

# Serum value of procalcitonin as a marker of intestinal damages: type, extension, and prognosis

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## Abstract

*Background* Ischemic and necrotic damages are complications of digestive diseases and require emergency management. Nevertheless, the decision to surgically manage could be delayed because of no sufficiently preoperative accurate marker of ischemia diagnosis, extension, and prognosis.

*Methods* The aim of this study was to assess the predictive value of serum procalcitonin (PCT) levels for diagnosing intestinal necrotic damages, their extension, and their prognosis in patients with ischemic disease including ischemic colitis and mesenteric infarction by a gray zone approach. Between January 2007 to June 2014, 128 patients with ischemic colitis and mesenteric infarction

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(codes K55.0 and K51.9) were operated, for whom data on PCT were available. We perform a retrospective, multicenter review of their medical records. Patients were divided into subgroups: ischemia (ID group) versus necrosis (ND group); the extension [focal (FD) vs. extended (ED)] and the vital status [deceased (D) vs. alive (A)].

*Results* PCT levels were higher in the ND (n = 94; p = 0.009); ED (n = 100; p = 0.02); and D (n = 70; p = 0.0003) groups. With a gray zone approach, the predictive thresholds were (i) for necrosis 2.473 ng/mL, (ii) for extension 3.884 ng/mL, and (iii) for mortality 7.87 ng/mL.

*Conclusion* In our population, PCT could be used as a marker of necrosis; especially in case of extended damages and reflects the patient's prognosis.

**Keywords** Procalcitonin · Tissue damages · Ischemia · Gray zone approach

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#### Abbreviations

SB	Small bowel
PCT	Procalcitonin
СМ	Conservative management
SM	Surgical management
Se	Sensitivity
Sp	Specificity
PPV	Positive predictive value
NPV	Negative predictive value
AUC	Area under the curve
ROC	Receiver operating curve
SD	Standard deviation

Intestinal ischemia is a major health problem that accounts for 1-2 % of intestinal diseases [1]. It corresponds to a lack of intestinal viability because of a decrease of mesenteric blood flow and is associated with a high mortality rate [2, 3]. The importance of this phenomenon is increasing considering the population aging [4].

The treatment of intestinal ischemia consists usually in an exploratory laparotomy to evaluate the severity of bowel damages and a resection of bowel segments prior (if necessary) to a second-look surgery 2 days later [5, 6]. The timing of this surgical management is very important especially for the recovery of intestinal viability [7].

Nevertheless in some cases tissue ischemia and/or necrosis are so extended that the surgical procedure is too late for expect a curative management. To adapt the patient's management, preoperative markers of ischemic and/ or necrotic damages including their diagnosis, extension, and prognosis are required.

Procalcitonin (PCT) is a 116-amino-acid precursor of calcitonin [8] that was first described in 1993 by Assicot et al. [9]. A number of clinical studies have shown that PCT is a marker for sepsis and inflammation [10], for colonic ischemia after aortic surgery [11], and for bowel ischemia after bowel obstruction [12].

Our team previously proposed to use PCT as a marker of intestinal ischemia in patients with postoperative small bowel obstruction developed on abdominal adhesions [13]. In this condition, the ROC curve for PCT was 0.91 and the predictive values were above 80 %. We showed too that PCT was useful to guide the patient's management if measured at admission, 18 and 24 h. At admission for a PCT value  $\leq 0.165$  ng/mL, the surgical management was unnecessary for 93 % of patients; at 18 h after admission the surgery was useless for a PCT value  $\leq 0.27$  ng/mL and at 24 h after admission the surgery is pointless for 95 % of patients for a PCT value  $\leq 0.255$  ng/mL [14].

The aim of this study was to assess the predictive value of serum PCT levels for diagnosing the presence of intestinal

necrotic damages, their extension, and their prognosis in patients with ischemic diseases including ischemic colitis and mesenteric infarction using the gray zone approach.

