  (upper solid line) and the  $\alpha$ -young  $\zeta_{\rm tr}$  (lower solid line). This model is an excellent approximation to the  $\alpha$ -young sample, but fails to reproduce the  $\alpha$ -old sample.

As we previously discussed, the  $\alpha$ -old and  $\alpha$ -young subsamples feel the same underlying densities. If our modelling approach is correct and the radial and vertical motions can be decoupled, then the best-fit models determined from the two sub-samples should be consistent. However, we find that the dark matter densities estimated by the two subpopulations are inconsistent: the  $\alpha$ young population favours a model with small but non-negligible local dark matter density, whereas the  $\alpha$ -old population favours a model that is consistent with no local dark matter. From this we conclude that our assumption was incorrect and, thus, that the radial and vertical motions cannot be treated independently. This, in turn, implies that the velocity ellipsoid is tilted.

#### 4 VELOCITY ELLIPSOID TILT

The coupling between the radial and vertical motions is characterized by the tilt angle  $\alpha_{tilt}$  of the velocity ellipsoid defined as

$$\tan(2\alpha_{\rm tilt}) = \frac{2\,\overline{v_R v_z}}{\sigma_R^2 - \sigma_z^2}.\tag{15}$$

We expect  $\sigma_R$  and  $\sigma_z$  to be larger for an older population of stars as a result of internal and external dynamical heating mechanisms over time (e.g. Carlberg & Sellwood 1985), as well as due to the possibility that the earliest stars were born dynamical hotter from a more turbulent disk at higher redshift (e.g. Förster Schreiber et al. 2009). However, the tilt angle can still be and remain the same for different populations, and, actually, if the (local) potential is of separable Stäckel form, has to be same. Hence, we now investigate the velocity ellipsoid for different sub-populations independently and find that, within the measurement uncertainties, the title angle is the same. We then combine the sub-populations to arrive at a measurement of the tilt angle, which we show to be consistent but significantly more precise than previous determinations.

#### 4.1 Velocity ellipsoid of different subpopulations

As shown in the top-left panel of Fig. 3, we divide our sample of G dwarfs into seven subpopulations in the plane of [ $\alpha$ /Fe] versus [Fe/H]; we use a Voronoi binning scheme (Cappellari & Copin 2003) to ensure comparable number of stars per subpopulation. We then sub-divide each subpopulation further in height |z| away from the mid-plane so that each bin contains approximately 500 stars. This number of stars ensures that our MCMC discrete likelihood fits (see Section 2.3) yield robust results per bin on the three velocity ellipsoid components  $\sigma_R$ ,  $\sigma_z$  and  $\overline{v_R v_z}$ . In particular, an accurate measurement of the latter cross term is essential to infer the tilt angle  $\alpha_{tilt}$  with a precision of  $\lesssim 4^\circ$ , indicated by the black error bar in the top-right panel of Fig. 3.

The corresponding uncertainties on the radial and vertical dispersions, shown in the bottom panels of Fig. 3, are only  $\leq 2 \text{km s}^{-1}$ .

 $<sup>^2~</sup>$  The estimated background fraction varies little from bin to bin and never exceeds 2%.



**Figure 3.** *Top left:* The sub-division of SDSS/*SEGUE* G-dwarf stars in the Solar neighbourhood according to their measured [ $\alpha$ /Fe] abundance and [Fe/H] metallicity, with the number of stars per sub-population indicated. Position in the [ $\alpha$ /Fe]-[Fe/H] plane can be used as a proxy for age; we reflect this in the colours, such that from purple to red the stars become older, on average. *Top right:* Non-zero tilt angle of the velocity ellipsoid for each sub-population as function of height away from the Galactic mid-plane. *Bottom:* Nearly flat radial and vertical velocity dispersion as function of height for each sub-population. We provide the values of the above measurements in Table 1.

Although the dispersions change from bin to bin, within each subpopulation the dispersion is nearly constant with |z|, consistent with earlier findings of vertically near-isothermal behavior of mono-abundance populations (e.g. Liu & van de Ven 2012; Bovy et al. 2012b). For the  $\alpha$ -older and more metal-poor stars with somewhat larger Voronoi bins, the remaining variation might be ascribed to a change with height in the relative contribution of stars with different kinematics. However, for the  $\alpha$ -younger and more metal-rich stars that are probing lower heights, a decrease in dispersion toward the mid plane is expected, but the amplitude will depend on the amount of dark matter (see also the solid and dashed curves in Fig. 2) as well as the tilt of the velocity ellipsoid.

