

Predictors of Referral for Specialized Psychosocial Oncology Care in Patients With Metastatic Cancer: The Contributions of Age, Distress, and Marital Status

Janet Ellis, Judy Lin, Andrew Walsh, Christopher Lo, Frances A. Shepherd, Malcolm Moore, Madeline Li, Lucia Gagliese, Camilla Zimmermann, and Gary Rodin

A B S T R A C T

Purpose

This study examines the rate and prediction of referral for specialized psychosocial oncology care in 326 patients with metastatic GI or lung cancer.

Patients and Methods

Referral information was abstracted from medical records and hospital databases. Patients completed measures of psychosocial and physical distress and functioning.

Results

Routine referral occurred in 33% of patients, and in 42% and 44%, respectively, of those scoring high on measures of depression (Beck Depression Inventory [BDI]-II ≥ 15) and hopelessness (Beck Hopelessness Scale ≥ 8). Univariate analyses indicated that referral was associated with younger age, unmarried status, living alone, presence of more depressive symptoms, hopelessness, and attachment anxiety, and with less social support, self-esteem, and spiritual well-being (all $P < .05$). Among the significantly depressed (BDI-II ≥ 15), 100% of those less than 40 years of age, but only 22% of those age 70 years or older were referred. Multivariate analyses indicated that referral was associated with younger age, unmarried status, and presence of more depressive symptoms. Moreover, increasing age was associated with a progressively lower likelihood of referral independent of the level of distress.

Conclusion

Routine referral of patients with metastatic cancer for psychosocial oncology care was predicted by presence of more severe depressive symptoms, younger age, and unmarried status. The rate of referral progressively declined with each decade of age, even among those with significant distress. These findings are consistent with some aspects of Andersen's model of health care utilization. The extent to which referred patients represent those who are most likely to benefit deserves further investigation.

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INTRODUCTION

There is growing advocacy for routine screening of distress in cancer patients because of its high prevalence;¹⁻³ its association with negative outcomes, such as poor quality of life,⁴ nonadherence to medical treatment,⁵ and poorer prognosis;^{6,7} and because of the availability of effective interventions.⁸ However, multiple barriers to providing specialized psychosocial oncology care may exist, and almost half of distressed cancer patients referred may refuse such support.⁹

The likelihood of cancer patient referral for specialized psychosocial care can be understood in terms of Andersen's model of health care utilization.¹⁰ This model was developed to explain, define, and measure access to health care services

and to assist in developing policies to promote equitable access.¹⁰ From this perspective, utilization is considered to be based on the predisposition of individuals to use health care services, on factors which enable or impede their access and use, and on individuals' perceived or evaluated need for care. For example, age, sex, and education were considered by Andersen to be predisposing factors, whereas social relationships were considered to be an enabling factor in health care utilization. The need for psychosocial support has been inferred from the severity of subjective distress,¹¹ but the determinants of and barriers to referral are not well understood.

The present analyses were conducted to clarify the determinants of referral for specialized psychosocial care in patients with metastatic GI or lung cancer. We evaluated the extent to which the rate of

From the Departments of Psychosocial Oncology and Palliative Care, and Medical Oncology, Princess Margaret Hospital; Psychosocial Oncology and Palliative Care Research Division, Ontario Cancer Institute, University Health Network; Behavioural Sciences and Health Research Division, Toronto General Research Institute, University Health Network; University of Toronto; and the Department of Kinesiology and Health Science, York University, Toronto, Ontario, Canada.

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Corresponding author: Gary Rodin, MD, Department of Psychosocial Oncology and Palliative Care, Princess Margaret Hospital, University Health Network, 16th Floor, Room 724, 610 University Avenue, Toronto, Ontario M5G 2M9 Canada; e-mail: gary.rodin@uhn.on.ca.

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referral for this care is affected by predisposing factors (eg, age, sex), enabling resources (eg, income, social support), and need (eg, symptoms of depression, physical distress). We hypothesized that referral would be related not only to patient need but also to predisposing demographic and enabling psychosocial factors.

