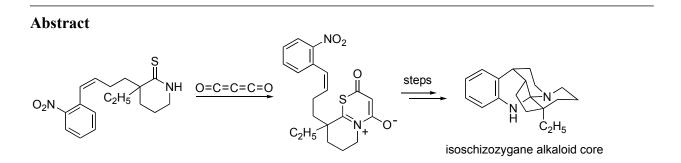
Explorations on the total synthesis of (±)-isoschizogamine using an intramolecular 1,4-dipolar cycloaddition strategy

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Dedicated to Franklin A. Davis on the occasion of his 70th anniversary

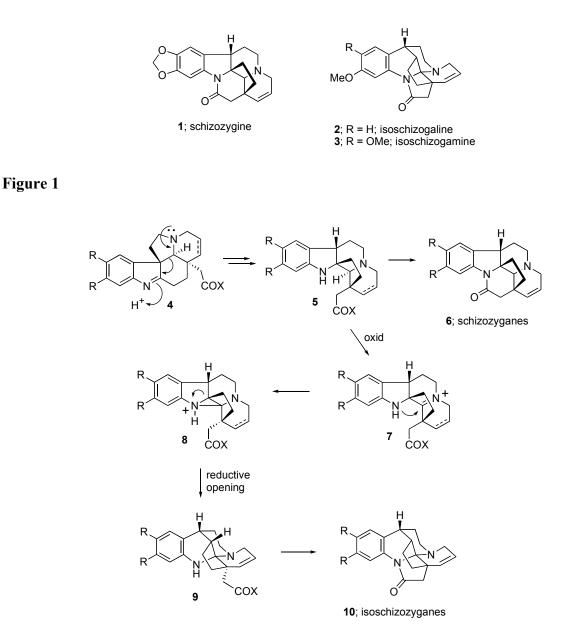


A new strategy for the synthesis of the isoschizozygane alkaloid core has been developed that is based on a 1,4-dipolar cycloaddition reaction of a cross-conjugated heteroaromatic betaine. The required substituted piperidin-2-one needed for the eventual 1,4-dipolar cycloaddition step was prepared using an aza-Claisen rearrangement.

Keywords: 1,4-Dipolar, cycloaddition, cross-conjugated, heteroaromatic, betaine, aza-Claisen, rearrangement

Introduction

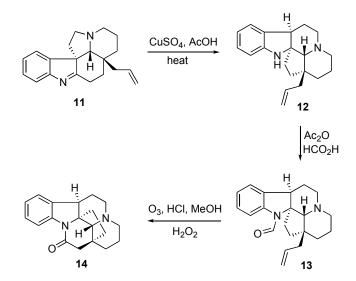
The schizozyganes represent a small group of hexacyclic indoline alkaloids¹ that were obtained from the twigs of the East African monotypic shrub *Schizozygia caffaeoides*.^{2,3} In addition to the major alkaloid schizozygine (1),⁴ a pair of minor alkaloids were isolated⁵ and their structures were established as isoschizogaline (2) and isoschizogamine (3) on the basis of extensive NMR studies.³ It was postulated that the skeleton of the schizozyganes could be biogenetically derived from the *Aspidosperma* alkaloid family, and indeed both groups of alkaloids are found in the same plant species.⁶ A proposed biosynthesis of the schizozyganes from the *Aspidosperma* alkaloids and further conversion to the isoschizozyganes was initially proposed by Hájíček⁷ and this is shown in Scheme 1. An acid catalyzed rearrangement of the aspidosperma skeleton (4) results in the ring-opened schizozygane skeleton 6 via intermediate 5. Alternatively, oxidation of 5 would lead to a transient iminium ion intermediate 7. Attack of the indoline nitrogen on the iminium carbon of 7 would first produce the aziridinium intermediate 8, which might then be opened reductively to give 9 and this would be followed by ring closure to provide the isoschizozygane core skeleton 10.



Scheme 1

To test this hypothesis, Hájíček and Trojánek carried out a successful biomimetic synthesis of (±)-strempeliopine based on this proposal.⁷ Rearrangement of pentacycle **11** in AcOH with

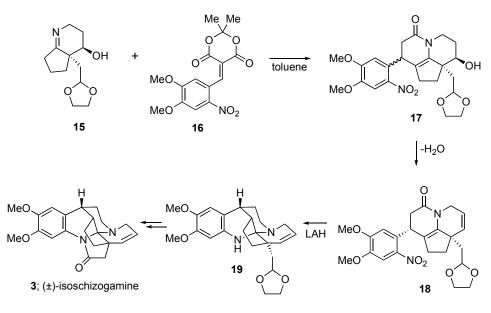
cupric sulfate provided indoline **12** and a subsequent *N*-formylation gave intermediate **13** in 40% yield as shown in Scheme 2. Oxidative cyclization was accomplished by ozonolysis in acidic methanol followed by treatment with H_2O_2 to furnish (±)-strempeliopine (**14**) in 49% yield.



