



24 **Abstract**

25 Processing of food is linked to unavoidable and inedible food waste that, despite efforts to  
26 minimize waste, will persevere. It nevertheless represents a stable feedstock for the future  
27 bioeconomy value chains and products. This study presents a systematic review of 149  
28 examples from the scientific literature using inedible, unavoidable food residues and wastes  
29 for the production of value-added bio-based compounds that could substitute synthetic  
30 chemicals production. The main high-value products investigated are organic acids,  
31 bioplastics, colorants, enzymes and other platform chemicals. We found 44 examples of acid  
32 production with high variability in output (from 43 to 640 g kg<sup>-1</sup>waste), 9 examples of  
33 bioplastics (from 0.28 to 49 g L<sup>-1</sup>), 26 examples related to colorants (from 0.04 to more than  
34 400 mg per 100g), 22 cases of enzyme production (from 6.8 to 34,000 U g<sup>-1</sup>), 4 examples of  
35 protein (23.6 to 38.5 % wt. DM) and 44 cases of other high-value molecules such as pectin and  
36 single cell oils. Our findings highlight fermentation as a key technology for the valorization of  
37 the studied feedstock, with 76 examples out of the 149 reviewed. The review process also  
38 uncovered important limitations related to the lack of standardized food waste definitions, a  
39 barrier that is discussed and for which solutions are proposed. At the light of our findings, we  
40 further proposed guiding criteria towards the sustainable development of future biorefineries  
41 based on food waste. This work touches upon several Sustainable Development Goals, in  
42 particular goals 8.2, 9.5, and 12.3.

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45 **Keywords:** food waste definition, circular economy, circular bioeconomy, bioplastic, platform  
46 chemicals, enzyme

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## 48 **1. Introduction**

49 In the last decade, it became clear that the food sector (i.e. activities related to producing and  
50 consuming food) is a large contributor to global warming (Vermeulen et al., 2012). Besides  
51 production-side mitigation options targeting improvements of the primary production (i.e.  
52 agriculture), or demand-side measures targeting diets, several studies pinpoint the reduction of  
53 food waste as a readily accessible measure to significantly reduce the environmental impacts  
54 from the food sector (Foley et al., 2011; Hiç et al., 2016; Springmann et al., 2018). In fact, FAO  
55 (2011) highlighted that 1.3 billion tonnes of edible food is lost or wasted globally each year.  
56 Recently, the project FUSIONS reported that the EU-28 countries account for 88 million tonnes  
57 of inedible and edible food waste per year (Stenmarck et al., 2016). It is evident that the food  
58 sector needs to undergo important changes, both in terms of improved supply chains and food  
59 waste valorization, in order to achieve European and global sustainability ambitions. More  
60 precisely, the United Nations (UN) Sustainable Development Goal (SDG) 12.3 targets “the  
61 reduction of the food losses along production and supply chains by 2030” (UN, 2016) and EU-  
62 28 target to decrease 30 % of the food waste by 2025 in manufacturing, retail/distribution, food  
63 service/hospitality sectors as well as households (EC, 2014). These aims to decrease large  
64 quantities of food waste coupled with its documented valuable content attracted attention from  
65 both the industry and the scientific community (Schieber, 2017). Upon proper design, capturing  
66 and re-circulating unavoidable, inedible food waste streams and residues as the new products  
67 within the economy could contribute to the sustainability growth goals listed in the European  
68 Bioeconomy Strategy, namely: i. Food security, ii. Managing natural resources sustainably, iii.  
69 Reducing dependence on non-renewable resources iv. Mitigating (and adapting to) climate  
70 change; v. Creating jobs and maintaining EU competitiveness (EC, 2018). Existing studies of  
71 food waste biorefineries have highlighted an untapped potential for the extraction of valuable  
72 biomolecules leading to high value compounds from biopesticides, bioplastics, and enzymes

73 to antioxidants, proteins, nutraceuticals and colorants (Vea, Romeo and Thomsen, 2018;  
74 Mirabella, Castellani and Sala, 2014; Machmudah *et al.*, 2012; Galanakis, 2012).

75 Food waste and food losses are generated throughout the whole food supply chain from farm  
76 to fork. It accounts for the biomass intended for human consumption that is discarded, lost,  
77 degraded or contaminated. However, addressing food waste valorization alternatives is  
78 challenged by definitional differences influencing the qualitative and quantitative evaluation  
79 of these alternatives. This definitional challenge has also been pointed out in previous studies  
80 (Parfitt *et al.*, 2010; Xue *et al.*, 2017), as well as in the recent EU Bioeconomy Strategy (EC,  
81 2018). First, there is a distinction to make between food loss and food waste, the loss being  
82 “the decrease in quantity or quality of food” and waste the “food that has been left to spoil or  
83 expire as a result of negligence by the actor (predominantly, but not exclusively, the final  
84 consumer)” (FAO, 2014). FAO further defines food loss as occurring during the production,  
85 post-harvest and processing stages and food waste as occurring during the retail and final  
86 consumption stages (FAO, 2011). This definition excludes, both for food loss and food waste,  
87 the waste intended for feed as well as parts of biomass that are not edible, implying that streams  
88 such as bones may not be accounted for in the waste statistics, as well as the inedible post-  
89 consumption streams, such as cooking oil or coffee grounds.

90 A distinction between edible and inedible food wastes is often made (Xue *et al.*, 2017). The  
91 EU project FUSIONS (Östergren *et al.*, 2014) and the “Food Loss and Waste Accounting and  
92 Reporting Standard”, also known as the FLW Protocol (WRI, 2016), include inedible parts of  
93 food in their definitions of food waste. Both exclude biomass not intended for human  
94 consumption, such as feed and biomass intentionally produced for energy and industrial use,  
95 while FUSIONS also leaves out all pre-harvest (not mature) biomass. Further differentiation  
96 of waste types are avoidable, unavoidable and possibly avoidable (WRAP, 2008). WRAP  
97 (2008) defines unavoidable wastes as inedible parts of post-harvest food like fruit cores,

98 peelings, and bones while possibly avoidable can include peels from potato or carrot as it can  
99 be eaten or used in processing, depending on the process specifics, consumer preference and  
100 regional regulations.

101 Using food waste for the production of high-value products is foreseen to increase its share in  
102 the global market. Bioeconomy is projected to grow rapidly in the future, having generated 2.2  
103 trillion euros in Europe with 18.6 million people employed in 2014 (Ronzon et al., 2017). Bio-  
104 based chemicals are expected to reach a share of 15% of the global chemical market by 2025  
105 (Alexandri and Venus, 2017), and to generate 102.76 US\$ billion in 2022 (Zion Market  
106 Research, 2017).

107 However, incorporating food waste into the bioeconomy poses the risk of rebound effects  
108 encouraging waste generation (Zink and Geyer, 2017). Therefore, this review focuses on  
109 inedible, unavoidable food waste that can be partly minimized, but not prevented (see above  
110 definition from WRAP 2008). This will, from this point onwards, be referred to as inedible,  
111 unavoidable food waste (IUFW). It further focuses on IUFW stemming from food processing  
112 operations, as this can generate important and accessible streams in comparison to e.g. waste  
113 from the post-consumption stage. These types of wastage are sometimes referred to as by-  
114 products or residue streams. It should also be highlighted that in the EU, post-processing  
115 streams that leave supply chains are often considered as inedible as residue/waste and are often  
116 not eligible for direct human consumption (Östergren et al., 2014). Even though detailed  
117 quantifications of food waste from the processing sector, at a variety of geographical scales,  
118 have been performed and are still on-going (e.g. Parfitt et al., 2010; Tonini, Hamelin and  
119 Astrup, 2016; Panoutsou, Eleftheriadis and Nikolaou, 2009), little efforts have attempted to  
120 explore and compile the available knowledge on optimal transformation pathways for inedible  
121 food waste such as pits, fruit core or peels. The capture and reuse of the residual side streams  
122 from the food processing industry and the waste streams prior to moving down the waste

123 hierarchy (landfilling, incineration) is paramount for the circular economy and a key focus for  
124 the future research and technology promotion.

125 In order to bridge this gap, and supply insights for future food waste biorefinery investments,  
126 the overall aim of this study is to provide a quantified overview of the emerging bioconversion  
127 processes using the IUFW fraction from the food processing industry, and the ability of these  
128 processes to supply high-value products to the market.

129

## 130 **2. Method**

### 131 **2.1 Definitions**

132 The two most widely used food waste definitions in Europe are those of the FUSIONS project  
133 (applied in the EU-28 countries) (Östergren et al., 2014), and of the FLW Protocol (WRI,  
134 2016). The EU project FUSIONS defined food waste as “food and inedible parts of food  
135 removed from the food supply chain”, where food has or had the potential to be eaten. This  
136 encompasses any food waste that has been lost or diverted from the food supply chain,  
137 excluding pre-harvest crops and pre-slaughtering animals, which are labelled as “not mature”.  
138 The food waste may be disposed or recovered through a variety of technologies/techniques,  
139 including “composting, crops ploughed in/not harvested, anaerobic digestion, bioenergy  
140 production, co-generation, incineration, disposal to sewer, landfill or discarded to sea”  
141 (Östergren et al., 2014).

142 While the FLW Protocol definition also includes inedible parts of food, it expands the end-of-  
143 life treatment by including “bio-based materials/biochemical processing, controlled  
144 combustion, land application, and refuse/discard/litter” (WRI, 2016). The boundaries of the  
145 FUSIONS and FLW Protocol exclude the biomass not directly intended for human  
146 consumption, such as crops intentionally grown for bioenergy, animal feed, and industrial use.