PATIENTS AND METHODS

Participants and Procedure

This study received ethical approval from the University Health Network Research Ethics Board. Analyses are based on 326 outpatients with a confirmed diagnosis of stage III or IV lung or stage IV GI cancer attending clinics at Princess Margaret Hospital (PMH), a comprehensive cancer treatment center in Toronto, Canada, and participating in the Will to Live (WTL) Study, a longitudinal study of psychological adjustment in patients with advanced cancer (Rodin et al^{12,13}). All participants were at least 18 years of age, obtained a score of 20 or more on the Short-Orientation-Memory and Concentration (SOMC)¹⁴ test (which reflects no significant cognitive impairment), and were able to speak and read English sufficiently to provide written informed consent and to complete the self-report questionnaires. Participants were followed with bimonthly questionnaire assessments until death or study withdrawal. Death was established through hospital records, contact with family members or family physicians, or obituary notices. Baseline assessments were used for all analyses to maximize the sample size.

Specialized psychosocial care at PMH is provided by social workers, psychiatrists, and psychologists. There is overlap in the functions performed by practitioners in these disciplines, each of which provides counseling and support. However, social workers have particular responsibility for social, financial, and family issues, and psychiatrists for the diagnosis and treatment of major mental illness and suicidality, as well as prescription of psychotropic medication.

Assessments included in these analyses were completed at the time of study entry. Referral for specialized psychosocial care could occur at any time during the course of clinic attendance, and all referrals were included in the main analyses because predisposing characteristics may affect referral at any point in the disease course. However, additional analyses were also conducted on a subsample of referred patients for whom referral occurred within 6 months before or after study entry.

Materials and Measures

Patient characteristics were obtained from the patient, the medical charts, and from the PMH Department of Psychosocial Oncology and Palliative Care (POPC) database. These included age at diagnosis, sex, marital status, living arrangements, primary language, education level, socioeconomic status (based on 2001 Canadian Census data for average annual family income by postal code), date of cancer diagnosis, cancer site, dates of referral for specialized psychosocial oncology care and palliative care, and date of death.

Social support, a potential enabling factor, was measured with the Medical Outcomes Study Social Support Survey (MOS-SSS),¹⁵ a 20-item self-report measure of multiple domains of social support that has demonstrated high reliability, and convergent and discriminant validity.¹⁵

Psychosocial and physical functioning and distress (patient need) were measured with the following tools:

Beck Depression Inventory-II (BDI-II),¹⁶ a 21-item self-report measure of the intensity of depressive symptoms that is widely used in cancer populations,^{12,13,16-19} and the Beck Hopelessness Scale (BHS),²⁰ a 20-item self-report scale used to quantify negative expectancies about the future that has been used in terminally ill cancer populations;^{12,21,22} Experiences in Close Relationships inventory (ECR),²³ a 36-item self-report measure of attachment security with two subscales, attachment anxiety (fear of rejection or abandonment) and attachment avoidance (discomfort with closeness and dependence on significant others); Rosenberg Self-Esteem Scale (RSES),²⁴ a 10-item self-report questionnaire designed to assess self-esteem and self concept, with demonstrated reliability and concurrent, predictive, and construct validity;²⁵

Functional Assessment of Chronic Illness Therapy-Spiritual Well-Being Scale (FACIT-Sp-12),²⁶ a 12-item self-report scale that measures aspects of spirituality and has been validated in large samples of medically ill patients;²⁷ Memorial Symptom Assessment Scale (MSAS),²⁸ a multidimensional self-report scale designed to assess the presence, frequency, severity, and distress associated with common physical symptoms of cancer. A Global Distress Index (GDI) score was calculated from the combined average of the frequency scores for sadness, worry, irritability, and nervousness, and of the distress scores for fatigue, pain, drowsiness, constipation and dry mouth; and the Karnofsky Performance Status scale (KPS),²⁹ a widely used observer-rated measure of the extent to which individuals can carry out normal activities and self-care. The KPS has demonstrated good reliability and construct validity as a global measure of functional status.^{30,31}