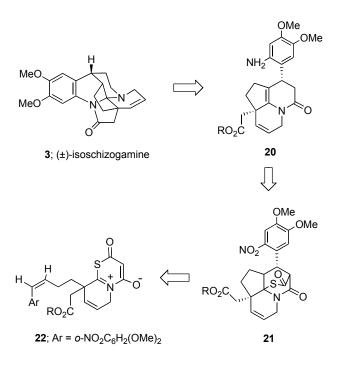
Scheme 2

Heathcock and Hubbs were the first to describe a concise synthesis of the biogenetically related alkaloid (\pm) -isoschizogamine (3).⁸ In their synthesis, the key step to forming the aminal functionality was realized through the attack of an aniline nitrogen onto a transient iminium ion embedded in a 6-6-5 fused ring system⁹ (Scheme 3). Thus, the Michael addition of imine 15 with 16 gave an intermediate which, upon heating, underwent cyclization with concomitant loss of acetone and carbon dioxide providing a mixture of diasteromeric lactams 17. Dehydration of 17 gave 18. The aromatic nitro group and the lactam carbonyl group in 18 were reduced to 19, which was then subjected to acidic conditions. The resulting hemiaminal was subsequently oxidized to give (\pm)-isoschizogamine (3).

More recently, Magomedov presented an alternative strategy to the cyclopenta[*b*]quinoline core using a formal hetero Diels-Alder reaction to reach a densely functionalized tetrahydroquinoline derivative as an advanced intermediate to the targeted alkaloid.^{10a} In a followup approach from his laboratory, an acylamidine intermediate was subjected to acidic conditions, which resulted in an interesting intramolecular cyclization reaction.^{10b} Although his synthetic investigations did not result in a total synthesis of isoschizogamine, Magomedov did point out^{10b} that the synthesis of a key intermediate obtained in his investigations (*vide infra*) might be useful for an eventual synthesis of this alkaloid using a route previously developed by our group.¹¹



In an earlier report,¹¹ we had outlined a new strategy for the synthesis of the isoschizozygane alkaloid core based on the intramolecular 1,4-cycloaddition of a cross-conjugated heteroaromatic betaine¹² across a tethered π -bond¹³ as illustrated in Scheme 4. We assumed that the hexacyclic skeleton of isoschizogamine (3) could be formed from a compound of type 20 by a sequence of enamide protonation, acyl-iminium ion cyclization and lactamization. Enamide 20 may be generated by extrusion of COS from cycloadduct 21 followed by reduction of both the nitro and keto groups and a subsequent dehydration.

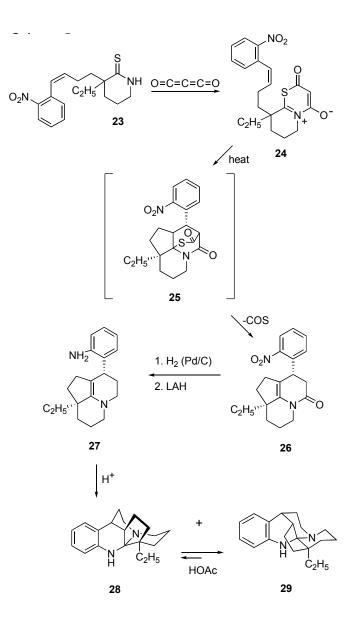


Scheme 4

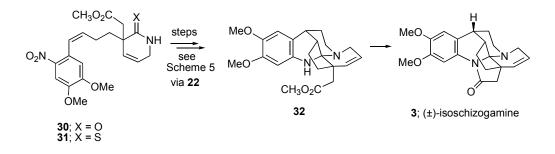
Although several intermolecular 1,4-dipolar cycloadditions have been described in the literature,¹⁴ applications of the intramolecular type are still rare but have significant synthetic potential.¹³ In order to test the feasibility of the retrosynthetic strategy outlined in Scheme 4, our initial efforts were focused on model substrates.