147 The FLW Protocol is supported by a steering Committee involving institutions such as the  
148 World Resources Institute (WRI), the UK Waste and Resources Action Programme (WRAP),  
149 the FUSIONS project, the United Nations Environment Programme (UNEP) among others  
150 (FWL, 2013).

151 In this study, we build on the definition of the FLW Protocol, i.e. inedible and unavoidable  
152 parts of processed food items are “food waste”, even if used for the production of biochemicals  
153 and biomaterials. We propose a slight adaptation by including animals and fish dying prior to  
154 leaving the farm (“non-mature dead animals and fish” in FUSIONS) as “food waste”, as  
155 proposed in Hartikainen *et al.*, (2018). The definition boundary considered in this study is  
156 represented in Figure 1. It should also be highlighted that this review does not distinguish  
157 between food loss and waste due to a lack of transparency and inconsistencies (e.g. using  
158 different or overlapping definitions) found in the reviewed literature. In scientific literature,  
159 authors often do not clearly define the difference between food loss and waste or account for  
160 both of them aggregated.

## 161 **2.2 The scope of the review and data treatment**

162 We reviewed quantitative studies focusing on the use of unavoidable inedible waste streams  
163 from the food processing industry that were used for the production of higher-value products.  
164 The key words applied to the search engine were “**biorefinery**”, “**food waste**”, and “**value-**  
165 **added product**”. These keywords were applied with the Boolean operator “AND” into Google  
166 Scholar using the multi-character wildcard “\*” search for five targeted bio-based products,  
167 namely: **acids\***, **bioplastics\***, **colorants\***, **enzymes\***, **protein\***. The keywords were selected  
168 based on the pre-screening of the literature using different keywords variations. The five above-  
169 mentioned bio-based products for wildcard search were chosen as the most mentioned ones in  
170 the pre-screened literature (as detailed below), and were kept broad (rather than specific with

171 terms such as e.g. “chitin”, “acetone” or “pectin”) in order to generate broader search results.  
172 Acids, colorants, enzymes and proteins were chosen based on two influential food waste  
173 reviews; the highly cited studies of Mirabella et al. (2014) (colorants mentioned as lycopene,  
174 and  $\beta$ -carotene) and Galanakis (2012), respectively. Bioplastics were selected based on Uçkun  
175 Kiran et al. (2015) and their foreseen importance in the future bioeconomy (EC, 2018; Ronzon  
176 et al., 2017). The literature search deliberately excluded output products such as bioenergy  
177 production and energy carriers, as well as lower-value products such as organic fertilizers as  
178 these have been addressed and explored many times in the literature.

179 The review method applied herein was inspired by the PRISMA framework for systematic  
180 reviews and meta-analysis (see Supporting Information 1). Firstly, the review only considered  
181 the literature published in English after 2010. Cases that included input biomass deriving from  
182 soybean and palm tree (*Elaeis*) were also excluded, as these crops are drivers for tropical  
183 deforestation (West *et al.*, 2014; Henders, Persson and Kastner, 2015), itself representing ca.  
184 10% of global annual CO<sub>2</sub> emissions (Le Quéré et al., 2018; Pan et al., 2011).

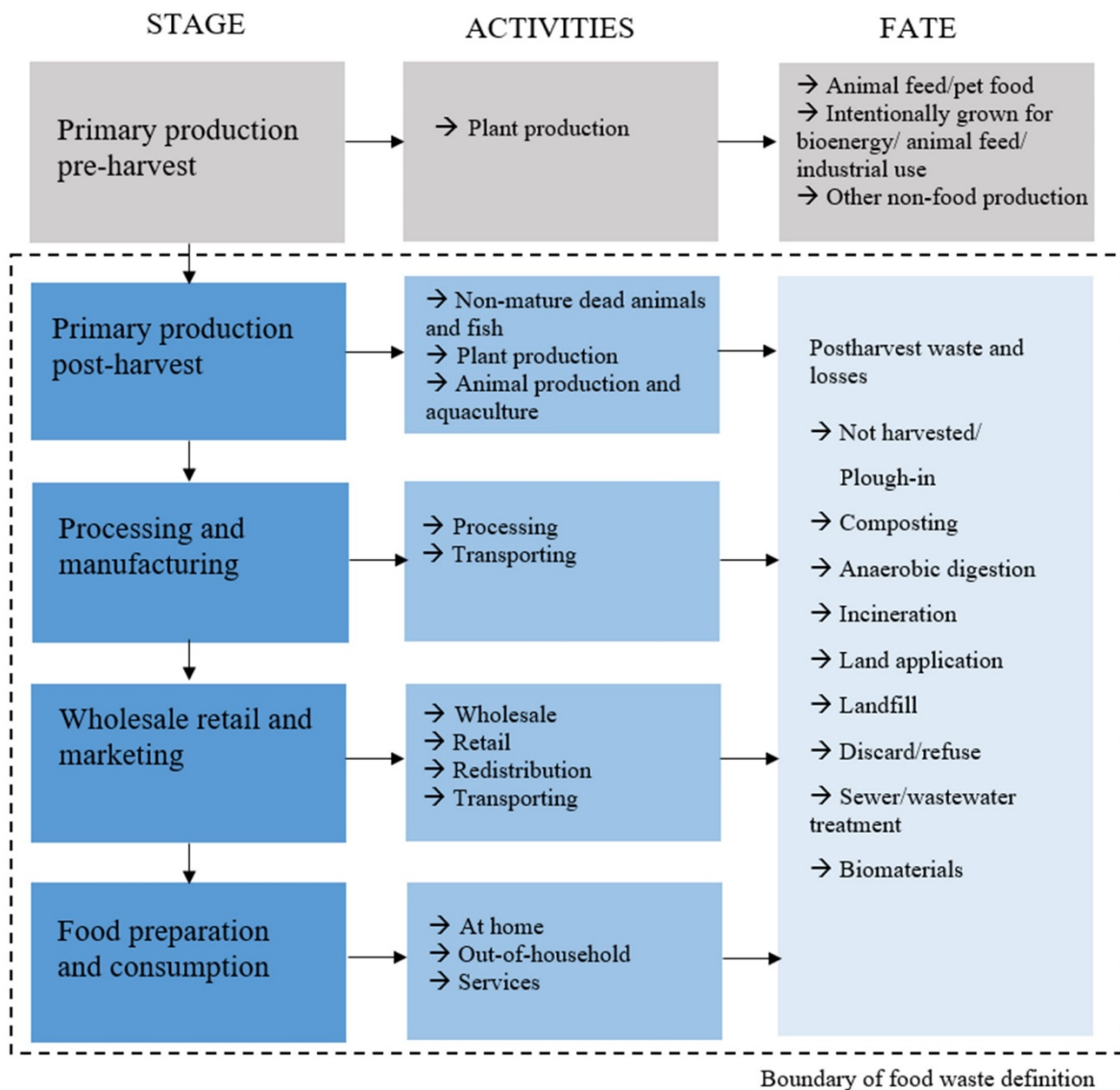
185 The initial search yielded 358 hits; after applying the above-mentioned selection criteria  
186 (English, after 2010, no soy or palm) and removing duplicates, only 81 scientific articles and  
187 one PhD thesis remained. In the second stage, the abstract and methods of these 81 studies  
188 were screened. Those judged not to be related to the present research were discarded from  
189 further examination. After this step, a total of 31 articles were selected to be included in the  
190 review (SI1). As this review endeavors to report the data on production flows (linking the input  
191 and selected output products of waste-based biorefineries), original references were included  
192 when the quantified yield and/or productivity were lacking. This especially applied for  
193 colorants, for which no quantified output flows were reported in the selected 31 articles. For  
194 these additional references, the same selection criteria as above-mentioned were applied. As a  
195 result of this step, the final count of scientific literature to review was 53 articles, and one PhD



196 thesis (SI1). Out of these, 37 reported quantitative data of laboratory experiments; these were  
 197 included in Tables 1-6 and Supporting Information 2 (SI2).

198 **3. Results**

199 Out of the 37 studies with quantitative data, we identified five studies related to the conversion  
 200 of inedible food waste to bio-based organic acids, six related to bioplastics, eleven related to  
 201 colorants, six related to enzymes, two related to proteins and eleven included other high-value  
 202 products (pectin, essential oils etc.).



**Figure 1** Supply chain and definition boundary. The dotted line indicates the definition boundary of food waste. The top part outside of the boundary is considered as agricultural waste. The first column to the left shows the stages of the food chain. The second column represents the different activities, within the food chain stages, that can generate waste. The last column shows the fate of food waste. The terminology is taken in accordance to the FUSIONS project and the FLW protocol.

203 The reviewed studies covered a broad spectrum of food processing waste, including a variety  
204 of peels (potato, tomato, kiwi, orange, banana, etc.), plant biomass (tomato, barley hulls), spent  
205 coffee grounds, and several pomace wastes (apple, tomato, olive, grape), among the most  
206 prominent. This section provides a general overview of the quantified input and output flows  
207 from the reviewed literature, linking IUFW to the targeted high-value products. The full  
208 detailed results are available in SI2.

209 It can be argued that some of the wastes included are edible (and thus partly avoidable; e.g.  
210 tomato and potato peels), but their final fate is in many ways dependent upon the final  
211 consumer's preferences and therefore differs from case to case. However, it should be  
212 highlighted that this review only focusses on processing waste; this waste being generated in  
213 large amounts with homogeneous quality and often regarded as inedible for human  
214 consumption. Retail and consumption (inedible) food waste is outside the scope of this study.

