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*Cancer Res* 2002;62:901-909.

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# High Incidence of T-Cell Lymphomas in Mice Deficient in the Retinoid-related Orphan Receptor ROR $\gamma$ <sup>1</sup>

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## ABSTRACT

Nuclear receptors are critical regulators of many physiological processes and have been shown to be involved in a variety of disease processes, including malignant neoplasms. Our laboratory is investigating the function of the retinoid-related orphan receptor  $\gamma$  (ROR $\gamma$ ) and its possible role in disease. Studies of mice deficient in the expression of ROR $\gamma$  demonstrated that this receptor plays a crucial role in the regulation of thymopoiesis and lymph node organogenesis. In this study, we show that changes in homeostasis in the thymus of ROR $\gamma$ <sup>-/-</sup> mice are associated with a high incidence of T-cell lymphomas. Over 50% of the deficient mice of mixed genetic background die within the first 4 months as a result of thymic lymphomas. A high incidence of lymphomas was also observed in ROR $\gamma$ <sup>-/-</sup> 129/SvEv mice. The lymphoblastic cells metastasized frequently to spleen and liver. No other tumor types were detected in any of ROR $\gamma$ <sup>-/-</sup> mice that died during the course of the experiment, and none of the heterozygous mice developed thymic lymphomas. Lymphoma formation was associated with increased cellular proliferation and an increase in the number of apoptotic cells. When placed in culture, the ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells underwent accelerated “spontaneous” apoptosis at a rate similar to that of ROR $\gamma$ <sup>-/-</sup> thymocytes. Upon prolonged culture, several lymphoblastic cell lines could be established. Analysis of the immunophenotype of the lymphoblastic cells showed that the CD4 and CD8 subpopulations varied substantially among different lymphomas. The established cell lines consisted mostly of CD44<sup>-</sup>CD25<sup>+</sup>CD4<sup>-</sup>CD8<sup>-</sup> cells. Our studies indicate that loss of ROR $\gamma$  disturbs homeostasis in the thymus by enhancing apoptosis and cellular proliferation. The latter may enhance the probability of individual cells to acquire genetic alterations that make them escape negative selection and normal differentiation programs and as a consequence lead to increased susceptibility to the development of T-cell lymphoma.

## INTRODUCTION

Nuclear receptors constitute a family of ligand-dependent transcription factors that regulate a broad spectrum of physiological processes, including embryonic development, apoptosis, cellular differentiation, and proliferation (1–3). Because of all of these processes, their role in the etiology of malignant transformation and their potential as targets for chemoprevention and treatment of cancer have been explored extensively. For example, chromosomal translocations involving the retinoic acid receptor RAR $\alpha$  have been implicated in promyelocytic leukemia and retinoids have been successfully used in chemotherapy of this cancer (4, 5). Similarly, the role of the estrogen receptor and its ligands in the development, chemoprevention, and treatment of breast cancer is well documented (6). Less, however, is known about the role of many other nuclear receptors, including the RORs.<sup>3</sup>

Received 9/10/01; accepted 12/3/01.

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<sup>1</sup> Supported in part by a Research Fellowship from the Japan Society for the Promotion of Science (to E. U.) and NIH Grant R01 CA 82423-02 (to B. K.).

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<sup>3</sup> The abbreviations used are: ROR, retinoid-related orphan receptor; PCNA, proliferative cell nuclear antigen; RT-PCR, reverse transcription-PCR; TUNEL, terminal de-

ROR $\alpha$ , ROR $\beta$ , and ROR $\gamma$  (named NR1F1 to NR1F3 by the Nuclear Receptor Nomenclature Committee) belong to a subfamily of nuclear orphan receptors and have been reported to regulate a number of diverse physiological processes (7–12). ROR $\alpha$  has been shown to control differentiation of Purkinje cells, and defects in Purkinje cell development have been linked to the ataxia phenotype exhibited by ROR $\alpha$ <sup>-/-</sup> mice (13–15). In addition, ROR $\alpha$ <sup>-/-</sup> mice placed on a high fat diet have an increased risk developing atherosclerosis (16). Different functions have been identified for ROR $\beta$ . ROR $\beta$  is most highly expressed in tissues involved in processing sensory information and in anatomical components implicated in the regulation of circadian rhythm (17), suggesting a role for ROR $\beta$  in the control of these processes. The latter is supported by the observed abnormalities in circadian behavior in ROR $\beta$ <sup>-/-</sup> mice (17, 18).

The ROR $\gamma$  gene generates two isoforms referred to as ROR $\gamma$ 1 and ROR $\gamma$ 2 (also named ROR $\gamma$ t; Refs. 12, 19–21), which differ only in their NH<sub>2</sub> terminus. The two isoforms are derived by transcription from alternative promoters and exhibit a different pattern of expression. ROR $\gamma$ 1 is expressed in several tissues, including liver, thymus, kidney, and brown fat cells, whereas ROR $\gamma$ 2 is restricted to the thymus, where it is expressed mostly in “double-positive” (CD4<sup>+</sup>CD8<sup>+</sup>) thymocyte subpopulations with some expression in early precursor CD45<sup>+</sup>CD25<sup>-</sup> cells (10, 19, 22–24). Recently, we and others (25, 26) reported that mice deficient in ROR $\gamma$  lack lymph nodes and Peyer’s patches, indicating that ROR $\gamma$  is essential in lymph node development. In addition, thymocytes from ROR $\gamma$ <sup>-/-</sup> mice undergo accelerated apoptosis that is related, at least in part, to a down-regulation of the expression of the antiapoptotic gene *Bcl-X<sub>L</sub>* (25, 26). These findings suggest an important role for ROR $\gamma$  in the regulation of homeostasis in the thymus. To examine the long-term effects of ROR $\gamma$  deficiency, a large group of ROR $\gamma$ <sup>-/-</sup>, ROR $\gamma$ <sup>+/-</sup>, and wild-type littermates were selected and monitored for a 1-year period. In this study, we demonstrate that ROR $\gamma$ <sup>-/-</sup> mice are highly susceptible to early onset of thymic lymphoma. Analyses of the immunophenotype and the rate of apoptosis and proliferation of the lymphocyte populations indicate that the lack of ROR $\gamma$  expression results in a dysregulation of proliferation and apoptosis, thereby disturbing normal homeostasis. This dysregulation may enhance the probability of individual cells to acquire mutations and as a consequence lead to a high incidence of T-cell lymphoma development.

## MATERIALS AND METHODS

**ROR $\gamma$ -deficient Mice.** The generation and initial characterization of ROR $\gamma$ <sup>-/-</sup> mice was described previously (25). The original mice were in a 129/SvEv  $\times$  B6D2  $\times$  C57BL/6 background. Mice were maintained on a 129/SvEv background by crossing chimeras with 129/SvEv mice (Taconic). The p53<sup>-/-</sup> mice were described previously (27). ROR $\gamma$ <sup>-/-</sup> mice showing terminal signs of possible lymphoma development (*e.g.*, respiratory disturbance) were euthanized and subjected to necropsy.

**Immunohistochemical Staining.** Tissues were fixed in 10% neutral buffered formalin, dehydrated, and embedded in paraffin. Paraffin-embedded sec-

oxynucleotidyl transferase-mediated dUTP nick end labeling; CDK, cyclin-dependent kinase; TCR, T-cell receptor.

tions were rehydrated and then treated with anti-PCNA antibody (1:100; Biogenex) and subsequently with biotin-conjugated goat antimouse IgM. Biotin was visualized using Vectastain kit (Vector Laboratories).