Statistical Analyses

Statistical analyses were computed using the Statistical Package for the Social Sciences (SPSS), version 12.0 for Windows 2000 (SPSS Inc, Chicago, IL).³² Descriptive statistics were calculated for all variables. Univariate analyses were conducted between referral groups using χ^2 , Spearman and Pearson correlation coefficients, Student *t* tests, and analysis of variance with post hoc comparisons using the Bonferroni method. Two separate multivariate analyses were undertaken to determine significant factors associated with referral for specialized psychosocial oncology care in this sample of patients with metastatic cancer. First, computer-assisted step-wise logistic regression analysis was undertaken. All variables were entered into the logistic regression equation using a forward selection method. Variables were tested for entry based on the significance level of the Wald statistic, set to .15, and after each entry, variables already in the model were tested for removal based on the significance level of .10. Variables not significantly contributing to the logistic regression equation at the end of the selection process were removed to conserve *df*. Second, Cox proportional hazard regression analysis was used to identify significant factors associated with referral time from diagnosis. Referral time was calculated as the time interval (in months) from diagnosis to either (a) psychosocial referral, (b) death, or to (c) the date of our statistical analysis. Variable selection was similar to that used for logistic regression analysis. Variables were entered into the Cox proportional hazard regression equation using a computer-assisted forward selection method based on the Wald statistic, set to .15. Variables already in the model were tested for removal based on the significance level of .10. Variables not statistically significant in the final model were removed to conserve *df*. Multicollinearity was assessed by calculating the variable inflation factor (VIF) for each variable included in the regression equations. A VIF greater than 2.5 indicates multicollinearity is influencing the estimated beta parameter.³³

RESULTS

The present sample consisted of all participants enrolled on the WTL Study between November 1, 2002, and January 31, 2006 (Rodin et al;^{12,13} note that the present sample differs slightly from that reported in earlier articles due to differences in the variable set under analysis and hence, the retention of individuals with missing data). Table 1 contains sample characteristics. The 326 participants ranged in age from 21 to 87 years at diagnosis (median age, 61.8 \pm 10.7 years); 186 (57%) were male and 103 (31.6%) were unmarried (ie, single, separated, divorced, or widowed). Two hundred sixteen patients (66.3%) had GI and 110 (33.7%) had lung cancer. The GI cancers were 140 (42.9%) colorectal, 33 (10.1%) hepatobiliary, 28 (8.6%) pancreatic, and 15 (4.6%) gastric. One hundred seventy (52.1%) participants had been diagnosed within 12 months before study entry, and 276 patients (82.5%) had died by the time of the present analyses. Mean time interval between study entry and death was 11.9 \pm 9.8 months (range, 7 days to 3.8 years). At the time the assessments were completed, 193

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Table 1. Patient and Disease Characteristics, Social Support, and Psychosocial and Physical Distress and Functioning by Combined Referral Groups