### 215 **3.1 Bio-based organic acids**

216 Bio-based organic acids, like their fossil alternative, are platform chemicals (Takkellapati et  
217 al., 2018) that have many uses and can be further refined as bioplastics and other high-value  
218 products. Fermentation processes are generally preferred for acid production from food waste  
219 and food residues as they provide a suitable substrate for the acid-producing fungi and bacteria,  
220 given their high moisture content. Feedstock with moisture content from 60% to 85% is  
221 favorable for bacteria while fungi require moisture content around 40% to 60% (Abu Yazid,  
222 2017). Using IUFW as a substrate for acid production offers many advantages such as cheap  
223 substrates with suitable carbohydrate content for microbial fermentation that does not require  
224 extensive physicochemical and enzymatic pre-treatment, as in the case of starchy and  
225 lignocellulosic biomasses (Uçkun Kiran et al., 2015). During fermentation, glucose and sucrose

226 are metabolized by bacteria, such as lactic acid bacteria, into lactic acid (LA) (Uçkun Kiran et  
227 al., 2015).

228 Lactic acid (LA) is a platform chemical that has been used for many years in various industries  
229 from pharmaceutical to leather and textile industries. It contains both carboxylic and hydroxyl  
230 groups and therefore can be further transformed to many chemicals, such as acrylic acid, 1,2-  
231 propanediol and others (Uçkun Kiran et al., 2015). Bio-based LA production has been already  
232 established in China, USA (NatureWorks) and Europe (Corbion, Total-Corbion, Galactic)  
233 (Alexandri and Venus, 2017). Uçkun Kiran, Trzcinski and Liu (2015) reported lab-scale  
234 productivity as high as 5.41 g lactic acid L<sup>-1</sup> h<sup>-1</sup> from apple pomace.

235 Succinic acid is one of the most significant platform chemicals and its market has been  
236 constantly growing as shown in IEA Bioenergy (2012). Its production from bio-based  
237 feedstock is competitive with the petrochemical-based production, with a market value over  
238 108 million USD (2013) for bio-based and 100 million USD for petroleum-based productions  
239 (Alexandri and Venus, 2017). It is commercially produced from plant-based substrates by the  
240 companies Myriant (corn glucose), Succinity and Reverdia (starch-based sugars), among others  
241 (Mika et al., 2018). There are natural microbial strains that are capable to produce up to 70 g  
242 succinic acid per liter from lignocellulosic substrates (Mika et al., 2018), the global market was  
243 projected to be about 90,000 tons for the year 2015 (IEA Bioenergy, 2012). A more recent  
244 example includes Li et al. (2018) who were able to produce 0.46 g g<sup>-1</sup> of succinic acid from  
245 fruit and vegetable hydrolysate in batch treatment fermentation with engineered *Yarrowia*  
246 *lipolytica*. Further, 0.77 g.g<sup>-1</sup> of succinic acid per total sugar from citrus peels was achieved by  
247 microbial fermentation with *Actinobacillus succinogenes* (Patsalou et al., 2017).

248 Citric acid (CA), similarly to succinic and lactic acid, is widely used in the production of  
249 materials and chemicals of numerous industries. It is becoming popular in the biomedicine

250 sector for the biopolymer production, which is further used in nano-medicine, but also as an  
 251 active compound in drug delivery. Due to this recent development, the global production of  
 252 citric acid is rising with 3.7% annual growth (Cavallo et al., 2017), and it was reported to be  
 253 1.4 million tons in 2013 (Uçkun Kiran et al., 2015). Another high-value acid is levulinic acid  
 254 with increasing use as a food additive and in pharmaceutical applications, with a selling price  
 255 between 1000 and 3000 USD per ton (Mika et al., 2018).

256 Table 1 provides several examples of organic acid production from food waste and food  
 257 residues. The extensive review of inventoried data can be found in the Supporting Information  
 258 (SI2), with 44 examples ranging from 43 g to 640 g bio-based acid kg<sup>-1</sup> IUFW.

**Table 1** Organic acid production from food waste and food residues.

Feedstock	Output Product	Technology/Processes	Note	Productivity/yield	Reference
Apple pomace	Lactic acid	Fermentation with <i>Lactobacillus rhamnosus</i> ATCC9596	Dried, ground, made in packed bed reactor	0.465 g/ g waste, 5.41 g /L/h	(Uçkun Kiran et al., 2015)
Apple pomace waste	Citric acid	Fermentation via <i>Aspergillus niger</i> NRRL 567	fermentation was optimized by RSM <sup>b</sup> method	0.45 g/g	(Yang et al., 2015)
Banana peel <sup>c</sup>	Citric acid	SSF <sup>a</sup> with 1% (v/w) methanol and 10 ppm of copper ions	72 h fermentation	82 g/kg	(Abu Yazid, 2017)
Date waste	Citric acid	Fermentation with <i>Aspergillus niger</i> ATCC16404	Heated, filtered, decanted 3 L reactor with 1L working vol.	0.76 g/g sugar	(Uçkun Kiran et al., 2015)
Orange peel	Citric acid	3-fold SSF <sup>a</sup> with <i>Aspergillus niger</i>		193.2 g/kg	(Abu Yazid, 2017)
Tomato plant waste	Levulinic Acid	10 wt % concentration of feedstock, catalyst HCl 1 M, T is 225 °C, 2 min		Yield 63 %, 45 wt%	(Mika et al., 2018)
Waste bread <sup>c</sup>	Succinic acid	Fermentation with <i>Actinobacillus succinogenes</i> ATCC 55618	Ground, dried, limonene separation, 125 mL bottles, anaerobic conditions	0.55 g/g waste, 1.16 g/g sugar, 1.12 g/L/h	(Uçkun Kiran et al., 2015)

259 <sup>a</sup> SSF: solid-state fermentation; <sup>b</sup> RSM: response surface methodology; <sup>c</sup> from processing, but could also occur on the  
 260 consumption stage

### 261 3.2 Bioplastics

262 The global production of plastics is dominated by thermoplastics and thermosetting plastics  
 263 obtained from crude oil refining or natural gas. The utilization of organic wastes for bioplastics  
 264 production can play important role in transitioning from a linear economy, which uses mainly

265 finite resources. For example, plastic packaging uses 98% oil-based raw feedstock while  
266 recycling uses only 5% raw material. Further, the global plastic packaging production  
267 consumes 6% of the global annual oil supply which is approximately equal to the world's oil  
268 consumption by the aviation sector (Kaur et al., 2018).

269 There has been an increase in bioplastic demand, which was facilitated with accessible cheap  
270 feedstock from the food and agricultural sector. This is enhanced by raising consumer concerns  
271 about marine litter and overall fossil resources consumption. There are a variety of examples  
272 and potentials for food waste-based bioplastics. For instance, chicken feathers have been  
273 studied for keratin-based thermosetting plastics, as keratin is a strong natural polymer (Tesfaye  
274 et al., 2017). A thermosetting plastic, similarly to epoxy resins, cannot be re-melted once  
275 hardened, as opposed to thermoplastics like polystyrene, polyethylene and others, which can  
276 be reshaped when heated. Therefore, the base feedstock for thermosetting plastic should be  
277 carefully chosen as the options for recycling are limited. Starchy and/or sugary substrates, like  
278 maize and sugarcane, are suitable for thermoplastics production with high recycling potential  
279 (Tesfaye et al., 2017).

280 Polyhydroxyalkanoates (PHAs) are plastic-like materials that can be made from zero to low-  
281 value feedstock like food waste. They are biodegradable while having physical and chemical  
282 characteristics similar to their conventional fossil alternatives (Zhu et al., 2010). Using waste  
283 material and residues is foreseen to decrease the operational costs of bio-based plastics, which  
284 is the main bottleneck in a large scale commercial PHA production (Ravindran and Jaiswal,  
285 2016). PHAs are especially attractive as a multi-purpose material since they have good  
286 elastomeric properties (Alexandri and Venus, 2017), and are degradable in soil, compost and  
287 oceanic sediment (Roland-Holst et al., 2013). The biodegradability is dependent upon various  
288 factors, ranging from microorganisms present in the environment (Ciesielski et al., 2015), to

289 exposed surface areas, pH and temperature (Roland-Holst et al., 2013). Queiroz and Collares-  
290 Queiroz (2009) reported degradation of biobased PHAs in 45 to 56 days.

291 PHA is used in packaging films, bags, containers, coatings and more recently in razor handles,  
292 bottles and cups (Roland-Holst et al., 2013). Poly-3-hydroxybutyrate (PHB), a type of PHAs,  
293 is a very versatile plastic that can be used for flexible and sturdy products through crystallinity  
294 manipulation (Roland-Holst et al., 2013).

295 PHAs are produced by microorganisms that can thrive on various wastes, such as olive pomace  
296 (Waller et al., 2012) rapeseed meal hydrolysates, sugarcane bagasse hydrolysates, whey and  
297 other food derived waste streams (Alexandri and Venus, 2017). Obruca *et al.* (2015) reported  
298 productivity of 49.4 g PHA L<sup>-1</sup> using spent coffee grounds via the microorganism *Cupriavidus*  
299 *necator* (additional data on this experiment are reported in Table 2 and SI 2). Table 2 provides  
300 various examples of bioplastic production from food wastes, with additional cases included in  
301 SI2.