**Northern Blot Analysis.** RNA was isolated from thymocytes of wild-type and ROR $\gamma^{-/-}$  mice using Tri-Reagent (Sigma Chemical Co.) according to the manufacturer's protocol. Total RNA (30  $\mu$ g) was separated by electrophoresis on a formaldehyde 1.2% agarose gel, blotted to an Immobilon-Ny<sup>+</sup> membrane, UV-cross-linked, and then hybridized to various <sup>32</sup>P-labeled ROR $\gamma$ , notch-1, p53, c-myc, and Bcl-X<sub>L</sub> probes. Hybridizations were performed at 68°C for 1 h, the membranes were then washed twice with 2 $\times$  SSC, 0.1% SDS at room temperature for 30 min, and 0.1% SSC, 0.1% SDS at 60°C for 30 min. Autoradiography was carried out with Hyperfilm-MP (Amersham) at -70°C.

**Cells and Tissue Culture.** Thymocytes, splenocytes, and peripheral blood cells from 8-week-old mice were isolated as described (25) and used immediately for analysis. Thymocytes (1–2  $\times$  10<sup>6</sup> cells/ml) were also cultured for various times in RPMI 1640 containing 10% FBS.

**Flow Cytometric Analysis.** Single cell suspensions were incubated with a combination of Cy-Chrome-, FITC-, or phycoerythrin-conjugated CD4, CD3, CD44, CD25, and CD8 antibodies (PharMingen) as described previously (25). For cell cycle analysis, cells were fixed in 70% ethanol and resuspended in PBS containing propidium iodide (50  $\mu$ g/ml). Analysis of FITC-Annexin V binding was carried out using the ApoAlert Annexin V kit (Clontech) according to the manufacturer's instructions. Flow cytometric analyses of labeled cells were performed with a FACSort (Becton Dickinson) or EpicsXL (Beckman-Coulter) flow cytometer and accompanying FACS Convert, CellQuest, and MacCycle (Phoenix Flow Systems) software programs.

**RT-PCR.** cDNA were prepared from total RNA of ROR $\gamma$  knockout and wild-type mice thymocytes using Moloney murine leukemia virus reverse transcriptase (Stratagene) with random primers. The BRCA2-specific primers were 5'-GGTCTTGCTCCTTTGGTCTA and 5'-GCCTCCTGTAAATG-TATC. PCR was performed with 34 cycles consisting of first denaturing at 94°C for 5 min and 34 cycles of 94°C for 30 s, 58°C for 30 s, and 72°C for 30 s, with a 10-min extension at 72°C for the last cycle;  $\beta$ -actin mRNA was amplified as an internal control. The RT-PCR products were then separated on a 1% agarose gel and visualized with staining by ethidium bromide.

**TUNEL Staining.** For detection of DNA fragmentation *in situ*, paraffin-embedded sections were analyzed by the TUNEL method using an *In Situ* Cell Death Detection kit (Roche) according to the manufacturer's protocol. Labeling by TMR red-labeled dUTP was examined in a ZEISS Axioplan fluorescence microscope.

**Statistical Analysis.** The Kaplan-Meier test was used to calculate the latency to tumor formation, and the log-rank test was used for evaluation of significance. The significance of differences in frequencies between groups and/or tumor types was evaluated by  $\chi^2$  analysis.  $P < 0.05$  was considered as significant.

## RESULTS

Previous studies of ROR $\gamma^{-/-}$  mice have demonstrated that ROR $\gamma$  plays a crucial role in the control of thymopoiesis and lymph node development (25, 26). To examine the effect of this phenotype on the long-term health status, a large group of ROR $\gamma^{-/-}$ , ROR $\gamma^{+/-}$ , and wild-type littermates were selected, and their health status was monitored and compared over a 1-year period. As expected, the majority of the wild-type animals remained healthy, and no tumors were identified on necropsy of the two wild-type animals that died during this time period (Fig. 1A). Heterozygosity for the mutant ROR $\gamma$  allele did not result in a significant change in survival over 1 year. Four of the 38 heterozygous mice died during the first year of life and, although the cause of death was not established, no tumors were observed on necropsy of these animals. In contrast, by 122 days of age, 50% of the ROR $\gamma^{-/-}$  mice had become moribund or died (Fig. 1A). Necropsy of 17 moribund ROR $\gamma^{-/-}$  mice revealed the presence of a thymic lymphoma in all but 1 of these animals. The cause of death of the last mouse was not established. A small percentage of ROR $\gamma^{-/-}$  mice, 3 of the original 32 (9.4%), were alive at the end of the 1 year experiment. No tumors were observed on necropsy of these

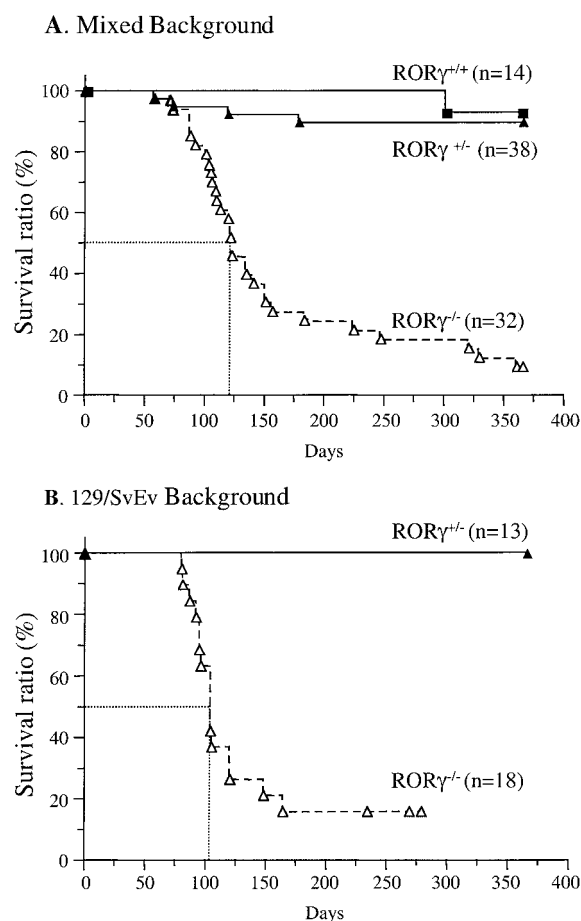


Fig. 1. Survival curves of ROR $\gamma^{-/-}$ , ROR $\gamma^{+/-}$ , and wild-type mice. A, survival of mice of mixed genetic background (C57BL/6  $\times$  129/SvEv  $\times$  B6D2). The percentage of mice surviving *versus* the onset is plotted against age. The vertical line indicates the time point by which 50% of the mice survived. Median survival ROR $\gamma^{-/-}$  *versus* ROR $\gamma^{+/-}$  mice:  $P < 0.0001$ ;  $\chi^2 = 26.10$ ; median survival ROR $\gamma^{-/-}$  *versus* ROR $\gamma^{+/+}$  mice:  $P < 0.0001$ ;  $\chi^2 = 49.33$ . B, survival of 129/SvEv mice. Median survival ROR $\gamma^{-/-}$  *versus* ROR $\gamma^{+/-}$  mice:  $P < 0.0001$ ;  $\chi^2 = 27.62$ . The numbers of mice used in each experiment are indicated on the right.