Results and Discussion

The synthesis of 5*a*-aza-acenaphthylen-5-one **26** commenced from the easily available thiolactam **23** (Scheme 5). Generation of the bright yellow isolable betaine **24** was accomplished by the reaction of **23** with carbon suboxide¹⁵ at 25 °C for 5 h. Heating a sample of **24** at 120 °C for 3 h in toluene afforded **26** as a single diastereoisomer in 66% yield as a pale yellow solid whose formation is easily accounted for by extrusion of COS¹⁶ from the originally formed cycloadduct **25** followed by a hydrogen shift. The preferred stereoselectivity is associated with fewer nonbonded interactions in the transition state for the cycloaddition process. Catalytic reduction of the nitro functionality (H2, Pd/C) in **26** to the corresponding amino group was followed by enamide reduction using LAH. The transient enamine **27** was treated with acid to furnish a 3:2-mixture of diastereomeric aminals **28** and **29**. The formation of the double bond in the initially formed enamine **27**.⁸ Treatment of either isolated isomer with acetic acid resulted in an equilibrated 1:6-mixture of **28** and **29** with the major diastereomer possessing the correct core skeleton of the isoschizozygane family of alkaloids.

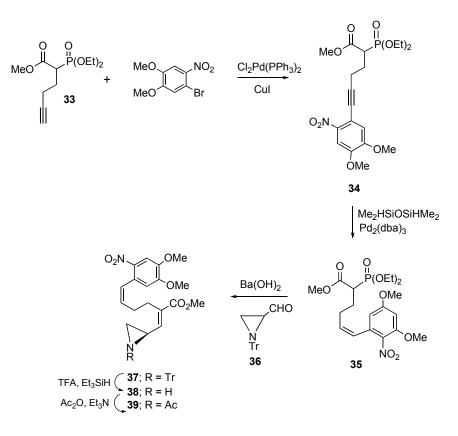


The above result establishes that the intramolecular 1,4-dipolar cycloaddition of a crossconjugated heteroaromatic betaine intermediate (*i.e.* 24) can be used as a method to prepare the core skeleton of the isoschizogamine family of alkaloids. Our next task was to synthesize the precursor δ -lactam 30 needed for the generation and cycloaddition of the required betaine intermediate 22 so as to to eventually furnish compond 32 as indicated in Scheme 6.

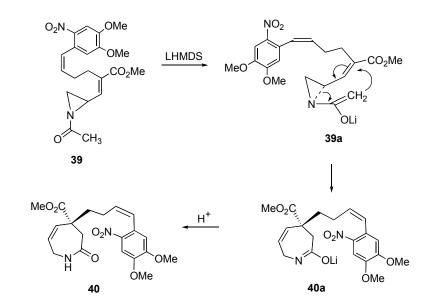


After inspection of the structural features of δ -lactam **30**, we decided to make use of an aza-Claisen rearrangement¹⁷ strategy related to that previously described by Zhou and Magomedov.^{10b} Our efforts to synthesize the required *N*-acetyl aziridine intermediate **39** are outlined in Scheme 7. Toward this goal, alkyne **33** was readily synthesized by treating the anion derived from methyl diethylphosphonoacetate with but-3-ynyl-4-methylbenzenesulfonate. A subsequent Sonogashira arylation¹⁸ using 1-bromo-4,5-dimethoxy-2-nitrobenzene with Cl₂Pd(PPh₃)₂ and CuI as the catalyst provided the expected coupled product **34**. Reduction¹⁹ of the triple bond present in **34** furnished alkene **35** as a 9:1-mixture of *Z/E* isomers. The α , β unsaturated ester **39** needed for the aza-Claisen rearrangement was obtained through a Horner-Wadsworth-Emmons olefination²⁰ between phosphonate **35** and the aziridinyl aldehyde **36**²¹ and was acquired as an inseparable mixture of *E/Z* isomers (11:1) about the newly created π -bond. A number of acidic conditions were attempted for the deprotection of the trityl group in **37**, and finally the conditions of TFA, Et₃SiH, 0 °C were observed to give the best results.²² After detritylation the *NH*-aziridine was obtained primarily as the *Z*-isomer **38**. *N*-Acetylation of **38** (Ac₂O, Et₃N, CH₂Cl₂) gave aziridine **39**.