Characteristic	Nonreferred (n = 217)		Referred (n = 109)		P
	No.	%	No.	%	
Predisposing factor					
Mean age at diagnosis, years					< .001
Mean	62.6		55.2		
SD	10.1		11.1		
Male sex	126	58.1	60	55.0	.572
Education (≥ high school)	203	93.5	101	92.7	.534
Canadian born	135	62.2	68	62.4	.983
English as primary language	180	82.3	92	84.4	.734
Married/common law	163	75.1	60	55.0	< .001
Living alone	31	14.3	33	30.3	.001
Disease site					.067
Pancreas	17	7.8	11	10.1	
Colorectal	84	38.7	56	51.4	
Gastric or esophageal	9	4.1	6	5.5	
Hepatobiliary	27	12.5	6	5.5	
Lung	80	36.9	30	27.5	
Duration of illness at study entry, months					.947
Mean	20.2		20.0		
SD	24.5		25.0		
Average time from study entry to death, months					.155
Average	10.4		12.0		
SD	8.3		9.2		
No. of patients who died	170		94		
Referred to palliative care	71	32.7	72	66.1	< .001
Treatment status					.455
Chemotherapy discontinued	14	6.5	9	8.3	
Active clinical surveillance	71	32.7	31	28.4	
Receiving chemotherapy	125	57.6	68	62.6	
Unknown	7	3.2	1	1.0	
Enabling resources					
Average household income, \$.619
Mean	94,526		98,347		
SD	61,868		72,014		
Social support (MOS-SSS)					.002
Mean	84.8		77.6		
SD	17.9		20.7		
Need					
Depressive symptoms (BDI-II)					< .001
Mean	9.7		13.0		
SD	6.6		8.7		
BDI-II cutoff (≥ 15)	44	20.3	32	29.4	.062
Hopelessness (BHS)					.017
Mean	4.9		6.2		
SD	4.4		4.9		
BHS cut off (≥ 8)	42	19.4	33	30.3	.021
Attachment anxiety (ECR)					.001
Mean	2.3		2.7		
SD	1.0		1.1		
Attachment avoidance (ECR)					.657
Mean	3.0		3.1		
SD	1.0		0.8		
Self-esteem (RSES)					.038
Mean	34.4		33.2		
SD	4.5		5.1		
Spiritual wellbeing (FACIT)					.033
Mean	3.0		2.8		
SD	0.7		0.8		

(continued on following page)

Table 1. Patient and Disease Characteristics, Social Support, and Psychosocial and Physical Distress and Functioning by Combined Referral Groups (continued)

Characteristic	Nonreferred (n = 217)		Referred (n = 109)		P
	No.	%	No.	%	
No. of physical symptoms (MSAS)					.484
Mean	6.7		7.1		
SD	5.1		4.3		
Global distress index (MSAS)					.256
Mean	2.1		2.2		
SD	1.0		1.0		
Karnofsky performance status					.492
Mean	81.7		80.9		
SD	9.1		9.3		

Abbreviations: SD, standard deviation; MOS-SSS, Medical Outcomes Study Social Support Survey; BDI-II, Beck Depression Inventory-II; BHS, Beck Hopelessness Scale; ECR, Experiences in Close Relationships inventory; RSES, Rosenberg Self-Esteem Scale; FACIT, Functional Assessment of Chronic Illness Therapy-Spiritual Well-Being Scale; MSAS, Memorial Symptom Assessment Scale.

patients were receiving chemotherapy, 102 were under active clinical surveillance, and chemotherapy had been discontinued in 23 patients who were receiving supportive care alone; treatment status for eight patients was unknown. All treatments were administered with palliative intent.

One hundred nine patients (33.4%) were referred for specialized psychosocial care during their treatment at PMH. Of these, 65 (59.6%) were referred to social work, 23 (21.1%) to psychiatry/psychology, and 21 (19.3%) to both social work and psychiatry/psychology. Mean time from diagnosis to death was 31.6 ± 26.2 months (range, 2 months to 14 years), and did not significantly differ between referral groups ($P > .05$). Mean time from diagnosis to referral was 21.9 ± 27.0 months (range, 2 days to 13 years), and mean time from referral to death for the 96 referred patients who died (88.1%), was 10.6 ± 11.6 months (range, 4 days to 4 years); 59 (54%) of the referrals occurred after WTL Study entry, with a mean time from assessment to referral of 7.6 ± 6.3 months (range, 1 day to 2.5 years). Of those 50 participants referred for psychosocial care before WTL Study entry, mean time from referral to assessment was 6.9 ± 9.3 months (range, 1 day to 3 years).