animals. In fact, the thymi of these mice were slightly smaller than age-matched wild-type mice and had reduced numbers of double-positive CD4<sup>+</sup>CD8<sup>+</sup> cells, as was reported for thymocytes from 8-week-old ROR $\gamma^{-/-}$  mice (25, 26). Because no significant difference in median survival was observed between ROR $\gamma^{+/-}$  *versus* ROR $\gamma^{+/+}$  mice within the period of observation, these results suggest that there appears to be no gene dosage effect on survival as a result of loss of one or two alleles of ROR $\gamma$ . The extended survival of a small percentage of the ROR $\gamma^{-/-}$  mice might reflect the impact of modifier genes on the development of thymic lymphomas. Because this initial cohort of animals were of mixed genetic background, it is possible that a small number of the ROR $\gamma^{-/-}$  mice carried a combination of modifier allele(s) that protected them from the development of thymic tumors. To analyze the latter possibility, we backcrossed the ROR $\gamma^{-/-}$  chimeras into 129/SvEv mice. Eighteen ROR $\gamma^{-/-}$  and 13 ROR $\gamma^{+/-}$  129/SvEv mice were included in the study. Survival of the ROR $\gamma^{-/-}$  129/SvEv mice did not differ significantly from that observed for ROR $\gamma^{-/-}$  mice of mixed genetic background (Fig. 1B). Fifty % of the ROR $\gamma^{-/-}$  129/SvEv mice were moribund or had died by 104 days of age. Necropsy of moribund mice revealed thymic lymphomas similar to those observed in ROR $\gamma^{-/-}$  mice of mixed genetic background. There was no significant difference in the median survival between ROR $\gamma^{-/-}$  mice of either 129/SvEv or mixed genetic



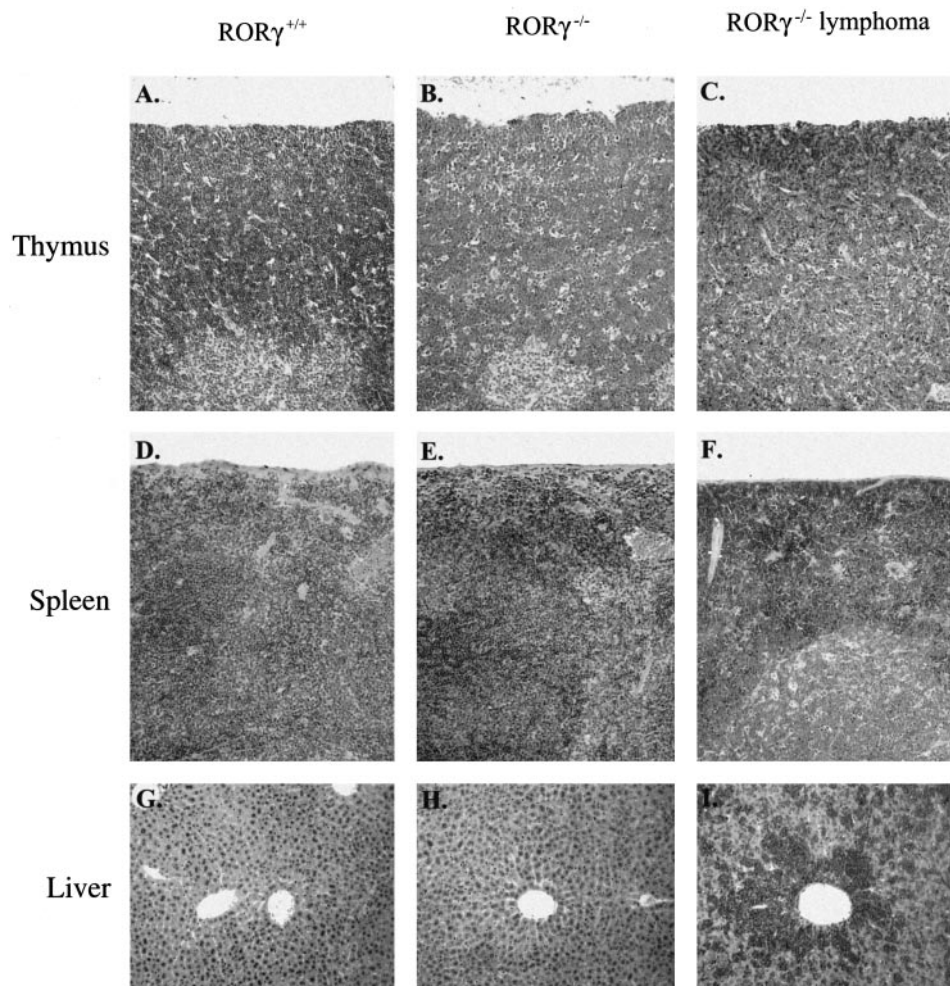


Fig. 2. A–C, H&E-stained sections of thymi from wild-type mice, ROR $\gamma$ <sup>-/-</sup> mice without thymic tumor, and ROR $\gamma$ <sup>-/-</sup> mice with thymic lymphoma. Tumors often lacked the cortical-medullary organization of the normal thymus and contained rather uniform lymphoblastic-type cells. D–F, H&E-stained sections of spleens from wild-type mice, ROR $\gamma$ <sup>-/-</sup> mice without thymic tumor, and ROR $\gamma$ <sup>-/-</sup> mice with thymic lymphoma. Although splenic architecture remained largely intact, infiltration of lymphoblastic cells can be observed in both white and red pulp regions. G–I, H&E-stained sections of livers from wild-type mice, ROR $\gamma$ <sup>-/-</sup> mice without and with a thymic lymphoma. In I, massive lymphoblast infiltration can be observed in perivascular stroma in liver from ROR $\gamma$ <sup>-/-</sup> mice with thymic lymphoma. The results shown are for sections obtained from mice in mixed genetic background. Similar results were obtained from mice in 129/SvEv background.  $\times 20$ .

background ( $P = 0.2628$ ;  $\chi^2 = 1.254$ ). No deaths were observed in the ROR $\gamma$ <sup>+/-</sup> animals, and no tumors were observed in these animals. However, despite the fact that the study was carried out with congenic lines, a small percentage of the ROR $\gamma$ <sup>-/-</sup> mice, 2 of the 18 (11.1%) included in the study remained tumor free. These results may suggest a possible role for a stochastic element in the development of thymic lymphomas in mice of both 129/SvEv and mixed genetic background.

Although ROR $\gamma$ <sup>-/-</sup> mice rapidly developed T-cell lymphomas, there was no evidence for the formation of any other tumor type in other organs, even in the few ROR $\gamma$ <sup>-/-</sup> mice that survive after 1 year. In all cases, the thymic tumors in ROR $\gamma$ <sup>-/-</sup> mice often had a weight 10 times that of the thymus from wild-type mice [ $872.6 \pm 90.8$  mg ( $n = 11$ ) versus  $62.4 \pm 13$  mg in wild-type]. Histological examination of the grossly enlarged thymus showed that these tumors often lacked the cortical-medullary organization of the normal thymus and contained rather uniform lymphoblastic-type cells (Fig. 2, A–C). The lymphoblastic cells metastasized frequently to the spleen and liver. Spleen mass in ROR $\gamma$ <sup>-/-</sup> mice frequently was six times that in control mice [ $724.3 \pm 229.6$  mg ( $n = 11$ ) versus  $122.5 \pm 16$  mg in wild-type]. Although the splenic architecture remained largely intact, massive lymphoblast infiltration was observed in both the red and white pulp (Fig. 2, D–F). In liver sections, massive lymphoblast infiltration was observed into the perivascular stroma, as often seen in metastatic lymphoma (Fig. 2, G–I). Occasionally, metastasis to kidney was observed (not shown). In bone marrow, normal hematopoietic cells were replaced by a monotonous population of blastic cells with numerous mitotic and apoptotic figures, consistent with lymphoblast

infiltration (not shown). Large lymphoblastic cells ( $3.92 \pm 1.02 \times 10^3$  cells/ml;  $n = 6$ ) were also observed in peripheral blood from ROR $\gamma$ <sup>-/-</sup> mice bearing thymic lymphomas. Because ROR $\gamma$ <sup>-/-</sup> mice do not contain lymph nodes (25, 26), no metastasis to lymph nodes was observed.