## Patients and methods

#### Population

From January 2007 to June 2014, 128 patients with ischemic diseases (ischemic colitis and mesenteric infarction) were admitted at the emergency departments of Amiens University Hospital and Beauvais Hospital and had serum assessment of PCT before any management. No patient was below 18 years old, presented a mixt form of ischemic disease (defined as the presence on the pathological report of ischemic colonics damages and small bowel injuries) or presented acute abdominal pain and collapse without available serum PCT levels. All of these patients were surgically managed with bowel resection, 70 % after a cardiac surgical procedure and had available pathologist report.

Eligible patients in this multicenter, retrospective, for diagnostic purposes study were identified from a local database extracted thanks to Business Object Software (Washington state, USA) and after request to the medical information department of the two institutions (codes K55.0 and K51.9). Each list was reviewed to identify patients with ischemic disease and preoperative serum PCT assessment. Patients were retrospectively informed with an information letter.

The histological grading of intestinal damages was obtained according to the Park's classifications [15] and performed by one pathologist. To identify patients with tissue necrotic damages, the overall population was divided into subgroups: patients with tissue ischemic damages (ID group, n = 34) and those with necrotic damages (ND group, n = 94).

To identify the extension of tissue damages, the overall population was divided into subgroups: patients with focal damages (FD group, n = 28) and those with extended damages (ED group, n = 100).

To identify patients at high risk of death, the overall population was divided into subgroups: the deceased patients (D group, n = 70) and those alive 90 days after the management (A group, n = 58).

## Surgery

The open surgical procedure consisted in an exploratory laparotomy with bowel resection. A stomy was created, as required. In each case, the presence of macroscopic bowel ischemia and/or necrosis, the extension, and the location of the lesions were noted.

#### Study design

The study' main objective was to assess the predictive value of serum PCT levels for diagnosing intestinal necrotic damages in patients with ischemic diseases. Secondary objectives were the predictive value of PCT for predicting the degree of extension of these damages and the vital status of these patients.

The following items of patient's medical records were retrospectively collected in an excel file: demographic information, laboratory blood test results (white blood cell count, C reactive protein, lactate, ions, and PCT), comorbidities (diabetes, hypertension, cardiopathy, neurological disorder, chronic obstructive pulmonary disease, and peripheral arterial disease), and the vital status. Data related to pathologist report's analysis included ischemic and/or necrotic damages and extension of these damages.

## The procalcitonin assay

For each patient, PCT had been measured prior to the surgical procedure. The Kryptor<sup>®</sup> T.R.A.C.E<sup>®</sup> assay (THER-MOFISHER, Clichy, France) was used on-site in each biochemical laboratory. The reference value is 0.5 ng/mL.

## Statistical analysis

Quantitative data are expressed as mean  $\pm$  standard deviation (SD) or median (minimum-maximum), qualitative data as effective (percentage). Univariable analyses were performed with the  $\chi^2$  test or a fisher test for qualitative variables and the *t* test for quantitative variables.

The threshold for statistical significance was set to  $p \le 0.05$  and presented as bold number in the several tables. To determine the predictive values of PCT for predicting (i) intestinal necrotic damages, (ii) extended damages, and (iii) the postoperative mortality, we generated receiver operating characteristic (ROC) curves and calculated the area under the ROC curve (AUROCC). These curves were obtained by averaging 1,000 populations bootstrapped (sampling with replacement) from the original study population. This method limits the impact of outliers and allows the provision of more robust representations. Box plots were used to depict the confidence interval of the average ROC curves [16]. The predictive values were then presented with the sensitivity (Se), specificity (Sp), positive predictive value (PPV), negative predictive value (NPV), the threshold, and the positive and negative likelihood ratios (LR+ and LR-). Statistical analysis was performed with SAS 9.2 (SAS Institute Inc., Cary, NC, USA) and PASW 18 (SPSS Inc., Chicago, IL, USA).