The top-right panel of Fig. 3 shows a clear non-zero tilt that increases in magnitude away from the mid-plane. Since the  $\alpha$ -older stars are typically probing larger heights, the assumption of decoupled radial and vertical motion in the above vertical Jeans analysis is likely to be more incorrect than for the  $\alpha$ -younger stars. So the inference that we made in Section 3.3 – that a gravitational potential with a significant presence of dark matter is more plausible – is perhaps too premature; though we note that the velocity ellipsoid tilt is also significantly non-zero for the  $\alpha$ -younger stars,

which casts doubt on our conclusions for that sub-sample as well. We have shown here that, within the measurement uncertainties, the tilt angle at a given height is consistent between the different subpopulations. Thus, henceforth, we shall consider the sample of G dwarfs together to improve the statistical precision on the measured velocity ellipsoid tilt.

#### 4.2 Tilt angle

The left panel of Fig. 4 shows the tilt angle  $\alpha_{tilt}$  of the velocity ellipsoid as function of height |z| away from the mid-plane at the solar radius. The measurements are based on our MCMC discrete likelihood fitting (see Section 2.3), with around 1000 G-type dwarf stars per bin in height. The vertical error bars indicate the standard deviation around the mean in the  $\alpha_{tilt}$  values of the MCMC chain after convergence; the horizontal error bars indicate the size of the bin in |z| around the median value (see also Table 2).

Over the full range in height probed from about 0.4 to 2.0 kpc, the tilt angle is significantly non-zero and, thus, everywhere inconsistent with the assumption of decoupled radial and vertical motion. Whereas the latter would imply cylindrical alignment of the veloc-

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**Table 1.** Measured velocity ellipsoid components as function of height above the Galactic plane for chemically different subpopulations from Fig. 3. The seven subpopulations are ordered in this table top down from metal-rich and  $\alpha$ -poor to metal-poor and  $\alpha$ -rich. The stars within each population are subdivided in different height ranges (with mean and spread indicated) after which the velocity ellipsoid components in the meridional plane are computed using the likelihood approach described in Section 2.3; the mean and standard-deviation of the MCMC post-burn parameter distribution are given. The tilt angle  $\alpha_{tilt}$  follows from combing the velocity ellipsoid components as in equation (15).

