NONBOUNDING *n*-C.E. *Q*-DEGREES

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Abstract. We prove that for any noncomputable c.e. set A there is a noncomputable c.e. set $B \subseteq A$ such that for every noncomputable c.e. set Wwe have $W \not\leq _Q A - B < _Q A$. We show that if c.e. Q-degrees **a** and **b** form a minimal pair in the c.e. Q-degrees, then **a** and **b** form a minimal pair in the $\Sigma_2^0 Q$ -degrees.

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In this paper we study the Q-degrees of n-computable enumerable (n-c.e.) sets. Tennenbaum (as quoted by Rogers [8, p. 159]) defined the notion of Q-reducibility on sets of natural numbers as follows: a set A is Q-reducible to a set B (written as $A \leq {}_{Q}B$) if there is a computable function f such that for every $x \in \omega$ (where ω denotes the set of natural numbers),

$$x \in A \iff W_{f(x)} \subseteq B.$$

In this case we say that $A \leq {}_QB$ via f. The relation of Q-reducibility is reflexive and transitive, so that it generates a degree structure on the subsets of ω . It is not difficult to show that in general Q-reducibility is incomparable with Turing reducibility $\leq {}_T$. On c.e. sets we have that if $A \leq {}_QB$, then $A \leq {}_TB$; the converse implication does not always hold: this easily follows from the observation that if $A \leq {}_QB$, then \overline{A} is c.e. in B, where \overline{A} denotes the complement of A.

Our notation and terminology are standard, and can be found e.g. in [8] or [10].

A set A is n-c.e. if there is a computable function f(s, x) such that for every x:

$$f(0, x) = 0,$$

$$A(x) = \lim_{s} f(s, x),$$

$$|\{s : f(s, x) \neq f(s+1, x)\}| \le n.$$

Here the symbol |X| denotes the cardinality of a given set X. The 2-c.e. sets are also known as d-c.e. sets as they are differences of c.e. sets.

A degree **a** is called an *n*-c.e. degree for $n \ge 1$ if it contains an *n*-c.e. set, and it is called a properly *n*-c.e. degree if it contains an *n*-c.e. set but no *m*-c.e. set for any m < n.

It is known [1] that in *n*-c.e. sets (even for the case n = 2) *T*-reducibility is incomparable with *Q*-reducibility. Therefore, the development of the structural

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theory of Q-degrees of n-c.e. sets compared to their T-degrees becomes one of the interesting directions in the study of Q-degrees of n-c.e. sets.

It is well-known that for any *n*-c.e. set (n > 1) A of properly *n*-c.e. T-degree there exists an (n - 1)-c.e. set B such that $B < {}_{T}A$ (this is called Lachlan's proposition). Below in Theorem 1 we show that for any noncomputable c.e. set A there is a noncomputable c.e. set $B \subseteq A$ such that the Q-degree of A - Bbounds no noncomputable c.e. Q-degrees.

Theorem 1. For any noncomputable c.e. set A there is a noncomputable c.e. set $B \subseteq A$ such that for every noncomputable c.e. set W we have

$$W \not\leq {}_Q A - B < {}_Q A.$$

The proof of this theorem is based on the following

Lemma 1. Let A be a noncomputable c.e. set, B be an immune set, then $A \not\leq {}_QB$.

Proof. Let A, B be as in the statement of the lemma, and let f be a computable function such that for any x

$$x \in A \iff W_{f(x)} \subseteq B.$$

Then the c.e. set $\bigcup_{x \in A} W_{f(x)}$ is a subset of *B*. By the immunity of the set *B* we have

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$$\Big|\bigcup_{x\in A}W_{f(x)}\Big|<\infty.$$

Then the set

$$R = \overline{\bigcup_{x \in A} W_{f(x)}},$$

is computable and

$$\overline{A} = \big\{ x : W_{f(x)} \cap R \neq \emptyset \big\}.$$

Therefore \overline{A} is a c.e. set, a contradiction.