Analysis of variance and post hoc group-by-group comparisons among the four referral groups (nonreferred, psychology/psychiatry-referred, social work-referred, psychology/psychiatry and social work-referred) revealed that, for all variables, the three referred groups did not differ significantly from each other, but did differ from the nonreferred patient group. Therefore, for all subsequent analyses, patients referred for psychosocial care were combined into one group, and comparisons were made against the nonreferred group.

Table 1 contains the univariate analyses for predisposing enabling factors, and need (psychosocial and physical distress and functioning) in the referred versus nonreferred groups. Patients in the referred group were significantly younger at diagnosis than nonreferred patients, more often unmarried and living alone, and reported more depression, hopelessness, and attachment anxiety, and less social support, self-esteem, and spiritual well-being. There were no significant differences between the referred and nonreferred groups for GDI or any of the physical burden variables.

Figure 1 depicts the referral rates for stratified age grouping. Of the whole sample, 77.8% of those 20 to 39 years of age (seven of nine), 56.0% of those 40 to 49 (28 of 50), 40.7% of those 50 to 59 (41 of 97),

21.3% of those 60 to 69 (23 of 108), and 16.1% of those age 70 years or older (10 of 62) were referred for psychosocial care. Among those who scored at least 15 (a cutoff with high sensitivity and specificity for the diagnosis of major depression in cancer populations¹⁸) on the BDI-II (23.3%, n = 76): 100% of those 30 to 39 (two of two), 66.6% of those 40 to 49 (10 of 15), 40.7% of those 50 to 59 (11 of 27), 30.4% of those 60 to 69 (seven of 23), and 22% of those age 70 years or older (two of nine) were referred. There were no patients in the 20- to 29-year-old age group who scored higher than the BDI-II cutoff. Among those who scored 8 or higher (a suggested cutoff,²⁰ indicating high hopelessness), on the BHS (23%, n = 75): 100% of those 30 to 39 (one of one), 56.3% of those 40 to 49 (nine of 16), 50.0% of those 50 to 59 (12 of 24), 44.4% of those 60 to 69 (eight of 18), and 18.7% of those age 70 years or older (three of 16); there were no patients in the 20- to 29-year-old age group who scored higher than the BHS cutoff. A test of the correlation between age at diagnosis and duration of illness at death was nonsignificant ($P > .05$), suggesting that older patients did not have shorter illness duration than younger patients. Additionally, there were no significant differences between age groups in duration of illness at the time of referral ($P > .05$).

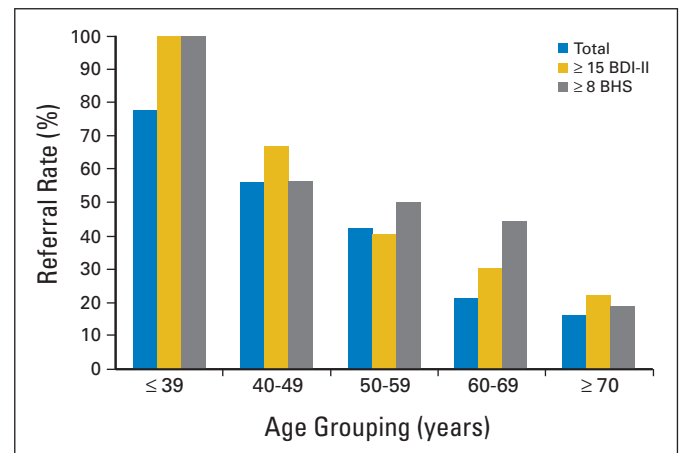


Fig 1. Referral rates for specialized psychosocial care by age grouping for the total sample, patients scoring 15 or higher on the Beck Depression Inventory-II (BDI-II) and patients scoring 8 or higher on the Beck Hopelessness Scale (BHS).

Table 2. Logistic Regression Parameters for the Final Model Predicting Referral for Specialized Psychosocial Oncology Care

Variable	B	SE	Wald t Test	<i>P</i>	Odds Ratio	95% CI	VIF
Married/common law	-0.96	0.31	9.84	.002	0.38	0.21 to 0.70	1.00
Age at diagnosis, years	-0.07	0.01	22.97	< .0001	0.93	0.90 to 0.96	1.03
Depressive symptoms (BDI-II)	0.04	0.02	5.15	.023	1.04	1.01 to 1.08	1.03

Abbreviations: VIF, variable inflation factor; BDI-II, Beck Depression Inventory-II.