302 The industry has been attracted to the possibility to use food waste for biopolymer production.  
303 Bio-on, for example, is an Italian company using food and agricultural residues to produce  
304 PHA. Some feedstock used include wine marc (stalks, seeds and peels residuals from the wine  
305 production process), animal fat, fruits, vegetables, potatoes, sugar cane and sugar beet. Their  
306 technology has production capacities ranging from 5 and 10 thousand tons of PHA per year  
307 (bio-on.it, 2018).

308 The cheaper alternative of compostable (and degradable) thermoplastic to PHA is polylactic  
309 acid (PLA). PHA is sometimes added to PLA to increase its resistance (Alexandri and Venus,  
310 2017). Fermentation of lactic acid (see section 3.1) is often applied to produce PLA. However,  
311 the market price of PLA is still considerably higher than the conventional fossil-based plastics,  
312 such as polyethylene terephthalate (PET) (Roland-Holst *et al.*, 2013; it should be highlighted

313 that with the use of bio-based ethanol, PET can also be bio-based, e.g. Tsiropoulos et al., 2015).  
 314 PLA is used in many plastic products such as plant pots, food packaging, beverage containers,  
 315 disposable foodservice tableware and disposable napkins (Roland-Holst et al., 2013). It is also  
 316 used as a common base material for 3D printing (via the Fused Deposition Modeling  
 317 technology; FDM) such as PLA based filaments (Cicala et al., 2018).

**Table 2** Bioplastic production from food waste and food residue.

Feedstock	Output Product	Technology/Processes	Note	Productivity/yield	Reference
Olive pomace	PHA	Anaerobic fermentation in a sequencing batch fermenter (SBF), centrifuge, buffer pretreatment, fermentation via mixed culture from activated sludge	The frozen olive pomace was thawed and diluted in deionized water at concentrations between 75 and 300 g/L olive pomace. The solution was mixed on a stir plate for 10 min; then filtered through a 1-mm sieve bench-scale sequencing batch reactors (SBRs)	HRT 1 day, SRT 6 days fermentate, productivity: 0.042 g/L/day, yield: 0.28 g/L fermentate eq. 0.84 g/L	(Waller et al., 2012)
Potato waste	PHB	Fermentation with <i>Alcaligenes eutrophus</i>		0.37 g/g sugar, 0.77 g/g cell	(Uçkun Kiran et al., 2015)
Spent coffee grounds	PHA	Fermentation via <i>Cupriavidus necator</i> H16	Oil extraction from ca. 15% of biomass. Highest yield and productivity obtained from the fed-batch fermenter.	49.4 g/L; 0.82 g/g of oil	(Obruca et al., 2015)
Rapeseed oil waste	PHA	<i>Pseudomonas sp.</i> GI01	Fed-batch treatment	PHA content: 44%, yield: 2 g/L	(Ciesielski et al., 2015)
Wheat milling by-product	PHB	Fermentation with <i>Wautersia eutropha</i> NCIMB 11599	Enzymatic, saccharification & liquefaction; Bioreactor with 1 L working volume.	0.3 g/g waste, 0.47 g/g sugar, 0.93 g/g cell, 0.9 g/L/h	(Uçkun Kiran et al., 2015)
Wheat straw hydrolysate	P(3HB-co-3HV)	Fermentation via <i>Burkholderia sacchari</i> DSM 17165	Ground wheat straw using the AFEX (The Ammonia Freeze Explosion) process as pretreatment followed by enzymatic hydrolysis of the cellulose and hemicellulose fractions. Fed-batch for 61 hours.	1.6 g/L; 0.22 g PHB/ g total sugar consumed	(Cesário et al., 2014)
Wine lees (WL)	P(3HB-co-3HV)	WL hydrolysates and crude glycerol as nutrient and carbon source for fermentation via bacterial strain <i>Cupriavidus necator</i> DSM 7237	WL was initially fractionated for the production of antioxidants, tartrate and ethanol and the remaining stream was used for fermentation. Hydrolysis with enzymes from SSF.	30.1 g/L; 0.56 h/L/h (from initial 700mg/L FAN (Free Amino Nitrogen))	(Dimou et al., 2015)

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### 320 3.3 Bio-based colorants

321 The pomace side stream from the processing of fruits is a good source of carotenoids, especially  
322 in the case of citrus residues. Carotenoids have many health benefits, such as antioxidant  
323 activity, enhancement of the immune system, decreased risk of cancer, cardiovascular diseases  
324 and muscular degeneration, they have a neuroprotective effect and help with age-related  
325 muscular degeneration (Freitas et al., 2015; Strati and Oreopoulou, 2014; Mustafa et al., 2012).  
326 They are often used as natural dyes (Papaioannou et al., 2016), food colorants (Martins et al.,  
327 2016) and dietary supplement (Guerin et al., 2003) due to their health improving properties.  
328 Lycopene and  $\beta$ -carotene are the most common carotenoids extracted from fruits.  $\beta$ -carotene  
329 can be found in fruits like oranges (Moraes et al., 2013) and vegetables like carrots with  
330 concentrations as high as 419.3 mg per 100 g (Mustafa et al., 2012).

331 The strongest antioxidant amongst carotenoids is lycopene, with very high content in tomatoes  
332 (Urbonaviciene et al., 2012), and concentrations up to 410.53 mg kg<sup>-1</sup> (Kehili et al., 2016).  
333 Papaioannou et al. (2016) provided an overview of lycopene downstream processing, and its  
334 bioavailability in tomatoes, while Strati and Oreopoulou (2014) summarized the available  
335 extraction methods of lycopene and other carotenoids from tomato processing side streams.  
336 More examples are provided in SI2.

337  $\beta$ -Carotene is the precursor of vitamin A, which is essential in several body systems like vision,  
338 cell differentiation, synthesis of glycoprotein, and growth and development of bones. It also  
339 helps to ameliorate age-related muscular degeneration and decreases cataract formation (Dutta  
340 et al., 2005). Due to these benefits, carotenoids have been used in cosmetics (Machmudah et  
341 al., 2012). Besides their health benefits, they also help to extend the shelf life of food. As a  
342 colorants, lycopene and  $\beta$ -carotene of natural origin are accepted as natural dyes for various  
343 food products (Strati and Oreopoulou, 2014). The global market for carotenoids was 1.2 billion



344 USD in 2015 (Strati and Oreopoulou, 2014) and is expected to rise to 1.4 billion USD, with  $\beta$ -  
 345 carotene worth 334 million USD in 2018 (BCC Research, 2011).

**Table 3** Colorant production from food waste and food residue.

Feedstock	Output Product	Technology/Processes	Note	Productivity /yield	Reference
Blanched tomato peel	$\beta$ -carotene	Solvent extraction with hexane. Stability of colorant was analyzed by high-performance liquid chromatography (HPLC).	Waste from greenhouses. Blanched tomatoes have approximately 2 times more $\beta$ -carotene than in non-blanched tomato peels.	24.7 mg/100g	(Urbonaviciene et al., 2012)
Carrot pomace	$\beta$ -carotene	Pressurized Hot Ethanol Extraction. HPLC-DAD (Diode-Array Detection) and HPLC-UV (Ultraviolet Detection) for carotenoid content.	Soft soggy carrots and orange carrots. Extraction time (2–10 min) and the temperature (60–180 °C). Highest yields at achieved at 60 °C.	Soggy carrots 22.9 mg/100g ; orange carrots 419.3 mg/100 g	(Mustafa et al., 2012)
Grape pomace	Anthocyanins	Accelerated solvent extraction (ASE). Anthocyanins quantified by HPLC-MS (Mass Spectrometry)	Freeze-dried, ground Sunbelt red grape pomace. Highest yields achieved at 100 °C.	450 mg/100 g of DW	(Monrad et al., 2010)
Orange peel	$\beta$ -carotene	Acetone extraction	Carotenoids extracted with chilled acetone, saponified overnight with 10 g/L KOH in a methanol solution at room T. Then washed to remove the alkali and concentrated in a rotary evaporator (T < 35°C)	1.21 mg/100 g DM	(Moraes et al., 2013)
Pineapple	$\beta$ -carotene	UV-C radiation. Extraction using several solvents, e.g. ethanol and hexane.	UV-C radiation in closed wooden coated box (28cm height×46cm width×52cm length), radiation dose of 1.6 kJ/m <sup>2</sup> , 3 min per side.	rind, 2537–3225 $\mu$ g/100g DW; core, 960–994 $\mu$ g/100g DW	(Freitas et al., 2015)
Tomato peel and seeds	$\beta$ -carotene	Semi-continuous supercritical carbon extraction. Carotenoids extracted by chloroform soxhlet extraction and analyzed by HPLC	Optimum conditions for lycopene extraction: 90 °C, 40 MPa, and a ratio of tomato peel to seed of 37/64.	1.51 mg/g dry tomato peel	(Machmudah et al., 2012)
Tomato peel and seeds	Lycopene	Supercritical CO <sub>2</sub> extraction	Tomato waste from processing plant in Tunisia. DM 35/65 seed/peel	peel: 410.53 mg/kg DW; seed: 27.84 mg/kg DW	(Kehili et al., 2016)

346

347 Another fruit colorant, anthocyanin, can be found in the red grapes. Anthocyanin may be  
 348 extracted from red grape pomace (or marc in the case of wine production); Monrad et al. (2010)  
 349 report laboratory productivity of 450 mg of anthocyanin per 100 g of pomace dry weight (DW).

