

Research Protocol - date

FAIRness of Dental Research Data

Short title: FAIR Dental Data

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Authors contributions

SU: research idea, protocol writing, data collection and analysis, draft final manuscript, manuscript writing, research data management and curator.

IM: research idea, funding, data analysis, research data management, manuscript writing.

BV: research idea, funding, data analysis, manuscript writing.

ER: protocol writing, data script writing, data collection and analysis, final manuscript writing.

ASM: protocol writing, data script writing, data collection and analysis, final manuscript writing.

Abstract

Context: The final goal of publishing results in science is to allow other researchers to reproduce and validate the results independently. This validation requires detailed reporting of the methods used and ideally of the data. Also, the decreasing cost of online storage allows research data to be shared simply, cheaply and for a long time. In some areas, such as climate science, it is usual for researchers to contribute their data to large repositories that allow both validation, replication, and finding new findings in the data. To help with the task of facilitating the sharing and reuse of research data, the FAIR principles were published in 2016 (Wilkinson et al., 2016). These refer to a series of elements that shared data should be findable, accessible, interoperable and reproducible. These principles enable both humans and machines to access and understand research data.

Objectives: To assess the percentage of dental research that publishes its original data and what percentage of available dental research data complies with the FAIR principles.

Study Design: Bibliometric descriptive study

Data and methods: From all Europe PubMed Central open access (PMCOA) articles and a random sample of 500 non-PMCOA articles published in PubMed-indexed dental journals in the last five years will be searched for data sharing using the *rtransparent* and *oddpub* packages in R. Data sharing in articles will be mapped by journal, keywords and year. Then, data shared via the repositories will be analyzed to verify compliance with FAIR principles using the FAIRsFAIR Data Object Assessment Metrics(Devaraju et al., 2020).

Keywords

FAIR, Dental Research, Data Repositories, Research Methods, Open data, Open research

Introduction

The advancement of science requires that results published by researchers be independently validated. To validate these results, we can either replicate the results using the methods described or reproduce the results using the original data provided by the authors (National Academies of Sciences, Engineering et al., 2019). This independent validation increases the credibility and robustness of research results. Recent extensive replication studies reported disappointing results (Open Science Collaboration, 2015). Moreover, in a survey, more than 1500 scientists in different areas estimate that only about half of the studies are reproducible (Baker, 2016). This has been referred to as the reproducibility crisis (Peng, 2015; Fanelli, 2018), and the lack of reporting of the original data seems to contribute to this crisis. (Miyakawa, 2020).

Sharing data benefits the greater scientific community: it encourages various perspectives, helps to uncover errors, inhibits fraud, is valuable for teaching new researchers, and avoids repeat data collection, resulting in more efficient use of money and patient population resources (Roundtable on Environmental Health Sciences, Research, and Medicine et al., 2016). With this objective in mind, a set of principles, known as FAIR, was published in 2006 to guide researchers to make research data findable, accessible, interoperable and reusable. (Wilkinson et al., 2016). Thus, numerous agencies in the USA and Europe have begun to demand that publicly funded research data be released as open data (Forero, Curioso, & Patrinos, 2021). In addition, online data repositories and scientific publishers' online supplements to articles have facilitated easy and free data sharing. Some examples of platforms where you can manage project information and share and archive data for free are Open Science Framework, Figshare, GitHub as well as institutional data repositories. There are previous reports showing the creation of research data repositories in medicine and dentistry (Schwartz, Pappas, & Sandlow, 2010). However, we do not have an estimate of whether these data are findable, accessible, interoperable, and available in a way that allows reproducibility of research. And for dental research, to date, no report allows us to estimate the amount of open dental research data available, nor the adherence of the available data to the FAIR principles. Therefore, the objective of this protocol is to propose an investigation to quantify the amount of open dental research data available and to measure its compliance with FAIR principles or FAIRness.