Definition ([5]). Given c.e. sets $B \subseteq A$, B is a major subset of A (written $B \subset {}_{m}A$) if A - B is infinite and for every c.e. set W,

$$\overline{A} \subseteq {}^*W \Longrightarrow \overline{B} \subseteq {}^*W.$$

Lachlan [5] proved that for every noncomputable c.e. set A there exists a c.e. set B such that $B \subset {}_{m}A$.

If $B \subset {}_{m}A$, then A - B is an immune set. Indeed, assume that $B \subset {}_{m}A$ and A - B is not immune. Let W be an infinite c.e. set such that $W \subseteq A - B$. Choose any infinite computable set $R \subseteq W$. Then $\overline{A} \subset {}^{*}\overline{R}$ and $\overline{B} \not\subset {}^{*}\overline{R}$, a contradiction.

We are now ready to finish the proof of Theorem 1.

Proof of Theorem 1. Let A be any noncomputable c.e. set and, by the above remark, let $B \subseteq A$ be a c.e. set such that A - B is immune, then by Lemma 1,

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for any noncomputable c.e. set W we have $W \not\leq _Q A - B$. By [1, Theorem 1] $A - B < _Q A$.

It follows from Theorem 1 that the partial orderings of T- and Q-degrees of d-c.e. sets are different since by Lachlan's proposition each noncomputable d-c.e. set in T-degrees bounds some noncomputable c.e. sets.

Corollary 1. For any noncomputable c.e. Q-degree **a** there is a properly d-c.e. Q-degree $\mathbf{b} < \mathbf{a}$ which bounds in Q-degrees no noncomputable c.e. Q-degrees.

Proof. Immediate.

Let A - B be a *d*-c.e. set with c.e. sets $B \subseteq A$. Then any splitting of A into two disjoint c.e. sets A_0 and A_1 splits A - B into two *d*-c.e. $A_0 - B$ and $A_1 - B$. In [2, Proposition 2] it is proved that both of these *d*-c.e. sets are *Q*-reducible to A - B, and in [2, Theorem 3] it is shown that we can choose A_0 and A_1 so that $A_0 - B$ is *Q*-incomparable with $A_1 - B$. It follows from this that any nonzero *d*-c.e.*Q*-degree bounds a spittable *d*-c.e. degree [2, Corollary 5].

It is proved in [1] that for any $n \ge 2$ there is a (2n)-c.e. set M of properly (2n)-c.e. Q-degree such that for any c.e. set W, if $W \le {}_QM$, then W is computable.

Corollary 2. For any $n \ge 1$ and noncomputable c.e. set A there are noncomputable c.e. sets $A = A_1 \supseteq \cdots \supseteq A_{2n} \supseteq A_{2n+1}$ such that if

$$M = (A_1 - A_2) \cup \dots \cup (A_{2n-1} - A_{2n})$$

and

$$N = (A_1 - A_2) \cup \dots \cup (A_{2n-1} - A_{2n}) \cup A_{2n+1},$$

then

- (a) for any c.e. set W it follows from $W \leq {}_{Q}M$ that W is computable;
- (b) there is a noncomputable c.e. set W such that $W \leq {}_QN$;
- (c) $M \leq QN$ and $N \not\leq QM$.

Proof. Let A be a noncomputable c.e. set, $n \ge 1$, and let $A = A_1 \supseteq \cdots \supseteq A_{2n} \supseteq A_{2n+1}$, where each A_i is c.e. and each $A_{2i-1} - A_{2i}$, is immune, and take

$$M = (A_1 - A_2) \cup \dots \cup (A_{2n-1} - A_{2n})$$

and

$$N = (A_1 - A_2) \cup \dots \cup (A_{2n-1} - A_{2n}) \cup A_{2n+1}.$$

(a) By Lemma 1, for the proof it is enough to show that M is immune. Suppose that there is an infinite c.e. set E such that $E \subseteq M$. Then there is a greatest $i, 1 \leq i \leq n$, such that $E \cap (A_{2i-1} - A_{2i})$ is infinite and

$$F = E \cap \left[(A_{2i+1} - A_{2i+2}) \cup \dots \cup (A_{2n-1} - A_{2n}) \right]$$

is finite. Then

$$E \cap (A_{2i-1} - A_{2i}) = (E \cap A_{2i-1}) - F_{2i-1}$$

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i.e. $E \cap (A_{2i-1} - A_{2i})$ is an infinite c.e. subset of the immune set $A_{2i-1} - A_{2i}$, a contradiction.