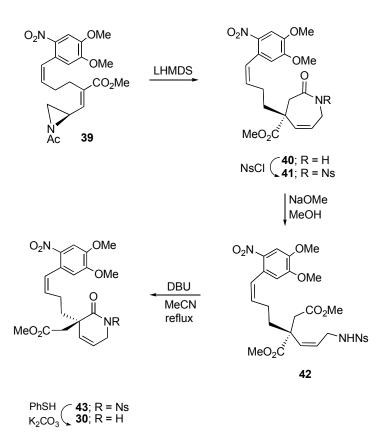
The critical aza-Claisen rearrangement of aziridine **39** under the Somfai conditions¹⁷ (LHMDS, THF, -78 °C to 0 °C) did occur, but only in 10% yield. We had assumed that the release of the aziridine ring-strain would facilitate formation of the seven-membered ring in tetrahydro-1*H*-azepine **40**. Apparently, the low yield is due to a much higher activation energy for this reaction as compared to those reported by Somfai.¹⁷ The higher activation energy might be due to disruption of conjugation of the α,β -unsaturated ester in the transition state. We speculated that heating enolate **39a** would overcome the energy barrier for the rearrangement, which occurs by a boat-like transition state. Indeed, after **39** was deprotonated (LHMDS, PhMe, -78 °C) and then heated at 80 °C, the starting aziridine had disappeared and the rearranged product 40 was produced in somewhat better yield (30%). Further attempts to improve the yield 40 by varying the solvent, reaction time and temperature were unsuccessful. At this stage of our studies we decided to push ahead and determine whether tetrahydro-1*H*-azepine **40** could be converted into the desired δ -lactam precursor 30 that is ultimately needed for the 1,4cycloaddition step. With this in mind, the NH group of 40 was protected as the nosyl sulfonate 41. Lactam 41 was then converted to the open chain intermediate 42 by reaction with NaOMe in MeOH. It was necessary to use elevated temperatures (DBU, CH₃CN, reflux) on **42** to promote the formation of the six-membered lactam **43**. Finally, **43** was converted to the desired δ -lactam **30** after nosyl deprotection using PhSH, K₂CO₃ and DMF.



Scheme 7



Scheme 8



In summary, an efficient approach to the core skeleton of the isoschizozygane family of alkaloids was accomplished by an intramolecular 1,4-dipolar cycloaddition reaction of a cross-conjugated heteroaromatic betaine intermediate. Application of this strategy to a total synthesis of (\pm) -isoschizogamine is underway and the required substituted piperidin-2-one needed for the eventual 1,4-dipolar cycloaddition step has been prepared using an aza-Claisen rearrangement.

Experimental Section

3-Ethyl-3-[4-(2-nitrophenyl)-but-3-enyl]-piperidine-2-thione (23). A solution containing 1.5 g (5.1 mmol) of 3-ethyl-3-[4-(2-nitrophenyl)-but-3-enyl]-piperidin-2-one¹¹ and 1.1 g (2.8 mol) of Lawesson's reagent in 10 mL of toluene was heated at reflux for 1 h. The resulting mixture was cooled to room temperature, the solvent was removed under reduced pressure and the residue was subjected to silica gel to give 1.4 g (87%) of thioamide 23 as a pale yellow solid: mp 146-148 °C; IR (CH₂Cl₂) 3166, 3066, 2959, and 1521 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 0.86 (t, 3H, *J* = 7.2 Hz), 1.50-1.84 (m, 6H), 1.92 (sex, 1H, *J* = 6.4 Hz), 2.00-2.22 (m, 3H), 3.12-3.28 (m, 2H), 5.80 (dt, 1H, *J* = 11.2 and 7.2 Hz), 6.67 (d, 1H, *J* = 11.2 Hz), 7.38 (t, 1H, *J* = 8.0 Hz), 7.44

(d, 1H, J = 7.6 Hz), 7.56 (t, 1H, J = 7.6 Hz), 7.97 (d, 1H, J = 8.0 Hz), and 8.80 (brs, 1H); ¹³C-NMR (CDCl₃, 150 MHz) δ 8.5, 19.4, 23.8, 27.3, 35.3, 41.4, 45.2, 48.6, 124.4, 125.4, 127.7, 132.1, 132.6, 132.9, 134.1, 148.1, and 210.7; HRMS Calcd for C₁₇H₂₂N₂O₂S: 318.1402. Found: 318.1400.

9-Ethyl-4-hydroxy-9-[4-(2-nitrophenyl)-but-3-enyl]-2-oxo-6,7,8,9-tetrahydro-2H-pyrido-

[2,1-*b*][1,3]thiazin-5-ylium (24). To a solution containing 0.32 g (1.0 mmol) of thioamide 23 was added carbon suboxide, prepared from 0.15 g of zinc dust and 0.27 g of dibromomalonyl dichloride via canular at -78 °C. The resulting mixture was warmed to room temperature and was stirred at 25 °C for 5 h. The solvent was removed under reduced pressure and the residue was purified by silica gel chromatography to give 0.28 g (72%) of 24 as a bright yellow oil; IR (neat) 2966, 1635, and 1521 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 0.92 (t, 3H, *J* = 7.2 Hz), 1.72-1.82 (m, 3H), 1.82-2.00 (m, 8H), 2.04-2.13 (m, 1H), 4.05 (dt, 1H, *J* = 15.6 and 6.4 Hz), 4.17 (dt, 1H, *J* = 15.6 and 6.4 Hz), 5.72 (dt, 1H, *J* = 11.2 and 6.8 Hz), 7.23 (d, 1H, *J* = 7.6 Hz), 7.47 (td, 1H, *J* = 7.6 and 1.2 Hz), 7.59 (td, 1H, *J* = 7.6 and 1.2 Hz), and 8.01 (dd, 1H, *J* = 7.6 and 1.2 Hz); ¹³C-NMR (CDCl₃, 100 MHz) δ 8.5, 19.0, 23.3, 28.2, 36.8, 42.6, 47.9, 49.6, 88.0, 124.7, 127.6, 128.6, 130.9, 131.3, 131.8, 133.1, 148.1, 161.5, 166.4, and 194.2; HRMS Calcd for C₂₀H₂₂N₂O₄S: 386.1300. Found: 386.1297.