To examine the proliferative activity of cells in lymphomas, tumor sections from ROR $\gamma$ <sup>-/-</sup> mice were stained for PCNA. This analysis revealed that the number of PCNA-stained cells was greatly enhanced compared with sections from wild-type thymus (Fig. 3, A, C, and D). As shown in Fig. 3B, PCNA staining was also enhanced in sections of thymus from ROR $\gamma$ <sup>-/-</sup> mice. Thymocytes in the inner subcapsular region stained most strongly for PCNA, whereas cells in the outer subcapsular region stained only weakly. These results suggest that the number of actively proliferating cells in both ROR $\gamma$ <sup>-/-</sup> lymphomas and thymi from ROR $\gamma$ <sup>-/-</sup> mice is significantly enhanced. The increase in proliferative activity was confirmed by cell cycle analysis using flow cytometry (Fig. 4). This analysis showed that  $\sim 32\%$  of the cells in lymphomas were in the S-phase of the cell cycle compared with only 4.7% of wild-type thymocytes (Table 1). It is important to point out that the percentage of thymocytes in the S-phase of the cell cycle is already significantly increased before mice develop thymic lymphomas (Table 1; Refs. 25, 26).

Previous studies showed enhanced apoptosis in thymi from ROR $\gamma$ <sup>-/-</sup> mice compared with those from wild-type mice (25, 26). Examination of sections of several ROR $\gamma$ <sup>-/-</sup> lymphomas by TUNEL staining showed that although the degree of apoptosis varied among tumors, tumors consistently contained a significantly higher number

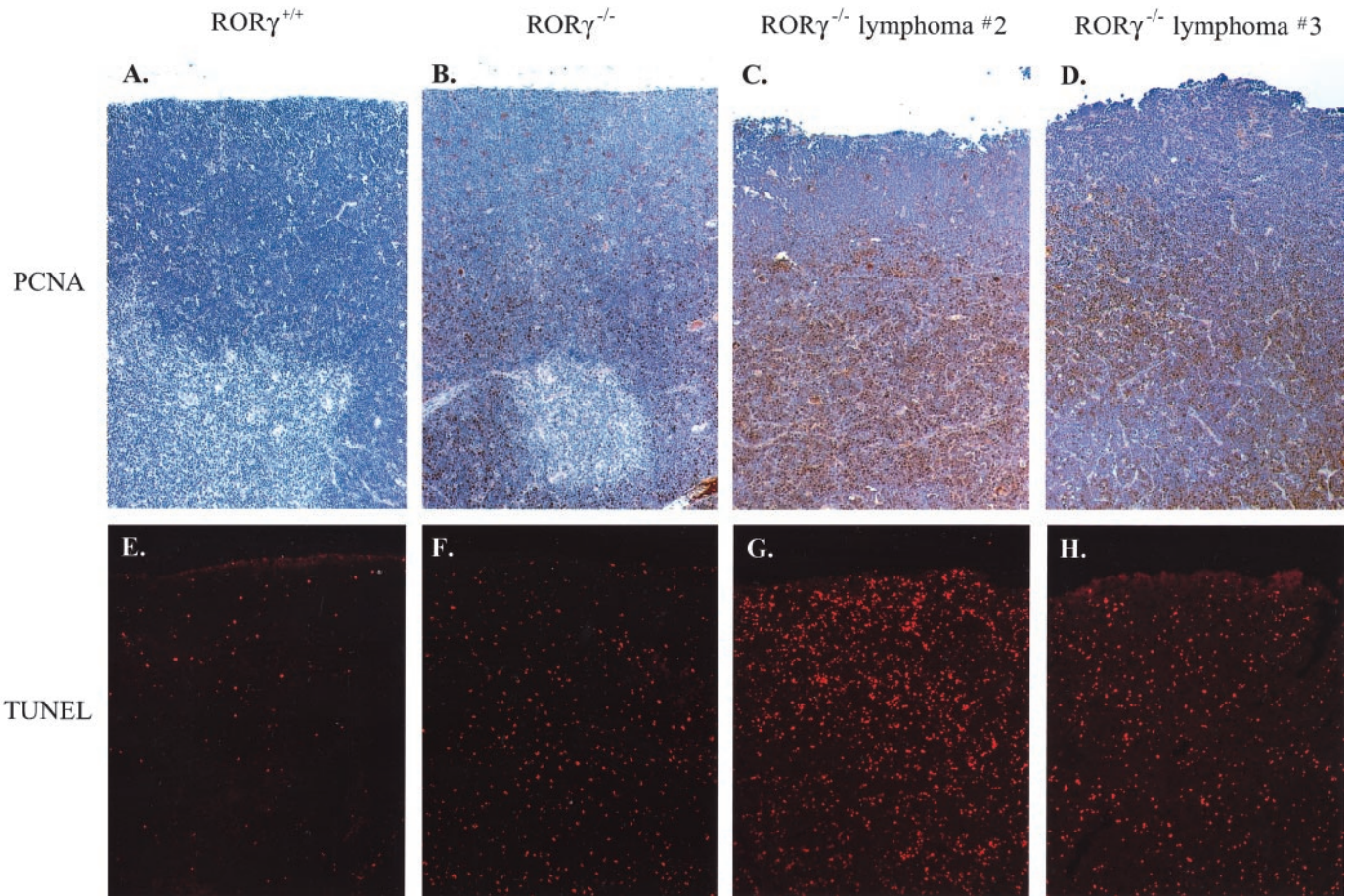


Fig. 3. Both cellular proliferation and apoptosis are increased in ROR $\gamma$ <sup>-/-</sup> T-cell lymphomas. Sections of wild-type and ROR $\gamma$ <sup>-/-</sup> thymus and two ROR $\gamma$ <sup>-/-</sup> T-cell lymphomas (nos. 2 and 3) were examined by TUNEL and PCNA staining. Increased PCNA (A–D;  $\times 20$ ) and TUNEL (E–H;  $\times 10$ ) staining was observed in thymus from ROR $\gamma$ <sup>-/-</sup> mice and ROR $\gamma$ <sup>-/-</sup> T-cell lymphomas. The sections are representative for tissues obtained from several different mice.

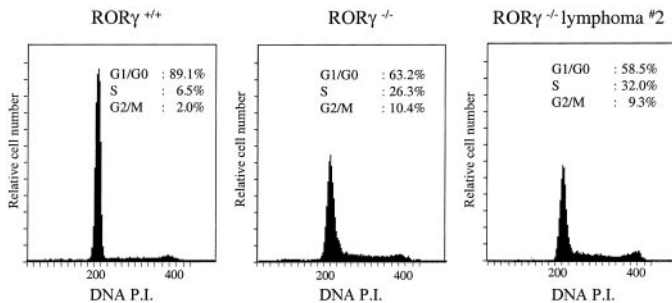


Fig. 4. Dysregulation of cellular proliferation in ROR $\gamma$ <sup>-/-</sup> lymphoma. Cell cycle distribution of lymphoblastic cells obtained from ROR $\gamma$ <sup>-/-</sup> lymphomas was determined by flow cytometric analysis as described in “Materials and Methods” and compared with those of wild-type and ROR $\gamma$ <sup>-/-</sup> thymocytes. Cell cycle analyses of samples obtained from several different mice were averaged and plotted in Table 1.

of cells undergoing apoptosis (Fig. 3, E–H). Apoptotic cells were found consistently throughout the tumor and appeared not to be restricted to a certain region. As reported previously, in contrast with thymocytes from wild-type mice, ROR $\gamma$ <sup>-/-</sup> thymocytes undergo accelerated “spontaneous” apoptosis when placed in culture (25, 26). To determine whether ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells are resistant to “spontaneous” apoptosis or whether they exhibit a similar sensitivity as ROR $\gamma$ <sup>-/-</sup> thymocytes, we isolated and cultured lymphoblastic cells from different tumors. As shown in Fig. 5A, ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells placed in culture underwent apoptosis at an accelerated rate very similar to that of ROR $\gamma$ <sup>-/-</sup> thymocytes, as indicated by the

rapid increase in Annexin V binding. In both cases, >90% of the cells underwent apoptosis after 18 h in culture, as compared with only 20% of wild-type thymocytes.