## Gray zone calculation

A gray zone was calculated to determine the conditions in which PCT would be not informative-enough with the R software with the ROCR package. The technique we used was described by Canesson et al. in their article "To determine this range of values, we first assessed the "optimal" threshold using Youden's index (J) defined as (Sp + Se - 1). Maximizing J corresponds to maximizing the overall correct classification rates. It minimizes misclassification rates. Youden's index determination was then conducted for each bootstrapped population, resulting in a set of 1,000 "optimal" values. The mean value of these optimal values and its 95 % CI were then estimated. Thus, the gray zone was defined as well as its 95 % CI. This first approach was completed by an alternative approach, aiming at defining three classes of response: negative, inconclusive, positive" [16].

The study was performed according to the STARD criteria.

## Results

The value of procalcitonin for diagnosing the presence of intestinal necrotic damages

Demographic and clinical data for the ID and ND groups are summarized in Table 1. These groups are similar in term of demographic data, comorbidities, and biology but there is an imbalance for PCT (2.34 vs. 25.09 ng/mL, respectively; p < 0.009).

The AUROCC for PCT and tissue necrotic damages was 0.92 [95 % CI 0.86–0.98] (Fig. 1). The gray zone is comprised between 1.761 and 3.884 ng/mL. The more pertinent threshold is  $\geq$ 2.473 ng/mL for predicting tissue necrosis and yielded a sensitivity of 94.6 %, a specificity of 68 %, a PPV of 89.8 %, a NPV of 80.9 % (Fig. 1), a LR+ of 2.96, and a LR- of 0.08.

The value of procalcitonin for predicting the degree of extension of tissue damages

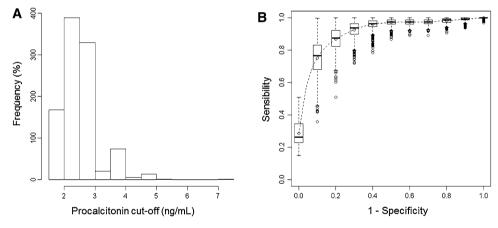
Demographic and clinical data for the FD and ED groups are summarized in Table 2. These groups are similar in

 Table 1 Characteristics of the study groups (ID and ND groups)

Variable	Tissue ischemic damages $(n = 34)$	Tissue necrotic damages $(n = 94)$	p value	
Mean age, years (min-max)	69 (53-87)	69 (19–92)	0.94	
Male sex, $n$ (%)	15 (44)	61 (65)	0.07	
Diabetes, n (%)	10 (29)	28 (30)	0.73	
Cancer, n (%)	13 (38)	22 (23)	0.09	
Hypertension, n (%)	16 (47)	59 (63)	0.76	
Previous history of neurological disorders, $n$ (%)	5 (15)	25 (27)	0.57	
Peripheral vascular disease, $n$ (%)	10 (29)	24 (26)	0.77	
Cardiopathy, n (%)	22 (65)	68 (72)	0.47	
Smoker, $n$ (%)	12 (36)	39 (42)	0.69	
Chronic obstructive pulmonary disease, $n$ (%)	11 (32)	26 (28)	0.63	
Leukocytes, $\times 10^3$ /mm <sup>3</sup> ± SD	$17.3 \pm 12.7$	$15.1 \pm 8.2$	0.42	
C reactive protein (mg/L) $\pm$ SD	$131 \pm 95$	$156 \pm 107$	0.27	
Lactates (mmol/L) $\pm$ SD	$3.8 \pm 3.1$	$3.8 \pm 3.8$	0.97	
Sodium (mmol/L) $\pm$ SD	$139 \pm 6$	$140 \pm 5$	0.55	
Chloride (mmol/L) $\pm$ SD	$104 \pm 8$	$106 \pm 7$	0.28	
Phosphorus (mmol/L) $\pm$ SD	$1.25 \pm 0.5$	$1.23 \pm 0.4$	0.85	
Procalcitonin (ng/mL) (min-max)	2.34 (0.16–11.83)	25.09 (0.22-621.20)	0.009	

**Fig. 1** Gray zone approach for predicting the presence of tissue necrotic damages including the histogram for the threshold (**A**) and the ROC curve (**B**)

histogram of the cut-off points accross 1000 bootstrap



term of demographic data but there is an imbalance for previous history of neurological disorders (5 vs. 29 %, respectively; p = 0.03); CRP (111 vs. 160 mg/L, respectively; p = 0.03); and PCT (3.8 vs. 23.04 ng/mL, respectively; p < 0.02).