Methods

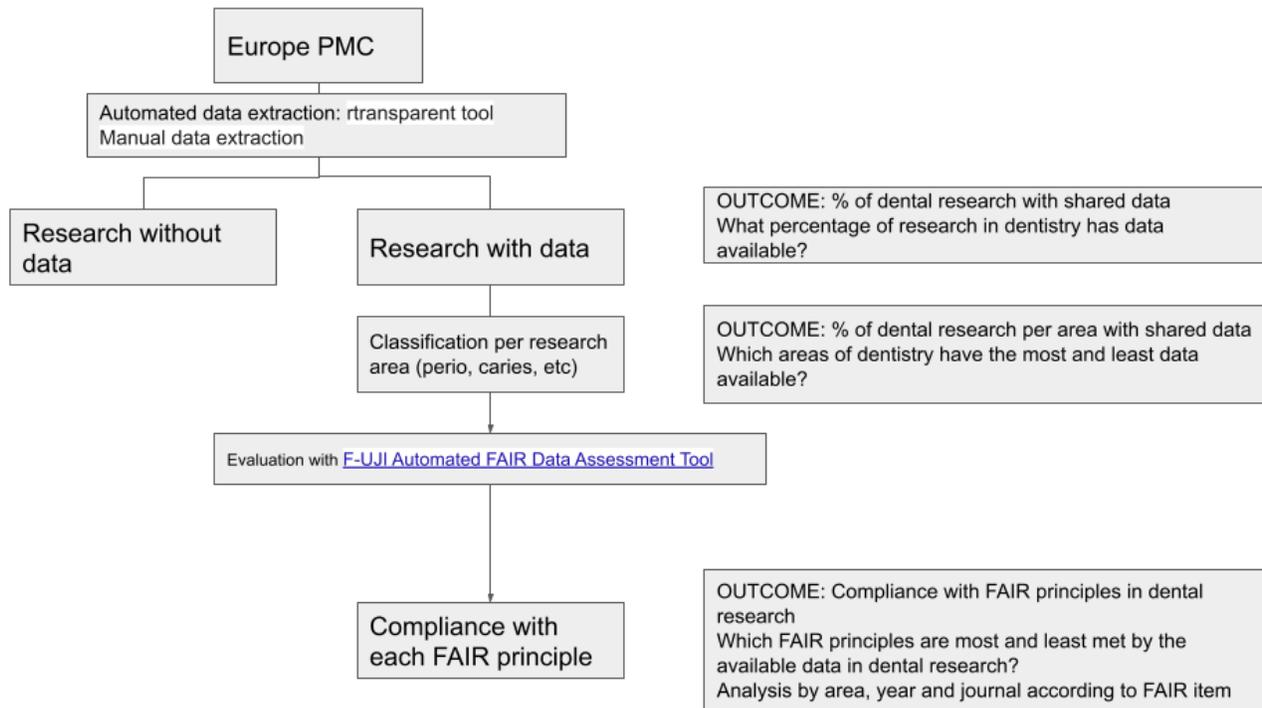
Descriptive study design. All Europe PubMed Central open access (PMCOA) articles published in PubMed-indexed dental journals between 2016 and 2021 will be searched with the *europemc* package in R. The search is restricted to articles in English. Identified PMCOA articles will be downloaded in full-text XML format with *metareadr* package.

Then a similar search for non-PMCOA articles published in the same journals during the same period from the Europe PMC. From those records, a random sample of 500 articles will be selected. Full texts in pdf format will then be downloaded from databases available to the authors.

Then, data sharing in the retrieved PMCOA articles (in XML format) and the 500 non-PMCOA articles (in pdf-format) will be assessed with automated and validated *rtransparent* and *oddpub* tools in R, respectively. The tools identify whether articles shared data, extract a data availability statement, and identify whether data was shared as an article supplement/appendix, in a public repository, or a field-specific repository.

Data shared via the repositories will be analyzed to verify compliance with FAIR principles using the FAIRsFAIR Data Object Assessment Metrics (Wilkinson et al., 2018; Devaraju et al., 2020). We will perform an exploratory data analysis identifying the proportion of publications with available data and the descriptive statistics of FAIRness metrics. We will investigate temporal trends, differences by journals, country of the corresponding author among others.

Study design



Search articles in PubMed: [easyPubMed](#)

Search and download articles from Europe PMC

Automated identification of data sharing: <https://github.com/serghiou/rtransparent>

FAIRness of the repository: <https://github.com/NFDI4Chem/rfuji>

Dental research categorization: using keywords

Hypothesis

Descriptive study, no hypothesis stated, but we will explore significant differences in FAIRness compliance across journals, keywords and years.

Sample size and power

Not applicable

Data sources

Europe PMC

Variables

- Main
 - Research with published data
 - Compliance with FAIR principles
- Secondary
 - Keywords
 - Dental area classification
 - Corresponding author country
 - Journal
 - Publication year

Analysis plan

Exploratory data analysis and descriptive statistics. We will explore differences of FAIR compliance per dental area, year, journal and corresponding author country.

Data management plan

Item	Description
What type of data will be generated	Bibliometric data
Data formats	CSV for the raw data RMarkdown for analysis script JSON files for each data point
How the data will be collected	Data will be generated and tabulated by automated search processes and by manual search.

	Accepted variable naming techniques will be used, such as the use of lower-case letters, no spaces or special characters, and variables will be stored as far as possible uncoded (female instead of 01). Dates will be encoded as yyyyymmdd. English language will be used.
Metadata	A readme.txt file will be prepared to explain the meaning of the columns names and units used..
Ethical/legal issues expected	No ethical issues expected
Expected availability of the data	December 2021
Where the data will be stored during the research	Cloud (Google Drive), GitHub repository and PI notebook
Where the data will be stored at the end of the study	RSU Dataverse repository: https://dataverse.rsu.lv/
What restrictions the data will have	CC 4.0 Licence
Who will be the contact person responsible for the data	Sergio Uribe, Leading Researcher, Bioinformatics Research Unit, Riga Stradins University, Riga, Latvia, sergio.uribe@rsu.lv

Rpackages to check

pubmed.mineR	Text Mining of PubMed Abstracts
ResearchAssociate	Retrieving Publications from PubMed Database Based on User Query
PubMedMining	Text-Mining of the 'PubMed' Repository
pubmedR	Gathering Metadata About Publications, Grants, Clinical Trials from 'PubMed' Database
tidypmc	Parse Full Text XML Documents from PubMed Central
easyPubMed	Search and Retrieve Scientific Publication Records from PubMed
PubMedWordcloud	'Pubmed' Word Clouds
europepmc	R Interface to the Europe PubMed Central RESTful Web Service
roadoi	Find Free Versions of Scholarly Publications via Unpaywall

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