(b) Let $A_{2n} = \{f(x) : x \in \omega\}$ for some computable function f, and let h be a computable function such that for any $x W_{h(x)} = \{f(x)\}$. Define $W = f^{-1}(A_{2n+1})$. Then we have

$$x \in W \Longrightarrow f(x) \in A_{2n+1} \Longrightarrow W_{h(x)} \subseteq N,$$

$$x \notin W \Longrightarrow f(x) \notin A_{2n+1} \& f(x) \in A_{2n} \Longrightarrow W_{h(x)} \nsubseteq N.$$

Therefore $W \leq_Q N$.

If the set W is computable, then $A_{2n} - A_{2n+1}$ is c.e., since

$$A_{2n} - A_{2n+1} = \Big\{ x : (\exists y) \big(x = f(y) \& y \notin W \big) \Big\}.$$

But $A_{2n} - A_{2n+1}$ is an immune set, a contradiction. (c) $M \leq {}_QN$ by [1, Theorem 1] and $N \not\leq {}_QM$ by (a) and (b).

Definition ([4]). A set $A \subseteq \omega$ is *semirecursive* if there is a computable function f of two variables such that

1. f(x, y) = x or f(x, y) = y; 2. $x \in A \lor y \in A \Longrightarrow f(x, y) \in A$.

Theorem 2. Let A be a noncomputable c.e. semirecursive set. Then for any $B, \emptyset < {}_{T}B \leq {}_{T}A$, there is a set C with $C \equiv {}_{T}B$ such that $0 < {}_{Q}C \leq {}_{Q}A$.

Proof. Let A, B be as in the statement of the theorem, and let Γ_B be the graph of the characteristic function of B. Since $B \leq {}_{T}A$, there is a c.e. regular set $W_{\rho(z)}$ (see, Rogers [8, Theorem IX.2]) such that for all x, y

$$\langle x, y \rangle \in \Gamma_B \iff (\exists u) (\exists v) \Big[\langle x, y, u, v \rangle \in W_{\rho(z)} \& D_u \subseteq A \& D_v \subseteq \overline{A} \Big]. \quad (*)$$

Since A is semirecursive, there are computable functions f and g such that (see Degtev [3])

$$(\forall u) \left[D_u \subseteq A \iff f(u) \in A \right],$$
$$(\forall v) \left[D_v \subseteq \overline{A} \iff g(v) \in \overline{A} \right].$$

For convenience, we first rewrite the property (*) as follows:

$$x \in B \iff (\exists u)(\exists v) \Big[\langle x, 1, u, v \rangle \in W_{\rho(z)} \& f(u) \in A \& g(v) \in \overline{A} \Big],$$
$$x \in \overline{B} \iff (\exists u)(\exists v) \Big[\langle x, 0, u, v \rangle \in W_{\rho(z)} \& f(u) \in A \& g(v) \in \overline{A} \Big].$$

Now we define two c.e. sets P_0 and P_1 as follows:

$$P_{0} = \left\{ x : (\exists u) (\exists v) [\langle x, 0, u, v \rangle \in W_{\rho(z)} \& f(u) \in A] \right\},\$$
$$P_{1} = \left\{ x : (\exists u) (\exists v) [\langle x, 1, u, v \rangle \in W_{\rho(z)} \& f(u) \in A] \right\}.$$

Obviously,

$$B \subseteq P_1, \ \overline{B} \subseteq P_0 \& P_0 \cup P_1 = \omega.$$

Let C_0 and C_1 be computable sets such that

$$C_0 \subseteq P_0 \& C_1 \subseteq P_1 \& C_0 \cap C_1 = \emptyset \& C_0 \cup C_1 = \omega,$$

and let

$$C = (B \cap C_0) \cup (\overline{B} \cup C_1).$$

Let h_0 and h_1 be computable functions such that

$$W_{h_0(x)} = \left\{ y : (\exists u) (\exists v) [\langle x, 0, u, v \rangle \in W_{\rho(z)} \& y = g(v)] \right\},\$$
$$W_{h_1(x)} = \left\{ y : (\exists u) (\exists v) [\langle x, 1, u, v \rangle \in W_{\rho(z)} \& y = g(v)] \right\},\$$

and let h be a computable function such that

$$W_{h(x)} = \begin{cases} W_{h_0}(x), & \text{if } x \in C_0, \\ W_{h_1}(x), & \text{if } x \in C_1. \end{cases}$$