The AUROCC for PCT and extended tissue damages was 0.82 [95 % CI 0.71–0.92] (Fig. 2). The gray zone is comprised between 2.473 and 4.91 ng/mL. The more pertinent threshold is  $\geq$ 3.884 ng/mL for predicting the damage's extension and yielded a sensitivity of 76.3 %, a specificity of 84.2 %, a PPV of 95.3 %, a NPV of 45.7 % (Fig. 3), a LR+ of 4.83, and a LR– of 0.28.

The value of procalcitonin for predicting the mortality

Demographic and clinical data for the D and A groups are summarized in Table 3. These groups are similar in term of demographic data but there is an imbalance for PCT (32.1 vs. 6.3 ng/mL, respectively; p = 0.04).

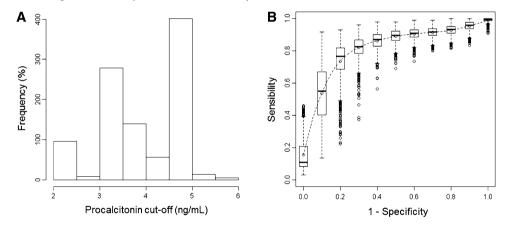
The AUROCC for PCT and prognosis was 0.82 [95 % CI 0.736–0.901] (Fig. 3). The gray zone is comprised between 2.56 and 10.82 ng/mL. The more pertinent threshold is  $\geq$ 7.87 ng/mL for predicting the mortality and yielded a sensitivity of 72 %, a specificity of 79.6 %, a PPV of 78.3 %, a NPV of 73.6 % (Fig. 3), a LR+ of 3.53, and a LR- of 0.35.

Table 2	characteristics	of the	study	groups	(FD	and E	ED gr	oups)

Variable	Focal damages $(n = 28)$	Extended damages $(n = 100)$	p value	
Mean age, years (min-max)	70 (52–85)	70 (19–92)	0.95	
Male sex, $n$ (%)	16 (58)	60 (60)	0.87	
Diabetes, n (%)	10 (37)	28 (28)	0.42	
Cancer, n (%)	6 (21)	29 (29)	0.50	
Hypertension, n (%)	15 (53)	60 (60)	0.56	
Previous history of neurological disorders, $n$ (%)	1 (5)	29 (29)	0.03	
Peripheral vascular disease, $n$ (%)	9 (32)	25 (25)	0.56	
Cardiopathy, n (%)	22 (79)	68 (68)	0.33	
Smoker, <i>n</i> (%)	13 (47)	38 (38)	0.43	
Chronic obstructive pulmonary disease, n (%)	9 (32)	28 (28)	0.72	
Leukocytes, $\times 10^3$ /mm <sup>3</sup> ± SD	$15.8 \pm 9.7$	$15.6 \pm 9.5$	0.95	
C reactive protein (mg/L) $\pm$ SD	$111 \pm 73$	$160 \pm 109$	0.03	
Lactates (mmol/L) (min-max)	4.6 (1–23)	3.6 (1–14)	0.45	
Sodium (mmol/L) $\pm$ SD	$139 \pm 5$	$140 \pm 6$	0.91	
Chloride (mmol/L) $\pm$ SD	$106 \pm 7$	$105 \pm 7$	0.90	
Phosphorus (mmol/L) $\pm$ SD	$1.19 \pm 0.5$	$1.25 \pm 0.4$	0.63	
Procalcitonin (ng/mL) (min-max)	3.81 (0.21-27.2)	23.04 (0.16-621.2)	0.02	

**Fig. 2** Gray zone approach for predicting the presence of extended damages including the histogram for the threshold (**A**) and the ROC curve (**B**)

histogram of the cut-off points accross 1000 bootstrap



All the predictive characteristics are depicted in Table 4.