Logistic Regression Analysis

Table 2 contains the estimated regression parameters for the final variables retained in the computer-assisted step-wise logistic regression analysis. Referral was associated with younger age at diagnosis, presence of more depressive symptoms, and unmarried status. The final model correctly classified 88.3% of the nonreferred group and 40.4% of the referred group, and had an overall classification rate of 71.3% with a Cox and Snell *R*-squared statistic of 0.174. Analyses on the subsample ($n = 67$) of patients referred to psychosocial oncology within 6 months before or after entering the study reproduced the findings obtained on the whole sample, with younger age ($b = -0.066$, $P < .0001$), unmarried status ($b = -0.986$, $P = .004$), and presence of more depressive symptoms ($b = 0.051$, $P = .022$) all significantly associated with referral for specialized care; the overall classification rate was 75.2%, with 93.9% of the nonreferred group and 18.5% of the referred groups correctly classified. Examination of the VIFs of the predictor variables indicated that multicollinearity did not affect estimated parameters.

Cox Proportional Regression

Table 3 contains the median time from diagnosis to psychosocial oncology referral for the variables found significantly associated with referral in the logistic regression analysis. Table 4 contains the estimated hazard parameters for the final variables retained in the Cox proportional regression analysis. Time to referral for psychosocial care was significantly negatively associated with younger age at diagnosis, unmarried status, and presence of more depressive symptoms. A subsequent analysis was conducted on the subsample ($n = 67$) of patients who were referred for psychosocial care within 6 months of entering the study. These results replicate those found in the whole

sample, with referral significantly associated with younger age at diagnosis ($b = -0.5$, $P < .0001$), unmarried status ($b = -0.68$, $P = .016$), and presence of more depressive symptoms ($b = 0.04$, $P = .013$).

DISCUSSION

In the present analysis, approximately one third of patients with metastatic cancer were referred for specialized psychosocial oncology care, a proportion comparable to that reported in other centers.^{34,35} Of the approximately 25% of patients who scored above the cutoff on measures of depression or hopelessness at study entry, fewer than half were referred for psychosocial care at any point during their clinical follow-up. Even more striking, however, is the association of younger age with referral in this study. Despite similar and significant symptoms of depression, all of those younger than 40 were referred for psychosocial care, and only 22% of those age 70 or older were referred. A similar age-related referral pattern was observed for those with high levels of hopelessness.

The age-related findings regarding referral in this study are consistent with the Andersen model of health care utilization,¹⁰ in which age may be a predisposing factor affecting utilization of psychosocial care. However, the mechanisms that explain this age-related effect require elucidation. It may have occurred because illness is emotionally more traumatic and requires greater life adjustment in those who are younger, because younger patients are more aware of psychosocial services or because they are less likely to perceive stigma in association with the utilization of psychosocial services. It may also be that medical caregivers tend to perceive younger patients as more likely to need or to derive benefit from psychosocial care, or that they underestimate or minimize the needs of older patients. Age-related bias in the allocation of health care services has also been observed in other populations.^{36,37} However, predisposing characteristics such as age have not been taken into account in guidelines for distress screening and referral for psychosocial support in cancer treatment settings.⁴³ It may be important for such factors to be considered to ensure that psychosocial services are most appropriately and equitably distributed.