As reported previously, suppression of the expression of the antiapoptotic gene *Bcl-X<sub>L</sub>* appears to play an important role in the observed accelerated apoptosis in ROR $\gamma$ <sup>-/-</sup> thymocytes (25, 26). As in ROR $\gamma$ <sup>-/-</sup> thymocytes, expression of *Bcl-X<sub>L</sub>* mRNA was barely detectable in ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells (Fig. 6), suggesting that this repression may also be part of the mechanism causing accelerated “spontaneous” apoptosis in lymphoblastic cells. However, further analysis identified several important differences between ROR $\gamma$ <sup>-/-</sup> thymocytes and ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells. In contrast with the accelerated apoptosis in ROR $\gamma$ <sup>-/-</sup> thymocytes, which is inhibited by the CDK2 inhibitor roscovitine (25, 26), apoptosis in ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells was resistant to inhibition by 50  $\mu$ M roscovitine (Fig. 5B). In addition, a small number of lymphoblastic cells from several tumors continued to survive and proliferate after prolonged culture. From these cells, we were able to establish several lymphoblast-like cell lines. In contrast, none of the cultured wild-type or ROR $\gamma$ <sup>-/-</sup> thymocytes were able to survive or continued to proliferate over a prolonged time period.

Table 1 Cell cycle analysis of ROR $\gamma$ <sup>-/-</sup> lymphoma cells and thymocytes from ROR $\gamma$ <sup>+/+</sup> and ROR $\gamma$ <sup>-/-</sup> mice

Source of thymocytes	G <sub>1</sub> -G <sub>0</sub> (%)	S (%)	G <sub>2</sub> -M (%)
ROR $\gamma$ <sup>+/+</sup> (n = 4)	84.3 $\pm$ 2.8	4.7 $\pm$ 0.8	10.8 $\pm$ 2.3
ROR $\gamma$ <sup>-/-</sup> (n = 4)	54.2 $\pm$ 5.9	25.9 $\pm$ 4.5	12.1 $\pm$ 3.5
ROR $\gamma$ <sup>-/-</sup> lymphoma (n = 3)	58.8 $\pm$ 0.3	32.1 $\pm$ 0.4	9.0 $\pm$ 0.2



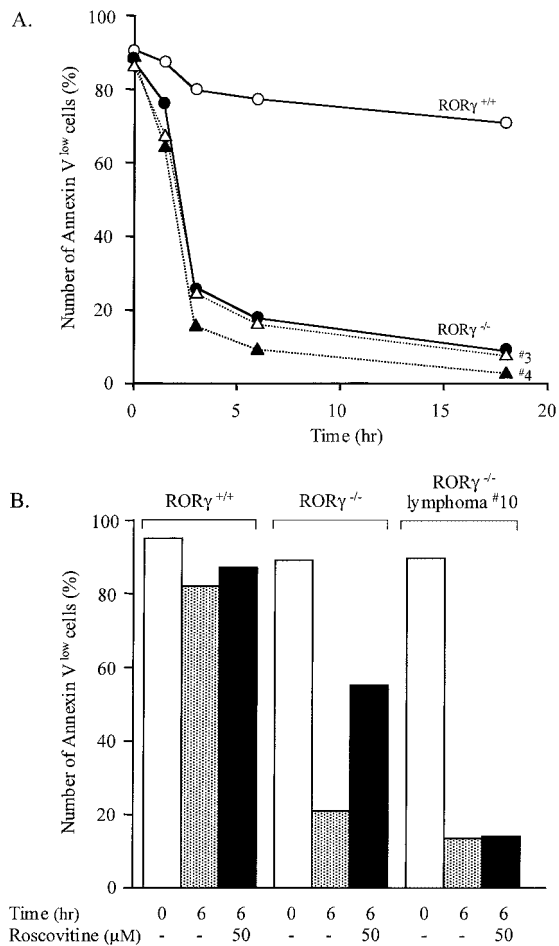


Fig. 5. Accelerated "spontaneous" apoptosis in ROR $\gamma^{-/-}$  lymphoblastic cells. *A*, freshly isolated ROR $\gamma^{-/-}$  lymphoma cells were cultured, and at different time intervals, cells were analyzed for Annexin V binding by flow cytometry as described in "Materials and Methods." The rate of apoptosis was compared with that of wild-type and ROR $\gamma^{-/-}$  thymocytes. The percentage of cells with low Annexin V binding (cells not undergoing apoptosis) was calculated and plotted. *B*, accelerated "spontaneous" apoptosis in ROR $\gamma^{-/-}$  lymphoblastic cells is resistant to the CDK2-inhibitor roscovitine (50  $\mu$ M). Cells were cultured in the presence or absence of roscovitine and 6 h later analyzed for Annexin V binding. In contrast to wild-type and ROR $\gamma^{-/-}$  thymocytes, "spontaneous" apoptosis in ROR $\gamma^{-/-}$  lymphoblastic cells was not inhibited by roscovitine. The results shown are representative for three independent analyses.

Previous studies have linked alterations in the expression of several genes to T-cell lymphoma development in the thymus and include loss of tumor suppressor gene expression and gain in oncogene expression (28–32). For example, mice deficient in the expression of p53 have been reported to be highly susceptible to the development of thymic lymphomas (32, 33), and ectopic expression of *c-myc* has been shown to promote development of T-cell lymphomas (34). To determine whether some of these genes might be involved in the development of T-cell lymphomas in ROR $\gamma^{-/-}$  mice, we compared their expression in lymphoblastic cells from different ROR $\gamma^{-/-}$  lymphomas, with those of thymocytes from 8-week-old wild-type mice and ROR $\gamma^{-/-}$  mice that did not yet exhibit an enlarged thymus. As shown in Fig. 6, expression of p53 mRNA in thymocytes from ROR $\gamma^{-/-}$  mice and lymphomas was comparable with those from wild-type mice. Similarly, no change in the expression of *Brca2* (Fig. 6) or *c-myc* (not shown) mRNA was found between ROR $\gamma^{-/-}$  thymocytes, normal thymocytes, and lymphoma cells. These results do not indicate a link between the expression of *Brca2*, *p53*, or *c-myc* and the formation of T-cell lymphomas in ROR $\gamma^{-/-}$  mice. Examination of ROR $\gamma$  expression in several T-cell lymphomas derived from p53 $^{-/-}$  mice showed

little change in ROR $\gamma$  expression, indicating that loss of p53 does not affect the expression of ROR $\gamma$ .