## Discussion

This retrospective, multicenter study was tailored to evaluate the predictive value of PCT as a marker of (i) tissue necrotic damages, (ii) extended damages, and (iii) the poor prognosis in patients with ischemic diseases especially ischemic colitis and mesenteric infarction. This study shows that PCT could be used as a marker for these four conditions with at least a 5-times threshold.

The data related on the serum PCT levels were higher of those proposed as a positive diagnosis threshold (0.2–0.5 ng/mL) whatever the condition [17]. This report could be explained by the pathologic situation in which the patients are. Indeed, the patients were recruited because of the confirmed presence of ischemic or necrotic damages. Whereas this study was performed in two centers, the observed PCT levels were homogenous into each group. The absence of intra-group variation could be due to the analyser performance of the Kryptor T.R.A.C.E<sup>®</sup> assay (limit of detection of 0.06 ng/mL; the intra-assay and inter-assay coefficients of variation below 5 % and below 10 %, respectively) and the reproducibility of the assessment described in a five-center study [18].

The dilemma for the surgeon to operate the patient is laid on the difficulty to identify the good candidate but his decision should be as quick as possible to avoid alternating Fig. 3 Gray zone approach for predicting the prognosis including the histogram for the threshold (A) and the ROC curve (B) histogram of the cut-off points accross 1000 bootstrap

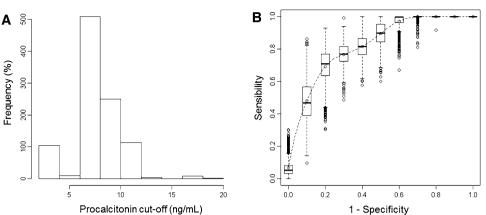


Table 3 characteristics of the study groups (D and A groups)

Variable	Deceased patients $(n = 70)$	Alive patients $(n = 58)$	p value	
Mean age, years (min-max)	69 (19–92)	71 (50-89)	0.49	
Male sex, $n$ (%)	41 (58)	35 (61)	0.74	
Diabetes, n (%)	22 (32)	16 (27)	0.55	
Cancer, n (%)	15 (22)	20 (33)	0.23	
Hypertension, n (%)	41 (58)	34 (59)	0.90	
Previous history of neurological disorders, $n$ (%)	20 (28)	10 (17)	0.38	
Peripheral vascular disease, $n$ (%)	20 (28)	14 (24)	0.69	
Cardiopathy, <i>n</i> (%)	50 (72)	40 (69)	0.61	
Smoker, <i>n</i> (%)	24 (34)	27 (47)	0.27	
Chronic obstructive pulmonary disease, n (%)	15 (22)	22 (38)	0.16	
Leukocytes, $\times 10^3$ /mm <sup>3</sup> ± SD	$14.6 \pm 7.9$	$16.7 \pm 10.9$	0.26	
C reactive protein (mg/L) $\pm$ SD	$162.5 \pm 109$	$135.7 \pm 99$	0.21	
Lactates (mmol/L) $\pm$ SD	$3.9 \pm 4$	$3.6 \pm 3.1$	0.64	
Sodium (mmol/L) $\pm$ SD	$141 \pm 6$	$138 \pm 5$	0.55	
Chloride (mmol/L) $\pm$ SD	$106 \pm 7$	$104 \pm 7$	0.18	
Phosphorus (mmol/L) $\pm$ SD	$1.2 \pm 0.35$	$1.3 \pm 0.47$	0.45	
Procalcitonin (ng/mL) (min-max)	32.1 (0.21-621.2)	6.3 (0.16–27.2)	0.04	

Damages	Cut off	Gray zone	Se	Sp	PPV	NPV	LR+	LR-	AUC	CI AUC
Necrosis	2.47	1.76-3.88	94.6	68	89.8	80.9	2.96	0.08	0.92	0.86-0.98
Extension	3.88	2.47-4.91	76.3	84.2	95.3	45.7	4.83	0.28	0.82	0.71-0.92
Mortality	7.87	2.56-10.82	72	79.6	78.3	73.6	3.53	0.35	0.82	0.74-0.90