8a-Ethyl-3-(2-nitrophenyl)-1,2,3,4,6,7,8,8*a***-octahydro-5***a***-aza-acenaphthylen-5-one (26). A mixture containing 0.28 g (0.72 mmol) of dipole 24 in 15 mL of toluene in a sealed tube was placed in a preheated oil bath at 120 °C for 3 h. The mixture was cooled to room temperature and the solvent was removed under reduced pressure. The residue was purified by silica gel chromatography to give 0.18 g (66%) of 26 as a pale yellow solid; mp 134-136 °C; IR (neat) 2942, 1683, and 1321 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 0.91 (t, 3H,** *J* **= 7.2 Hz), 1.19-1.30 (m, 1H), 1.44-1.65 (m, 3H), 1.69-1.82 (m, 3H), 1.91-2.02 (m, 2H), 2.04-2.15 (m, 1H), 2.67 (t, 1H,** *J* **= 14.8 Hz), 2.95 (dd, 1H,** *J* **= 14.8 and 6.0 Hz), 3.07 (dt, 1H,** *J* **= 12.8 and 8.0 Hz), 3.96 (dt, 1H,** *J* **= 12.8 and 4.4 Hz), 4.34 (dd, 1H,** *J* **= 14.8 and 6.0 Hz), 7.26-7.41 (m, 2H), 7.59 (td, 1H,** *J* **= 7.6 and 1.2 Hz), and 7.79 (dd, 1H,** *J* **= 7.6 and 1.2 Hz); ¹³C-NMR (CDCl₃, 100 MHz) δ 9.1, 19.3, 27.5, 28.3, 31.8, 34.7, 36.0, 40.9, 41.0, 45.8, 114.5, 124.3, 127.5, 129.9, 132.8, 136.8, 143.4, 150.1, and 169.6; Anal. Calcd for C₁₉H₂₂N₂O₃: C, 69.92; H, 6.79; N, 8.58. Found: C, 69.84; H, 6.80; N, 8.45.**

Preparation of Aminals 28 and 29. A mixture containing 0.027 g (0.08 mmol) of lactam **26** and 0.03 g of Pd/C was stirred under a hydrogen atmosphere (4 atm) for 15 h. The reaction mixture was filtered through a celite pad and the filtrate was concentrated under reduced pressure. The residue was taken up in 5 mL of THF and this solution was treated with 0.065 g (1.7 mmol) of LAH at 0 °C. The mixture was heated at reflux for 20 h and was cooled to room temperature. To this mixture was added 65 mL of water, 65 mL of 15% of NaOH and 195 mL of water followed by the addition of 1 g of anhydrous Na₂SO₄ and the mixture was filtered. The solution was concentrated under reduced pressure and the resulting residue was purified by silica gel chromatography to give 0.01 g (48%) of the undesired aminal diasteromer **28** as a pale yellow oil; IR (neat) 3433, 2920, and 1321 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 0.78 (t, 3H, *J* = 7.6 Hz),