[Fe/H]	$\alpha/\mathrm{Fe}$	z	$\sigma_{ m R}$	$\sigma_{\mathrm{z}}$	$\langle v_{\rm R} v_{\rm z} \rangle$	$\alpha_{\rm tilt}$	$\epsilon$
(dex)	(dex)	(pc)	$({\rm km}{\rm s}^{-1})$	$(\text{km s}^{-1})$	$({\rm km}{\rm s}^{-1})$	(deg)	(%)
-0.07	0.11	448 + 124	$336 \pm 13$	$19.0 \pm 0.9$	-44 + 39	-32 + 29	$17 \pm 0.8$
0.07	0.11	$565 \pm 89$	$33.0 \pm 1.5$ $34.7 \pm 1.5$	$19.0 \pm 0.9$ $19.0 \pm 0.9$	$-62 \pm 41$	$-42 \pm 2.9$	$1.7 \pm 0.0$ $1.7 \pm 0.8$
		$565 \pm 65$ $667 \pm 83$	$37.5 \pm 1.6$	$19.0 \pm 0.9$ $19.8 \pm 0.8$	$-82 \pm 47$	$-4.6 \pm 2.6$	$1.7 \pm 0.0$ $1.2 \pm 0.7$
		$766 \pm 97$	$37.5 \pm 1.0$ $38.0 \pm 1.5$	$10.3 \pm 0.3$	$-62 \pm 47$ -101 + 44	$-4.0 \pm 2.0$	$1.2 \pm 0.7$ $1.3 \pm 0.7$
		$966 \pm 357$	$38.0 \pm 1.3$ $38.1 \pm 1.3$	$20.3 \pm 0.9$ $21.4 \pm 0.8$	$-101 \pm 44$ $-114 \pm 40$	$-5.5 \pm 2.5$	$1.0 \pm 0.7$ $1.0 \pm 0.5$
		700 ± 337	50.1 ± 1.5	21.4 ± 0.0	-114 ± 40	-0.4 ± 2.2	1.0 ± 0.5
-0.21	0.14	$447\pm125$	$41.2\pm1.5$	$19.6\pm0.9$	$-66 \pm 49$	$\textbf{-2.9}\pm2.1$	$1.0\pm0.7$
		$564 \pm 83$	$40.8 \pm 1.6$	$20.9 \pm 0.9$	$-5 \pm 49$	$-0.2 \pm 2.3$	$0.9\pm0.6$
		$650\pm71$	$40.9\pm1.6$	$21.0\pm0.8$	$36 \pm 49$	$1.7 \pm 2.3$	$0.5\pm0.4$
		$739\pm73$	$43.3\pm1.7$	$21.4\pm1.0$	$-83\pm56$	$-3.3\pm2.2$	$1.0\pm0.9$
		$827\pm78$	$43.5\pm1.7$	$24.7\pm1.0$	$-180 \pm 59$	$-7.8\pm2.5$	$0.5\pm0.5$
		$928\pm104$	$43.1\pm1.6$	$23.8\pm1.0$	$-4 \pm 59$	$\textbf{-0.2}\pm2.6$	$0.8\pm0.6$
		$1082\pm158$	$41.9\pm1.7$	$24.8\pm1.1$	$43\pm 61$	$2.2\pm3.0$	$0.5\pm0.4$
		$1327\pm394$	$42.2\pm1.9$	$26.5\pm1.1$	$-94\pm 64$	$\textbf{-4.9} \pm \textbf{3.3}$	$0.4\pm0.4$
-0.36	0.18	$499 \pm 158$	$36.9 \pm 1.5$	$22.6 \pm 1.0$	$-42 \pm 47$	$-2.8 \pm 3.2$	$1.9 \pm 1.0$
		$637 \pm 104$	$39.7 \pm 1.5$	$24.6 \pm 1.0$	$-103 \pm 56$	$-6.0 \pm 3.2$	$1.0 \pm 0.7$
		$762 \pm 106$	$40.5 \pm 1.5$	$24.4 \pm 1.1$	$-131 \pm 59$	$-7.0 \pm 3.1$	$0.9 \pm 0.7$
		$893 \pm 134$	$40.2 \pm 1.6$	$23.6 \pm 1.1$	-91 + 57	$-4.9 \pm 3.0$	$0.8 \pm 0.7$
		$1186 \pm 489$	$41.2 \pm 1.5$	$25.0 \pm 0.9$ $25.1 \pm 0.9$	$-146 \pm 56$	$-7.7 \pm 2.8$	$3.1 \pm 1.0$
0.25	0.28	$694 \pm 205$	$40.2 \pm 1.0$	$24.0 \pm 1.2$	60 ± 87	$21 \pm 20$	05±05
-0.55	0.28	$0.04 \pm 200$	$49.3 \pm 1.9$	$34.0 \pm 1.3$	$-09 \pm 87$	$-3.1 \pm 3.9$	$0.3 \pm 0.3$