Then if $x \in C$ we have

$$x \in B \cap C_0 \Longrightarrow W_{h(x)} = W_{h_0(x)} \subseteq A.$$
$$x \in \overline{B} \cap C_1 \Longrightarrow W_{h(x)} = W_{h_1(x)} \subseteq A.$$

If $x \notin C$, then $x \in \overline{C} = (B \cap C_1) \cup (\overline{B} \cap C_0)$ and we have

$$x \in B \cap C_1 \Longrightarrow W_{h(x)} = W_{h_1(x)} \not\subseteq A.$$
$$x \in \overline{B} \cap C_0 \Longrightarrow W_{h(x)} = W_{h_0(x)} \not\subseteq A.$$

Therefore

$$(\forall x) [x \in C \iff W_{h(x)} \subseteq A],$$

i.e. $C \leq {}_Q A$.

It remains to show that $B \equiv {}_{T}C$. For any x we have: If $x \in C_0$, then $x \in B \iff x \in C$. If $c \in C_1$, then $x \in B \iff x \in \overline{C}$. Therefore $B \equiv {}_{T}C$. \Box

Corollary 3. Let A be a noncomputable c.e. semirecursive set. Then there is a Δ_2° set C with $\emptyset < {}_QC < {}_QA$ such that for any noncomputable c.e. set W we have $W \not\leq {}_QC$.

Proof. Let A be a noncomputable c.e. semirecursive set and $\mathbf{a} = \deg_T(A)$. Then there is a minimal T-degree \mathbf{b} , $\mathbf{b} < \mathbf{a}$ (Yates [11]). Let $B \in \mathbf{b}$, then $B < {}_TA$. By Theorem 2 there is a Δ_2° set C such that

$$C \equiv {}_T B \& C \leq {}_Q A.$$

If there is a noncomputable c.e. set W such that $W \leq {}_QC$, then $W \leq {}_TC \leq {}_TB$. Since **b** is a minimal T-degree, we have $W \equiv {}_TC$, a contradiction.

We recall that in a poset (P, \leq) with least element 0, a minimal pair is a pair of elements **a**, **b** in P such that

$$\mathbf{a}, \mathbf{b} \neq 0 \& (\forall \mathbf{c} \in P) [\mathbf{c} \le \mathbf{a} \& \mathbf{c} \le \mathbf{b} \Longrightarrow \mathbf{c} = 0].$$

Definition ([9]). Given a set A, define the weak jump of A to be the set

$$H_A = \left\{ e : W_e \cap A \neq \emptyset \right\}$$

and say that a set A is semilow if $H_A \leq T \emptyset'$.

In [7] it is proved that if A and B are c.e. sets such that $\mathbf{a} = \deg_Q(A)$ and $\mathbf{b} = \deg_Q(B)$ form a minimal pair in the c.e. Q-degree and \overline{A} and \overline{B} are semilow, then \mathbf{a} and \mathbf{b} form a minimal pair in the Q-degrees. The following theorem shows that the semilowness of \overline{A} and \overline{B} is unnecessary for Σ_2^0 sets.

Theorem 3. If c.e. Q-degrees **a** and **b** form a minimal pair in the c.e. Q-degrees, then **a** and **b** form a minimal pair in the Σ_2^0 Q-degrees.