1.10 (td, 1H, J = 13.6 and 4.8 Hz), 1.24-1.41 (m, 3H), 1.44-1.52 (m, 1H), 1.56-1.62 (m, 2H), 1.64-1.73 (m, 1H), 1.80 (qt, 1H, J = 13.2 and 4.8 Hz), 1.97-2.14 (m, 2H), 2.24-2.52 (m, 6H), 2.93 (d, 1H, J = 3.2 Hz), 4.51 (brs, 1H), 6.52 (d, 1H, J = 8.0 Hz), 6.56 (td, 1H, J = 7.2 and 1.2 Hz), 6.91 (dd, 1H, J = 7.6 and 1.2 Hz), and 6.97 (td, 1H, J = 7.6 and 1.6 Hz); ¹³C-NMR (CDCl₃, 100 MHz) & 8.3, 21.2, 21.8, 27.7, 28.0, 28.8, 29.4, 35.4, 41.2, 46.3, 46.6, 48.3, 75.3, 112.1, 116.1, 126.9, 127.2, 128.6, and 146.9; HRMS Calcd for C₁₉H₂₆N₂: 282.2096. Found: 282.2091. The second fraction from the above chromatographic separation contained 0.008 g (35%) of the desired aminal diasteromer 29 as a white solid; mp 122-124 °C; IR (neat) 3420, 2939, and 1311 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 0.87 (t, 3H, J = 7.6 Hz), 1.02-1.15 (m, 1H), 1.18-1.70 (m, 8H), 1.74-1.80 (m, 1H), 1.81-1.88 (m, 1H), 2.13 (tt, 1H, J = 13.2 and 4.0 Hz), 2.41 (dd, 1H, J = 14.4 and 3.2 Hz), 2.68-2.74 (m, 1H), 2.78-2.87 (m, 1H), 2.98 (dt, 1H, J = 12.0 and 3.6 Hz), 3.00-3.04 (m, 1H), 3.13 (dt, 1H, J = 14.0 and 3.6 Hz), 4.14 (brs, 1H), 6.45 (d, 1H, J = 8.0 Hz), 6.60 (td, 1H, J = 8.0 and 0.8 Hz), 6.93 (dd, 1H, J = 8.0 and 1.2 Hz), and 7.01 (td, 1H, J = 8.0 and 1.2 Hz); ¹³C-NMR (CDCl₃, 100 MHz) δ 9.3, 22.0, 22.6, 23.5, 28.0, 30.4, 33.8, 34.7, 45.1, 45.9, 48.9, 76.0, 111.9, 116.8, 122.4, 127.4, 129.0, and 144.7; HRMS Calcd for C19H26N2; 282.2096. Found: 282.2094.

A 0.02 g sample containing either aminal **28** or **29** was dissolved in 1 mL of acetic acid at room temperature. The solution was stirred for 2 h at rt and was then poured into 10 mL of a saturated sodium bicarbonate solution and extracted with CH_2Cl_2 . The solution was dried over MgSO₄ and the solvent was removed under reduced pressure. The residue was analyzed by ¹H-NMR spectroscopy (CDCl₃, 400 MHz) which indicated a 1:6-mixture of aminals **28** (minor) and **29** (major).

Methyl 2-(diethoxyphosphoryl)hex-5-ynoate (33). To a solution containing 2.0 mL (11 mmol) of methyl diethylphosphonoacetate in 45 mL of THF at 0 °C was added 22 mL (0.5 M, 11 mmol) of a KHMDS solution in toluene. After stirring at 0 °C for 30 min, a solution containing 1.65 g (7.4 mmol) of but-3-ynyl 4-methylbenzenesulfonate in 15 mL of THF was added. The mixture was heated to 50 °C and stirred for an additional 15 h. After cooling to rt, the reaction mixture was quenched by the addition of H₂O (100 mL) and extracted with Et₂O. The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography gave 0.6 g (31%) of the titled compound as a clear oil: IR (neat) 3473, 3288, 3230, 2984, 2953, 1737, 1438 1252, and 1158 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 1.31 (ddd, 6H, *J* = 7.1, 7.1 and 2.7 Hz), 1.98 (t, 1H, *J* = 2.7 Hz), 2.00-2.09 (m, 1H), 2.13-2.25 (m, 2H), 2.30-2.38 (m, 1H), 3.20 (ddd, 1H, *J* = 23.5, 10.2, and 4.3 Hz), 3.75 (s, 3H), and 4.09-4.18 (m, 4H); ¹³C-NMR (CDCl₃, 100 MHz) δ 16.5 (d, *J* = 3.0 Hz), 16.5 (d, *J* = 3.0 Hz), 17.4 (d, *J* = 15.6 Hz), 25.9 (d, *J* = 4.5 Hz), 44.2 (d, *J* = 30.9 Hz), 52.7, 63.0 (d, *J* = 6.7 Hz), 63.1 (d, *J* = 6.7 Hz), 70.0, 82.3, and 169.4 (d, *J* = 5.3 Hz).