T-lymphocyte maturation in the thymus is a well-defined, multistep process that involves proliferation, differentiation, apoptosis, selection, and commitment to different lineages. Early during thymopoiesis, the immature CD4 $^{-}$ CD8 $^{-}$ CD44 $^{+}$ CD25 $^{-}$  progenitors, which represent a minority (3–5%) in the adult thymus, differentiate via two intermediate stages into CD4 $^{-}$ CD8 $^{-}$ CD44 $^{-}$ CD25 $^{-}$  pre-T cells. These cells then differentiate further into CD4 $^{+}$ CD8 $^{+}$  double-positive thymocytes, which constitute the majority (80–85%) of the thymocyte population. The majority of double-positive cells undergo apoptosis (death by neglect or by negative selection), and only a small fraction of the surviving, positively selected, double-positive cells mature further into single-positive CD4 $^{+}$  helper and single-positive CD8 $^{+}$  cytotoxic lineages. To assess their immunophenotype, lymphoblastic cells isolated from various ROR $\gamma^{-/-}$  lymphomas were examined by flow cytometry for the expression of CD4, CD8, CD44, and CD25 antigens. Previously, we reported that the thymus of ROR $\gamma^{-/-}$  mice contained a higher percentage of the double-negative subpopulation compared with wild-type mice (25, 26), whereas their double-positive population was decreased. In addition, the double-positive population shifted and exhibited reduced levels of CD4. As shown in Fig. 7A and Table 2, the percentages of the different CD4/CD8 subpopulations varied substantially among lymphomas and were different from those of wild-type and ROR $\gamma^{-/-}$  mice. Although in most tumors the percentage of double-positives decreased and the number double-negative and single-positive CD8 $^{+}$  increased, the tumors could be divided into three different groups based on their CD4 and CD8 expression. Some ROR $\gamma^{-/-}$  lymphomas (*e.g.*, lymphoma 1 and 6; Table 2) still contained a high percentage of double-positive cells, whereas others contained a high percentage of double-negative

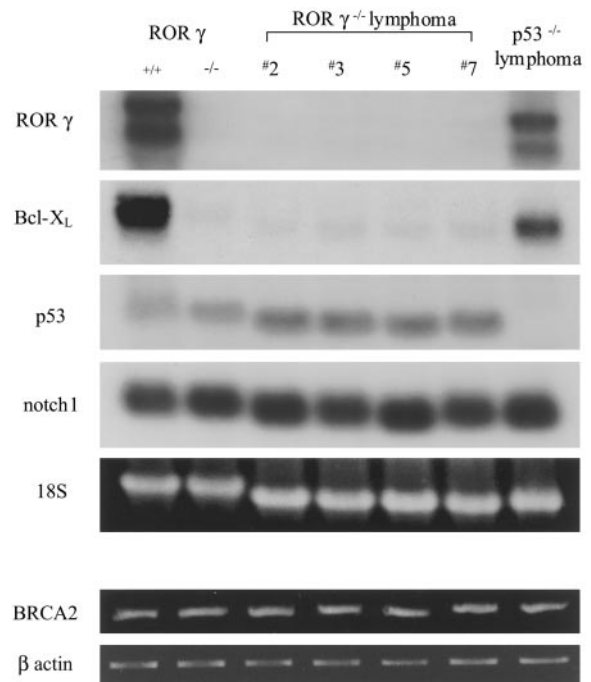


Fig. 6. Comparison of the expression of ROR $\gamma$ , *Bcl-X<sub>L</sub>*, *p53*, and *Brca2* in thymocytes from wild-type and ROR $\gamma^{-/-}$  mice and various T-cell lymphomas. Total RNA was isolated from wild-type and ROR $\gamma^{-/-}$  thymocytes and lymphoblastic cells from T-cell lymphomas of ROR $\gamma^{-/-}$  and p53 $^{-/-}$  mice and examined by Northern blot analysis using radiolabeled probes for ROR $\gamma$ , *Bcl-X<sub>L</sub>*, *p53*, and *notch-1*. Expression of *Brca2* mRNA was examined by RT-PCR as described in "Materials and Methods." ROR $\gamma$  and *p53* expression was lost in cells from ROR $\gamma^{-/-}$  and p53 $^{-/-}$  mice, respectively. *Bcl-X<sub>L</sub>* expression was suppressed in cells from ROR $\gamma^{-/-}$  mice but was still highly expressed in p53 $^{-/-}$  T-cell lymphoma cells. No changes in *notch-1* expression were observed.

## A. CD4/CD8 distribution

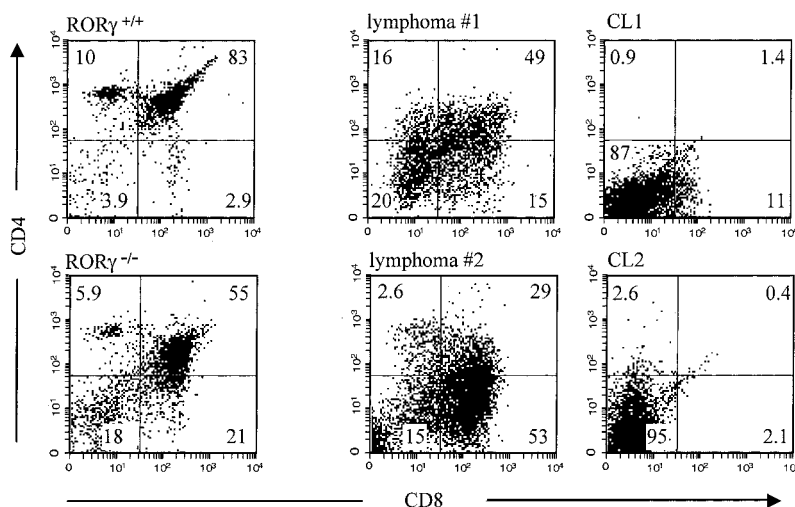
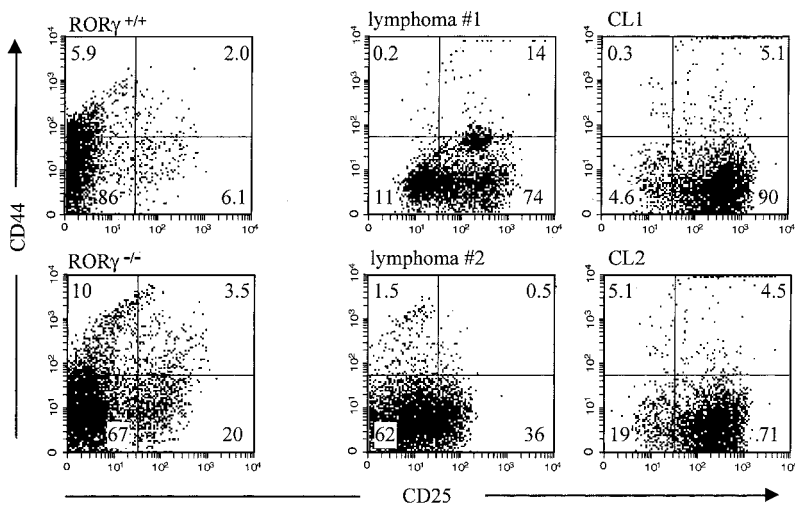


Fig. 7. Aberrant T-cell development in ROR $\gamma^{-/-}$  mice. A, wild-type and ROR $\gamma^{-/-}$  thymocytes and lymphoblastic cells from ROR $\gamma^{-/-}$  lymphoma nos. 1 and 2 were analyzed for the presence of CD4 and CD8 (A) or CD44 and CD25 antigen (B) by flow cytometry as described in "Materials and Methods." CD4, CD8, CD44, and CD25 were also examined in the lymphoblastic cell lines CL1 and CL2 that were established from ROR $\gamma^{-/-}$  lymphoma nos. 1 and 2, respectively.