The cut off and the gray zone values are expressed in ng/mL; Se, Sp, PPV, NPV, LR+, and LR- are expressed as %. CI AUC corresponds to 95 % CI

the prognosis. Some elements are available to help the clinician especially biological (lactates, leukocytes) and CT scan signs but these elements are quite informative because none of them was found in our study. The importance of PCT in diagnosing the necrotic damages (with a PCT level lower than 2.5 ng/mL) could orientate the

surgeon to an emergency surgery in which the damages are still reversible before a multivisceral dysfunction occurs. With a PCT higher than 3.9 ng/mL, an extensive gesture with a segmentary bowel resection is required. In case of high PCT levels (higher than 7.9 ng/mL), the situation is life-threatening and the performance of the surgery should be discussed with the patient and his family because it foretells difficulties during the postoperative curse. These data feed the trend that PCT is a marker of mortality, which has been already reported during the two last decades in many fields (sepsis; mesenteric infarction) [19–25].

The increase of serum PCT could be explained by its secretion. During the necrotic phenomenon, the inflammatory state is enhanced because of the reperfusion mechanism that release reactive oxygen species (ROS). These ROS promote the secretion of proinflammatory cytokines (TNFa and IL-6). This secretion associated with an alteration of the immunological role of the mucosae layer and a bacterial translocation [26] engenders a release of bacterial endotoxins inducing PCT excretion [10, 27-29]. The reperfusion mechanism plays an important part considering the splanchnic vascularization of the colon that predisposes it to more frequent ischemic and necrotic damages especially after cardiovascular surgery. The origin of production of PCT involves many sources. One of them is the peripheral mononuclear blood cells (PMBC) especially under the effects of bacterial lipopolysaccharides (LPS) and the endothelial cells [30, 31]. A second one could involve the colonic bacterial content. The high serum PCT value in the group with extended tissue damages could be explained by the feedback engendered by PCT. Indeed, at low dose PCT maintains this phenomenon engendering a systemic inflammatory response syndrome (SIRS) reliable as shown by Al Nawas et al., Carboni et al., and Becker et al. to PCT, LPS, and TNF $\alpha$  [27, 32, 33] whereas at high dose it is deleterious for the tissue viability spreading the ischemic and necrotic phenomenon along the tissue [34]. These data were divergent with those of Rau et al. that shows no correlation between necrosis' extension and PCT but these works were performed in pancreas in which the bacterial load is not sufficient-enough to promote PCT release [35]. The values we obtained do not reflect the presence of an infection that could be a bias for the explanation. Indeed, our thresholds were below 10 ng/mL suggesting the absence of septic shock [36]; the temperatures were normal and no evidence of an infection was found in the pathologist' reports. During the ischemic or necrotic phenomenon, PCT is not involved in the blood flow decrease in the vessel as shown by Kettelhack et al. (no correlation with the arterial blood pressure or the systemic vascular resistance) but rather with the NO synthesis in the cells [37]. Indeed, it seems that PCT inhibits the effects of iNO-induced of proinflammatory cytokines as a part of the physiological mechanism protecting against a massive excretion of NO [38].

The collected data in this study are consistent with those of Markogiannakis et al., Nagata et al. on humans [11, 12], and those of Karabulut et al. in rabbits [39]. All of these studies could propose PCT as a marker of ischemia and necrosis even if there are some bias such as the

retrospective design, the number of center, the effective, and the possible infection distant from the intestine (lung, urinary system) that could increase the PCT levels. In our study, the use of a gray zone approach, a specific statistical method, permits to obtain more robust results.

#### Conclusion

In our population, PCT could be used as a marker of necrosis; especially in case of extended damages and reflects the patient's prognosis.

**Disclosures** Cosse C, Sabbagh C, Browet F, Mauvais F, Rebibo L, Zogheib E, Chatelain D, Kamel S, and Regimbeau JM have no conflicts of interest or financial ties to disclose.

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