Methyl 2-(3-(4-(4,5-dimethoxy-2-nitrophenyl)but-3-enyl)-2-oxo-1,2,3,6-tetrahydropyri-din-3-yl)acetate (30).²⁴ To a solution containing 0.54 g (2.0 mmol) of the above alkyne and 0.64 g (2.5 mmol) of 1-bromo-4,5-dimethoxy-2-nitrobenzene in diisopropylamine (8.5 mL) and THF (17 mL) at rt was added 36 mg (0.051 mmol) of dichlorobis(triphenylphosphine) palladium (II) and 19 mg (0.10 mmol) of CuI. The resulting mixture was stirred at rt for 15 h then filtered through a Celite pad eluting with EtOAc (50 mL). The filtrate was concentrated under reduced pressure and the residue was purified by flash column silica gel chromatography to provide 0.57 g (62%) of methyl 2-(diethoxyphosphoryl)-6-(4,5-dimethoxy-2-nitrophenyl)-hex-5-ynoate (**34**) as a red oil: IR (neat) 3463, 2982, 2942, 1735, 1573, 1521, 1284, 1215, and 1020 cm⁻¹; ¹H-NMR (CDCl₃, 400 MHz) δ 1.34 (ddd, 6H, *J* = 7.0, 7.0 and 4.3 Hz), 2.14-2.25 (m, 1H), 2.27-2.39 (m, 1H), 2.51-2.59 (m, 1H), 2.63-2.71 (m, 1H), 3.36 (ddd, 1H, *J* = 23.5, 10.6, and 4.3 Hz), 3.76 (s, 3H), 3.95 (s, 3H), 3.96 (s, 3H), 4.10-4.22 (m, 4H), 6.96 (s, 1H), 7.61 (s, 1H); ¹³C-NMR (CDCl₃, 100 MHz) δ 16.5 (d, *J* = 3.0 Hz), 16.6 (d, *J* = 3.0 Hz), 18.9 (d, *J* = 15.6 Hz), 25.9 (d, *J* = 4.5 Hz), 44.3 (d, *J* = 30.2 Hz), 52.8, 56.6, 56.7, 63.1 (d, *J* = 7.5 Hz), 63.1 (d, *J* = 7.5 Hz), 78.4, 95.4, 107.6, 113.2, 115.7, 142.9, 148.6, 152.8, and 169.5 (d, *J* = 5.3 Hz).

To a solution of 1.2 g (2.7 mmol) of the above alkyne, 0.1 g (0.11 mmol) of $Pd_2(dba)_3$, and 66 mg (0.22 mmol) of (*o*-tolyl)P₃ in benzene (27 mL) at rt was added 0.15 mL (2.7 mmol) of AcOH, and 0.48 mL (2.7 mmol) of 1,1,3,3-tetramethyldisiloxane. The reaction mixture was stirred at rt for 15 h then poured into H₂O and extracted with EtOAc. The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography resulted in 1.06 g (88%) a 9:1 inseparable mixture of the *Z*:*E*-isomers of methyl 2-(diethoxyphosphoryl)-6-(4,5-dimethoxy-2-nitrophenyl)hex-5-enoate (**35**) as a dark oil.

A solution of 0.41 g (2.4 mmol) of Ba(OH)₂ and 1.06 g (2.4 mmol) of the above alkene in THF (21 mL) and H₂O (2.4 mL) was stirred at rt for 30 min. To the reaction mixture was added 0.75 g (2.4 mmol) of (*S*)-1-tritylaziridine-2-carbaldehyde (**36**) and the mixture was stirred for an additional 15 h. The solution was then poured into CH₂Cl₂ and washed with saturated aqueous NaHCO₃. The combined organic extracts were dried over anhydrous Na₂SO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography resulted in 1.1 g (76%) of methyl 6-(4,5-dimethoxy-2-nitrophenyl)-2-(((*R*)-1-tritylaziridin-2-yl)methyl-ene)hex-5-enoate (**37**) as a yellow oil.

To a solution containing 1.1 g (1.8 mmol) of the above aziridine in CH_2Cl_2 (75 mL) at 0 °C was added 1.2 mL (7.2 mmol) of triethylsilane and then 0.54 mL (7.2 mmol) of TFA was added dropwise over 5 min. After stirring the bright yellow solution at 0 °C for an additional 25 min, 1.9 mL (10.9 mmol) of diisopropylethylamine was added. After stirring for 30 min, the reaction was quenched by the addition of H₂O and extracted with CH_2Cl_2 . The combined organic extracts were dried over anhydrous Na₂SO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography resulted in 0.46 g (71%) of methyl 2-((*R*)-aziridin-2-ylmethylene)-6-(4,5-dimethoxy-2-nitrophenyl)hex-5-enoate (**38**) as a light yellow oil.

To 0.47 g (1.28 mmol) of the above aziridine in CH_2Cl_2 (13 mL) at 0 °C was added 0.36 mL (2.6 mmol) of NEt₃ followed by 16 mg (0.13 mmol) of DMAP. After the addition of 0.13 mL (1.4 mmol) of Ac₂O, the reaction mixture was allowed to stir for 20 min. The solution was quenched by the addition of H₂O and extracted with CH_2Cl_2 . The combined organic extracts were dried

over anhydrous Na₂SO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography resulted in 0.45 g (86%) of methyl 2-(((R)-1-acetylaziridin-2-yl)methylene)-6-(4,5-dimethoxy-2-nitrophenyl)hex-5-enoate (**39**) as a light yellow.