350 It is a natural phenolic compound that is known for its blue, red and purple pigmentation. It has  
351 several health benefits including oxidative stress reduction, free radical scavenging properties,  
352 assisting in cancer and disease risk reduction, as well as cholesterol regulation (Stevenson and  
353 Hurst, 2007). Table 3 provides examples of colorant extraction from the various feedstock. An  
354 extended version of the source data can be found in SI 2 (Table 2), with 26 examples related to  
355 colorants (from 0.04 to 463 mg per 100g). Another recent example that was not found in the  
356 reviewed references is yellow pigment produced by fungal strain *Monascus purpureus* ATCC  
357 16365 applying SSF using orange peels that yielded 9 absorbance units (AU) per gram of dry  
358 fermented substrate (Kantifedaki et al., 2018).

359

### 360 **3.4 Bio-based enzymes**

361 Enzymes are one of the oldest used high value bio-based products (Haddadi et al., 2017).  
362 Various microorganisms are used to produce enzymes, such as *Saccharomyces cerevisiae*,  
363 *Aspergillus sp.* and *Bacillus sp.* (Haddadi et al., 2017). These species are able to degrade  
364 complex polymers found in plants and utilize the released sugars to metabolize enzymes  
365 (Ravindran and Jaiswal, 2016). Food waste is a good substrate for these microorganisms and  
366 supports future commercial production as low-cost feedstock (Haddadi et al., 2017). Some  
367 examples of suitable industrial food waste substrates are orange bagasse (leftover material from  
368 juice extraction), pineapple peel (Haddadi et al., 2017), banana peel, tomato pomace (Yang et  
369 al., 2015), pea peel (Verma et al., 2011), sugarcane bagasse (Abu Yazid, 2017), and chicken  
370 feathers (Villa et al., 2013).

371 Food waste and food residues are feasible feedstocks to produce oxidative enzymes like  
372 laccase, xylanase and cellulase (Ravindran and Jaiswal, 2016) as well as starch hydrolyzing  
373 enzymes,  $\alpha$ -amylase and glucoamylase (Wang, 2013) and many others.

374 Cellulase is an important enzyme used in numerous industries, waste management and  
375 bioconversion processes (Verma et al., 2011). Fungi or bacteria can naturally produce free  
376 cellulase, which can be used in solid state fermentation (SSF) and submerged fermentation  
377 (SmF) technologies (dos Santos et al., 2012). Optimization of the production is crucial for  
378 large-scale facilities, and using SSF to produce cellulase is 10 times cheaper than SmF  
379 (Haddadi et al., 2017). The unit cost for production of cellulase through SSF is 15.67 USD per  
380 kg while applying SmF costs 40.36 USD per kg, with a market price around 90 USD per kg  
381 cellulase (Abu Yazid, 2017). Verma, Bansal and Kumar (2011) achieved a production of 2.86  
382 IU of cellulase mL<sup>-1</sup> from pea peel waste applying SSF with *Trichoderma reesei*. They have  
383 noticed significant production increases when incorporating whey and wheat starch  
384 hydrolysate to the feedstock. However, using homogeneous residue streams (such as tomato  
385 pomace) is seen as a more feasible option for cellulase production based on food waste in  
386 comparison to the use of very heterogeneous food waste mixtures, which require additional  
387 processing steps such as isolation and purification (Ravindran and Jaiswal, 2016).

388 Laccase can be found in many plants, fungi, and microorganisms. The enzyme also contains  
389 copper and is used for various applications like delignification of lignocellulose and  
390 detoxification of waste (Wang, 2013). Yang *et al.* (2015) reported amounts of laccase ranging  
391 from 9 U g<sup>-1</sup> DW of apple residues (via SSF using white-rot fungus *Pleurotus ostreatus* and  
392 *Trametes versicolor*) to 167 U g<sup>-1</sup> DW of sugarcane bagasse (via SSF with *P.ostreatus*). More  
393 information is provided in Table 4 and SI2 (Table 2), with 22 cases of enzyme production  
394 ranging from 6.8 U g<sup>-1</sup> to 34,000 U g<sup>-1</sup>.

395 Amylases are another enzyme that can be produced from processing food waste and can be  
 396 used in biofuels as they are efficient in hydrolyzing starch (Mushtaq et al., 2017). Some  
 397 examples include amylase production from potato peels via *Bacillus subtilis* K-18 (KX881940)  
 398 (Mushtaq et al., 2017), and other processing food waste such as papaya waste, fruit peels,  
 399 orange peel, and rice bran (see Table 5 in Sadh et al., 2018). Quantitative data on these,  
 400 however, were not available in the selected references.

**Table 4** Enzyme production from food waste and food residue.

Feedstock	Output Product	Technology/Processes	Note	Productivity/yield	Reference
Apple residues	Laccase	SSF via two fungi <i>Pleurotus ostreatus</i> and <i>Trametes versicolor</i>	Obtained without addition of nutrients.	9 U/ g DM	(Yang et al., 2015)
Chicken feathers	Keratinase	Enzymatic hydrolysis and submerged fermentation with <i>Bacillus subtilis</i> AMR. Protein and enzymes analyzed by HPTLC and MALDITOF-MS (Matrix Assisted Laser Desorption Ionization-Time Of Flight-Mass Spectrometry)	Grown for 5 days, at 28°C with agitation. The final product has low molecular weight predominantly in the range of 800 to 1079 m/z, commercial keratin 900-1400 m/z.	Feathers were degraded by 90-95%	(Villa et al., 2013)
Kiwi peels	Laccase	SSF by white-rot fungus <i>Trametes hirsute</i>	Initial ammonium concentration of 0.150 g/L and with 2.5 g of pre-treated peelings of kiwi fruit.	90,000 nkat/L or 5.4 EU/mL	(Wang, 2013)
Pea peel <sup>a</sup>	Cellulase	SSF via <i>Trichoderma reesei</i> , centrifuge	Peel from local market. Optimal conditions: 30 °C temperature and pH 5.0. <i>T. reesei</i> have the tolerance to grow in the phenolic environment.	2.86 IU/mL	(Verma et al., 2011)
Orange peel	Polygalacturonases	Fermentation via <i>Aspergillus niger</i> on fixed bed reactor without aeration.	This process has promising production at the industrial level.	5.3 U/ mL	(Yang et al., 2015)
Potato peel	Xylanase	SSF via <i>Aspergillus niger</i>	81.92 h, water content 50.72% and temperature 28.85 °C. Biomass from agro-industry.	25 IU/mL	(dos Santos et al., 2012)
Tomato pomace	Laccase	SSF via <i>Pleurotus ostreatus</i> and <i>Trametes versicolor</i>		36 U/g DM	(Yang et al., 2015)

401 <sup>a</sup> Could also occur at the retail stage as authors use peel waste from the local market

402 The overall market price for industrial enzyme production was estimated to be around 4 billion  
 403 USD in 2015 with 4% compound annual growth rate (CAGR) rise each year (Yang *et al.*, 2015;  
 404 Panda *et al.*, 2016).

405

### 406 3.5 Proteins

407 There have not been many studies reported for the protein production from food waste and  
 408 food residues. Despite the high content of protein in plant and animal wastes (Aggelopoulos et  
 409 al., 2014), there have been only 4 examples reported in the reviewed literature, as shown in  
 410 Table 5. Several examples of protein extraction from milk and cheese processing residues were  
 411 found in the literature without quantitative data (Mirabella et al., 2014). The protein itself is  
 412 often not separated as a purified fraction from plant-derived side streams and wastes, but it is  
 413 incorporated within food products to enhance the protein content (Ritala et al., 2017).

**Table 5** Protein production from food waste and residue.

Feedstock	Technology/Processes	Note	Productivity/yield	Reference
Food waste mixtures (Cheese whey, molasses, brewer's spent grains (BSG), malt spent rootlets (MSR), potatoes and oranges-waste from the market)	SSF via <i>Kluyveromyces marxianu</i>		33.7 % wt. DM	(Aggelopoulos et al., 2014)
Food waste mixtures (Cheese whey, molasses, brewer's spent grains (BSG), malt spent rootlets (MSR), potatoes and oranges-waste from the market)	SSF via <i>Saccharomyces cerevisiae</i>		38.5 % wt. DM	(Aggelopoulos et al., 2014)
Food waste mixtures (Cheese whey, molasses, brewer's spent grains (BSG), malt spent rootlets (MSR), potatoes and oranges-waste from the market)	SSF with kefir		23.6 % wt. DM	(Aggelopoulos et al., 2014)
Chicken feathers	Enzymatic hydrolysis using <i>Bacillus subtilis</i> AMR in submerged fermentation	Grown for 5 days, at 28°C with agitation. Protein and enzymes analyze by HPTLC and MALDI-TOF-MS	1.5 mg/ml, 42.8% of total protein	Villa et al (2013)

414

### 415 3.6 Other bio-based high-value molecules

416 Pectin is a hydrocolloid found in all plant matter. It is used as a gelling agent, fat replacement  
417 in meat products (Ravindran and Jaiswal, 2016), and thickening agent in foods, cosmetics, and  
418 pharmaceuticals (Schieber, 2017). Pectin is also a widespread biopolymer used in food  
419 packaging and biofilms (González-Rivera et al., 2016).