		$893 \pm 170$	$46.9 \pm 1.9$	$32.0 \pm 1.3$	$-07 \pm 87$	$-2.9 \pm 3.7$	$1.0 \pm 0.8$
		$1106 \pm 195$	$50.8 \pm 1.9$	$34.0 \pm 1.4$	$-65 \pm 96$	$-2.0 \pm 3.9$	$0.9 \pm 0.8$
		$1360 \pm 264$	$53.1 \pm 2.2$	$35.1 \pm 1.5$	$-192 \pm 108$	$-6.8 \pm 3.7$	$1.4 \pm 1.1$
		$1828 \pm 640$	$49.2 \pm 2.0$	$35.0 \pm 1.3$	$-338 \pm 96$	$-14.7 \pm 3.6$	$1.4 \pm 1.0$
-0.51	0.29	$558 \pm 179$	$41.0\pm1.8$	$31.1\pm1.3$	$\textbf{-128}\pm71$	$\textbf{-9.8} \pm 5.1$	$3.1\pm1.5$
		$735\pm139$	$44.3\pm2.0$	$32.8\pm1.4$	$-118\pm88$	$-7.5\pm5.4$	$2.8\pm1.6$
		$896\pm136$	$46.0\pm1.9$	$36.4\pm1.5$	$-14 \pm 102$	$-1.1 \pm 7.4$	$1.0\pm0.9$
		$1064 \pm 149$	$47.1\pm2.0$	$35.1\pm1.5$	$-43 \pm 92$	$\textbf{-2.5}\pm5.2$	$2.6\pm1.6$
		$1254\pm174$	$45.1\pm2.1$	$33.7\pm1.3$	$-126 \pm 94$	$\textbf{-7.8} \pm 5.6$	$4.1\pm1.7$
		$1490\pm232$	$45.2\pm2.0$	$36.1\pm1.5$	$-33 \pm 104$	$-2.6\pm8.0$	$1.8\pm1.4$
		$1969\pm587$	$49.3\pm2.1$	$39.1\pm1.4$	$-249 \pm 109$	$-14.5\pm5.5$	$3.1\pm2.0$
-0.68	0.32	623 + 223	53.3 v 1.9	$39.7 \pm 1.5$	$-252 \pm 113$	$-10.9 \pm 4.5$	$1.7 \pm 1.4$
		$822 \pm 148$	$52.1 \pm 2.0$	$40.4 \pm 1.5$	$-224 \pm 107$	$-11.3 \pm 5.0$	$2.0 \pm 1.3$
		$984 \pm 146$	$53.1 \pm 2.0$	$42.1 \pm 1.7$	$-187 \pm 122$	$-9.9 \pm 6.0$	$3.9 \pm 2.0$
		$1168 \pm 156$	$53.4 \pm 2.1$	$39.4 \pm 1.6$	$-212 \pm 111$	$-9.0 \pm 4.5$	$3.1 \pm 1.8$
		$1366 \pm 178$	$56.0 \pm 2.3$	$41.2 \pm 1.6$	$-293 \pm 128$	$-11.1 \pm 4.5$	$1.8 \pm 1.5$
		$1580 \pm 201$	$55.4 \pm 2.4$	$44.3 \pm 1.6$	$-354 \pm 144$	$-162 \pm 55$	$2.2 \pm 1.8$
		$1854 \pm 256$	$55.8 \pm 2.5$	$436 \pm 1.8$	$-243 \pm 148$	$-10.9 \pm 6.2$	$15 \pm 14$
		$2226 \pm 449$	$55.6 \pm 2.6$ $56.6 \pm 2.6$	$43.3 \pm 1.7$	$-466 \pm 151$	$-17.4 \pm 4.6$	$1.5 \pm 1.1$ $1.7 \pm 1.5$
0.90	0.24	012   070	50.0   0.0	45.0   1.7	107   147	01 50	45 1 2 6
-0.89	0.34	$\delta 13 \pm 2/2$	$38.8 \pm 2.2$	$43.8 \pm 1.7$	$-19/\pm 14/$	$-8.1 \pm 3.8$	$4.3 \pm 2.6$
		$1093 \pm 230$	$38.9 \pm 2.2$	$43.8 \pm 1.7$	$-150 \pm 150$	$-0.2 \pm 0.0$	$4.0 \pm 2.7$
		$1379 \pm 242$	$38.2 \pm 2.2$	$48.1 \pm 1.8$	$-391 \pm 155$	$-18.0 \pm 5.7$	$3.9 \pm 3.3$
		$16/1 \pm 251$	$59.2 \pm 2.4$	$45.0 \pm 1.7$	$-214 \pm 156$	$-8.1 \pm 5.6$	$2.1 \pm 1.9$
		$2095 \pm 583$	$55.8 \pm 2.2$	$46.7 \pm 1.6$	$-567 \pm 131$	$-25.2 \pm 3.9$	$4.4 \pm 3.3$