A solution of 0.23 g (0.56 mmol) of the above aziridine in toluene (10 mL) was cooled to -78 °C. A solution of 0.95 mL (1.0 M in hexanes, 0.95 mmol) of LiHMDS was added dropwise over 5 min. After stirring the light yellow solution at -78 °C for 20 min, the reaction mixture was placed in a preheated (80 °C) oil bath and heated at this temperature for an additional 20 min. The mixture was then cooled to rt and quenched by the addition of saturated aqueous NH₄Cl and extracted with Et₂O. The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography resulted in 59 mg (30%) of methyl 4-(4-(4,5-dimethoxy-2-nitrophenyl)but-3-enyl)-2-oxo-2,3,4,7-tetrahydro-1*H*-azepine-4-carboxylate (**40**) as a light yellow oil.

To a solution of 56 mg (0.14 mmol) of the above lactam in THF (2 mL) at -78 °C was added 0.21 mL (1.0 M solution in THF, 0.21 mmol) of NaHMDS. After stirring for 30 min at -78 °C, a solution of 46 mg (0.21 mmol) of NsCl in 1 mL THF was added and the dark purple reaction mixture was stirred an additional 2 h. The reaction was diluted with Et_2O and quenched cold with H_2O . After warming to rt, the mixture was poured into H_2O and extracted with Et_2O . The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography gave 34 mg (41%) of methyl 4-(4-(4,5-dimethoxy-2-nitrophenyl)but-3-enyl)-1-(4-nitrophenylsulfonyl)-2-oxo-2,3,4,7-tetrahydro-1*H*-azepine-4-carboxylate (**41**) as a yellow oil.

To a solution of 48 mg (0.082 mmol) of the above lactam in THF (1.5 mL) and MeOH (1.5 mL) at rt was added 9.0 mg (0.16 mmol) of NaOMe in one portion. After stirring at rt for 30 min, the reaction was diluted with Et₂O, quenched by the addition of saturated aqueous NH₄Cl, and extracted with Et₂O. The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure provided the diester **42** which was used in the next step without purification. To the above ring-opened diester in CH₃CN (3 mL) at rt was added 18 μ L (0.12 mmol) of DBU. The reaction mixture was heated to reflux for 1 h. After cooling to rt, the reaction mixture was quenched by the addition of saturated aqueous NH₄Cl and extracted with Et₂O. The combined organic extracts were dried over anhydrous MgSO₄, filtered, (0.12 mmol) of DBU. The reaction mixture was heated to reflux for 1 h. After cooling to rt, the reaction mixture was quenched by the addition of saturated aqueous NH₄Cl and extracted with Et₂O. The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash column silica gel chromatography gave 37 mg (77%) of methyl 2-(3-(4-(4,5-dimethoxy-2-nitrophenyl)but-3-enyl)-1-(4-nitrophenylsulfonyl)-2-oxo-1,2,3,6-tetrahydropyridin-3-yl)acetate (**43**) as a yellow oil.

To a solution of 27 mg (0.046 mmol) of the above lactam and 19 mg (0.95 mmol) of K_2CO_3 in DMF (1 mL) at rt was added 6.0 µL (0.055 mmol) of PhSH. After stirring for 1 h at rt, the reaction was diluted with Et₂O, quenched by the addition of saturated aqueous NaHCO₃, and extracted with Et₂O and EtOAc. The combined organic extracts were dried over anhydrous MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by preparative TLC on silica gel gave 13 mg (71%) of methyl 2-(3-(4-(4,5-dimethoxy-2-

nitrophenyl)but-3-enyl)-2-oxo-1,2,3,6-tetrahydropyridin-3-yl)acetate (**30**) as an inseparable 2:1 mixture of Z:E alkene isomers.

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- 24. The senior author regrets that spectral data for compounds **34-43** are not presented. These compounds (*i.e.* **34-43**) were intermediates for an eventual synthesis of δ -lactam **30**. Unfortunately, the reason for this omission is that the data were lost during a move of our laboratory from one location to another and inadvertently discarded during a period of my absence. Because the two junior collaborators have since left the group and are working elsewhere, it is not practical for us to repeat this work with our very limited resources. I do regret that this has happened, but do feel as though the chemistry is reliable. If anyone might be interested in preparing lactam **30** in the future, the details are well laid out. Under these circumstances and with the agreement of the Scientific Editor of Arkivoc, the paper is being published with this deficiency. (*Editor's comment -- Please note that the special circumstances surrounding this matter will not be taken as a precedent for any future relaxation of Arkivoc's requirements for full characterization*).