This immediately follows from

Theorem 4. If **a** and **b** are c.e. Q-degrees, then for every nonzero Σ_2^0 Q-degree **c** such that $\mathbf{c} \leq {}_Q \mathbf{a}, \mathbf{b}$, there exists a c.e. Q-degree **d** such that

$$\mathbf{c} \leq Q\mathbf{d} \leq Q\mathbf{a}, \mathbf{b}.$$

Proof. Suppose that A and B are c.e. sets such that $\mathbf{a} = \deg_Q(A)$ and $\mathbf{b} = \deg_Q(B)$. Assume that \mathbf{c} is a nonzero $\Sigma_2^0 Q$ -degree such that $\mathbf{c} \leq Q\mathbf{a}$, \mathbf{b} . Let $C \in \mathbf{c}$ be a Σ_2^0 set. It follows from $C \leq QA$ that $C \in \Pi_2^0$ (see [6, p. 282]). Then $C \in \Delta_2^0$ and by [7, Corollary 5] there exist computable functions f, g such that

$$(\forall x) \Big[[x \in C \iff W_{f(x)} \subseteq A] \& [W_{f(x)} \text{ is finite}] \Big],$$
$$(\forall x) \Big[[x \in C \iff W_{g(x)} \subseteq B] \& [W_{g(x)} \text{ is finite}] \Big].$$

Fix computable approximations $\{A_s\}_{s\in\omega}$ and $\{B_s\}_{s\in\omega}$ of A and B, respectively. Define a c.e. set D as follows:

$$D = \left\{ \langle x, t \rangle : \ (\exists s \ge t) \left[W_{f(x),s} \subseteq A_s \& W_{g(x),s} \subseteq B_s \right] \right\}.$$

Then

$$x \in C \iff (\forall t) [\langle x, t \rangle \in D].$$

Let

$$W_{\widetilde{f}(x)} = \big\{ \langle x, t \rangle : \ t \in \omega \big\}.$$

Then

$$(\forall x) \left[x \in C \Longleftrightarrow W_{\tilde{f}(x)} \subseteq D \right],$$

which gives $C \leq {}_Q D$.

Let f_1 be a computable function such that

$$W_{f_1(\langle x,t\rangle)} = \begin{cases} W_{f(x),n}, & \text{where } n = \min\left\{s : s \ge t \& W_{f(x),s} \subseteq A_s \& \langle x,t\rangle \in D_s\right\} \\ & \text{if } \langle x,t\rangle \in D, \\ W_{f(x)} & \text{otherwise.} \end{cases}$$

Then

$$\langle x,t\rangle \in D \Longrightarrow (\exists s \ge t) [W_{f(x),s} \subseteq A_s] \Longrightarrow W_{f_1(\langle x,t\rangle)} \subseteq A$$

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and

$$\langle x,t \rangle \notin D \Longrightarrow x \notin C \Longrightarrow W_{f(x)} \not\subseteq A \Longrightarrow W_{f_1(\langle x,t \rangle)} \not\subseteq A.$$

Thus $D \leq {}_QA$. In the same way we can prove that $D \leq {}_QB$.

Corollary 4. Let \mathbf{a} , \mathbf{b} be c.e. Q-degrees that form a minimal pair in the c.e. Q-degrees, and let A, B, C and D be c.e. sets such that $A \in \mathbf{a}$, $B \in \mathbf{b}$, $C \subseteq A$ and $D \subseteq B$. Then $\deg_Q(A - C)$ and $\deg_Q(B - D)$ form a minimal pair in the Σ_2^0 Q-degrees.

Proof. By [1, Theorem 1] $A - C \leq {}_QA$ and $B - D \leq {}_QB$ and, by Theorem 3, **a** and **b** form a minimal pair in the Σ_2^0 *Q*-degrees. If *E* is a noncomputable Σ_2^0 set and $E \leq {}_QA - C$, $E \leq {}_QB - D$, then $E \leq {}_QA$ and $E \leq {}_QB$, a contradiction. \Box

In [2, Theorem 6] it is proved that for any c.e. noncomputable set A there exist noncomputable c.e. sets A_0 and A_1 such that $A \oplus A_0|_Q A \oplus A_1$ and A_0 and A_1 form a minimal pair in the c.e. Q-degrees.

From Theorem 4 and [2, Theorem 6] follows immediately the following

Corollary 5. For any c.e. noncomputable set A there exist noncomputable c.e. sets A_0 and A_1 such that $A \oplus A_0|_Q A \oplus A_1$ and A_0 and A_1 form a minimal pair in the Σ_2^0 Q-degrees.

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