ity ellipsoid, the measurements are instead consistent with a velocity ellipsoid pointing toward the Galactic center: the solid curve represents the best-fit of the relation which is close to the case of alignment with the spherical coordinate system for which  $\alpha_{\text{tilt}} = \arctan(|z|/R_{\odot})$ .

$$\alpha_{\text{tilt}} = (0.78 \pm 0.20) \arctan(|z|/R_{\odot}) + (0.03 \pm 0.03)$$
(16)

In the case that the (local) potential is of separable Stäckel form and axisymmetric, the velocity ellipsoid is aligned with the prolate spheroidal coordinate system (e.g. de Zeeuw 1985). Ex-



Figure 4. Tilt angle  $\alpha_{tilt}$  of the velocity ellipsoid as function of height |z| away from the mid-plane at the Solar radius. The filled circles are measurements with uncertainties indicated by the vertical error bars based on ~1000 G-dwarf stars per bin in height with the bin-size indicated by the horizontal error bars. *Left:* The tilt angle is significantly non-zero everywhere with best-fit arctan relation as indicated by the solid curve that is close to spherical alignment. *Right:* Our tilt angle measurements are consistent with previous determinations, but significantly improved. We provide the measurements of the tilt angle as well as halo contamination fraction in Table 2.

 Table 2. Measured tilt angle (in degrees) as function of height in pc from

 Fig. 4. The last column shows halo contamination fraction (in %). Their

 errors are estimated from the standard-deviations of the post-burn parameter

 distributions.

z	$lpha_{ m tilt}$	$\epsilon$	Z	$lpha_{ m tilt}$	$\epsilon$
(pc)	(deg)	(%)	(pc)	(deg)	(%)
425	$\textbf{-4.92} \pm \textbf{1.83}$	$2.8\pm0.8$	1064	$-7.44 \pm 2.31$	$3.0 \pm 1.1$
522	$\textbf{-4.21} \pm \textbf{1.91}$	$3.3\pm0.9$	1156	$\textbf{-9.50} \pm 2.32$	$3.6\pm1.1$
589	$\textbf{-5.05} \pm 1.92$	$4.1\pm1.0$	1263	$\textbf{-9.12} \pm 2.84$	$4.7\pm1.2$
653	$\textbf{-4.23} \pm 2.06$	$3.4\pm0.9$	1392	$\textbf{-9.26} \pm \textbf{2.65}$	$4.7\pm1.4$
715	$\textbf{-6.71} \pm 2.12$	$2.5\pm0.8$	1546	$\textbf{-10.88} \pm \textbf{3.21}$	$3.7\pm1.4$
777	$\textbf{-7.93} \pm 1.87$	$2.4\pm0.8$	1724	$\textbf{-9.67} \pm 2.91$	$4.1\pm1.3$
841	$\textbf{-6.88} \pm 2.23$	$3.2\pm0.9$	1949	$\textbf{-13.62} \pm 2.69$	$5.7\pm1.5$

pressed in cylindrical coordinates, the tilt angle is then given by

$$\tan(2\alpha_{\rm tilt}) = \frac{2Rz}{R^2 - z^2 + \Delta^2},\tag{17}$$

where  $\Delta \ge 0$  is the focus of the prolate spheroidal coordinate system. The uncertainties in the tilt angle measurements allow for  $\Delta/R_{\odot} \lesssim 0.19(0.52)$  within  $1\sigma$  ( $3\sigma$ ) confidence limits, which includes the limiting case of spherical alignment with  $\Delta = 0$ .

#### 4.3 Literature comparison

In the right-panel of Fig. 4, we compare our estimate of the tilt angle as a function of distance from the mid-plane with estimates from previous studies.

Siebert et al. (2008) used 580 red-clump stars below the Galactic mid-plane from the second data release of the RAdial Velocity Experiment (RAVE), to infer a tilt angle of  $7.3 \pm 1.8^{\circ}$  for heights 0.5 < |z|/kpc < 1.5. Casetti-Dinescu et al. (2011) combined data from the fourth release of the Southern Proper Motion Program and the same second release of RAVE for 1450 red-clump

stars above and below the Galatic mid-plane to find a tilt angle of  $8.6 \pm 1.8^{\circ}$  for heights 0.7 < |z|/kpc < 2.0. After accounting for the flip in sign of  $\alpha_{\text{tilt}}$  from below to above the Galactic mid-plane, Fig. 4 shows that both measurements are consistent with our findings especially when taking into account the large range in heights around the mean  $|z| \sim 1$  kpc.

Over a similar range in heights 1 < |z|/kpc < 2, Carollo et al. (2010) found, based on a sample of more than ten thousand calibration stars from SDSS DR7, a consistent tilt angle of  $7.1 \pm 1.5^{\circ}$  for stars with metallicity -0.8 < [Fe/H] < -0.6, but a larger tilt angle of  $10.3 \pm 0.4^\circ$  for more metal-poor stars with -1.5 < [Fe/H] < -0.8. However, given that more metal-poor stars are relatively more abundant at larger heights, it is likely that both values are fully consistent with the  $> 10^{\circ}$  change in tilt angle we find over this large range in height. Smith et al. (2012) also used SDSS DR7 data, but restricted to Stripe 82, to exploit the highprecision photometry and proper motions. They measured the tilt angle in four bins in the height range 0.5 < |z|/kpc < 1.7 for stars with metallicity [Fe/H] < -0.6 and more metal-poor stars with -0.8 < [Fe/H] < -0.5, and concluded that, despite larger uncertainties, the tilt angles are consistent with spherical alignment of the velocity ellipsoid; the few measurements that appear at larger (negative) tilt angles they believe to be an artefact.