420 The technologies applied to extract pectin use diluted mineral acids to transform insoluble proto  
421 pectin to soluble pectin (Schieber, 2017). It can be extracted from citrus peels by nitric acid  
422 and it represents a significant fraction of apple pomace (Schieber, 2017). Recently, González-  
423 Rivera et al. (2016) extracted essential oils (EO) and pectin from orange peels using microwave  
424 irradiation with coaxial configuration, which is reported as an environmentally sound  
425 technology in comparison to technologies requiring mineral acids input. After yielding 1.57%  
426 (wet weight; w/w) of EO, the post-extraction residues were further used to isolate pectin by  
427 conventional hydrodistillation. A maximum yield of 17.4% (w/w) in 90 seconds was reached,  
428 representing approximately 25% (w/w) of the overall pectin content in orange peel waste.  
429 According to González-Rivera et al. (2016), 10 USD per kg market price of citrus pectin makes  
430 this process very feasible for future business expansion.

431 Another interesting compound found in food waste and residues is chitin. Chitin is a natural  
432 amino polysaccharide and the second most abundant natural biopolymer following cellulose  
433 (Ravindran and Jaiswal, 2016). It has good structural features allowing many uses, ranging  
434 from coagulating agent in wastewater treatment, a coating agent for plant seeds, or production  
435 of biomaterials in biomedical and other industries (Liu et al., 2016). Chitin is mainly found in  
436 shells of fishery waste (Haddadi et al., 2017), crustacean insects, and can also be produced by  
437 fungi (Liu et al., 2016). Animal farm wastes have been documented to be a feasible substrate  
438 for fungal fermentation to produce chitin, where 17 g of fungal biomass per 1 kg dry animal  
439 waste contains 12 % of chitin (i.e. 2.04 g of chitin per 1 kg DW) (Liu et al., 2016). The  
440 production of chitin via fungal fermentation has several advantages over chemical extraction

441 from shellfish: it has no geographic and seasonal variations and the product can be used as  
442 biofertilizer enhancing plant immune response (Liu et al., 2016).

443 Kernel wastes from grains like corn and wheat germs contain high concentrations of protein,  
444 minerals and high-value lipids. They represent a suitable substrate to extract several  
445 nutraceuticals such as policosanols, fibers, and free fatty acids (Wang, 2013). Other products  
446 that can be extracted from kernel waste are reducing sugars (Chaturvedi and Verma, 2013) and  
447 limonene (liquid hydrocarbon, used among others as a solvent in cleaning products), while  
448 wine production residues can be used to produce calcium tartrate (Dimou et al., 2016) as shown  
449 in Table 6 (additional details in SI2).

450 Recent examples that were not included in SI2 as published after April 2018 include a review  
451 on garlic processing waste, where the production of animal feed, phenolic compounds, and  
452 nanocelluloses, among others, were assessed (Kallel and Ellouz Chaabouni, 2017), as well as  
453 a detailed review by Sharma *et al.* (2017) who evaluated citrus waste for the production of  
454 various chemicals such as phenolic compounds, flavonoids and D-limonene.

**Table 6** Other products extracted from food waste and food residue.

Feedstock	Output Product	Technology/Processes	Note	Productivity/yield	Reference
Animal farm wastes <sup>a</sup>	Chitin	Enzymatic hydrolysis and fungal fermentation	17 g fungal biomass /kg DM containing 12 % of chitin	2.04 g/kg DM	(Liu et al., 2016)
Meat product fat	Single Cell Oil	<i>Yarrowia lipolytica</i> NC1		1.61 g/g fat	(Uçkun Kiran et al., 2015)
Olive tree biomass	Reducing sugars	Acid pre-treatment, 170°C, 1% acid	Adv: Increase in porosity, increased enzymatic hydrolysis. Disadv: Synthesis of furfural/hydroxymethyl furfural, need for recycling, costly	Yield 48.6%	(Chaturvedi and Verma, 2013)
Orange peel (from canteen) <sup>b</sup>	Limonene	Clevenger hydrodistillation with a coaxial dipole antenna to apply microwave energy inside the aqueous extraction medium.	Short retention time below 60 min, because of the process longer than 60 min starts de-esterification.	Yield 1.57 wt% EO, inside EO limonene 94.7%	(González-Rivera et al., 2016)
Orange peel (from canteen) <sup>b</sup>	Pectin	Clevenger hydrodistillation with a coaxial dipole antenna to apply microwave energy inside the aqueous extraction medium.	Orange peel waste has around 25% (w/w) of pectin. Conventional hydrodistillation resulted in the highest pectin amount.	17.4 ± 3.3 (w/w)	(González-Rivera et al., 2016)
Wine lees	Calcium tartrate		The solids that remain after the extraction of phenolics.	6.5% (w/w) of the initial wine lees	(Dimou et al., 2016)

455 <sup>a</sup> From processing stage, but could also occur in primary production; <sup>b</sup> could also occur on the consumption stage as reported  
456 by the authors

#### 457 4. Discussion

458 Inedible, unavoidable waste from food processing activities was shown to have great potential  
459 for producing high-value chemicals ranging from platform chemicals like acids to bio-based  
460 materials like bioplastics. The review presented in section 3 includes emerging technology with  
461 a technology readiness level (TRL) of 2 to 4, as well as some examples of mature technologies  
462 based on conventional biomass feedstock (TRL 9, not included in SI2).

463 Using IUFW and residues for the production of high-value bioproducts contributes to waste  
464 prevention. It further allows moving up the waste hierarchy while recycling carbon from these  
465 otherwise waste feedstock and thus reducing the overall carbon demand. Of course, this only  
466 applies if the biowaste conversion process does not induce the extraction of additional carbon  
467 (compared to what would have happened with producing the raw material in a conventional

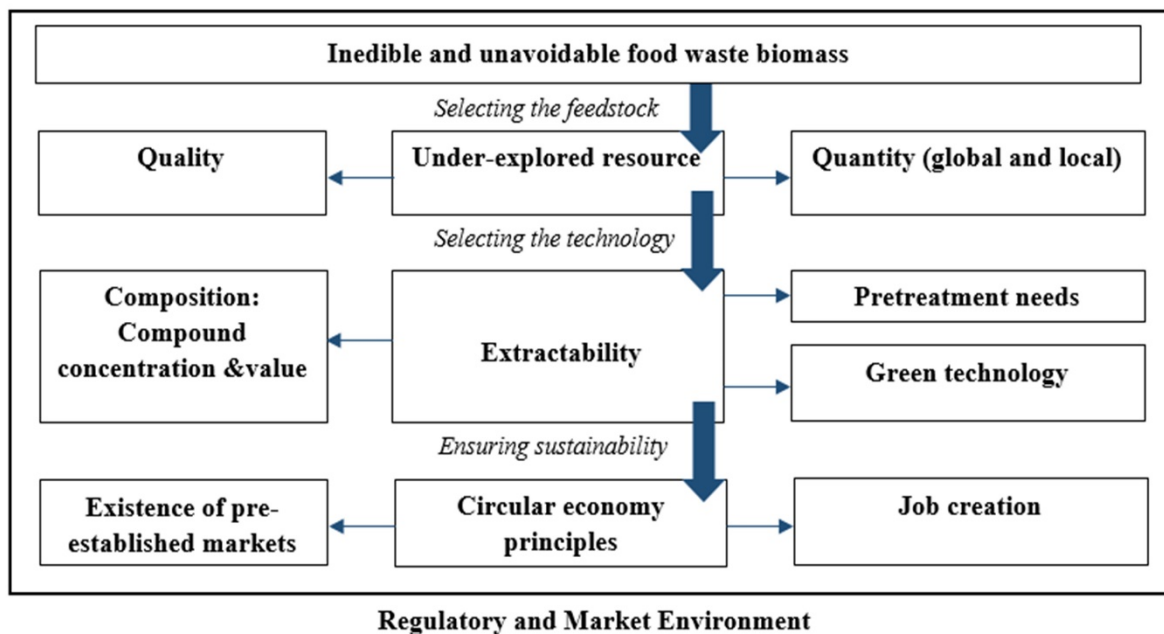


468 way). In the perspective of the urgent need to stabilize the global climate (and thus to reduce  
 469 GHG emissions), the ability to recycle inedible food waste appears coherent with the future  
 470 societal needs.

471

472 **4.1 Proposition of guiding criteria towards the sustainable integration of IUFW into a**  
 473 **circular economy**

474 Figure 2 represents a proposal of the hierarchical guiding criteria to consider for prioritizing  
 475 the future biorefineries from IUFW to develop. This proposal was established with the effort  
 476 of contributing to the maximum number of the SGDs (UN, 2015).



**Figure 2** Proposed hierarchical guiding criteria for the new investments in waste-based biorefineries, starting from the top. The vision is that all steps should be met when introducing food waste derived products in circular economy. The middle row represents category of criteria, which are detailed in the left and right rows.

477

478 *Selecting the base feedstock: focus on under-explored streams, maximize quality and quantity*

479 Using IUFW can limit unforeseen negative effects, such as (involuntarily) supporting the  
 480 generation of additional waste. The vision is thus that the prime guiding criteria (or premise for

481 developing future food waste biorefineries) are to determine if a given food waste (or food

482 residue) fraction is edible, in which case it is considered that it should not leave the food chain.  
483 Further, under-explored feedstock excludes any stream derived from soy and palm production,  
484 for the reasons explained in section 2.2. The quality of biological feedstocks degrades with  
485 time, hence its processing and use require timely intervention. Long value chains and long-  
486 distance transportation of IUFW can result in decreased feedstock quality. This degradation  
487 further implies the loss of carbon and nitrogen flows, among others, which forms greenhouse  
488 gas (GHG) emissions (CO<sub>2</sub>, N<sub>2</sub>O, and eventually CH<sub>4</sub> if anaerobic storage conditions are  
489 involved) as well as other volatile emissions (NH<sub>3</sub>, NO<sub>x</sub>, etc.). Secondly, the amount of  
490 feedstock required for a given production needs to be considered; in other words, are local or  
491 global resources of a particular selection of IUFW sufficient to allow a profitable production?  
492 Due to the existing regulatory regimes, some IUFW streams are generated in a larger quantities  
493 than others: for example, the global potato production and processing activities waste up to 129  
494 million metric tons of peels annually (Schieber, 2017) while the food processing industry in  
495 Europe discards 4 million tonnes of tomato pomace per year (Ravindran and Jaiswal, 2016),  
496 which could among others be used for high-value natural colorant production (Table 3).