## B. CD44/CD25 distribution



CD4<sup>-</sup>CD8<sup>-</sup> (e.g., lymphomas 5, 9, and 10) or single-positive CD8<sup>+</sup> (e.g., lymphomas 4, 7, and 8) cells. Analysis of CD4 and CD8 expression in blood lymphocytes from mice with lymphomas showed consistently the presence of a high percentage of double-positive cells, which normally are absent, and a decrease in the percentage of single-positive CD4<sup>+</sup> cells. Examination of CD25 and CD44 cell surface antigens showed that the percentage of the CD44<sup>-</sup>CD25<sup>+</sup> thymocyte subpopulation in ROR $\gamma^{-/-}$  mice was increased compared with wild-type mice (Fig. 3B). However, in ROR $\gamma^{-/-}$  lymphomas, the majority of cells were CD44<sup>-</sup>CD25<sup>-</sup>, but the population of CD44<sup>-</sup>CD25<sup>+</sup> cells was also dramatically enhanced. Initially the cell lines established from various tumors exhibited consistently a similar and homogeneous phenotype. After 1 month of culture, lymphoblastic cells were predominantly CD4<sup>-</sup>CD8<sup>-</sup> and either CD44<sup>-</sup>CD25<sup>+</sup> or CD44<sup>-</sup>CD25<sup>-</sup>. These results indicate that the pattern of CD4, CD8, and CD25 expression changed upon continuous culture. The large differences seen in CD4/CD8 subpopulations in various tumors may reflect a similar process of selection and progression.

To determine the immunophenotype of the actively proliferating thymocytes, cells in the S-phase were selected and analyzed by flow cytometry for CD4 and CD8 expression. In contrast with normal CD4<sup>+</sup>CD8<sup>+</sup> thymocytes, which do not proliferate, certain tumors contained a relatively high number of cycling CD4<sup>+</sup>CD8<sup>+</sup> and/or

CD4<sup>-</sup>CD8<sup>+</sup> lymphoblastic cells (not shown). These results provide further evidence for the hypothesis that lack of ROR $\gamma$  expression leads to dysregulation of proliferation and differentiation.

As mentioned above, a few homozygous mice of each genetic background survived after 1 year. Necropsy of these mice showed the presence of a smaller, normal thymus. Examination of their CD4CD8 subpopulations showed a pattern identical to that observed in thymocytes from 6–8-week-old, tumor-free ROR $\gamma^{-/-}$  mice (not shown). The percentage of double-negative cells was increased, and that of double-positive cells decreased. The number of S-phase cells was enhanced (~22%) compared with 5% in 1-year-old control mice but was not as high (~26%) as in ROR $\gamma^{-/-}$  thymocytes from 6–8-week-old mice.

## DISCUSSION

In this study, we demonstrate that mice lacking both normal ROR $\gamma$  alleles are highly susceptible to development of T-cell lymphomas. The onset of tumor formation occurs during early stages of life; at 122 days of age, 50% of the ROR $\gamma^{-/-}$  mice of mixed genetic background had become moribund or died. No significant difference in median survival was observed between ROR $\gamma^{+/-}$  versus ROR $\gamma^{+/+}$  mice of mixed genetic background, suggesting that there is no gene dosage

Table 2 Immunophenotype of ROR $\gamma$ <sup>-/-</sup> thymic lymphomas and lymphoma-derived cell lines

Lymphoblastic cells isolated from thymic lymphomas (nos. 1–11) were analyzed for their expression of CD4 and CD8 by flow cytometry. The percentages of the different CD4/CD8 subpopulations in ROR $\gamma$ <sup>-/-</sup> lymphomas were calculated and compared with those of thymocytes isolated from ROR $\gamma$ <sup>+/+</sup> and ROR $\gamma$ <sup>+/-</sup> mice and p53<sup>-/-</sup> lymphomas (nos. 12 and 13). The percentages for ROR $\gamma$ <sup>+/+</sup> and ROR $\gamma$ <sup>+/-</sup> thymocytes were derived from a previous study (25). CL1, CL2, and CL3 represent ROR $\gamma$ <sup>-/-</sup> lymphoma-derived cell lines.

Source of thymocytes	Thymocytes			
	DN <sup>a</sup>	DP	SP(CD4)	SP(CD8)
ROR $\gamma$ <sup>+/+</sup> (n = 3)	2.6	86.4	6.6	2.7
ROR $\gamma$ <sup>+/-</sup> (n = 3)	10.9	78.5	3.3	3.8
ROR $\gamma$ <sup>-/-</sup> lymphoma				
1	20	49	15	16
2	15	29	2.6	53
3	15	28	0.5	57
4	3.9	8.0	0.1	88
5	63	5.6	9.4	22
6	19	52	0.9	28
7	14	24	1.8	61
8	37	4.6	0.6	58
9	57	16	15	12
10	55	27	3.5	14
11	19	40	0.6	40
p53 <sup>-/-</sup> lymphoma				
12	5.8	93	1.0	0.03
13	8.2	47	0.4	43
Lymphoma cell lines				
CL1	87	1.2	1.3	10
CL2	93	1.1	4.9	0.4
CL5	57	4.6	4.5	34

<sup>a</sup> DN, double negative; DP, double positive; SP, single positive.

effect on survival as a result of the loss of one or two alleles of ROR $\gamma$ . All but 1 of 17 moribund ROR $\gamma$ <sup>-/-</sup> mice examined revealed the presence of a thymic lymphoma, and no other tumor types were observed in other organs. Over a period of 1 year, none of the wild-type or heterozygous mice developed a thymic lymphoma. ROR $\gamma$ <sup>-/-</sup> mice of a 129/SvEv  $\times$  B6D2  $\times$  C57BL/6 mixed background and ROR $\gamma$ <sup>-/-</sup> 129/SvEv mice developed lymphomas at very similar rates, and in both genetic backgrounds, a few mice were able to survive longer than 1 year. These mice did not have an enlarged thymus or any other tumor, and the characteristics of the thymocytes were very similar to those described for 8-week-old, tumor-free ROR $\gamma$ <sup>-/-</sup> mice. Susceptibility to the development of thymic lymphomas has been reported to vary greatly between mouse strains (35). Little difference in susceptibility to T-cell development was observed between 129/SvEv  $\times$  B6D2  $\times$  C57BL/6 mixed background and ROR $\gamma$ <sup>-/-</sup> 129/SvEv mice. We are backcrossing the ROR $\gamma$ <sup>-/-</sup> phenotype into other strains to examine this further.

The high incidence of lymphoma in ROR $\gamma$ <sup>-/-</sup> mice may be a result of dysfunction of important physiological events during thymocyte maturation. Previous studies identified changes in thymocyte proliferative activity, rate of apoptosis, and shifts in CD4/CD8 subpopulations in thymi from 8-week-old ROR $\gamma$ <sup>-/-</sup> mice in which the thymus was not yet enlarged. The development of lymphomas in ROR $\gamma$ <sup>-/-</sup> mice is associated with a further increase in proliferative activity, as indicated by increased PCNA staining and a 5-fold increase in the number of S-phase cells in lymphomas compared with thymi from wild-type mice. Analysis of the immunophenotype of S-phase cells indicated that the population of actively dividing cells consisted largely of double-negative and single-positive CD8<sup>+</sup> cells. These observations further support the hypothesis that the lack of ROR $\gamma$  expression disturbs homeostasis by altering proliferation and maturation of thymocytes.

Tumor formation is a multistep process that usually requires multiple changes in gene expression. Thus, the formation of lymphomas

in ROR $\gamma$ <sup>-/-</sup> mice likely involves changes in the expression of additional genes. Such changes may arise from an enhanced probability of individual cells to acquire genetic alterations in genes that make them escape negative growth control mechanisms and normal differentiation programs, which as a consequence lead to increased susceptibility to the development of T-cell lymphoma. In other *in vivo* lymphoma models, failure to repair DNA breaks properly during the process of DNA rearrangements has been thought to lead to increased frequency of chromosomal translocations and inversion. The latter is thought to result in abrogation of the expression of tumor suppressor genes and/or activation of proto-oncogenes.