Recently, Binney et al. (2014) used > 400, 000 stars from the fourth data release of RAVE to infer, under the assumed tilt angle variation  $\alpha_{\rm tilt} \propto \arctan(|z|/R_{\odot})$ , a proportionality constant of  $\sim 0.8$  except for hot dwarfs with  $\sim 0.2$ . The former gradient is consistent with our measurements in Fig. 4 and the corresponding best-fit relation given in equation (16), but the hot-dwarfs gradient appears too shallow, although a more quantitive comparison is unfortunately not possible due to missing uncertainties on the inferred gradients.

#### 5 DISCUSSION AND CONCLUSION

In this paper, we have accurately measured the velocity ellipsoid of the Milky Way disk near the Sun. To do this, we used a well-characterized sample of >16,000 G-type dwarf stars from the *SEGUE* survey and fit their discrete kinematic data using a likelihood method that accounts for halo star contaminants. In combination with a Markov Chain Monte Carlo (MCMC) sampling, we have robustly measured the velocity ellipsoid components as function of height away from the Galactic mid-plane, even for chemically-distinct subpopulations.

As these subpopulations are tracers of the same underlying gravitational potential, fitting Jeans models to the vertical density and dispersion profiles for each subpopulation independently should yield the same constraint on the local dark matter density. Instead, we found large variations: metal-rich, low- $\alpha$ -abundant stars require a significant amount of local dark matter density, while metal-poor, high- $\alpha$ -abundant stars do not need any dark matter. As the latter stars are relatively more abundant at larger vertical heights, we believe this is the consequence of a coupling between vertical and radial motions that becomes stronger with height. In turn, this should be detectable as an increase in the tilt angle of the velocity ellipsoid with height.

Next, we measured the velocity ellipsoid components in the meridional plane as function of height, for seven chemicallydistinct subpopulations. We found radial and vertical dispersions,  $\sigma_R$  and  $\sigma_z$ , that are approximately constant with height, consistent with the isothermally profiles found in earlier studies (e.g. Liu & van de Ven 2012; Bovy et al. 2012b). Between the subpopulations, the amplitudes of both  $\sigma_R$  and  $\sigma_z$  increase when the stars are less metal-rich and more  $\alpha$ -abundant, in line with the age-velocity relation observed in the Solar neighborhood (e.g. Casagrande et al. 2011). The cross term  $\overline{v_R v_z}$  together with  $\sigma_R$  and  $\sigma_z$  yields a tilt angle of the velocity ellipsoid that is clearly non-zero and its amplitude indeed increasing with height.

As the tilt angle measurements between the subpopulations are fully consistent within the error bars, we were able to decrease the statistical uncertainties by combining all G dwarfs. This yields a tilt angle as function of height that is consistent with previous determinations, but significantly improved. The resulting measurements given in Table 2 are very well fitted by the the relation  $\alpha_{\text{tilt}} = (0.78 \pm 0.20) \arctan(|z|/R_{\odot}) + (0.03 \pm 0.03)$ , which is close to alignment with the spherical coordinate system and hence a velocity ellipsoid pointing to the Galactic center.

In case of a Stäckel potential, the tilt of the velocity ellipsoid is directly coupled to the shape of the gravitational potential and thus *must* be the same for any subpopulation. In case of oblate axisymmetry the velocity ellipsoid is then aligned with the prolate spheroidal coordinate system. The resulting expression for the tilt angle (eq. 17) can describe the tilt angle measurements as long as the focus of the latter coordinate systems is significantly smaller than the solar radius. Even if the Stäckel potential is only a good approximation locally, this brings a convenient, and often fully analytical, expression of dynamical aspects that otherwise, even numerically, are very hard to achieve. One such example is the use of a local Stäckel approximation to infer the integral of motions or actions (Binney 2012).