497 In a nutshell, this first guiding criteria is to ensure that the base stream is not only inedible, but  
498 also unavoidable, and does not stem from the production of resources that are key contributors  
499 of deforestation. Then, the possibility to preserve the quality of the stream (once it reaches the  
500 biorefinery) and the magnitude of the available quantity of the stream should be regarded. This  
501 first guiding criteria is coherent with SDG 2.4, 8.4, 12.3 and 12.5, and 15.2 (UN, 2015).

502 *Selecting the technology appropriate for the input stream and desired output product:*

503 *Targeting extractability and ensuring environmentally sound technologies are used*

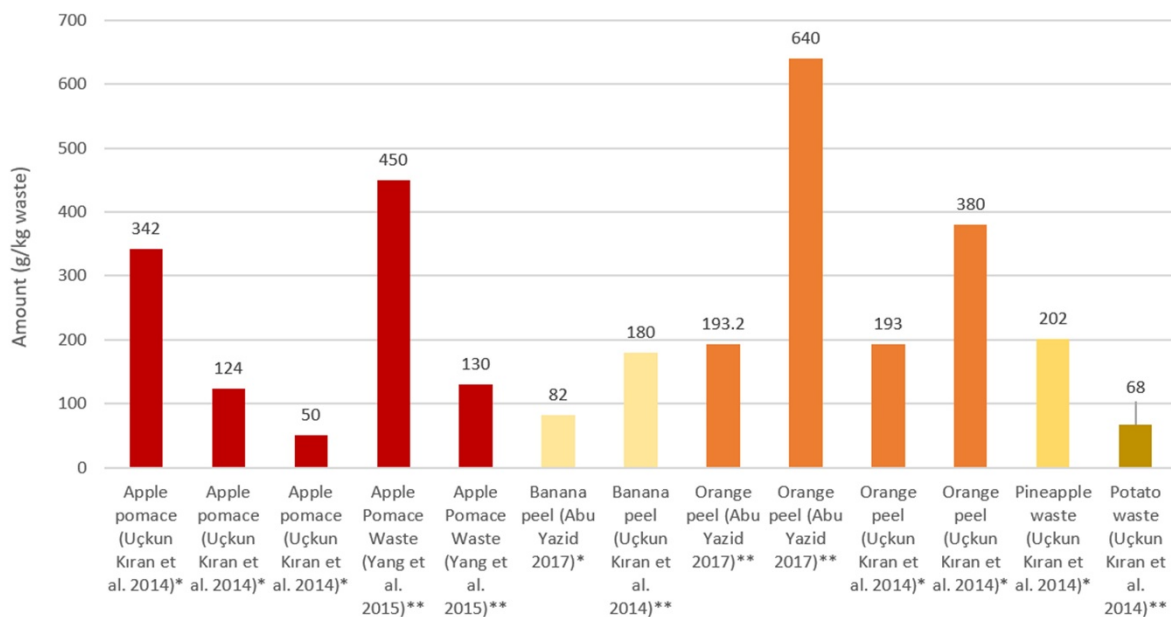
504 Several factors influence the extractability of high-value molecules, among others the  
505 feedstock itself (or rather its biochemical composition) as well as the type of technology used.

506 In particular, food waste feedstock includes many high-value chemicals, and not all of them  
507 are interesting in the perspective of implementing sustainable business models for waste-based  
508 biorefineries. Here, we consider three aspects that should be taken into account: (i) the  
509 magnitude of the compound concentration in the feedstock; (ii) the market value of the  
510 compound and (iii) the amount of the compound that can be extracted through different  
511 technologies. Among the high-value products considered in this review, acids have the lowest  
512 price (see Figure 5) but lead to high productivities and yields, such as those of citric acid (CA).  
513 For example, CA yields as high as 0.45 g g<sup>-1</sup> in apple pomace (Yang *et al.*, 2015; ) and 0.64 g  
514 g<sup>-1</sup> in orange peels were reported (Abu Yazid, 2017). On the other hand, the price for the  
515 colorants can reach as high as 40,000 € per kilogram of lycopene (Cristóbal *et al.*, 2018), but  
516 has substantially lower content in the feedstock (1.34 g kg<sup>-1</sup> of blanched tomato peel) in  
517 comparison to CA (Urbonaviciene *et al.*, 2012). When considering the final product, the price  
518 should be considered together with productivity/yield and technology intensity. In fact,  
519 products of lower market price like acids can generate profitability with their high yields while  
520 products involving lower yields but higher market price like colorants can generate just as  
521 much margin. Hence, energy-intensive technologies, such as those of colorant extraction,  
522 should be considered in case of high-price and “harder” to extract products while simpler  
523 technologies, such as fermentation for acid production, should be considered for lower-priced  
524 products. This could help to allocate the environmental burden of intensive production and help  
525 to identify the hotspots for green chemistry and technology use in bioeconomy.

526 Moreover, the overall characteristics and biochemical composition of the waste feedstock  
527 strongly influence the importance of the technologies required for the bio-based production,  
528 and thus the investment (and potentially operation) costs, such as the costs related to pre-  
529 treatment. Soggy and soft biomasses require minor pre-treatment while lignocellulosic  
530 substrates often require physical (e.g. milling), chemical (e.g. acid hydrolysis) and biological

531 pre-treatments (e.g. fungal pre-treatment) (Bansal et al., 2012). The pre-treatment of the  
 532 biomass, in turns, often have a significant influence on the final recovered content of the  
 533 chemical.

534 Further, the possibility to use a maximum of so-called “green technologies” should be  
 535 considered as an important step towards sustainable production. These are technologies that  
 536 use less energy and toxic materials than conventional process engineering technologies. Some  
 537 examples of technologies pinpointed as “green technologies” for the production of high-value  
 538 products are supercritical CO<sub>2</sub> extraction (Mirabella et al., 2014), microwave assisted  
 539 treatments (Papaioannou et al., 2016), enzymatic treatments (Papaioannou and Karabelas,  
 540 2012), and microbial processes, such as solid state fermentation (Abu Yazid, 2017), as seen in  
 541 Tables 1-6 and SI2.



**Figure 3** Recoverable content of citric acid in different food waste and residues. They are all based on fermentation techniques, but these consider different technologies and bacteria streams. Red bars show apple pomace streams, yellow bars banana peel streams, orange bars orange peel streams, dark yellow bars pineapple waste and brown bars potato waste. \*reported per DW \*\* assumed WW

543 Through an example for citric acid production, Figure 3 summarizes many of the issues related  
544 to this second guiding criteria. It is based on the results from Table 1 (and SI2), considering a  
545 variety of food waste residues. Figure 3 highlights, among others, the high variability of  
546 recovered citric acid amounts (i.e. ranging from 50 to 640 g kg<sup>-1</sup> of waste), depending on the  
547 stream and the fermentative processes used. Even though the same technology and bacteria  
548 species is applied to the same waste, different strain can influence the recoverable amount of  
549 end-product significantly. For example, fermentation of apple pomace using the same species  
550 of bacteria (*Aspergillus niger*) but different strains (van Thieghem MTCC281 and MTCC282)  
551 can result in nine times higher amount of citric acid (van Thieghem MTCC281 50 g kg<sup>-1</sup> and  
552 MTCC282 450 g kg<sup>-1</sup>). A similar situation has been observed using fermentation with  
553 *Aspergillus niger* for orange peels, where one strain (van Tiegh 1867) had double productivity  
554 of citric acid. Yet, it is, among all reviewed examples, the addition of molasses and methanol  
555 (3.5%) in the medium that allowed to reach the highest yield from orange peels (640 g kg<sup>-1</sup>;  
556 SSF with *Aspergillus niger*; Abu Yazid, 2017).

557 In a nutshell, this second guiding criteria relates to the importance of recovering a pre-defined  
558 quantity of the targeted output products, among others based on the value of these output  
559 products. Yet, this recovery is limited by the type of technology used (with/without pre-  
560 treatment), and by prioritizing environmentally sound technologies. This second guiding  
561 criteria is coherent with SDG 8.2 and 9.5 (UN, 2015).