Loss of expression of the tumor suppressors p53 or Brca2, or overexpression of the proto-oncogene c-myc, have been reported to promote thymic lymphoma development in mice (31, 36, 37, 38). These genes could potentially be playing a role in lymphoma formation in ROR $\gamma$ <sup>-/-</sup> mice. However, comparison of the expression of p53, Brca2, and c-myc mRNA in thymocytes from wild-type and ROR $\gamma$ <sup>-/-</sup> mice, and ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells showed very similar levels of expression of these genes. These results suggest that these tumor suppressor and proto-oncogenes are unlikely to be involved in lymphoma formation in ROR $\gamma$ <sup>-/-</sup> mice. Our results also demonstrated that ROR $\gamma$  expression was not affected in p53<sup>-/-</sup> mice, suggesting that ROR $\gamma$  expression is not regulated by p53. The conclusion that the induction of thymic lymphomas in p53 or ROR $\gamma$ -deficient mice involves different mechanisms is supported by several other differences in phenotype between these knockout mice. The immunophenotype of the lymphomas in the two knockout strains are quite different. p53<sup>-/-</sup> mice primarily yield lymphomas with a double-positive phenotype, whereas single-positive CD8<sup>+</sup> and double-negative cells become abundant in ROR $\gamma$ <sup>-/-</sup> lymphomas. Mice deficient in p53 exhibit a high rate of lymphoma formation, whereas p53 heterozygous mice also formed lymphomas but at a reduced rate in contrast with ROR $\gamma$ <sup>+/-</sup> mice, which do not form lymphomas over a 1-year period. These results indicate that the mechanisms by which ROR $\gamma$  and p53 deficiency lead to lymphoma formation are different.

As reported previously, ROR $\gamma$ <sup>-/-</sup> thymocytes undergo accelerated "spontaneous" apoptosis when placed in culture (25, 26). This induction appears to be related in part to the lack of expression of the antiapoptotic gene Bcl-X<sub>L</sub>; the expression of several other Bcl family members was not greatly altered in ROR $\gamma$ <sup>-/-</sup> thymocytes (25). The accelerated apoptosis appears not to involve Fas and Fas ligand (25, 26). The critical role of Bcl-X<sub>L</sub> suppression in accelerated apoptosis is supported by findings showing that ectopic expression of Bcl-X<sub>L</sub> inhibits this apoptosis (26). These results indicate that ROR $\gamma$  regulates directly or indirectly the expression of Bcl-X<sub>L</sub>; however, the precise mechanism by which ROR $\gamma$  controls Bcl-X<sub>L</sub> has yet to be elucidated. In addition to the repression of Bcl-X<sub>L</sub>, ROR $\gamma$ <sup>-/-</sup> thymocytes express high levels of CDK2 activity that appears to be negatively controlled by Bcl-X<sub>L</sub> (26). The increase in CDK2 activity plays an important role in increased apoptosis, because the CDK2 inhibitor roscovitine inhibits spontaneous apoptosis in ROR $\gamma$ <sup>-/-</sup> thymocytes. In this study, we demonstrate that the transition of ROR $\gamma$ <sup>-/-</sup> thymocytes to ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells is not accompanied by a loss in the ability to undergo apoptosis because tumor sections stained for TUNEL showed a high number of apoptotic cells. In fact, tumor cells *in vitro* undergo accelerated "spontaneous" apoptosis at a rate comparable with that of ROR $\gamma$ <sup>-/-</sup> thymocytes and wild-type thymocytes and, therefore, did not become resistant to apoptosis. In contrast with ROR $\gamma$ <sup>-/-</sup> thymocytes, apoptosis in ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells was not inhibited by the CDK2 inhibitor roscovitine, indicating that CDK2 activity does not appear to play a role in the mechanism by which ROR $\gamma$ <sup>-/-</sup> lymphoblastic cells undergo apoptosis. Although increased apoptosis and lymphoma formation appear at first sight contradictory, increased



proliferation has been found associated with increased apoptosis in several hyperplastic diseases. For example, increased proliferation and apoptosis have been observed in several tumor models, including tumor formation in p53<sup>-/-</sup>CK<sup>+</sup> mice, which are deficient in p53 and exhibit increased expression of CK2a (39), and in E2- and E47-deficient mice (28). Transgenic mice, in which expression of the helix-loop-helix gene *Id1* is specifically targeted to T cells, also develop T-cell lymphomas while thymocytes undergo massive cell death (30).

Early T-cell development at the double-negative stage can be characterized by the expression of CD44 and CD25 cell surface markers. Multipotent progenitor cells marked as CD44<sup>+</sup>CD25<sup>-</sup> become committed to the T-cell lineage and differentiate through the CD44<sup>+</sup>CD25<sup>+</sup>, CD44<sup>-</sup>CD25<sup>+</sup>, CD44<sup>-</sup>CD25<sup>-</sup> and then into the double-positive CD4<sup>+</sup>CD8<sup>+</sup> stages (40, 41). The molecular mechanisms that regulate the transition between these stages are not yet precisely understood. Expression of ROR $\gamma$ 2 is restricted to double-positive cells, where it is most highly expressed, and to very immature double-negative CD44<sup>+</sup>CD25<sup>-</sup> cells (22). Thus, ROR $\gamma$  expression is induced when double-negative cells differentiate into double-positive cells and is subsequently down-regulated during the differentiation of double-positive into single-positive cells. ROR $\gamma$  may act both as a repressor and as an activator of transcription and as such regulate positively or negatively several steps in the maturation of double-negative to single-positive cells. The double-negative to double-positive transition corresponds to a critical checkpoint that depends on the productive rearrangement and expression of TCR $\beta$ , which associates with the CD3 complex at the cell surface of late double-negative thymocytes to form the pre-TCR. Signals through the pre-TCR lead to proliferation and differentiation into double-positive, and evidence has been provided indicating that this appears also to result in an up-regulation of ROR $\gamma$ 2 expression (21). It has been suggested that ROR $\gamma$ 2 may influence *V $\alpha$ J $\alpha$*  rearrangements. It is clear that thymocyte precursor cells in ROR $\gamma$ <sup>-/-</sup> mice can differentiate into double-positive and fully mature single-positive CD4<sup>+</sup> and single-positive CD8<sup>+</sup> cells, and that lack of ROR $\gamma$  expression does not cause a block at a specific stage of differentiation (25, 26). The high lymphoma frequency may be a result of dysregulation of specific functions, such as proliferation and apoptosis, at one or more critical steps in the differentiation of double-negative to double-positive thymocytes. The observed increase in the number of cycling cells and the accelerated apoptosis appear to support this hypothesis.

In summary, our results show that mice deficient in ROR $\gamma$  expression are highly susceptible to the development of T-cell lymphoma. This increase in susceptibility may relate to alterations in homeostasis attributable to changes in apoptosis, proliferation, and differentiation. Increased proliferation may result in an enhanced probability to acquire genetic alterations that lead to additional changes in the expression of tumor suppressor and proto-oncogenes and which as a consequence lead to tumor formation. Our study also opens the possibility of a role for ROR $\gamma$  in human cancer. Future identification of ROR $\gamma$  target genes and changes in gene expression in ROR $\gamma$ <sup>-/-</sup> thymocytes will help to elucidate the regulatory role of ROR $\gamma$  in normal thymopoiesis and in the development of T-cell lymphoma.

## ACKNOWLEDGMENTS

We thank Anne Latour for excellent technical assistance and Drs. D. Germolec and J. French for comments on the manuscript.

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