In a forthcoming paper, we obtain a solution of the axisymmetric Jeans equations along curvilinear coordinates that allows us to construct in a computationally efficient way models that allow for a non-zero tilt of the velocity ellipsoid. In this way, we can overcome the assumption of decoupled motion in the vertical Jeans models, while still being able to do a discrete likelihood fit with MCMC parameter inference, even for many thousands of stars at the same time. Among other benefits, this will enable a much more accurate measurement of the local dark matter density, especially with upcoming data from Gaia and spectroscopic follow-up surveys such as Gaia-ESO (Gilmore et al. 2012) and 4MOST (de Jong et al. 2012).

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# APPENDIX A: EFFECT OF NON-AXISYMMETRY ON TILT ANGLE

As described in Section 2.2, the tilt of the velocity ellipsoid is independent of the azimuthal velocity in case of axisymmetry. In the bottom panels of Fig. A1, we show that excluding or including  $v_{\phi}$ yields consistent results for the velocity ellipsoid components in the meridional plane,  $\sigma_R$ ,  $\sigma_z$  and  $\overline{v_R v_z}$ , that make up the title angle. For an  $\alpha$ -old (red) and an  $\alpha$ -young (blue) sub-population selected as indicated in the top-left panel, the open circles adopt a multivariate Gaussian of rank 2 in the likelihood fitting described in Section 2.3, while the filled squares include the azimuthal velocities in the fit by adopting a multivariate Gaussian of rank 3. The inferred values are nearly indistinguishable, so that including  $v_{\phi}$  is not needed and actually and would lead to slightly larger uncertainties as well as the complication that the distribution in  $v_{\phi}$  is typically non-Gaussian. Even so, the inferred azimuthal mean velocity  $\overline{v_{\phi}}$  and velocity dispersion  $\sigma_{\phi}$ , shown in the top-middle and top-right panel, are as expected for a dynamical warmer  $\alpha$ -old sub-population with  $\overline{v_{\phi}}/\sigma_{\phi}$ smaller than an dynamically colder  $\alpha$ -younger sub-population.

Restricting to the meridional plane, the mean radial and vertical motion are zero in case of axisymmetry and hence should not effect the tilt angle. In Fig. A2, we show that even though  $\overline{v_R}$  and  $\overline{v_z}$ are observed to be mildly non-zero there is no significant effect on the velocity ellipsoid components and corresponding tilt angle. For the same  $\alpha$ -old (red) and an  $\alpha$ -young (blue) sub-population as in Fig. A1, the open circles show the latter quantities measured in case we set  $\overline{v_R} = \overline{v_z} = 0$ , while in case of the filled squares the means of the bivariate Gaussians are free parameters. The measured velocity ellipsoid components and corresponding tilt angle are again nearly indistinguishable, so that the means of the bivariate Gaussians can be safely set to zero; the number of free parameters are reduced, so that the statistical uncertainty on particular  $\overline{v_R v_z}$  and thus also the tilt angle decrease. When left free, both  $\overline{v_R}$  and  $\overline{v_z}$  show small but significant deviations of a few  $\mathrm{km}\,\mathrm{s}^{-1}$  from zero, consistent with earlier findings (e.g. Williams et al. 2013) and in line with deviations from axisymmetry due to spiral structures (Faure et al. 2014).

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**Figure A1.** Top left:  $[\alpha/Fe]$  abundances and [Fe/H] metallicities of the G-dwarf stars, identical to Fig. 2. The red and blue boxes show the selections for the  $\alpha$ -old and  $\alpha$ -young subpopulations, respectively. These same colours are used in all other panels. Top middle and right: Azimuthal mean velocity and velocity dispersion as function of height |z| away from the mid-plane at the Solar radius. Bottom row: Radial and vertical velocity dispersion and their correlated second velocity moment for the two sub-populations. The open symbols show the results for the multivariate Gaussian velocity distribution of rank 2, while the filled symbols show the corresponding results of a multivariate Gaussian of rank 3.



Figure A2. Dynamical profiles for the  $\alpha$ -old (red) and  $\alpha$ -young (blue) as a function of distance from the mid-plane at the solar radius. Top left: mean radial velocity. Top middle: mean vertical velocity. Top right: tilt angle of the velocity ellipsoid. Bottom left: radial velocity dispersion. Bottom middle: vertical velocity dispersion. Bottom right: correlated second velocity moment. In the latter four panels, the open symbols show the case for which we assume  $\overline{v_R} = \overline{v_z} = 0$  and the filled symbols show the case where  $\overline{v_R}$  and  $\overline{v_z}$  are free parameters in the likelihood function (equation 1).