### 562 *Circular economy principles*

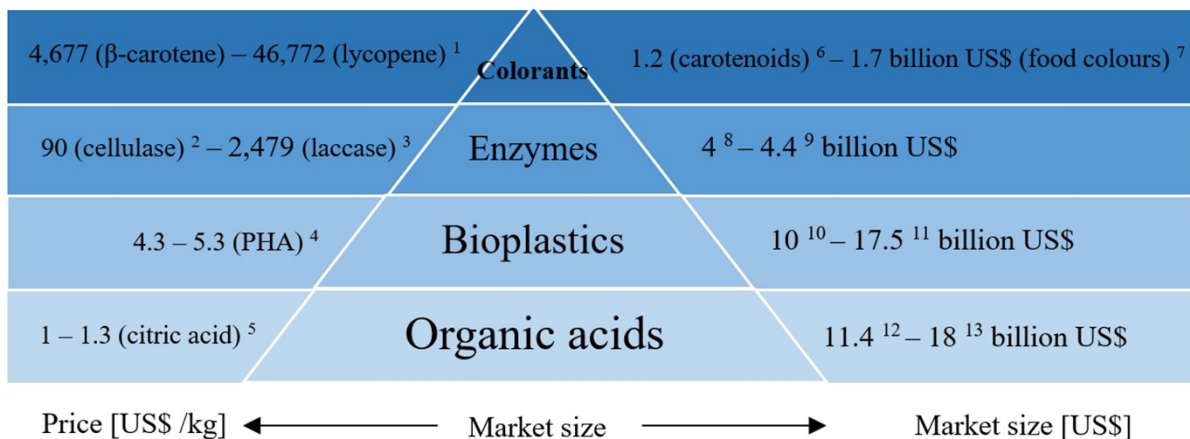
563 Besides ensuring that the extraction technologies are environmentally-efficient, the same  
564 should be ensured for the overall system performance. This can be evaluated by the use of  
565 holistic environmental assessments methods, such as Life Cycle Assessment (LCA). LCA is a  
566 methodology used for quantifying environmental impacts of the products considering their

567 lifetime from production to final disposal. The World Resources Institute (WRI) and the  
568 European Commission highlighted LCA as a preferred tool to assess the environmental  
569 performance of products and systems (Bhatia et al., 2011; EC, 2003).

570 Prior to this, however, it should be first ensured that the biorefinery to be established is in line  
571 with circular economy principles as a leading concept that uses waste fractions. Circular  
572 bioeconomy integrates the concept of closed-loop systems with the biorefining of organic  
573 waste, agricultural, and forest biomass into biomaterials and biochemicals. The closed-loop  
574 concept is based on the cradle-to-cradle approach, where recycling is either indefinite or where  
575 wastes are disposed with no harm to the environment (Vea et al., 2018). Circular economy  
576 principles aim at reducing externalities by directly substituting primary with secondary  
577 resources. As the circular economy is one of the strategies pinpointed for sustainable economic  
578 growth, these circular systems should support job creation and overall business creation, and  
579 maintain competitiveness on the market through diversification as mentioned in the Global  
580 SDG Indicators (UN, 2017).

581 As stressed out in the second guiding criteria, the value of the final product in waste-derived  
582 biorefineries is significant to consider. Equally important is the existence of a market for this  
583 product, and how established that market already is. This review gave examples of various bio-  
584 based materials presenting alternatives to petrochemicals. Introducing bio-based alternatives  
585 can provide the advantage of benefitting from an already established market of synthetically  
586 produced chemicals. This avoids the costs of extensive marketing to create new demands (for  
587 new waste-based products) if the product is a direct substitute of its fossil alternative or product  
588 using primary resources. Figure 4 illustrates the connection of the market size and price of  
589 high-value products. Bulk chemicals, such as organic acids, tend to have a much larger market  
590 size than other products as they are used as platform ingredients in many applications  
591 (including bioplastic production). Higher value products, such as carotenoids, have more niche

592 markets with a specific focus. Their unique target use (colorants, dietary supplements) also  
 593 induce higher prices for the final product; on top of this, their extraction uses energy and  
 594 resource-intensive processes. The review performed herein identified a lack of market research  
 595 for natural colorants in the literature, hence carotenoids were used as a representative of overall  
 596 colorants.



**Figure 4** Market prices of selected high-value compounds and their relationship to market size. References: [1] (Cristóbal et al., 2018); [2] (Abu Yazid, 2017); [3] calculated from (Osma et al., 2011); [4] (Cesário et al., 2014); [5] (Singh Dhillon et al., 2011); [6] (Strati and Oreopoulou, 2014); [7] (Kandansamy and Devi Somasundaram, 2012); [8] (Panda et al., 2016); [9] (Yang et al., 2015); [10] (Bezirhan Arıkan and Duygu Ozsoy, 2015); [11] (Zion Market Research, 2018); [12] (Markets and markets, 2017); [13] (Allied market research). The conversion rates from euro to USD were made on date 8.30.18, using rates from Bloomberg Markets (2018).

597

598 In a nutshell, compliance with circular economy principles should be ensured when considering  
 599 the implementation of new IUFW-based biorefineries, ensuring that IUFW support phasing-  
 600 out of fossil-fuel resources (SDG 12c), economic diversification (SDG 8.2) and employment  
 601 in the sustainable industry sector (SDG 9.2), where the existence of a pre-established market  
 602 for the targeted output should be verified. Then, the overall environmental performance in  
 603 terms of climate change mitigation services (SDG 13.2) and reduction of food waste and losses  
 604 (SDG 12.3) should also be validated (e.g. via LCAs) (UN, 2015).

#### 605 **4.2 Lowering the costs of production**

606 Using IUFW from food processing offers several advantages, such as more homogenous stream  
607 (compared to food waste streams further downstream the food chain, e.g. consumer waste) with  
608 zero to low costs for the feedstock, and with relatively stable production in terms of volume  
609 and composition (Alexandri and Venus, 2017). Another solution to decrease operational costs  
610 of biorefineries is enhancing the geographic proximity of the biorefinery to the feedstock,  
611 which also decreases the microbial deterioration of the biomass (Galanakis, 2012), as  
612 previously discussed.

613 Further, food waste biorefineries represent an opportunity for food processing to expand  
614 according to the concept of economies of scope, i.e. creating synergic production where  
615 simultaneous manufacturing of different products becomes more cost-effective than  
616 manufacturing them separately (Chavas and Kim, 2010). While economies of scale and scope  
617 are often positively correlated, the economy of scope originating from food processing side  
618 streams are often independent of scale. This may give an advantage for small and medium  
619 scale, short-chained biorefineries, implying less loss of resources and emissions (compared to  
620 large biorefineries). Combining different technologies in food waste valorization to produce  
621 multiple-line products from multiple markets would diversify the product portfolio and could  
622 potentially increase the revenues.

623 Economies of scope can be realized by cascading production, where integration of several  
624 products in one biorefinery can minimize waste generation by using the waste from one  
625 production as a secondary resource for another product. This decreases not only the cost for  
626 the acquisition of raw materials but also the cost of waste treatment. Even though the yields of  
627 the high-value products are important in the recovery processes, the CAPEX (or investment  
628 costs) of these technologies are critical. The addition of the new product to already established  
629 production can minimize the CAPEX expenses related to that product. Examples can be found  
630 in the integration of high-value bio-based materials with the well-established bioenergy



631 production. There are several experiments showing the feasibility of this concept: Nguyen *et*  
632 *al.* (2017) produced D-mannose and bioethanol from coffee ground residue waste; Dimou *et*  
633 *al.* (2015) studied integrated biorefinery of wine lees producing not only bio-ethanol but also  
634 antioxidants, tartaric acid and PHB; while Rivas *et al.* (2006) recovered tartaric acid from wine  
635 lees hydrolysate after ethanol production and aromatic flavors extraction. Further, residue  
636 streams from ethanol production can be fermented into various chemicals ranging from  
637 succinic and lactic acid to xylose and 1,3-propanediol (De Corato *et al.*, 2018).

## 638 **5. Conclusion and perspectives**

639 This review study documents the potential of existing and emerging bio-based industrial  
640 productions of high-value molecules from unavoidable, inedible food processing waste. We  
641 have identified effective lab-scale bio-based production technologies with TRL from 2 to 4  
642 (SI2), as well as some examples of mature technologies (TRL 9). We presented 149 examples,  
643 such as the citric acid from orange peel, PHA from spent coffee grounds, lycopene extraction  
644 from tomato peel, and laccase from sugarcane bagasse, among others. Our review highlighted  
645 that fermentation is a key technology for using processing food waste and residues in  
646 biorefineries, with 76 examples for production of high-value products.

647 It was highlighted that inedible, unavoidable food waste and food residues represent an  
648 attractive and secure feedstock for biowaste-based biorefineries, besides being consistent with  
649 the UN SDG 12.3 to reduce “the food losses along production and supply chain by 2030”.  
650 Further, the use of food waste in biorefineries could provide feasible feedstock for closed loop  
651 economic systems, and keep the carbon in biomass bound in technosphere for longer time. This  
652 carbon recycling strategy would replace emission intensive waste treatments by transitioning  
653 towards circular upcycled biowaste value chains while substituting fossil-based products. The

654 biorefineries can be coupled as pre- and/or post-treatment technologies to existing bioenergy  
655 plants, resulting in cascade production and decreased costs.

656 However, the study showed that the future implementation of food waste within bioeconomy  
657 faces many challenges. There is a need for comprehensive and uniform definitions of food  
658 waste and food loss, aiming at clarifying reporting. The definitions are tied to the quantification  
659 of currently used feedstocks, which may compromise the future valorization and usability of  
660 these wastes. Another gap identified relates to the lack of market research for natural colorants.  
661 Moreover, holistic assessments such as life cycle assessments are still needed to evaluate the  
662 sustainability of inedible food waste biorefineries, under commercial operation.

663 Additional research is needed in order to address these trade-offs, the challenges of definitional  
664 and regulatory framework as well as to investigate the prioritization of the value chains and  
665 investments in a future regenerative circular economy.

666

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