The lack of association of referral with sex or socioeconomic status contrasts with findings in cancer and other populations in which women have been shown to be more help-seeking,³⁸ and in which the provision of health care resources has been shown to be related to higher socioeconomic status.^{39,40} The absence of this socioeconomic effect could be a result of the relative absence of economic barriers to psychosocial care in this setting, and/or because of the selective referral of some patients specifically because of financial difficulties. Potential sex differences may have been diminished by the support needs of spouse caregivers, who may initiate referrals for their

Table 3. Median Time from Diagnosis to Psychosocial Oncology Referral

Variable	Time to Referral from Diagnosis (months)	
	Median	Range
Age strata, years		
≤ 39	1.1	2 days-3.2 years
40-49	17.0	15 days-10.8 years
50-59	9.7	10 days-5.5 years
60-69	10.5	9 days-7.0 years
≥ 70	20.1	106 days-4.7 years
Married/common law	23.5	15 days-10.8 years
Unmarried	19.8	2 days-14.7 years
High BDI-II scorers (BDI-II ≥ 15)	17.3	2 days-9.5 years
Low BDI-II scorers (BDI-II < 15)	22.9	10 days-14.8 years

Abbreviation: BDI-II, Beck Depression Inventory-II.

Table 4. Referral for Specialized Psychosocial Oncology Care

Variable	B	SE	Wald <i>t</i> Test	<i>P</i>	Hazard	95% CI
Married/common law	-0.66	0.28	5.482	.019	0.52	0.30 to 0.90
Age at diagnosis, years	-0.05	0.01	12.586	< .0001	0.95	0.93 to 0.98
Depressive symptoms (BDI-II)	0.04	0.02	6.082	.014	1.04	1.01 to 1.08

Abbreviation: BDI-II, Beck Depression Inventory-II.

ill partners. In that regard, we have recently shown that spouse caregivers may be even more distressed than cancer patients themselves.⁴¹

The present study does not establish whether there were other appropriate and effective responses initiated to alleviate patient distress. However, there is consensus that psychosocial needs tend to be inadequately addressed in cancer treatment settings⁴² and current National Comprehensive Cancer Network (NCCN) guidelines⁴³ recommend that patients with moderate to severe distress be considered for referral for psychosocial care.⁴³ There are, at present, no age-related guidelines for referral of cancer patients for psychosocial care in Canadian settings.

Limitations of the present study include its retrospective design, the selective recruitment of participants from a specialized cancer treatment center where clinical trials are being conducted, and the exclusion of non-English speaking and cognitively impaired patients. Further research is needed to determine whether these findings apply to community-based settings and to clarify the relationship of referral to distress because the timing between the assessment of distress and referral was not controlled in the present study. Finally, there should be caution in the interpretation of the age-related findings because of the under-representation of some age groups in our sample.

The findings from this study warrant further exploration including a more in-depth examination of barriers, patient preferences, medical caregiver attitudes, and other factors that may contribute to age-related differences in referral. More research is also needed to clarify which patient groups and which symptoms or needs are most likely to benefit from referral for psychosocial care. The identification of age-related differences in the effectiveness of specific psy-

chosocial interventions may inform the appropriate allocation of psychosocial resources. Research is also needed to determine to what extent better communication and supportive interventions by primary health care providers may alleviate and prevent distress in cancer patients and diminish the need for referral for specialized psychosocial care. These questions are important to address to ensure that systemic barriers to psychosocial care are minimized.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

The author(s) indicated no potential conflicts of interest.

AUTHOR CONTRIBUTIONS

Conception and design: Janet Ellis, Judy Lin, Andrew Walsh, Christopher Lo, Madeline Li, Camilla Zimmermann, Lucia Gagliese, Gary Rodin

Administrative support: Andrew Walsh

Provision of study materials or patients: Frances A. Shepherd, Malcolm Moore

Collection and assembly of data: Judy Lin, Andrew Walsh

Data analysis and interpretation: Andrew Walsh, Christopher Lo, Gary Rodin

Manuscript writing: Janet Ellis, Judy Lin, Andrew Walsh, Christopher Lo, Frances A. Shepherd, Malcolm Moore, Madeline Li, Camilla Zimmermann, Lucia Gagliese, Gary Rodin

Final approval of manuscript: Gary Rodin

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